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**Report on the Fiscal 2023  
Preliminary Plan and the Fiscal 2022  
Mayor's Management Report for the  
Department of Correction**

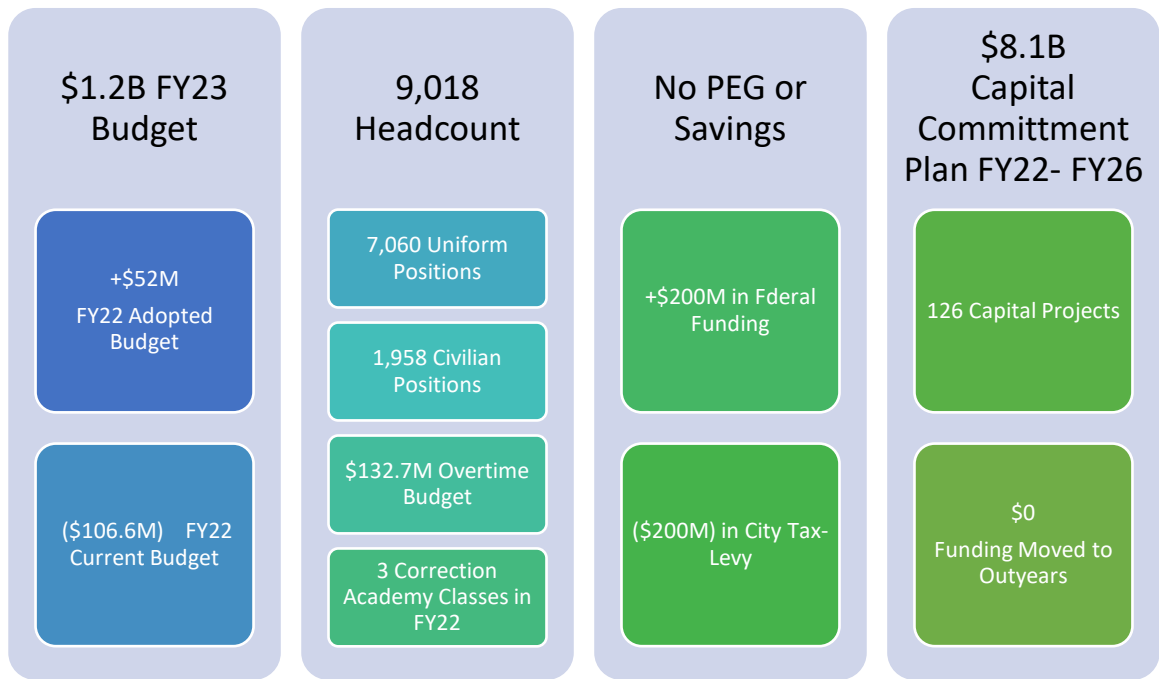
**March 23, 2022**

(Report prepared by Jack Storey)

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## Department of Correction Fiscal 2023 Budget Snapshot

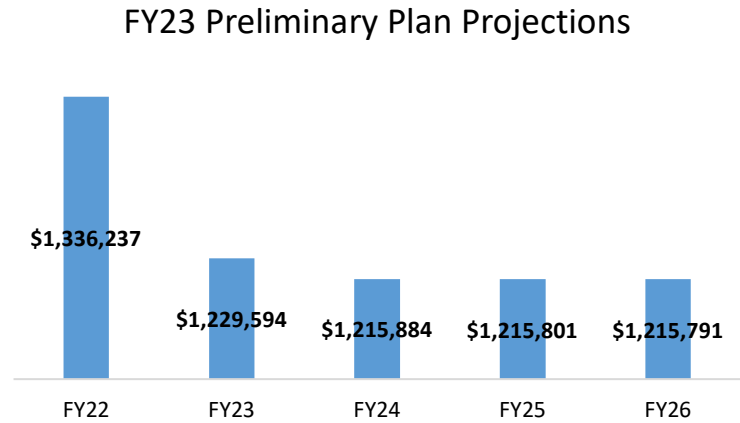


## Department of Correction Financial Plan Overview

The Department of Correction’s (DOC or the Department) Fiscal 2023 Preliminary Budget totals \$1.2 billion. DOC provides for the care, custody, and control of individuals 18 years of age and older, who are accused of crimes or convicted to one year or less of incarceration. In addition, DOC houses prisoners awaiting transfer to state facilities and parole violators. The Department operates nine facilities, housing people in custody, eight of which are located on Rikers Island. The Department also operates court holding facilities in each of the five boroughs, and two hospital prison wards. The Department’s primary function is operating the City’s jails. The Department is also charged with maintaining the infrastructure, building systems, and environment of Rikers Island. As such, it has overlapping law enforcement, criminal justice, environmental, logistical, and infrastructural functions. The Adams administration excluded DOC from the citywide program to eliminate the gap (PEG) in Fiscal 2023. The Plan continues to hold DOC’s budget relatively stable. However, long run deterioration of safety and security in the City’s jails, increasing rates of violence, and a widespread staffing crisis provide the context to this Administration’s first budget.

### Financial Plan Projection

The Department of Correction’s Fiscal 2023 Preliminary Budget of \$1.22 billion grows by \$52 million compared to the Fiscal 2022 Adopted Budget of \$1.17 billion. This represents approximately one percent of the City’s total Fiscal 2023 budget. While the Plan grows the current Fiscal 2022 budget to \$1.33 billion, it projects the agency’s outyear budgets will remain flat at \$1.2 billion by the end of the Plan period. The chart to the right shows these projections.



Although the Plan excludes the Department from the Program to Eliminate the Gap (PEG) and introduces several new needs, it does not introduce significant changes to the agency’s operations or structure. While the Plan increases the uniform overtime budget by \$52 million and introduces additional funding for staff medical evaluations, these are temporary measures only in the current fiscal year. The Plan includes minimal funding that will impact Fiscal 2023. The Plan holds the Department’s budget and headcount relatively stable. A Special Report of the *Nunez* Independent Monitor, the federal monitor appointed in 2015 to oversee departmental reforms, released on March 16, 2022 outlines alarming issues with the Department’s operations and how it manages its resources, namely its staff.

### Federal COVID and Stimulus Funding

Historically, DOC has been funded primarily by City tax-levy. In the Fiscal 2022 Executive Financial Plan the de Blasio administration swapped \$280 million in city funds with federal funding from the American Rescue Plan (ARP) to support a portion of the agency’s personal services (PS) operating costs. An eligible use category for ARP funds is the replacement of lost revenue to support the cost of core government services. The Preliminary Plan swaps another \$200 million in City tax-levy for ARP funds in Fiscal 2022.

As a result, the total federal funding for DOC in Fiscal 2022 is \$497.9 million, or 37 percent of the agency’s total budget. The Plan returns the agency’s typical funding balance in Fiscal 2023: City tax-levy rises to \$1.2 billion or 99 percent of DOC’s total budget.

The table below shows DOC's Preliminary Budget by program area, type of spending, funding, and headcount as well as in Fiscal 2020-Fiscal 2022. The outyears are largely like Fiscal 2023 with modest decreases.

