

New York City Council



BUDGET REPORT

Finance Division

March 2009

***Analysis of the
Fiscal 2010 Preliminary Budget
and
Fiscal 2009 Preliminary Mayor's Management Report
for the
Department of Correction
Friday, March 20, 2009***

Hon. Christine C. Quinn
Speaker

Hon. David Weprin, Chair
Committee on Finance

Hon. James Vacca, Chair
Committee on Fire and Criminal
Justice Services

Preston Niblack, Director

Jeffrey Rodus, First Deputy Director

Andy Grossman, Deputy Director

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PREFACE

On March 20, 2009, at 12:30 pm., the Committee on Fire and Criminal Justice Services, chaired by the Hon. James Vacca, will hold a hearing on the Mayor's Fiscal 2010 Preliminary Budget and Fiscal 2009 Preliminary Mayor's Management Report for the Department of Corrections.

Section 236 of the New York City Charter requires the Mayor to submit by January 16th a preliminary budget for the upcoming fiscal year.^a In addition, under section 12 of the City Charter, the Mayor must make public and submit to the Council by January 30th the Preliminary Mayor's Management Report (PMMR) for the current fiscal year.^b Among other things, the PMMR must contain "proposed program performance goals and measures for the next fiscal year reflecting budgetary decisions made as of the date of submission of the preliminary budget."^c The Charter also requires the Council to hold hearings on the preliminary budget and to submit recommendations to the Mayor by March 25th.^d This year, the Council will hold joint hearings on the Fiscal 2010 Preliminary Budget and the Fiscal 2009 Preliminary Mayor's Management Report.

Beginning with the Fiscal Year 2008 Adopted Budget, the Council and the Mayor's Office of Management and Budget agreed to an additional budget presentation, referred to by OMB as the budget function analysis, and by the Council as the program budget. Two agencies were initially presented in the program budget form. Beginning with the January 2008 Financial Plan (Fiscal 2009 Preliminary Budget), a total of 16 agencies are now in program budget form. The Department of Corrections is a program budget agency.

This report was prepared by Eisha Williams, Supervising Legislative Financial Analyst, under the supervision of Deputy Director Andy Grossman.

^a The Charter prescribes specific actions that are required as part of the annual budget submission process during a fiscal year. The Charter allows for changes, via local law, in the dates in the submission of the PMMR, as well as an extension for subsequent steps in the budget process. This year, Local Law 03 of 2009 changed the date for the submission of the Preliminary Budget to January 30th, and the date for the Council's Response to the Preliminary Budget to April 8th.

^b Local Law 03 of 2009 changed the date of submission of the PMMR to February 13, 2009.

^c New York City Charter, §12(b)(2).

^d See *id.* at §247.

Department of Correction (072)

The Department of Correction (DOC) provides custody, control and care of misdemeanants and felons sentenced to one year of incarceration or less; detainees awaiting trial or sentence; newly sentenced felons awaiting transportation to State correctional facilities; alleged parole violators awaiting revocation hearings; and State prisoners with court appearances in New York City. Professional care and services, including health and mental health care, opportunities for religious observance, educational instruction, vocational training, discharge planning and substance abuse counseling are provided. In Fiscal 2008, the Department handled over 100,000 admissions, managed an average daily population of approximately 14,000 and transported 317,612 individuals to court.

PROGRAM TO ELIMINATE THE GAP

Since the Fiscal 2009 Budget was adopted in June, the Office of Management and Budget has twice asked agency heads to submit Program to Eliminate the Gap (PEG) proposals. In the first round, in September, OMB sought PEG submissions equal to five percent of agency City tax-levy budgets for Fiscal 2010, with a further seven percent sought in December.

PEGs reduce the City's budget gap either by reducing an agency's City tax-levy Expense Budget spending, or by increasing City revenues. The chart below indicates the proposed PEG amounts for the DOC based on the Fiscal 2010 forecast at the time the Fiscal 2009 Budget was adopted (June 2008).

November and January Plan PEGs for Fiscal 2010 (in 000s)	
Fiscal 2010 Forecast at Fiscal 2009 Adoption (June 2008)	\$939,977
Expense PEGs	\$49,270
Revenue PEGs	\$0
Total Fiscal 2010 PEGs	(\$49,270)
PEGs as a Percent of the Fiscal 2010 Forecast	5.24%

PRELIMINARY BUDGET HIGHLIGHTS

The Department of Correction's Fiscal 2010 Preliminary Expense Budget of \$983.3 million is \$3.8million less than its Fiscal 2009 Adopted Expense Budget of \$987.1million. The \$3.8-million decrease in total funds derives from an increase of \$7.7 million in City tax-levy funding, which now stands at \$949.2 million, a decrease of \$3.7 million in Other Categorical grants and a decrease of \$7.7 million in State grants.

November Plan Changes

At the time of Adoption for Fiscal 2009, the agency's estimated budget for Fiscal 2010 was \$981.8 million. The estimated budget for Fiscal 2010 in City tax-levy funds was \$940 million. The November 2008 Plan decreased the City tax-levy portion of the agency's budget by \$19.4 million, bringing the agency's total Fiscal 2010 budget to \$962.4 million and its City tax-levy budget to \$920.5 million.

This decrease in the agency's budget in the November Plan was attributable to two PEG proposals. The first proposal was a City-State Capacity Efficiency initiative designed to reduce the average daily

population (ADP) of City-sentenced inmates by 1,200, reduce the uniform headcount by 519 positions annually and generate savings of \$18.8 million in Fiscal 2010, increasing to \$25.3 million by Fiscal 2012. The second proposal was a departmental re-organization initiative in which the DOC plans to remove 146 uniform positions annually and generate approximately \$12 million in savings, beginning in Fiscal 2010.

January Plan Changes

The 2010 January Plan now increases the agency’s Fiscal 2010 budget by \$20.9 million, for a total of \$983.3 million. The \$20.9-million increase in total funds derives from an increase of \$28.6 million in City-tax levy, which now stands at \$949.2 million and a decrease of \$7.7 million in State grants. This increase in the agency’s budget in the January Plan is attributable to the Department’s new need for uniform overtime and other adjustments for collective bargaining. (Please see below.)

The January Plan proposes to decrease the agency’s uniform headcount by 161 positions annually, beginning in Fiscal 2010. The decrease in the uniform headcount is attributable to a series of PEG proposals. The proposed November Plan and January Plan actions, if fully implemented in 2010, would decrease the agency’s uniform headcount by 832 correction officer positions and its civilian headcount by 16 positions. (Please see below.)

PROGRAM FUNDING OVERVIEW

Program budgets, as the name suggests, presents an agency’s budget and headcount by discrete program areas, in contrast to the broader unit of appropriation breakouts which exist for every agency (see unit of appropriation table on pg. 13). The tables below present an overview of the DOC budget and headcount by those programmatic functions performed by the agency.