<b>DOC Financial Summary</b> <i>Dollars in Thousands</i>						
<b>Spending</b>	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
Personal Services	\$1,112,106	\$1,091,919	\$1,020,363	\$1,092,940	\$1,056,744	\$36,381
Other Than Personal Services	175,110	167,398	156,660	243,297	172,850	16,189
<b>TOTAL</b>	<b>\$1,287,217</b>	<b>\$1,259,317</b>	<b>\$1,177,024</b>	<b>\$1,336,237</b>	<b>\$1,229,594</b>	<b>\$52,570</b>
<b>Budget by Program Area</b>						
Academy and Training	\$18,396	\$16,001	\$18,093	\$17,806	\$18,146	\$53
Mgmt & Administration	108,848	101,387	99,583	114,742	114,174	14,592
Health and Programs	52,228	44,992	49,181	66,488	56,648	7,468
Jail Operations	944,084	928,316	913,487	1,000,348	943,812	30,325
Hospital Prison Ward	24,856	24,216	14,953	15,435	14,967	14
Infrastr. & Environ. Health	71,323	72,958	42,049	81,398	42,121	72
Rikers Security & Ops	67,481	71,446	39,678	40,020	39,726	48
<b>TOTAL</b>	<b>\$1,287,217</b>	<b>\$1,259,317</b>	<b>\$1,177,024</b>	<b>\$1,336,237</b>	<b>\$1,229,594</b>	<b>\$52,570</b>
<b>Funding</b>						
City Funds	\$1,265,271		\$885,418	\$834,811	\$1,219,313	\$333,895
Other Categorical	2,842		0	977	0	0
Capital- IFA	734		778	778	778	0
State	260		1,109	1,109	1,109	0
Federal - Other	17,779		289,610	497,910	8,286	(281,325)
Intra City	331		108	651	108	0
<b>TOTAL</b>	<b>\$1,287,217</b>	<b>\$1,259,317</b>	<b>\$1,177,024</b>	<b>\$1,336,237</b>	<b>\$1,229,594</b>	<b>\$52,570</b>
<b>Budgeted Headcount</b>						
Full-Time Positions -						
Uniform	9,237	8,388	7,460	7,460	7,060	(400)
Full-Time Positions - Civilian	1,741	1,603	1,838	1,962	1,958	120
<b>TOTAL</b>	<b>10,978</b>	<b>9,991</b>	<b>9,298</b>	<b>9,422</b>	<b>9,018</b>	<b>(280)</b>

\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.

The Department's budget is projected to fall by \$13.8 million by the end of the Plan period, a decrease of one percent. DOC's expense budget is comprised primarily of Personal Services (PS) spending, as opposed to Other than Personal Services (OTPS). The PS budget of \$1.1 billion supports salaries, overtime, and other wages. The OTPS budget of \$172.9 million pays for building leases, heat, light and power, supplies, equipment and other costs. DOC's contract budget of \$63 million is a component of the OTPS budget.

The Preliminary Plan increases DOC's budget by \$52.5 million compared to the Fiscal 2022 Adopted Budget, an increase of four percent. The increase is driven mostly by the overtime budget within the Jail Operations program area, which sees its baseline grow from \$80.6 million to \$125.6 million in Fiscal 2023. Also contributing to the difference between Fiscal 2023 and Fiscal 2022 at Adoption are increases of \$7.5 million for staff in the Health and Programs program area as well as an increase of \$14.6 million in the Management and Administration program area.

Uniform headcount drops by 400 positions in Fiscal 2023, reflecting the fact that the addition of new Correction Officers in the Fiscal 2022 Adopted Budget Plan was for one fiscal year. Civilian headcount increases by 120 positions due to changes included in the November Plan: 90 positions for the Risk Management Accountability System, 27 for the Correction Intelligence Borough, and six for Borough Based Jail projects.

## Fiscal 2023 Preliminary Budget Changes

The Fiscal 2023 Preliminary Plan introduces approximately \$100 million in additional funding for DOC in Fiscal 2022 and \$14 million in Fiscal 2023. These include new needs of approximately \$75 million and \$25 million in adjustments in Fiscal 2022. For the next fiscal year, the Plan includes approximately \$13.5 million in new needs and approximately \$500,000 in other adjustments. The highlights of these changes are discussed below.

### New Needs:

- **Uniform Overtime Adjustment:** The Plan modifies the current year uniform overtime budget with an increase of \$52 million, bringing the total budget for uniform overtime to \$132.6 million. More details on the Department's overtime budget can be found in the Headcount section of this report.
- **Vaccine Incentive:** The Plan includes \$536,000 for vaccine incentive payments for DOC staff. Approximately 87 percent of DOC's uniformed staff have received at least one dose while 93 percent of civilian staff have received at least one dose.
- **Idleness Reduction Program:** The Plan includes \$6.6 million in Fiscal 2022 alone to provide tablets to every person in custody. This funding will support acquiring the tablets as well as content and programming.
- **Legal Staff:** The Fiscal 2023 Preliminary Budget includes \$495,000 in Fiscal 2022 to support contracted legal services to address the backlog of disciplinary cases associated with the *Nunez* consent decree.
- **Medical Evaluation:** The Preliminary Plan includes \$14.6 million in Fiscal 2022 and \$1.6 million in Fiscal 2023 for medical evaluations of DOC staff who are out sick. The Department recently adjusted its sick leave policy to require verification on the third day a staff member is out sick. Through a Memorandum of Understanding with Health + Hospitals (H+H), this funding will support vendors that will facilitate medical evaluations and certifications for DOC staff.
- **Rikers Island Americans with Disabilities Act (ADA):** The Department's capital program includes funding to maintain a state of good repair for the agency's building systems, infrastructure, vehicles, and other physical plant. Funding for capital assets are traditionally housed in the agency's capital budget and not in the expense budget. However, capital funding must meet certain eligibility requirements, including a five-year useful lifespan. With the Department's anticipated transfer away from Rikers Island, new capital needs of the Department will not meet this eligibility criterion. As a result, the Plan introduces \$850,000 in

the Fiscal 2022 and \$11.8 million in Fiscal 2023 in the expense budget for priority projects associated with the Department’s compliance with the ADA.

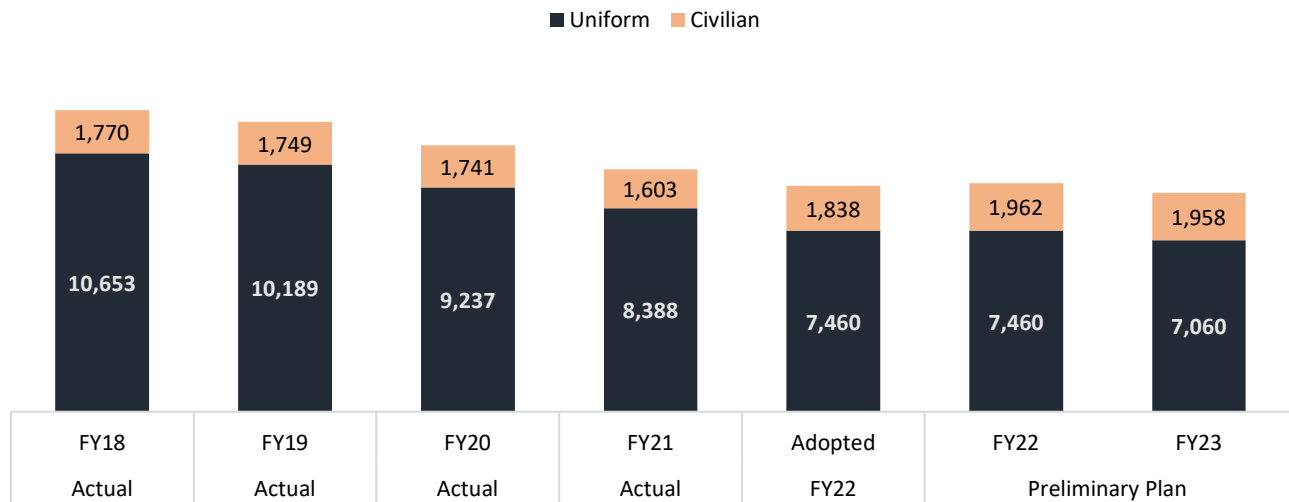
**Other Adjustments:**

- **COVID Testing:** The Plan recognizes \$8.3 million in Federal Emergency Management Agency for COVID testing for DOC staff. To facilitate this initiative, DOC is joining a contract through the Department of Mental Health and Hygiene
- **Deferred Retroactive Payments:** In Fiscal 2021, the previous administration introduced a citywide initiative to generate \$1 billion in labor savings. As a result, the Department deferred a series of retroactive payments for various labor unions. The Plan includes \$14.9 million for these deferred payments in Fiscal 2022.

**Headcount**

DOC’s total headcount in Fiscal 2023 is 9,018: 7,060 uniform and 1,958 civilian. Approximately 78 percent of staff are uniform, and the remaining 22 percent are civilian. Most of the Department’s headcount is assigned to the Jail Operations program area and are responsible for executing DOC’s core functions. Civilian staff fill managerial, administrative, and support positions across the Department.

**Uniform and Civilian Headcount**



The chart above shows the actual headcount for Fiscal Years 2018-2021, the Fiscal 2022 adopted headcount, and the projected headcount in this fiscal year and the next as of the Preliminary Plan. The Department’s actual uniform headcount as of the Preliminary Plan is 7,439, lower than its Fiscal 2022 budgeted headcount of 7,460. Notably, this is the first time the Department has uniform vacancies since Fiscal 2017 and Fiscal 2018.

## Salary and Overtime

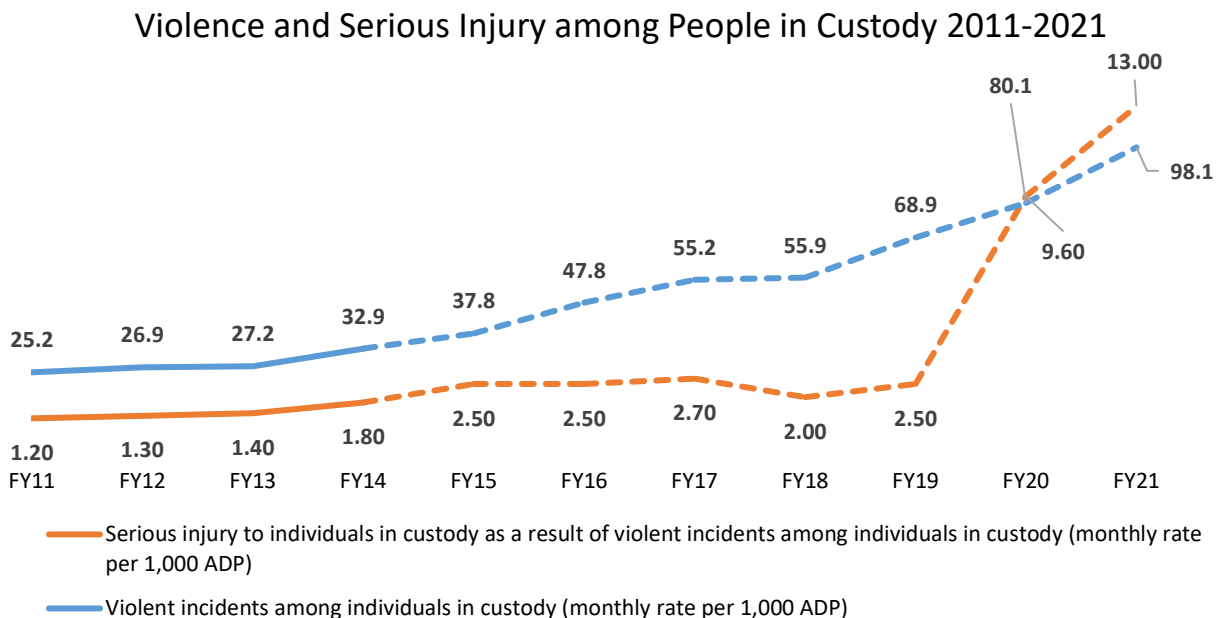
As mentioned above, the bulk of DOC’s budget is PS which supports its uniform and civilian workforce. The average salary for all uniform staff is \$88,131. Additional benefits such as longevity, shift, and night differentials; annuity contributions; uniform allowances; and holiday pay along with fringe benefits bring the average total compensation for all uniformed staff to \$223,060. Taken together, this amounts to a uniform fringe rate of 119.5% in Fiscal 2022. The average salary for civilians is \$78,245. A fringe rate of 50.7% brings the average compensation for civilians to \$118,040.

Overtime (OT) can be a significant driver of PS costs. DOC’s budget for overtime, civilian and uniform, is \$139 million in Fiscal 2022. Most, 94 percent, is for uniformed staff. The Preliminary Plan increases the budget for uniform overtime significantly, from \$80.6 million in Fiscal 2022 to \$132.7 million. However, as of January, actual spending on uniform overtime was \$131.7, nearly exceeding the modification for the current fiscal year. As a result, it is likely that the Department will need to increase its overtime budget. This reflects the ongoing challenges with staffing and deployment at the Department, as well as a continued reliance on overtime to supplement staff who are unavailable for work. According to the Fiscal 2022 Preliminary Mayor’s Management Report’s (PMMR) Paid Absence Rates indicator, for the first four months of Fiscal 2022 DOC has the highest total absence rate of all City agencies at 26.6 percent.

## Fiscal 2022 Preliminary Mayor’s Management Report

This section discusses the Preliminary Mayor’s Management (PMMR) Report for Fiscal 2022 and presents a 10-year context for certain indicators. The PMMR reports on three service areas and eight goals for DOC. In the charts below, the solid line represents the Bloomberg administration and the dotted line represents the de Blasio administration.