Program Funding	2008 Actual Expenses	2009 Adopted Budget	2009 Current Modified	2010 Preliminary Budget
Administration-Academy and Training	\$36,660,351	\$12,999,731	\$13,084,381	\$13,033,993
Administration-Management & Administration	\$44,558,217	\$47,574,950	\$43,079,048	\$73,812,063
Health and Programs	\$13,703,437	\$10,473,472	\$13,366,709	\$10,670,089
Jail Operations	\$784,258,001	\$850,597,226	\$852,920,081	\$819,560,415
Operations-Hospital Prison Ward	\$18,815,531	\$14,875,402	\$14,875,402	\$14,875,402
Operations-Infrastructure & Environmental . Health	\$34,060,226	\$25,740,063	\$25,659,621	\$26,402,477
Operations-Rikers Security & Operations	\$33,602,796	\$24,872,739	\$25,142,555	\$24,991,911
Total	\$965,658,558	\$987,133,583	\$988,127,797	\$983,346,350

PROGRAM HEADCOUNT OVERVIEW

Headcount by Program Uniform	2009 Adopted Budget	2009 Current Modified	2010 Preliminary Budget
Administration-Academy and Training	204	204	204
Administration-Mgmt & Administration	67	67	67
Health and Programs	23	25	23
Jail Operations	8,503	8,503	7,554
Operations-Hospital Prison Ward	263	263	263
Operations-Infrastructure & Environmental . Health	49	49	49
Operations-Rikers Security & Operations	342	342	342
Total	9,451	9,493	8,502

Headcount by Program Civilian	2009 Adopted Budget	2009 Current Modified	2010 Preliminary Budget
Administration-Academy and Training	14	14	14
Administration-Management & Administration	286	286	286
Health and Programs	79	85	79
Jail Operations	902	904	964
Operations-Infrastructure & Environmental Health	178	178	178
Operations-Rikers Security & Ops	32	32	32
Total	1,491	1,499	1,553

PROGRAM FUNDING ANALYSIS

Jail Operations. *Funding for the operation of the jails on Rikers Island and in the Boroughs, custody of inmates at the various Court Pens and transportation of inmates between DOC facilities and court.*

Population of Inmates in the Correctional System

Fiscal Year	2005	2006	2007	2008	2009*
Admissions	102,772	103,830	108,767	107,516	65,879
Average Daily Population	13,576	13,497	13,987	13,849	13,408
Average Length of Stay	48 days	48 days	47 days	47.5 days	49.6 days
through February 2009					
Note: DOC does not project these statistics very far into the future. According to the agency, however, no significant changes are forecast over the course of the Financial Plan					

Actual Detainee Average Length of Stay (days)			
Fiscal 2005	Fiscal 2006	Fiscal 2007	Fiscal 2008
47.7	46.7	46.3	49.4

Actual City-Sentenced Average Length of Stay (days)			
Fiscal 2005	Fiscal 2006	Fiscal 2007	Fiscal 2008
38.1	37.0	37.0	34.3

“Fallout” Rate for All Inmates in the Correctional System

Length of Stay in Days	Percentage of all Admissions *
3	29%
7	48%
10	53%
15	59%
30	71%
60	81%
<i>Fiscal 2008 Year-to-date through December 2008</i>	

Proposed Reductions to Jail Operations

The DOC has proposed several actions in the November Plan and the January Plan that would generate savings by reducing its average daily population (ADP) and uniform headcount. These proposed November Plan and January Plan actions, if fully implemented in 2010, would decrease the agency’s ADP by 1,734 inmates, its uniform headcount by 617 correction officer positions and its Jail Operations budget by \$27.9 million. To put the scope of these initiatives into context, a reduction of 1,734 inmates would represent and 12.84 percent decrease in the ADP using the latest available ADP of 13,501. Similarly, the reduction of 617 uniform positions would represent a 7.55 percent decrease using the Fiscal 2010 Jail

Operations headcount of 7,554. Lastly, the reduction of \$27.9 million would represent a 3.29 percent decrease from the Jail Operations budget of \$819.5 million. The table below contains an overview of these proposed reductions.

Fiscal 2010 Proposed Reductions to Jail Operations			
	ADP Reduction	Uniform Headcount Reduction	Fiscal 2010 Impact
November Plan Proposal			
City-State Capacity Efficiency	(1,200)	(519)	(\$18,780,000)
Sub-Total	(1,200)	(519)	(\$18,780,000)
January Plan Proposals			
Supervised Release of Low-Risk NYC Defendants	(200)	(37)	(\$3,421,706)
Reduction in Conviction-to-Sentencing Time	(134)	(25)	(\$2,479,048)
Expedited Hearings for Certain Criminal Cases	(100)	(18)	(\$1,616,596)
Expedited Bail Program	(100)	(18)	(\$1,616,596)
Sub-Total	(534)	(98)	(\$9,133,946)
Total	(1,734)	(617)	(\$27,913,946)

November Plan Changes - ADP and Headcount Reduction to Jail Operations

- City-State Capacity Efficiency.** In the November 2008 Plan, the Department of Correction proposed the City-State Capacity Efficiency initiative that would reduce its average daily population, its uniform headcount and generate savings annually. According to the Office of Management and Budget (OMB), the NYC Department of Correction was in negotiations with the State Department of Correction to have them house 1,200 of the approximately 2,000 City-sentenced inmates within State facilities.

This action, if implemented in Fiscal 2010, would allow the DOC to reduce its ADP by 1,200 inmates, remove 519 uniform positions from its budgeted headcount, and generate savings in the amount of \$18.7 million in Fiscal 2010 increasing to \$25.2 million in Fiscal 2012.

While this PEG proposal continues to be reflected in the January Plan, discussions with OMB indicate that it may be in jeopardy due to the Administration's inability to successfully negotiate this proposal with the State.

January Plan Changes - ADP and Headcount Reductions to Jail Operations

- **Supervised Release of Low-Risk NYC Defendants.** Beginning in Fiscal 2010, the New York City Criminal Justice Agency (CJA), the New York City Criminal Justice Coordinator's Office (CJC) and, the New York City Department of Probation, will coordinate to institute the Supervised Release Program. The program will target qualifying low-risk pre-trial detainees by allowing them to be released from the Department of Correction custody and returned back into their communities with supervision by the Department of Probation.

According to DOP, all eligible pre-trial detainees will be assigned to a probation officer who will provide intensive supervision, which will include the screening and monitoring of probationers while in the community to ensure that they are fulfilling the conditions of their probation. In addition, probation officers will also act as court liaisons during court appearances. The current plan calls for a 1:25 probation officer-to probationer ratio and a 1:5 supervising probation officer-to-probation officer ratio. According to OMB, the coordinating agencies are still working on the details and criteria for release, and it is anticipated that the program will begin at the start of Fiscal 2010.

This initiative, if implemented, will reduce the Department's ADP by 200 inmates per day and allow the DOC to eliminate 37 correction officer positions annually through attrition. The January Plan is therefore recognizing savings in the amount of \$3.4 million annually beginning in Fiscal 2010. This initiative has a corresponding cost of \$1.6 million in the Department of Probation's baseline budget to support 22 new probation officers. If implemented in Fiscal 2010, net City savings would be approximately \$1.7 million.

- **Reduction in Conviction-to-Sentencing Time.** The Department of Correction will achieve operational savings from reducing the average conviction-to-sentencing time for DOC inmates. According to OMB, the average length of time from conviction to sentencing is 38 days. The DOC, along with the Office of Court Administration, will work together in order to reduce the average length of time from conviction-to-sentencing down to 14 days.

This initiative, if implemented will, reduce the Department's ADP by 134 inmates per day and allow the Department to eliminate 25 correction officer positions annually through attrition, beginning in Fiscal 2010. The January Plan is therefore recognizing savings in the amount of \$2.5 million annually beginning in Fiscal 2010.

- **Expedited Hearings for Certain Criminal Cases.** Beginning in Fiscal 2010, the Department of Correction will launch an initiative to expedite the processing of some of its criminal cases, resulting in a reduction of inmates in DOC custody. According to OMB, the DOC and the Office of the Special Narcotics Prosecutor will work together to expedite "buy and bust" drug cases. Other types of criminal cases may also be included in this initiative.

This initiative, if implemented, will reduce the Department's ADP by 100 inmates per day and allow the DOC to eliminate 18 correction officer positions annually through attrition, beginning in Fiscal 2010. The January Plan is therefore recognizing savings in the amount of \$1.6 million annually beginning in Fiscal 2010.

- **Expedited Bail Program.** The Department of Correction will achieve operational savings by working with the Office of Court Administration (OCA) to expedite bail processing, which will reduce the detention time of pre-trial detainees. According to OMB, the DOC and OCA will work together to streamline the process by which bail hearing are scheduled on the calendar within the borough courts. Other types of criminal cases may also be included in this initiative.

This initiative, if implemented, will reduce the Department’s ADP by 100 inmates per day and allow the DOC to eliminate 18 correction officer positions annually through attrition, beginning in Fiscal 2010. The January Plan is therefore recognizing savings in the amount of \$1.6 million annually beginning in Fiscal 2010.

Actual Overtime Budget

Overtime Actuals			
	Fiscal 2006	Fiscal 2007	Fiscal 2008
Civilian	\$6,102,352	\$9,152,219	\$8,899,003
Uniform	\$64,956,427	\$91,382,925	\$98,621,364
Total	\$71,058,779	\$100,535,144	\$107,520,367

Source: Department of Correction

Relevant Preliminary Mayor’s Management Indicators

According to the Preliminary Mayor’s Management Report, the Department of Correction spent \$70 million in Fiscal 2006, \$100.7 million in Fiscal 2007, and, \$107.4 million in Fiscal 2008 on overtime costs. The chart below provides a breakout of the overtime actuals for both uniform and civilian employees.

Overtime Budget Proposals

The Department of Correction submits a proposal for its uniform and civilian overtime budget each time the Mayor releases a Financial Plan. Listed below is the DOC overtime budget for the last three Financial Plans which include the Fiscal 2009 Adopted Budget, the November 2008 Plan, and the January 2009 Plan.

Agency-wide Overtime Reconciliation as per 2009 Adopted Budget					
	Fiscal 2009	Fiscal 2010	Fiscal 2011	Fiscal 2012	Fiscal 2013
Uniform	\$63,143,988	\$62,203,691	\$62,630,756	\$63,007,391	\$63,007,391
Civilian	\$6,141,107	\$6,141,107	\$6,141,107	\$6,141,107	\$6,141,107
Total	\$69,285,095	\$68,344,798	\$68,771,863	\$69,148,498	\$69,148,498

Agency-wide Overtime Reconciliation as per the November 2008 Plan					
	Fiscal 2009	Fiscal 2010	Fiscal 2011	Fiscal 2012	Fiscal 2013
Uniform	\$52,687,988	\$62,203,691	\$62,630,756	\$63,007,391	\$63,007,391
Civilian	\$6,141,107	\$6,141,107	\$6,141,107	\$6,141,107	\$6,141,107
Total	\$58,829,095	\$68,344,798	\$68,771,863	\$69,148,498	\$69,148,498

Agency-wide Overtime Reconciliation as per the January 2010 Plan					
	Fiscal 2009	Fiscal 2010	Fiscal 2011	Fiscal 2012	Fiscal 2013
Uniform	\$64,187,988	\$59,291,691	\$59,718,756	\$60,095,391	\$60,095,391
Civilian	\$6,141,107	\$6,141,107	\$6,141,107	\$6,141,107	\$6,141,107
Total	\$70,329,095	\$65,432,798	\$65,859,863	\$66,236,498	\$66,236,498

Whereas each of the overtime plans above show projected overtime of approximately \$60 million per year, this sum is significantly lower than the \$100 million figure spent by the agency in each of the past two fiscal years. This difference is attributable to the DOC's aggressive efforts to damp down overtime costs. However, due to attrition and the department's inability to hire up to its authorized headcount, the uniform overtime budget always exceeds its budgeted amount. The Department, therefore, has to staff on overtime, fixed security posts that would otherwise be run on straight time. Year-to-date figures received from the DOC indicate that the current attrition rates are 8.32 percent for civilian and 6.13 percent for uniform employees.

January Plan Overtime Actions

The January Plan includes two adjustments to the uniform overtime budget. First, there is a new need to increase the uniform overtime budget by \$11.5 million in fiscal 2009 for the reasons stated above. Second, there is a PEG proposal relating to DOC's visitation schedule that would decrease the uniform overtime budget by \$2.9 million in Fiscal 2009 and the outyears, which reduces the Fiscal 2010 overtime budget from \$62.2 million to \$59.3 million as seen in the overtime reconciliation from the November Plan to the January Plan.

- **Overtime Need.** Due to an increase in the Department's overtime costs, the January Plan adds one-time funding in the amount \$11.5 million to the agency's Fiscal 2009 overtime budget.
- **Compressed Visitation Schedule.** The January Plan proposes to compress the current visitation schedule for detainees and City-sentenced inmates from five to four days a week without impacting the number of visits a person can make or an inmate can receive. The DOC will have to request a variance from the New York City Board of Correction in order to implement the proposed four-day visitation schedule.

The New York City Board of Correction Minimum Standards regarding the visitation schedule includes the following:

§ 1-09 Visiting.

(a) Policy.

“Prisoners are entitled to receive personal visits of sufficient length and number.”

(c) Visiting Schedule.

(5) “Sentenced prisoners are entitled to at least two visits per week with at least one on an evening or weekend, as the sentenced prisoner wishes. Detainees are entitled to at least

three visits per week with at least one on an evening or weekend, as the detainee wishes. Visits by properly identified persons providing services or assistance, including lawyers, doctors, religious advisor, public officials, therapists, counselors and media representatives, shall not count against this number.”

The DOC will have to request a variance from the New York City Board of Correction in order to implement the proposed four-day visitation schedule. According to OMB, the DOC will be able to generate savings within its uniform overtime budget by reducing posts at the visit house that are currently run on overtime. If implemented, this initiative will allow the DOC to generate savings in the amount of \$2.9 million annually, beginning in Fiscal 2010.

Other January Plan Actions

PEG Restoration (November Plan Restoration)

- **Elimination of Inmate Transportation for Attorney Visits.** In the November 2008 Plan, the Department of Correction proposed the elimination of detainee transportation to the borough jails for attorney visits. The Department anticipated that the proposed initiative would reduce the uniform overtime budget by \$242,000 in Fiscal 2009 and correction officer headcount by 6 positions in Fiscal 2010 and the outyears. The proposed savings were \$517,000 in Fiscal 2010, \$521,000 in Fiscal 2012 and \$531,000 in Fiscal 2013 and the outyears.