- Violence among People in Custody.** Violent incidents among people in custody reached a ten year high in Fiscal 2021. In Fiscal 2021, the monthly rate of these incidents was 98.1 compared to 25.2 in Fiscal 2011, an increase of 289 percent. Compared to Fiscal 2020, violent incidents among people in custody increased 22 percent in Fiscal 2021. Compared to the first four months of Fiscal

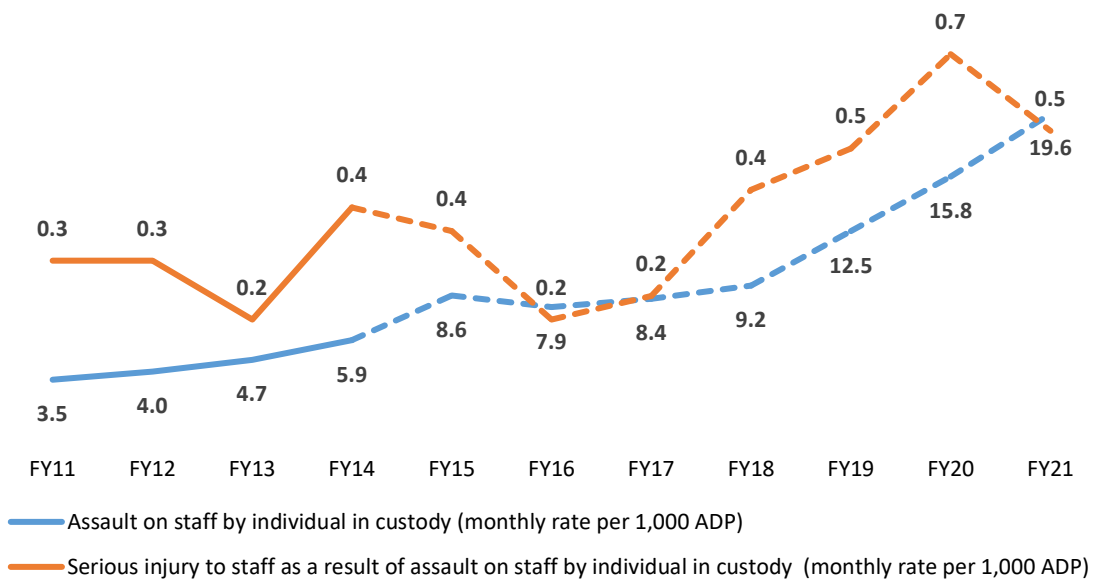




2021, the same period in Fiscal 2022 saw an 11.7 percent increase in violent incidents. As violent incidents have become more prevalent, they have become more severe. The monthly rate of serious injury per 1,000 people in custody was 13 in Fiscal 2021 compared to 1.2 in Fiscal 2011, an increase of 983 percent. In the first four months of Fiscal 2022, the rate of serious injury was 19.2 compared to 11.1 in the same period of Fiscal 2021, an increase of approximately 73 percent.

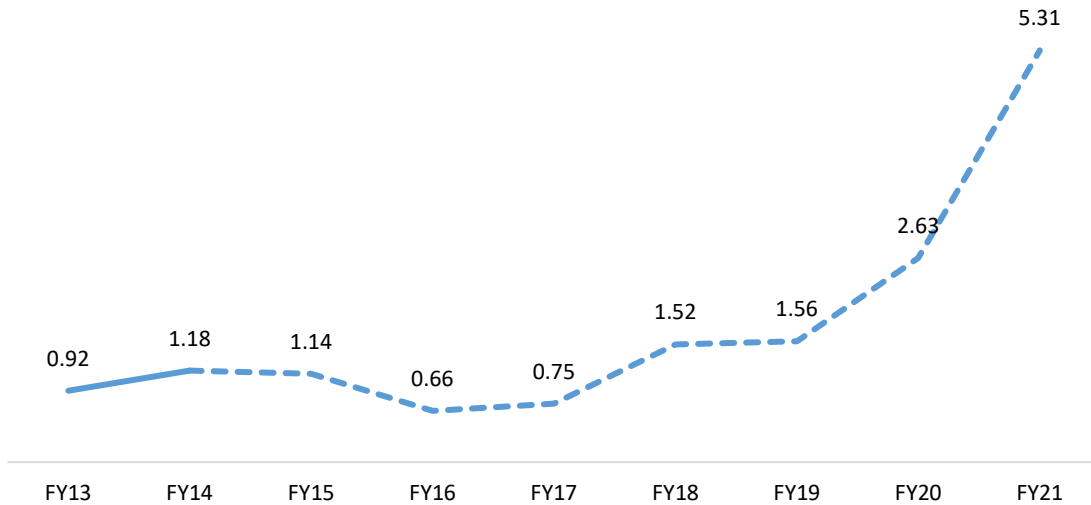
- Violence towards Staff.** Over the past ten years the City’s jails have become an increasingly violent place to work and violence against DOC staff has become more prevalent and it has become more severe. The rate of assault on staff in Fiscal 2021 was 19.6, a ten-year high and an increase of 460 percent over assaults in Fiscal 2011. The rate of serious injury to staff rose 73 percent from Fiscal 2011 to Fiscal 2021, from 0.3 to 0.5. Rates of serious injury declined 20 percent from Fiscal 2020 to Fiscal 2021. Comparing the first four months of Fiscal 2021 to Fiscal 2022, violent incidents against staff decreased by less than one percent but rates of serious injury increased by approximately 33 percent.

Assaults and Serious Injury to Staff: 2011-2021



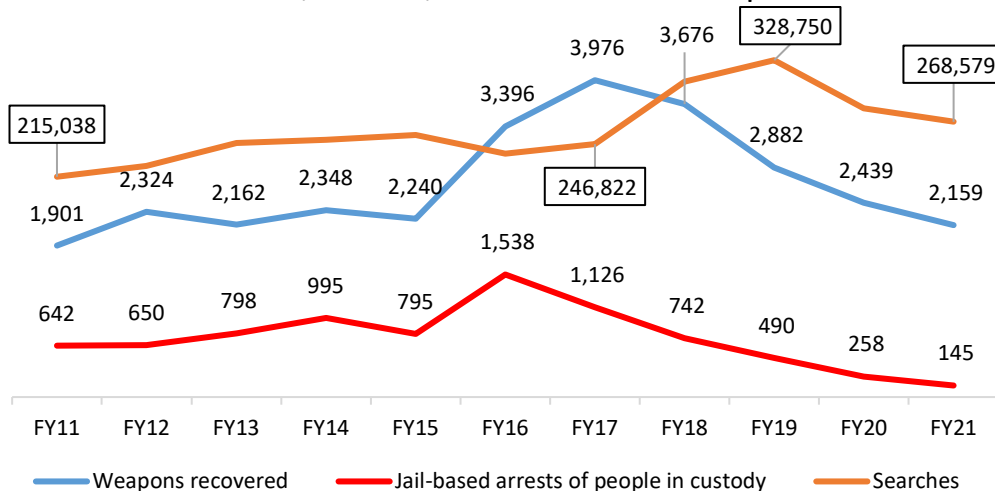
- Use of Force (UOF).** Force is used much more frequently in the City’s jails than at the time the Federal Monitor was appointed. In Fiscal 2021, total UOF incidents were 7,506, an increase of approximately 10 percent over Fiscal 2020. The rate of UOF with serious injury doubled between those fiscal years, from 2.6 in Fiscal 2020 to 5.3 in Fiscal 2021. Comparing the first four months of Fiscal 2022 to those of Fiscal 2021, UOF incidents increased by 40 percent while the rate of serious injury in UOF incidents rose 120 percent. UOF incidents with minor injury did fall between the first three months of Fiscal 2021 and Fiscal 2022, from 17.6 to 13.4.