According to the Legal Aid Society (LAS), the PEG savings listed in the November Plan could not be fully achieved by the Department of Correction because a full analysis was not performed to disaggregate between mandatory court appearances, attorney visits that are limited by videoconferencing, and the non-essential court appearances in which videoconferencing could be used. In addition, LAS has indicated that no criteria has been established to determine what is deemed as a non-essential court appearance.”

Due to the recognition that the savings were not likely to be achieved, the January Plan includes a full PEG restoration of this November Plan proposal.

PEGs

- **Video Teleconferencing Savings.** The Department will generate savings annually through the increased usage of video teleconferencing. According to OMB, there are certain court appearances such as “motion practice” between the District Attorneys and defense attorneys in which it is not mandatory for an inmate to physically appear in court. Video teleconferencing will be used in such instances, and it is anticipated that it will eliminate 75,000 court transports a year, enabling the DOC to reduce its uniform headcount by 13 positions and generate savings in the amount of \$1.2 million annually beginning in Fiscal 2010.
- **Five-Day Recreation Schedule.** The January Plan proposes to compress the current recreation schedule for adult inmates from seven to five days a week, consistent with the New York State Commission on Correction Standards.

The New York City Board of Correction Minimum Standards regarding recreation includes the following:

§ 1-06 (c) Recreation Schedule “Recreation periods shall be at least one hour. Recreation shall be available seven days per week in the outdoor recreation area, except in inclement weather when the indoor area shall be used.”

The DOC will have to request a variance from the City Board of Correction in order to implement the proposed five-day recreation schedule. This initiative will allow the DOC to reduce its uniform headcount by 50 positions annually through attrition, and generate annual savings in the amount of \$4.5 million.

New Needs

- **Reduction in State Revenue Due to the Decline in Eligible Population.** The Department receives reimbursement from the State at a rate of \$37.50 per day for prisoners that are awaiting transfer to a State facility. Due to the decline in the eligible population of State-ready inmates, the DOC is recognizing an annual new need of \$7.7 million in City tax-levy beginning in Fiscal 2009.
- **Bronx Court Escorts.** Presently, the DOC staffs four areas within the borough courts: intake, holding pens, feeder pens and court pens. The January Plan is recognizing a new need to fund nine positions within the new Bronx courthouse. This action will shift the current responsibility for staffing court escorts from the NYPD to the DOC.

The Bronx Court Pens budget at the time of Adoption for Fiscal 2009 included \$2.5 million and 47 uniform positions. This January plan action will increase the budgeted amount for Fiscal 2010 to \$3.2 million and 56 positions.

- **Food Cost Increase.** The January 2009 Plan adds one-time funding in the amount of \$5.8 million in Fiscal 2009 to DOC’s food budget to cover costs associated with increased food prices and additional requirements by the State Commission of Correction to provide inmates with a serving of fruit. For Fiscal 2009, the food budget will increase to \$25 million. For Fiscal 2010 and the outyears the food budget will remain at \$19.2 million.

Other Adjustments

- **Rikers Island Education Initiative Expansion.** As recommended by the Mayor’s Commission for Economic Opportunity (CEO), the January 2007 Plan added funding in Fiscal 2008 to increase the enrollment of 18-21 year olds in education programs by creating an incentive for them to participate. To provide a competitive incentive, inmates who participated in the education program received a \$5 stipend per week.

The January 2007 Plan added one-time funding in Fiscal 2008 to support the hiring of five correction officers and to provide funding for the stipend. Since Fiscal 2008, the Department of Correction has included one-time funding each year to support the expansion of the program.

The current January Plan now adds funding in the amount of \$636,775 in Fiscal 2010 to continue the Rikers Island Education Initiative. Of that amount, \$466,775 is being added to DOC's PS budget to support the existing five correction officers, and the remaining \$170,000 will be used to support classroom costs. The January Plan includes corresponding funding for this initiative in the amount of \$1.6 million in the Department of Education's (DOE) budget for Fiscal 2010. Of this amount, \$1.5 million is being added to DOE's PS budget and \$76,227 is being added to its OTPS budget.

Administration-Management & Administration. *Funding for central administrative services.*

Other Adjustments

- **Model Education Program Extension.** As recommended by the Center for Economic Opportunity, the January 2007 Plan added funding in Fiscal 2008 to provide 16-21 year olds with the opportunity to receive assistance with their educational needs upon release from DOC custody.

It was anticipated that the initiative would help to provide pathways for the completion of a General Equivalency Diploma (GED) and college admission for 16-21 years. The program was to provide the, "opportunity for full-time study for the purposes of re-entering high school or qualifying for admission to college by obtaining a GED. [The] programs [were to] focus on increasing participants' literacy levels to a point where GED completion is possible and post-secondary education attainment is achievable." Post-release, individuals were to be linked with community-based organizations to receive a direct continuum of services for their educational needs. The Department anticipated that it would serve approximately 650 16-21 year olds in Fiscal 2008.

The January 2007 Plan added one-time funding in Fiscal 2008 to DOC's OTPS budget to fund one contract with the City University of New York (CUNY Catch) and two contracts with community-based organizations (Get Out Stay Out and Friends of Island Academy). Since Fiscal 2008, the Department of Correction has included one-time funding each year to support the continuation of the program and its three contracts.

The January 2009 Plan now adds \$674,000 in Fiscal 2010 to DOC's OTPS budget to support the three existing contracts.

Operations-Rikers Security & Operations *Provides operational security throughout all of the Department's facilities including the Emergency Serviced unit, the Tactical Team on call 24 hours a day for critical situations; the Tactical Search Operation, to assist in maintaining order and retrieving inmate contraband, and the Rapid Response Team, for heightened security alerts on Rikers Island such as inmate escape attempts or potential riot situations.*

Other Adjustments – Federal Grants

- **Bullet Proof Vest Program** The January Plan increases the Department’s Federal funding by \$300,000 in Fiscal 2009 for its Bullet Proof vest program.

***Health and Programs** The programs range from discharge planning services to various correctional industries including the bakery, tailor shop, laundry, and print shop. These programs are designed to keep inmates healthy as well as attempt to eliminate the root cause of recidivism of inmates.*

Other Adjustments – State Grants

- **Drug Free Prison.** The January Plan increases the Department’s State funding by \$267,745 in Fiscal 2009 for its Drug Free Prison Grant.

Agency-wide Adjustments

- **Agency-wide Collective Bargaining** – Funds totaling \$19.3 million in Fiscal 2009, \$33.7 million in Fiscal 2010, and \$62.million in Fiscal 2011 and the outyears will be transferred from the Labor Reserve in the Miscellaneous Budget to the DOC to cover costs associated with recent collective bargaining agreements.