UOF Incidents with Serious Injury



- Safety and Security.** Other indicators in the PMMR underscore the threats to safety and security within the City’s jails and show the Department’s efforts to address them. There were 247 slashing and stabbing incidents in Fiscal 2021—a ten-year high. Notably, there were 156 slashing and stabbings in the first four months of Fiscal 2022, an increase of over 260 percent from the 43 incidents in the same period of Fiscal 2021. There were 11,214 infractions for fights or assaults in Fiscal 2021 and 3,411 in the first four months of Fiscal 2022. The Department conducts searches

Searches, Arrests, and Recovered Weapons



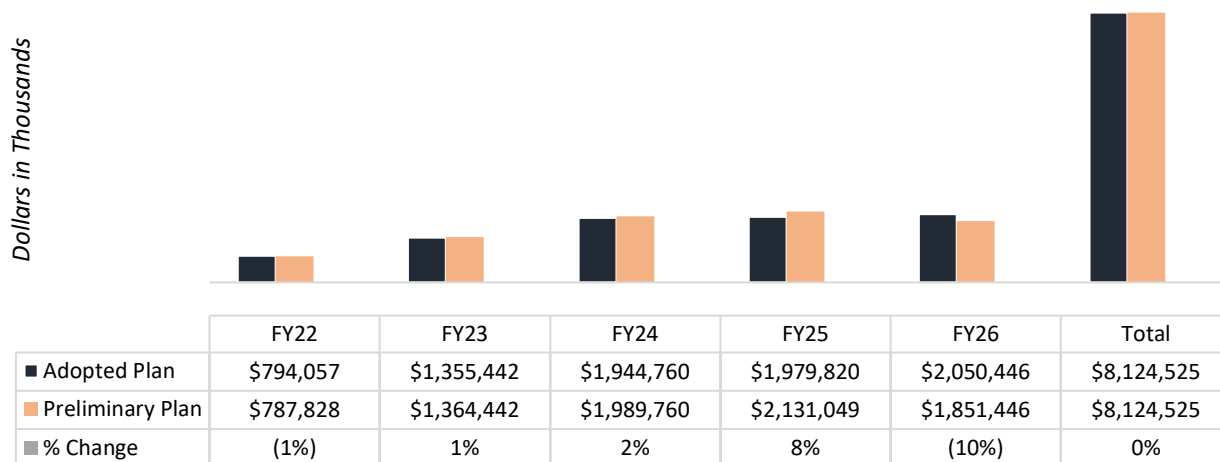
to recover weapons and remove them from circulation within the facilities. In Fiscal 2021, DOC conducted nearly 270,000 searches and recovered 2,159. In addition, there were 145 jail-based arrests in Fiscal 2021.

- New Indicators.** The PMMR retires indicators about incarcerated individuals’ participation in certain programs and replaces them with seven more specific indicators about the types of programs offered to people in custody and the average participation. The indicators include the average daily number of individuals in counseling programs and services; education programs; external provider programs and services; fine arts programs and activities; PAWs programs; recreation programs; workforce development programs; and attendance in school programs. This change will bring more clarity and specificity to understanding the programmatic array of the Department and their performance and should reveal important trends over time.

### Preliminary Capital Commitment Plan for Fiscal 2022 to Fiscal 2026

The Department is responsible for maintaining the jail facilities, infrastructure, and physical plant under its jurisdiction. In addition, DOC’s capital program supports vehicles, information technology, and security equipment. The Department’s Capital Commitment Plan totals \$8.1 billion in Fiscal 2022-2026, the vast majority of which is devoted to the Borough Based Jails program. This includes dismantling the currently shuttered facilities and constructing four new jails. The rest of DOC’s Commitment Plan is dedicated to upgrading building systems, infrastructure, and functional facilities on Rikers Island.

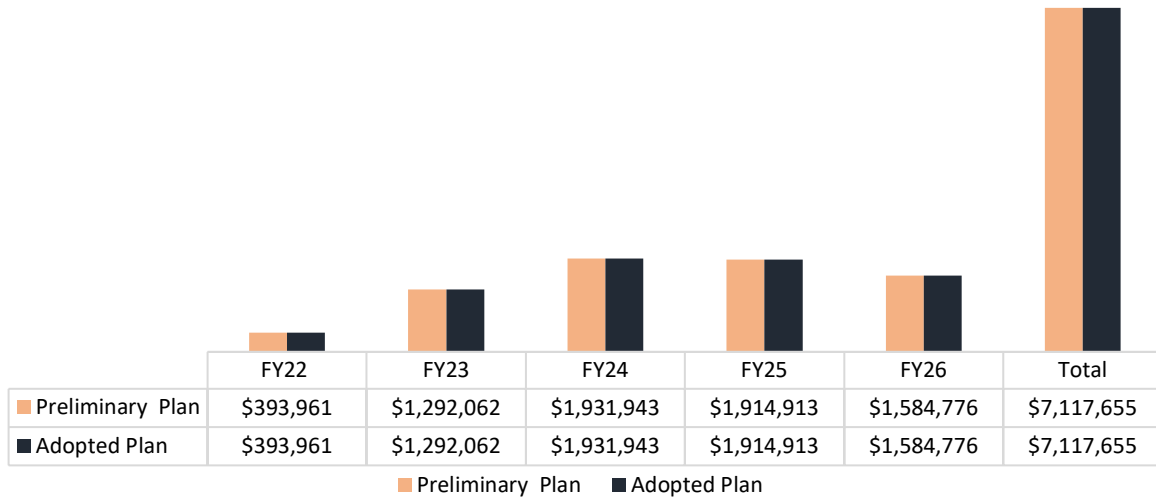
Capital Commitment Plan: FY22-26



- Borough Based Jails.** On November 30, 2021 the Department of Design and Construction (DDC) announced that five new contracts were awarded for the Borough Based Jails program (BBJ). DDC announced that four separate teams of designers and builders were awarded design-build contracts to prepare the sites for the four new jail facilities. An additional contract was awarded for an associated project. DDC anticipates that the four contracts for facility dismantling will be registered by the end of 2021. Work was anticipated to begin on these four projects in the first quarter of 2022. The contract for the Queens trunk water main has already been registered. Work is expected to begin on that project in the first quarter of 2022 and

take 18 months to complete. DDC previously issued a Request for Qualifications (RFQ) seeking Statements of Qualifications from design-build teams to design and construct the four new jail facilities themselves. Responses to RFQs were due on November 29, 2021. The chart below shows the capital commitments for the BBJ projects as of the Preliminary Plan.

### Borough Based Jails Capital Projects



### Budget Issues and Concerns

**Special Report of the Nunez Independent Monitor.** On March 16, 2022 the *Nunez* federal monitor released a special report that has broad implications for the Department’s budget, operations, and management. Indeed, as the monitor writes, “...the Department’s staff management and deployment practices are so dysfunctional that if left unaddressed, sustainable and material advancement of systemic reform will remain elusive, if not impossible to attain.”<sup>1</sup>

- Staffing Crisis.** The Department’s staffing crisis is ongoing. In the monitor’s analysis, 30 percent of the workforce are not available for work, or on some form of modified duty that restricts their deployment to certain posts. As mentioned earlier, according to PMMR’s Paid Absence Rates indicator for the first four months of Fiscal 2022, DOC has the highest total absence rate of all City agencies at 26.6 percent.<sup>2</sup> This is significantly higher than the total absence rate of 5.6 percent in Fiscal 2021.

Rank	Budgeted Headcount as of FY23 Prelim.	Actual Headcount as of FY23 Prelim.	Difference
<b>Captain</b>	703	664	(39)
<b>Assistant Deputy Warden</b>	117	79	(38)
<b>Deputy Warden</b>	24	19	(5)
<b>Deputy Warden in Command</b>	6	1	(5)
<b>Warden</b>	16	9	(7)
<b>Total</b>	<b>866</b>	<b>772</b>	<b>(94)</b>

<sup>1</sup> *Special Report of the Nunez Independent Monitor*, 1.

<sup>2</sup> Preliminary Mayor’s Management Report for Fiscal 2022, “Paid Absence Rates,” available at: [https://www1.nyc.gov/assets/operations/downloads/pdf/pmmr2022/paid\\_absence\\_rates.pdf](https://www1.nyc.gov/assets/operations/downloads/pdf/pmmr2022/paid_absence_rates.pdf)

- **Supervisory Capacity.** The monitor has consistently raised concerns about the supervisory capacity of uniformed staff. Previous Council budget reports and hearings have highlighted the mismatch between actual and budgeted supervisor headcount. As of the Fiscal 2023 Preliminary Plan, DOC has 94 vacancies in its supervisory roles, shown in the table to the right. The monitor writes that both Assistant Deputy Wardens and Captains are not assigned to positions that require supervising housing areas. In other words, the deployment of supervisors may in effect artificially inflate vacancies. In addition to quantity issues, the quality and efficacy of supervisors is also problematic.<sup>3</sup>
- **Mismanagement.** The staffing crisis prompted the monitoring team to analyze staffing and management and the latest report discusses their initial findings. In short, the monitor describes an alarming level of dysfunction with the Department's management practices. Initial findings describe the Department's inability to centrally identify which facilities staff are assigned to and which tours or posts they may work.<sup>4</sup> In addition, staff deployment is done by uniformed staff (i.e. Correction Officers) without specific management training using outdated manual practices. Several other factors, including the lack of central recordkeeping, inadequate classification systems, and inconsistent assessment of staffing needs, undermine the Department's ability to ensure that staff are assigned efficiently or consistently.<sup>5</sup>

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<sup>3</sup>*Special Report of the Nunez Independent Monitor*, 5.

<sup>4</sup> *Id.*, 33.

<sup>5</sup> *Id.*, 34-37.

**Appendices**

**A. Budget Actions in the November and Preliminary Plans**

<i>Dollars in Thousands</i>	FY22			FY23		
	City	Non-City	Total	City	Non-City	Total
<b>DOC Budget as of the Fiscal 2022 Adopted Budget</b>	<b>\$885,418</b>	<b>\$291,605</b>	<b>\$1,177,023</b>	<b>\$1,194,182</b>	<b>\$10,281</b>	<b>\$1,204,463</b>
<b>November Plan, New Needs</b>						
Vaccine Incentive	\$443	\$0	\$443	\$0	\$0	\$0
Borough Based Jails and Training Academy Staff	707	0	707	707	0	707
Correction Intelligence Bureau Expansion	1,835	0	1,835	1,835	0	1,835
Correction Officer Incentive Pay	1,125	0	1,125	0	0	0
COVID-19 Vaccine Incentive	300	0	300	0	0	0
Emergency Rikers Relief - Cell Door Upgrades	11,984	0	11,984	0	0	0
Emergency Rikers Relief - Cleaning	22,000	0	22,000	0	0	0
Emergency Rikers Relief - OTPS for Staff	7,843	0	7,843	0	0	0
Emergency Rikers Relief - Project Management	1,986	0	1,986	0	0	0
Investigative Case Management System	1,500	0	1,500	700	0	700
Risk Management and Accountability System - Programs	6,707	0	6,707	6,707	0	6,707
Wage Increases	725	0	725	725	0	725
<b>Preliminary Plan, New Needs</b>						
Ps Adjustment	\$52,000	\$0	\$52,000	\$0	\$0	\$0
Vaccine Incentive	536	0	536	0	0	0
Idleness Reduction Program	6,609	0	6,609	0	0	0
Legal Staff	496	0	496	0	0	0
Medical Evaluation	14,647	0	14,647	1,648	0	1,648
Rikers Island ADA	850	0	850	11,790	0	11,790
<b>Subtotal, New Needs</b>	<b>\$132,293</b>	<b>\$0</b>	<b>\$132,293</b>	<b>\$24,112</b>	<b>\$0</b>	<b>\$24,112</b>
<b>November Plan, Other Adjustments</b>						
EDR ADVANCE ADDED TO BUDGET	\$0	\$222	\$222	\$0	\$0	\$0
Energy Personnel	0	90	90	0	0	0
ExCEL Projects Round 1	0	362	362	0	0	0
EDR ROLLOVER TO FY22	0	755	755	0	0	0
To realign funds in 003	(0)	0	(0)	0	0	0
Labor Funding: OSA Titles	479	0	479	487	0	487
Commissary contracts needs	0	0	0	0	0	0
FY22 INMATE WAGES ALLOCATIONS	0	0	0	0	0	0
Labor Funding: Plasterer Titles	27	0	27	27	0	27
Human Services	21	0	21	0	0	0
<b>Preliminary Plan, Other Adjustments</b>						
Heating Fuel Adjustment	\$336	\$0	\$336	\$0	\$0	\$0
Motor Fuel adjustment	400	0	400	0	0	0
Deferred Retro Payments	14,971	0	14,971	0	0	0
American Rescue Plan Stimulus	(200,000)	200,000	0	0	0	0
Labor Funding: ADW / DWAC	867	0	867	504	0	504
COVID TESTING	0	8,300	8,300	0	0	0
FY22_2025_40X	0	17	17	0	0	0
I/C DOC FY22	0	74	74	0	0	0
<b>Other Adjustments, Subtotal</b>	<b>(\$182,900)</b>	<b>\$209,820</b>	<b>\$26,920</b>	<b>\$1,018</b>	<b>\$0</b>	<b>\$1,018</b>
<b>TOTAL, All Changes</b>	<b>(\$50,607)</b>	<b>\$209,820</b>	<b>\$159,213</b>	<b>\$25,131</b>	<b>\$0</b>	<b>\$25,131</b>
<b>DOC Budget as of the Fiscal 2023 Preliminary Budget</b>	<b>\$834,811</b>	<b>\$501,425</b>	<b>\$1,336,236</b>	<b>\$1,219,313</b>	<b>\$10,281</b>	<b>\$1,229,594</b>

**B. Contract Budget**

<b>DOC FY23 Preliminary Contract Budget</b>				
<i>Dollars in Thousands</i>				
<b>Category</b>	<b>FY22 Adopted</b>	<b>Number of Contracts</b>	<b>FY23 Preliminary</b>	<b>Number of Contracts</b>
Cleaning Services	\$175	1	\$175	1
Contractual Services - General	27,122	13	27,881	13
Maintenance and Repairs - General	14,388	30	14,385	30
Maintenance and Repairs - Motor Vehicle Equip	130	1	130	1
Office Equipment Maintenance	95	6	95	6
Prof. Services - Computer Services	-	0	-	0
Prof. Services - Other	452	5	12,192	5
Telecommunications Maintenance	6,454	2	6,454	2
Temporary Services	1	1	-	0
Training Program for City Employees	1,804	2	1,804	2
Transportation Services	261	1	261	1
<b>TOTAL</b>	<b>\$50,882</b>	<b>62</b>	<b>\$63,377</b>	<b>61</b>