Collective Bargaining	Fiscal 2009	Fiscal 2010	Fiscal 2011	Fiscal 2012	Fiscal 2013
COBA Re-Opener*	\$15,973,363	\$29,852,152	\$58,811,378	\$58,811,378	\$58,811,378
District Council 37	\$1,272,692	\$1,935,981	\$1,935,981	\$1,935,981	\$1,935,981
Collective Bargaining Unit 047	\$24,303	\$58,760	\$68,305	\$68,305	\$68,305
Collective Bargaining Unit 108	\$22,658	\$55,017	\$64,162	\$64,162	\$64,162
Collective Bargaining Unit 121	\$178,666	\$431,976	\$502,171	\$502,171	\$502,171
Electricians	\$345,709	\$558,173	\$558,173	\$558,173	\$558,173
Sheet Metal Worker	\$1,033	\$1,304	\$1,304	\$1,304	\$1,304
Court Ordered Special Master	\$1,400,000	\$720,000			
Principle Administrative Associate and Communication Workers of America Recurring Increments Payments	\$41,860	\$57,000	\$57,000	\$57,000	\$57,000
	\$19,260,284	\$33,670,363	\$61,998,474	\$61,998,474	\$61,998,474

*The COBA re-opener was triggered by recent settlements with other uniform agencies.

- **Fringe Benefit Offset Reduction.** In order to give the agency PEG credit, the PEG actions described above include fringe benefit savings that should be properly accounted for not in the DOC’s budget, but in the City’s Miscellaneous Budget. To reflect the neutral impact on the DOC’s budget that would result from these fringe benefit savings, an offsetting sum totaling \$2.4 million in Fiscal 2010, \$2.5 million in Fiscal 2011, \$2.6 million in Fiscal 2012 and \$2.8 million in Fiscal 2013 and the outyears is being added back to the DOC’s budget as a technical adjustment.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget
City	\$941,611,697	\$941,611,697	\$949,162,944
Other Categorical	\$3,650,000	\$3,755,635	\$0
Capital IFA	\$0	\$0	\$0
State	\$19,847,000	\$20,181,979	\$12,158,520
Community Development	\$0	\$0	\$0
Federal-Other	\$21,538,417	\$22,092,017	\$21,538,417
Intra-City	\$486,469	\$486,469	\$486,469
Total	\$987,133,583	\$988,127,797	\$983,346,350

HEADCOUNT OVERVIEW

Headcount Uniform	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget
City	8,715	8,715	7,766
Non-City	736	738	736
Total	9,451	9,453	8,502

Headcount Civilian	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget
City	1,423	1,423	1,485
Non-City	68	76	68
Total	1,491	1,499	1,553

UNITS OF APPROPRIATION

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency's spending plans. The City Charter requires that U/As represent the amount appropriated for Personal Services (i.e., salaries, overtime, etc.) or Other Than Personal Services (i.e., supplies, contracts, etc.) for a particular program, purpose, activity or institution. The table below presents the DOC budget, comparing the Fiscal 2009 Adopted Budget to the Fiscal 2010 Preliminary Budget. The Fiscal 2009 Modified Budget reflects this year's budget at the time this financial plan was released.

U/A#	U/A Name	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget	Percent Change from Adoption
001	Administration	\$60,525,375	\$60,525,375	\$61,931,839	2.32%
002	Operations	\$804,044,824	\$798,637,201	\$777,842,919	-3.26%
	Total PS	\$864,570,199	\$859,162,576	\$839,774,758	-2.87%
003	Operations-OTPS	\$102,955,411	\$108,377,248	\$126,428,619	22.80%
004	Administration-OTPS	\$19,607,973	\$20,587,973	\$17,142,973	-12.57%
	Total OTPS	\$122,563,384	\$128,965,221	\$143,571,592	17.14%
	Total Agency	\$987,133,583	\$988,127,797	\$983,346,350	-0.38%

PRELIMINARY BUDGET ACTIONS (in 000s)

The following table is a summary of the Preliminary Plan actions for Fiscal 2009 and Fiscal 2010 that are described in the Program Budget section above. The non-City actions include State, Federal, Other Categorical, Intra-City and Capital Inter-Fund Agreement (IFA) funding changes for the DOC.

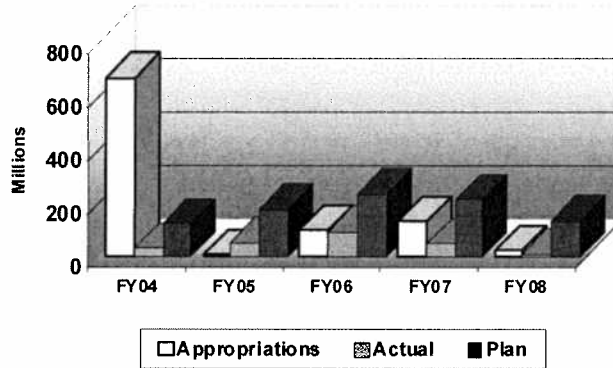
Description	Fiscal 2009			Fiscal 2010		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per the November Plan	\$940,399	\$45,004	\$985,403	\$920,529	\$41,871	\$962,400
January Plan Programs to Eliminate the Gap (PEGs)						
Supervised Release of Low Risk NYC Defendants -- Attrition				(\$3,392)		(\$3,392)
Compressed Visitation Schedule			\$0	(\$2,912)		(\$2,912)
Five Day Recreation Schedule -- Attrition			\$0	(\$4,456)		(\$4,456)
Reduction in Conviction to Sentencing Time -- Attrition			\$0	(\$2,459)		(\$2,459)
Expedited Hearings for Certain Criminal Cases -- Attrition			\$0	(\$1,617)		(\$1,617)
Bail Expediting Program -- Attrition			\$0	(\$1,617)		(\$1,617)
Video Conferencing Savings -- Attrition	(\$242)		(\$242)	(\$1,181)		(\$1,181)
Total PEGs	(\$242)	\$0	(\$242)	(\$17,633)	\$0	(\$17,633)
January Plan New Needs						
Bronx Court Escorts			\$0	\$661		\$661
Food Cost Increase	\$5,774		\$5,774			\$0
Overtime Need	\$11,500		\$11,500			\$0
Reduction in State Revenue due to Decline in Eligible Population	\$7,688	(\$7,688)	\$0	\$7,688	(\$7,688)	\$0
Total New Needs	\$24,962	(\$7,688)	\$17,274	\$8,349	(\$7,688)	\$661
January Plan Other Adjustments						
CBU 047 Collective Bargaining	\$24		\$24	\$59		\$59
CBU 108 Collective Bargaining	\$23		\$23	\$55		\$55
CBU 121 Collective Bargaining	\$179		\$179	\$432		\$432
Sheet Metal Worker Collective Bargaining	\$1		\$1	\$1		\$1
Electricians Collective Bargaining	\$346		\$346	\$558		\$558
PAA/CWA Recurring Increments Payments	\$42		\$42	\$57		\$57
COBA Re-Opener	\$15,973		\$15,973	\$29,852		\$29,852
Court Ordered Special Master	\$1,440		\$1,440	\$720		\$720
DC 37 Collective Bargaining	\$1,273		\$1,273	\$1,936		\$1,936
Model Education Program for Adults Discharged from DOC (CEO)			\$0	\$674		\$674
Expand Education for 18-21 years olds in DOC Custody (CEO)			\$0	\$637		\$637
Fringe Benefit Offset	\$0		\$0	\$2,420		\$2,420
Non-City Grants		\$569	\$569			
Total Other Adjustments	\$19,300	\$569	\$19,869	\$37,401	\$0	\$37,401
January Plan PEG Restorations and Substitutions (PRS)						
Elimination of Transportation for Attorney Visits	\$242		\$242	\$517		\$517
Total PRS	\$242		\$242	\$517		\$517
Total January Plan Budget Changes	\$44,263	(\$7,119)	\$37,144	\$28,634	(\$7,688)	\$20,946
Agency Budget as per the January Plan	\$984,662	\$37,885	\$1,022,547	\$949,163	\$34,183	\$983,346

Fiscal 2010 Capital Plan

Agency Overview

The Department currently operates 14 jail facilities, including ten on Rikers Island and four borough facilities. In addition, the Department maintains court holding pens in each borough and prison wards in City hospitals. At the present time, the Department's jails have a maximum physical capacity of 19,354 beds.