**C. Program Areas**

<b>Jail Operations</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$612,437	\$589,988	\$555,724	\$570,126	\$546,534	(\$9,190)
Full-Time Salaried - Civilian	38,495	35,236	57,680	58,547	58,184	504
Other Salaried and Unsalariad	5,329	4,802	6,343	6,347	6,347	4
Additional Gross Pay	79,191	92,568	104,061	98,804	104,061	0
Overtime - Uniformed	100,895	112,200	80,655	132,655	125,602	44,947
Overtime - Civilian	7,631	6,222	6,411	6,411	6,411	0
Fringe Benefits	25,410	13,026	33,221	33,221	24,306	(8,915)
<b>Subtotal</b>	<b>869,387</b>	<b>854,042</b>	<b>844,095</b>	<b>906,112</b>	<b>871,446</b>	<b>27,351</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$34,001	\$36,044	\$41,465	\$45,666	\$43,110	\$1,646
Fixed and Misc Charges	42	(12)	1,992	53	1,992	0
Property and Equipment	3,920	1,756	1,115	1,210	2,446	1,331
Other Services and Charges	23,254	18,887	16,272	17,743	16,272	0
Social Services	5,621	1,451	2,827	3,198	2,827	0
Contractual Services	7,856	16,147	5,721	26,365	5,718	(3)
<b>Subtotal</b>	<b>74,695</b>	<b>74,273</b>	<b>69,392</b>	<b>94,235</b>	<b>72,365</b>	<b>2,974</b>
<b>TOTAL</b>	<b>\$944,082</b>	<b>\$928,315</b>	<b>\$913,487</b>	<b>\$1,000,347</b>	<b>\$943,811</b>	<b>\$30,325</b>
<b>Funding</b>						
City Funds			\$622,753	\$509,178	\$934,403	\$311,649
Federal - Other			289,610	489,610	8,286	(281,325)
Intra City			15	451	15	0
State			1,109	1,109	1,109	0
<b>TOTAL</b>			<b>\$913,487</b>	<b>\$1,000,348</b>	<b>\$943,812</b>	<b>\$0</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	8,171	7,407	6,493	6,498	6,093	(400)
Full-Time Positions - Civilian	587	513	795	801	798	3
<b>TOTAL</b>	<b>8,758</b>	<b>7,920</b>	<b>7,288</b>	<b>7,299</b>	<b>6,891</b>	<b>(397)</b>

\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.

<b>Operations-Rikers Security and Operations</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$40,294	\$42,468	\$30,636	\$31,605	\$30,684	\$48
Full-Time Salaried - Civilian	2,918	2,702	3,933	3,933	3,933	0
Other Salaried and Unsalari ed	0	0	0	0	0	0
Additional Gross Pay	6,114	6,922	0	47	0	0
Overtime - Uniformed	13,095	13,715	0	0	0	0
Overtime - Civilian	403	388	0	0	0	0
Fringe Benefits	196	194	0	0	0	0
<b>Subtotal</b>	<b>\$63,020</b>	<b>\$66,389</b>	<b>\$34,569</b>	<b>\$35,585</b>	<b>\$34,617</b>	<b>\$48</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$3,065	\$4,177	\$2,701	\$3,198	\$2,701	\$0
Property and Equipment	360	123	611	395	611	0
Fixed and Misc. Charges	0	1	0	0	0	0
Other Services and Charges	13	10	0	0	0	0
Contractual Services	1,022	746	1,797	842	1,797	0
<b>Subtotal</b>	<b>\$4,461</b>	<b>\$5,057</b>	<b>\$5,109</b>	<b>\$4,435</b>	<b>\$5,109</b>	<b>\$0</b>
<b>TOTAL</b>	<b>\$67,481</b>	<b>\$71,446</b>	<b>\$39,678</b>	<b>\$40,020</b>	<b>\$39,726</b>	<b>\$0</b>
<b>Funding</b>						
City Funds			\$39,678	\$40,020	\$39,726	\$48
<b>TOTAL</b>			<b>\$39,678</b>	<b>\$40,020</b>	<b>\$39,726</b>	<b>\$48</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	500	442	328	328	328	0
Full-Time Positions - Civilian	51	49	56	56	56	0
<b>TOTAL</b>	<b>551</b>	<b>491</b>	<b>384</b>	<b>384</b>	<b>384</b>	<b>0</b>

*\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.*



<b>Operations-Infrastructure and Environmental Health</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$5,497	\$5,088	\$6,578	\$6,692	\$6,583	(\$109)
Full-Time Salaried - Civilian	24,275	24,685	20,732	20,782	20,798	16
Additional Gross Pay	2,195	2,368	0	21	0	(21)
Overtime - Uniformed	1,906	1,687	0	0	0	0
Overtime - Civilian	9,905	10,322	645	645	645	0
Fringe Benefits	70	61	395	395	395	0
P.S. Other	(90)	(101)	0	0	0	0
Unsalaries	0	9	0	0	0	0
<b>Subtotal</b>	<b>\$43,757</b>	<b>\$44,120</b>	<b>\$28,351</b>	<b>\$28,536</b>	<b>\$28,422</b>	<b>(\$114)</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$5,155	\$5,837	\$5,014	\$7,791	\$5,014	(\$2,777)
Fixed and Misc Charges	1,287	1	0	35	0	(35)
Property and Equipment	26	0	118	53	118	65
Other Services and Charges	5,958	8,694	0	17,735	0	(17,735)
Contractual Services	15,139	14,306	8,566	27,249	8,566	(18,682)
<b>Subtotal</b>	<b>\$27,565</b>	<b>\$28,837</b>	<b>\$13,699</b>	<b>\$52,862</b>	<b>\$13,699</b>	<b>(\$39,164)</b>
<b>TOTAL</b>	<b>\$71,323</b>	<b>\$72,957</b>	<b>\$42,049</b>	<b>\$81,398</b>	<b>\$42,121</b>	<b>(\$39,277)</b>
<b>Funding</b>						
City Funds			\$42,049	\$80,421	\$42,121	\$72
Other Categorical			0	977	0	0
<b>TOTAL</b>			<b>\$42,049</b>	<b>\$81,398</b>	<b>\$42,121</b>	<b>\$72</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	52	50	72	72	72	0
Full-Time Positions - Civilian	268	257	225	225	225	0
<b>TOTAL</b>	<b>320</b>	<b>307</b>	<b>297</b>	<b>297</b>	<b>297</b>	<b>0</b>

\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.

<b>Health and Programs</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$4,286	\$3,787	\$4,408	\$4,526	\$4,411	\$3
Full-Time Salaried - Civilian	10,684	13,857	9,114	15,852	15,853	6,739
Other Salaried and Unsalari ed	0	0	0	0	0	0
Additional Gross Pay	731	768	0	16	0	0
Overtime - Uniformed	669	889	0	0	0	0
Overtime - Civilian	597	295	0	0	0	0
Fringe Benefits	58	53	0	0	0	0
<b>Subtotal</b>	<b>\$17,024</b>	<b>\$19,649</b>	<b>\$13,522</b>	<b>\$20,394</b>	<b>\$20,264</b>	<b>\$6,743</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$972	\$1,070	\$2,179	\$1,706	\$2,179	\$0
Fixed and Misc Charges	63	44	0	321	0	0
Property and Equipment	1,624	1,419	725	1,605	725	0
Other Services and Charges	6,910	5,668	11,373	17,104	11,373	0
Social Services	160	10	1,020	1,374	1,745	725
Contractual Services	25,473	17,132	20,361	23,984	20,361	0
<b>Subtotal</b>	<b>\$35,202</b>	<b>\$25,342</b>	<b>\$35,658</b>	<b>\$46,094</b>	<b>\$36,383</b>	<b>\$725</b>
<b>TOTAL</b>	<b>\$52,227</b>	<b>\$44,991</b>	<b>\$49,180</b>	<b>\$66,487</b>	<b>\$56,647</b>	<b>\$7,468</b>
<b>Funding</b>						
City Funds			\$49,087	\$58,077	\$56,555	\$7,468
Intra City			93	110	93	0
Federal- Other			0	8300	0	0
<b>TOTAL</b>	<b>\$52,227</b>	<b>\$44,991</b>	<b>\$49,180</b>	<b>\$66,487</b>	<b>\$56,647</b>	<b>\$7,468</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	46	42	49	50	49	0
Full-Time Positions - Civilian	179	220	141	231	231	90
<b>TOTAL</b>	<b>225</b>	<b>262</b>	<b>190</b>	<b>281</b>	<b>280</b>	<b>90</b>

\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.