DEPARTMENT OF CORRECTION
Appropriations vs. Actual & Planned Commitments
(City funds)



Current Budget Summary

The Department of Correction's January 2009 Capital Commitment Plan includes \$1.357 billion for Fiscal 2009-2013. Of that amount, approximately \$1.35 billion is City funds and \$3.8 million is non-City funds.

The \$1.357 billion in total funds for Fiscal 2009-2013 represents approximately 2.7 percent of the Citywide Capital Commitment Plan total of \$50.55 billion. The agency's January Commitment Plan of \$1.357 billion for Fiscal 2009-2013 remains unchanged from the November 2008 Commitment Plan.

At the time of Adoption, the Capital Commitment Plan for Fiscal 2009 was \$219.5 million. Of that amount, \$215.7 million was City funds and \$3.8 million was non-City funds. The November 2008 Capital Commitment Plan decreased the City funded amount by \$22.3 million, bringing the City funded total to \$193.4 million. This represents a nine percent decrease for Fiscal 2009.

Over the past five years, DOC has only committed an average of 25.79 percent of its annual Capital Plan. As seen in the Preliminary Five-Year Capital Plan below, the \$22.3 million that is reduced from the agency's Fiscal 2009 Capital Plan will be rolled into Fiscal 2010, thus greatly increasing the size of the Fiscal 2010-2014 Capital Plan.

Current DOC appropriations total \$345.2 million for Fiscal 2009. Of that amount, \$326.6 million is City funds and \$18.6 million is non-City funds. These appropriations are to be used to finance the Department's \$175.7-million Fiscal 2009 Capital Commitment Program. The agency has nearly double the amount of funding than it needs to meet its entire Capital Commitment Program for the current fiscal year.

In January, the Mayor announced his intention to reduce the City's Capital Plan by 30 percent. The objective of the Capital cut is to reduce the amount of debt service as a percentage of total revenues. The 30-percent reduction in the Ten-Year Capital Plan Fiscal 2010 – Fiscal 2019 would reduce the long-term average annual growth in debt service costs to 3.4 percent, equal to the level of forecast growth in City revenues. The capital cut would eliminate nearly \$7 billion worth of planned commitments from the current Plan.

The Department of Correction's capital commitments for the last five years are shown below:

FIVE-YEAR HISTORY – CAPITAL BUDGET
 (\$ in millions)

	FY04	FY05	FY06	FY07	FY08
CITY	\$30	\$51	\$93	\$47	\$9
NON-CITY	0	0	0	0	0
TOTAL	\$30	\$51	\$93	\$47	\$9

The Adopted Five-Year Capital Plan is shown below:

ADOPTED FIVE-YEAR CAPITAL BUDGET – NOVEMBER 2008
 (\$ in millions)

	FY09	FY10	FY11	FY12	FY13	FY's 09-13
CITY	\$215.70	\$137.80	\$ 499.40	\$ 236.70	\$ 263.60	\$1,353.20
NON-CITY	\$3.80	\$0	\$0	\$0	\$0	\$3.80
TOTAL	\$219.50	\$137.80	\$499.40	\$236.70	\$263.60	\$1,357.00

The Preliminary Five-Year Capital Plan is shown below:

PRELIMINARY FIVE-YEAR CAPITAL PLAN – JANUARY 2009
 (\$ in millions)

	FY09	FY10	FY11	FY12	FY13	FY's 09-13
CITY	\$193.40	\$153.90	\$ 516.70	\$ 236.70	\$ 252.60	\$1,353.30
NON-CITY	\$3.80	\$0	\$0	\$0	\$0	\$3.80
TOTAL	\$197.20	\$153.90	\$ 516.70	\$ 236.70	\$ 252.60	\$1,357.10

Note: Totals may not add due to rounding.

PRELIMINARY BUDGET ISSUES

Planned Commitments for Fiscals 2009-2013

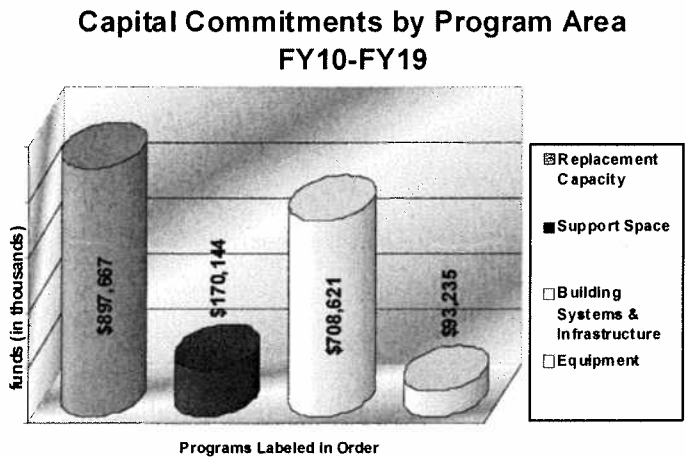
The Department of Correction's 2009 planned commitments decreased from \$219.6 million to \$197.2 million in the January Capital Plan. The decrease in the planned commitment for Fiscal 2009 is offset by an increase of \$16.1 million in Fiscal 2010, \$17.3 million in Fiscal 2011, and a decrease of \$11 million in 2013. Aside from this roll, there is no net change to the total funding of \$1.357 billion the DOC's Capital Commitment Plan.

Significant changes in the January Plan for Fiscal 2009-2013 include:

- \$518 million for a new Bronx borough jail. Pending the Council's approval, this funding was to have gone toward the proposed Oak Point Jail Facility (Bronx), but the property was not available due to bankruptcy proceedings against the property owner. The Oak Point Plan is currently on hold and the DOC is exploring alternative sites for the new jail.
- \$447 million for plans to reopen and expand the Brooklyn House of Detention to accommodate 1,479 Brooklyn inmates awaiting trial or disposition. The expansion would take five years and would more than double the facility's current inmate capacity. If needed, the facility could be re-opened within a few weeks.
- \$92.7 million for Phase II of the renovation of the James A. Thomas Center at Rikers Island, with an inmate capacity of 1,200.
- \$77 million for the construction of a Co-Generation Power Plant which will save the Department an estimated \$7 million annually and will pay for itself within eight years of operation.