<b>Operations-Hospital Prison Ward</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$19,584	\$18,798	\$14,886	\$15,350	\$14,901	\$14
Full-Time Salaried - Civilian	51	72	66	66	66	0
Additional Gross Pay	2,964	3,007	0	0	0	0
Overtime - Uniformed	2,011	2,134	0	0	0	0
Fringe Benefits	246	204	0	0	0	0
<b>Subtotal</b>	<b>\$24,856</b>	<b>\$24,215</b>	<b>\$14,953</b>	<b>\$15,416</b>	<b>\$14,967</b>	<b>\$14</b>
<b>TOTAL</b>	<b>\$24,856</b>	<b>\$24,215</b>	<b>\$14,953</b>	<b>\$15,416</b>	<b>\$14,967</b>	
<b>Funding</b>						
City Funds	\$24,856	\$24,215	\$14,953	\$15,416	\$14,967	\$14
<b>TOTAL</b>	<b>\$24,856</b>	<b>\$24,215</b>	<b>\$14,953</b>	<b>\$15,416</b>	<b>\$14,967</b>	<b>14</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	192	227	170	170	170	0
Full-Time Positions - Civilian	1	1	1	1	1	0
<b>TOTAL</b>	<b>193</b>	<b>228</b>	<b>171</b>	<b>171</b>	<b>171</b>	<b>0</b>

\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.

<b>Administration-Academy and Training</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$10,186	\$7,343	\$12,096	\$12,183	\$12,126	\$30
Full-Time Salaried - Civilian	1,538	1,364	815	838	838	23
Additional Gross Pay	1,378	1,013	0	9	0	0
Overtime - Uniformed	1,774	1,749	0	0	0	0
Overtime - Civilian	39	5	0	0	0	0
Fringe Benefits	98	76	0	0	0	0
Unsalaries	141	0	0	0	0	0
<b>Subtotal</b>	<b>\$15,155</b>	<b>\$11,550</b>	<b>\$12,911</b>	<b>\$13,030</b>	<b>\$12,964</b>	<b>\$53</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$25	\$52	\$100	\$100	\$100	\$0
Property and Equipment	0	0	642	306	642	0
Other Services and Charges	2,347	2,297	0	2,269	0	0
Contractual Services	869	2,102	4,440	2,101	4,440	0
<b>Subtotal</b>	<b>\$3,241</b>	<b>\$4,451</b>	<b>\$5,182</b>	<b>\$4,776</b>	<b>\$5,182</b>	<b>\$0</b>
<b>TOTAL</b>	<b>\$18,396</b>	<b>\$16,001</b>	<b>\$18,093</b>	<b>\$17,806</b>	<b>\$18,146</b>	<b>\$53</b>
<b>Funding</b>						
City Funds			\$18,093	\$17,806	\$18,146	\$53
<b>TOTAL</b>			<b>\$18,093</b>	<b>\$17,806</b>	<b>\$18,146</b>	<b>\$53</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	88	56	122	122	122	0
Full-Time Positions - Civilian	15	14	12	12	12	0
<b>TOTAL</b>	<b>103</b>	<b>70</b>	<b>134</b>	<b>134</b>	<b>134</b>	<b>0</b>

*\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.*

<b>Administration-Mgmt and Administration</b>						
<i>Dollars in Thousands</i>						
	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>FY22</b>	<b>FY23</b>	<b>FY22-FY23</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Uniformed	\$16,769	\$16,618	\$20,296	\$19,980	\$20,319	\$23
Full-Time Salaried - Civilian	51,125	48,262	51,571	53,735	53,650	2,078
Additional Gross Pay	3,103	3,239	96	134	96	0
Overtime - Uniformed	4,793	2,834	0	0	0	0
Overtime - Civilian	2,895	807	0	0	0	0
Fringe Benefits	173	189	0	0	0	0
Unsalaries	46	0	0	0	0	0
<b>Subtotal</b>	<b>\$78,904</b>	<b>\$71,950</b>	<b>\$71,963</b>	<b>\$73,849</b>	<b>\$74,064</b>	<b>\$2,101</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$1,436	\$816	\$1,025	\$1,037	\$1,025	\$0
Property and Equipment	2,982	894	2,299	1,140	2,299	0
Other Services and Charges	15,470	17,208	14,252	16,932	14,245	(7)
Fixed & Misc. Charges	147	20	47	47	47	0
Contractual Services	9,908	10,499	9,997	21,735	22,494	12,497
<b>Subtotal</b>	<b>\$29,944</b>	<b>\$29,437</b>	<b>\$27,620</b>	<b>\$40,892</b>	<b>\$40,109</b>	<b>\$12,490</b>
<b>TOTAL</b>	<b>\$108,847</b>	<b>\$101,387</b>	<b>\$99,583</b>	<b>\$114,741</b>	<b>\$114,173</b>	<b>\$14,591</b>
<b>Funding</b>						
Capital-IFA			\$778	\$778	\$778	\$0
City Funds			98,804	113,873	113,396	14,592
Intra City			0	90	0	0
<b>TOTAL</b>			<b>\$99,583</b>	<b>\$114,742</b>	<b>\$114,174</b>	<b>\$14,592</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Uniform	188	164	226	220	226	0
Full-Time Positions - Civilian	640	549	608	636	635	27
<b>TOTAL</b>	<b>828</b>	<b>713</b>	<b>834</b>	<b>856</b>	<b>861</b>	<b>27</b>

*\*The difference of Fiscal 2022 Adopted Budget compared to Fiscal 2023 Preliminary Budget.*

**D. Program Area Budget by Unit of Appropriation**

	<b>001</b>	<b>002</b>	<b>003</b>	<b>004</b>	<b>Grand Total</b>
Administration-Academy and Training	\$0	\$12,964	\$5,182	\$0	\$18,146
Administration-Mgmt & Administration	73,519	545	11,787	28,323	114,174
Health and Programs	15,859	4,406	35,899	484	56,648
Jail Operations	30,398	841,048	72,366	0	943,812
Operations-Hospital Prison Ward	0	14,967	0	0	14,967
Operations-Infrastr.& Environ. Health	3,396	25,026	13,699	0	42,121
Operations-Rikers Security & Ops	0	34,617	5,109	0	39,726
<b>Grand Total</b>	<b>\$123,172</b>	<b>\$933,572</b>	<b>\$144,042</b>	<b>\$28,808</b>	<b>\$1,229,594</b>