Preliminary Ten-Year Capital Strategy

Over the next ten years, the DOC plans to replace temporary structures with permanent additions to the Brooklyn Detention Center. The Department also plans to reopen the James A. Thomas Center and build a new jail complex in the Bronx. The Ten-Year Capital Strategy provides a total of \$1.87 billion for DOC projects during Fiscals 2010-2019. The Department's capital funding is allocated toward four categories of capital needs:



- **Replacement Capacity** - The Preliminary Ten Year Capital Strategy includes \$897.7 million for capacity replacement of existing modular units and sprungs. The Department anticipates that 4,495 beds will be removed over the next ten years. In addition, capacity at three existing facilities will be increased by 2,220 beds and 1,500 beds will be replaced through the construction of the Bronx facility.
- **Building systems and Infrastructure** - In the Preliminary Ten Year Capital Strategy, \$708.6 million is allocated for building systems and infrastructure projects including, replacement of roofs, windows and facades; fire/life safety systems; and mechanical and electrical power upgrades.
- **Support Space** - The Preliminary Ten Year Capital Strategy includes \$170.2 million to improve and construct support facilities. Items to be funded include a new construction for an administration and maintenance building, classroom space, and parking.
- **Equipment** - The Preliminary Ten Year Capital Strategy includes \$93.2 million for replacements and upgrades for computer, security, and communication systems, as well as vehicles.

Department of Correction

2010 January Plan

The Department of Correction (DOC) provides custody, control and care of misdemeanants and felons sentenced to one year of incarceration or less; detainees awaiting trial or sentence; newly sentenced felons awaiting transportation to State correctional facilities; alleged parole violators awaiting revocation hearings; and State prisoners with court appearances in New York City. Professional care and services, including health and mental health care, opportunities for religious observance, educational instruction, vocational training, discharge planning and substance abuse counseling are provided. In Fiscal 2007, the Department handled over 100,000 admissions, managed an average daily population of 13,987 and transported 326,735 individuals to court.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending by Program					
Administration-Academy and Training	\$36,660,351	\$12,999,731	\$13,006,143	\$13,107,353	\$13,033,993
Administration-Mgmt & Administration	\$44,558,217	\$47,574,950	\$49,573,302	\$52,070,385	\$73,931,517
Health and Programs	\$13,703,437	\$10,473,472	\$12,872,022	\$13,493,652	\$10,670,089
Jail Operations	\$784,258,001	\$850,597,226	\$844,410,326	\$877,636,656	\$819,440,961
Operations-Hospital Prison Ward	\$18,815,531	\$14,875,402	\$14,875,402	\$14,875,402	\$14,875,402
Operations-Infrastr. & Environ. Health	\$34,060,226	\$25,740,063	\$25,823,610	\$26,141,905	\$26,402,477
Operations-Rikers Security & Ops	\$33,602,796	\$24,872,739	\$24,842,555	\$25,221,036	\$24,991,911
Total	\$965,658,558	\$987,133,583	\$985,403,360	\$1,022,546,389	\$983,346,350
Funding					
City Funds	NA	\$941,611,697	\$940,399,005	\$984,661,769	\$949,162,944
Federal - Other	NA	\$21,538,417	\$21,792,017	\$22,092,017	\$21,538,417
Intra City	NA	\$486,469	\$486,469	\$486,469	\$486,469
Other Categorical	NA	\$3,650,000	\$3,755,635	\$3,755,635	\$0
State	NA	\$19,847,000	\$18,970,234	\$11,550,499	\$12,158,520
Total	NA	\$987,133,583	\$985,403,360	\$1,022,546,389	\$983,346,350
Full-Time Positions					
Full-Time Positions	10,555	10,942	10,875	10,880	10,055
Full-Time Positions - Civilian	1,406	1,491	1,494	1,499	1,553
Full-Time Positions - Uniform	9,149	9,451	9,381	9,381	8,502
Contracts	NA	61	58	57	62

Administration-Academy and Training

Operating funds for the Training Academy, including salaries for academy staff and Correction Officer Recruits.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$35,998,003	\$12,558,104	\$12,559,866	\$12,581,076	\$12,592,366
Other Than Personal Services	\$662,349	\$441,627	\$446,277	\$526,277	\$441,627
Total	\$36,660,351	\$12,999,731	\$13,006,143	\$13,107,353	\$13,033,993
Funding					
City Funds	NA	\$12,999,731	\$13,006,143	\$13,107,353	\$13,033,993
Total	NA	\$12,999,731	\$13,006,143	\$13,107,353	\$13,033,993
Full-Time Positions	1,166	218	218	218	218
Full-Time Positions - Civilian	17	14	14	14	14
Full-Time Positions - Uniform	1,149	204	204	204	204
Contracts	NA	3	3	3	3
Cleaning Services	NA	1	1	1	1
Maintenance and Repairs - General	NA	1	1	1	1
Training Program for City Employees	NA	1	1	1	1

Administration-Mgmt & Administration

Funding for central administrative services.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$27,047,342	\$22,103,493	\$22,138,747	\$22,571,830	\$22,805,835
Other Than Personal Services	\$17,510,875	\$25,471,457	\$27,434,555	\$29,498,555	\$51,125,682
Total	\$44,558,217	\$47,574,950	\$49,573,302	\$52,070,385	\$73,931,517
Funding					
City Funds	NA	\$47,574,950	\$49,510,068	\$52,007,151	\$73,931,517
State	NA	\$0	\$63,234	\$63,234	\$0
Total	NA	\$47,574,950	\$49,573,302	\$52,070,385	\$73,931,517
Full-Time Positions	368	353	353	353	353
Full-Time Positions - Civilian	309	286	286	286	286
Full-Time Positions - Uniform	59	67	67	67	67
Contracts	NA	17	16	15	17
Contractual Services - General	NA	7	7	7	7
Maintenance and Repairs - General	NA	3	3	3	3
Office Equipment Maintenance	NA	1			1
Prof. Services - Other	NA	2	2	2	2
Telecommunications Maintenance	NA	2	2	2	2
Temporary Services	NA	1	1		1
Training Program for City Employees	NA	1	1	1	1

Health and Programs

The programs range from discharge planning services to various correctional industries including the bakery, tailor shop, laundry, and print shop. These programs are designed to keep inmates healthy as well as attempt to eliminate the root cause of recidivism of inmates.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$6,391,882	\$5,122,652	\$5,245,250	\$5,613,443	\$5,319,269
Other Than Personal Services	\$7,311,554	\$5,350,820	\$7,626,772	\$7,880,209	\$5,350,820
Total	\$13,703,437	\$10,473,472	\$12,872,022	\$13,493,652	\$10,670,089
Funding					
City Funds	NA	\$9,992,003	\$12,281,918	\$12,634,803	\$10,188,620
Intra City	NA	\$481,469	\$481,469	\$481,469	\$481,469
Other Categorical	NA	\$0	\$105,635	\$105,635	\$0
State	NA	\$0	\$3,000	\$271,745	\$0
Total	NA	\$10,473,472	\$12,872,022	\$13,493,652	\$10,670,089
Full-Time Positions	99	102	105	110	102
Full-Time Positions - Civilian	84	79	80	85	79
Full-Time Positions - Uniform	15	23	25	25	23
Contracts	NA	5	5	5	5
Contractual Services - General	NA	4	4	4	4
Prof. Services - Other	NA	1	1	1	1

Performance Measures

	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Average daily attendance in school programs	Output	750	795	750	767	750	665	750	750
Average daily number of inmates in vocational skills training programs	Output	NA	128	NA	125	NA	128	NA	NA
Inmates transported to community-based service sites upon discharge through Rikers	Output	NA	4,830	NA	4,829	NA	3,736	NA	NA

Jail Operations

Funding for the operation of the jails on Rikers Island and in the Boroughs, custody of inmates at the various Court Pens and transportation of inmates from DOC facilities to and from court.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan				
Spending									
Personal Services	\$700,828,807	\$772,555,135	\$755,154,909	\$783,564,239	\$746,044,887				
Other Than Personal Services	\$83,429,193	\$78,042,091	\$89,255,417	\$94,072,417	\$73,396,074				
Total	\$784,258,001	\$850,597,226	\$844,410,326	\$877,636,656	\$819,440,961				
Funding									
City Funds	NA	\$805,556,809	\$800,059,309	\$840,974,119	\$785,739,024				
Federal - Other	NA	\$21,538,417	\$21,792,017	\$21,792,017	\$21,538,417				
Intra City	NA	\$5,000	\$5,000	\$5,000	\$5,000				
Other Categorical	NA	\$3,650,000	\$3,650,000	\$3,650,000	\$0				
State	NA	\$19,847,000	\$18,904,000	\$11,215,520	\$12,158,520				
Total	NA	\$850,597,226	\$844,410,326	\$877,636,656	\$819,440,961				
Full-Time Positions	8,148	9,405	9,335	9,335	8,518				
Full-Time Positions - Civilian	737	902	904	904	964				
Full-Time Positions - Uniform	7,411	8,503	8,431	8,431	7,554				
Contracts	NA	11	11	11	11				
Contractual Services - General	NA	2	2	2	2				
Maintenance and Repairs - General	NA	1	1	1	1				
Maintenance and Repairs - Motor Vehicle Equip	NA	1	1	1	1				
Office Equipment Maintenance	NA	5	5	5	5				
Prof. Services - Other	NA	1	1	1	1				
Transportation Services	NA	1	1	1	1				
Performance Measures									
	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Average daily population	Demand	NA	13,497	NA	13,987	NA	13,850	NA	NA
Average length of stay systemwide (days)	Demand	NA	47.6	NA	47.1	NA	48	NA	NA
Inmate admissions	Demand	NA	103,830	NA	108,767	NA	107,516	NA	NA
Assaults on staff	Outcome	NA	424	NA	442	NA	427	NA	NA
Escapes	Outcome	NA	1	NA	0	NA	0	NA	NA
Fight/assault infractions	Outcome	NA	6,833	NA	6,576	NA	6,109	NA	NA
Jail-based arrests of inmates	Outcome	NA	654	NA	738	NA	751	NA	NA
On-trial inmates delivered to court on time (%)	Outcome	95.0%	99.8%	95.0%	99.8%	95.0%	99.4%	95.0%	95.0%
Stabbings and slashings	Outcome	NA	37	NA	37	NA	19	NA	NA
Suicides	Outcome	NA	3	NA	2	NA	2	NA	NA
Inmates delivered to court	Output	NA	316,023	NA	326,735	NA	317,612	NA	NA
Searches	Output	NA	153,982	NA	192,398	NA	208,440	NA	NA
Weapons recovered	Output	NA	1,748	NA	2,472	NA	1,439	NA	NA
Incidents and allegations of Department Use of Force	Service Quality	NA	1,522	NA	1,751	NA	1,915	NA	NA
Average cost per inmate per year (\$)	Unit Cost	NA	\$66,085	NA	\$67,310	NA	\$69,999	NA	NA

Note: "NA" means that the data is not available

Operations-Hospital Prison Ward

The Department maintains secure facilities in two City Hospitals including Elmhurst Hospital Prison Ward, for female inmates requiring acute psychiatric care and Bellevue Hospital Prison Ward, for male inmates requiring psychiatric or medical care.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$18,815,531	\$14,875,402	\$14,875,402	\$14,875,402	\$14,875,402
Total	\$18,815,531	\$14,875,402	\$14,875,402	\$14,875,402	\$14,875,402
Funding					
City Funds	NA	\$14,875,402	\$14,875,402	\$14,875,402	\$14,875,402
Total	NA	\$14,875,402	\$14,875,402	\$14,875,402	\$14,875,402
Full-Time Positions	201	263	263	263	263
Full-Time Positions - Uniform	201	263	263	263	263

Performance Measures

	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Inmate health clinic visits	Demand	NA	103,252	NA	99,057	NA	88,110	NA	NA
Inmate health clinic visits- average clinic waiting time (minutes)	Service Quality	NA	28	NA	27	NA	27	NA	NA

Operations-Infrastr.& Environ. Health

DOC is charged with the complete responsibility of the infrastructure on Rikers Island, including building system improvements, facilities reconstruction, repair and daily maintenance.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$21,462,958	\$15,038,520	\$15,212,509	\$15,520,804	\$15,700,934
Other Than Personal Services	\$12,597,268	\$10,701,543	\$10,611,101	\$10,621,101	\$10,701,543
Total	\$34,060,226	\$25,740,063	\$25,823,610	\$26,141,905	\$26,402,477
Funding					
City Funds	NA	\$25,740,063	\$25,823,610	\$26,141,905	\$26,402,477
Total	NA	\$25,740,063	\$25,823,610	\$26,141,905	\$26,402,477
Full-Time Positions	251	227	227	227	227
Full-Time Positions - Civilian	217	178	178	178	178
Full-Time Positions - Uniform	34	49	49	49	49
Contracts	NA	24	22	22	25
Maintenance and Repairs - General	NA	23	22	22	24
Prof. Services - Other	NA	1			1

Operations-Rikers Security & Ops

Provide operational security throughout all of the department's facilities including the Emergency Service Unit, the tactical team on call 24 hours a day for critical situations; the Tactical Search Operation, to assist in maintaining order and retrieving inmate contraband and the Rapid Response Team, for heightened security alerts on Rikers Island such as inmate escape attempt or potential riot situations.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$31,060,552	\$22,316,893	\$22,316,893	\$22,395,374	\$22,436,065
Other Than Personal Services	\$2,542,244	\$2,555,846	\$2,525,662	\$2,825,662	\$2,555,846
Total	\$33,602,796	\$24,872,739	\$24,842,555	\$25,221,036	\$24,991,911
Funding					
City Funds	NA	\$24,872,739	\$24,842,555	\$24,921,036	\$24,991,911
Federal - Other	NA			\$300,000	\$0
Total	NA	\$24,872,739	\$24,842,555	\$25,221,036	\$24,991,911
Full-Time Positions	322	374	374	374	374
Full-Time Positions - Civilian	42	32	32	32	32
Full-Time Positions - Uniform	280	342	342	342	342
Contracts	NA	1	1	1	1
Maintenance and Repairs - General	NA	1	1	1	1