

# THE COUNCIL OF THE CITY OF NEW YORK

Hon. Corey Johnson  
Speaker of the Council

Hon. Daniel Dromm  
Chair, Committee on Finance



Report of the Finance Division on the  
Fiscal 2021 Preliminary Budget

**The Office of the Comptroller**

March 2, 2020

## **Finance Division**

Andrew Wilber, Economist

Ray Majewski, Deputy Director / Chief Economist

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Latonia McKinney, Director  
Regina Poreda Ryan, Deputy Director

Nathan Toth, Deputy Director  
Emre Edev, Assistant Director

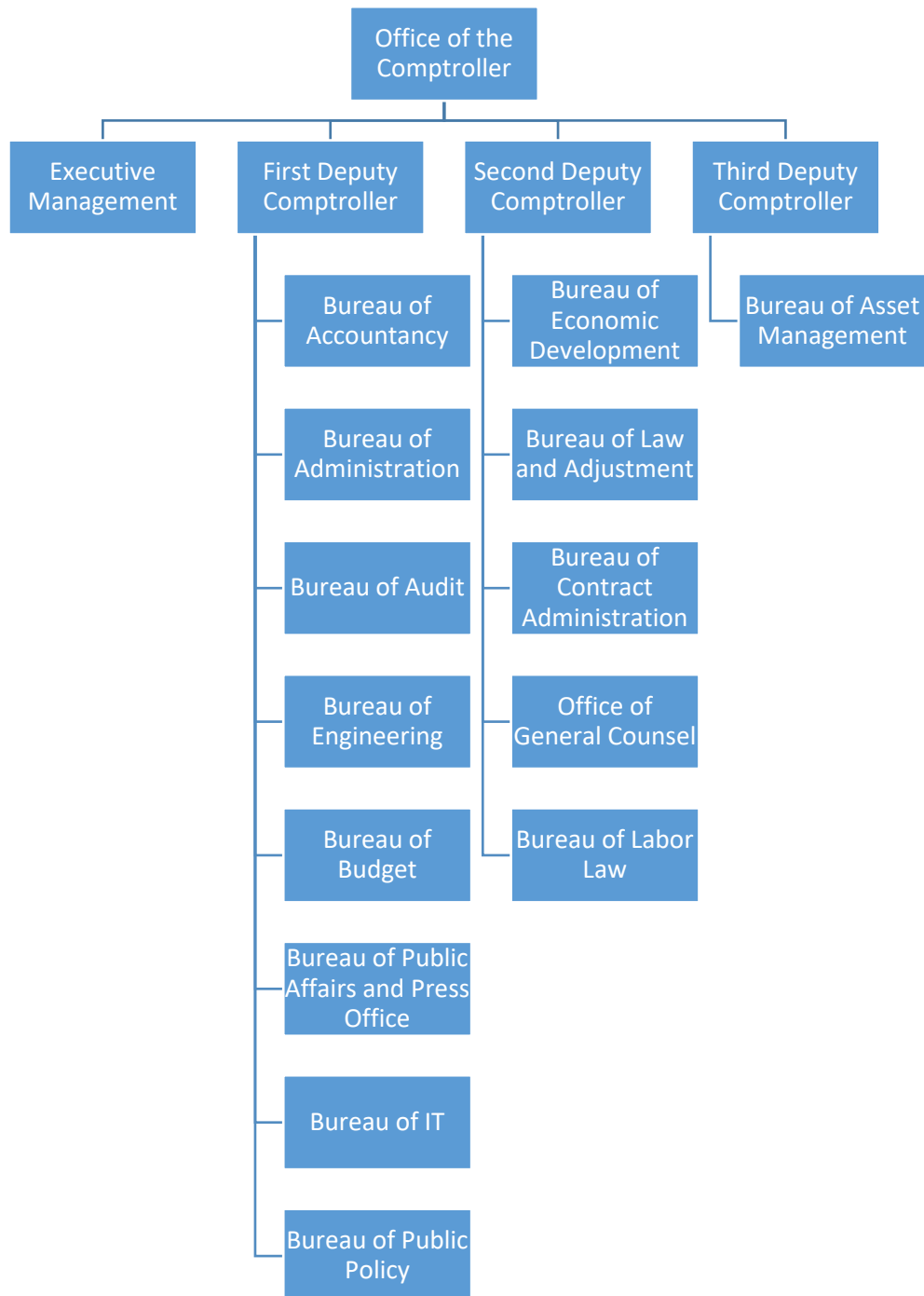
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## Office of the Comptroller

The Comptroller’s Office consists of about 800 employees – including accountants, attorneys, economists, engineers, IT professionals, budget, financial, and investment analysts, claim specialists and researchers, and administrative support staff – serving across four program areas that contribute to the agency’s oversight role in New York City’s local government.

### Departmental Structure



**The Comptroller's responsibilities include:**

- Performance and financial audits of all City agencies;
- Serving as a fiduciary to the City's five public pension funds totaling approximately \$216 billion;
- Providing comprehensive oversight of the City's budget and fiscal condition;
- Reviewing City contracts for integrity, accountability and fiscal condition;
- Resolving claims on behalf and against the City; and
- Setting prevailing wage and enforcing prevailing wage and living wage laws.

**Financial Plan Summary**

Table 1

<b>Comptroller Financial Summary</b>						
<i>Dollars in Thousands</i>	FY18	FY19	FY20	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY20	FY21	FY20-FY21
<b>Spending</b>						
Personal Services	\$67,626	\$70,042	\$75,922	\$75,969	\$76,556	\$635
Other Than Personal Services	31,045	32,752	36,831	39,455	36,880	49
<b>TOTAL</b>	<b>\$98,671</b>	<b>\$102,794</b>	<b>\$112,753</b>	<b>\$115,424</b>	<b>\$113,436</b>	<b>\$684</b>
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$64,614	\$66,966	\$72,891	\$73,143	\$73,725	\$834
Additional Gross Pay	2,078	2,148	1,963	1,963	1,963	0
Other Salaried & Unsalariad	62	74	8	8	10	1
Overtime - Civilian	247	193	395	395	395	0
P.S. Other	3	4	0	0	0	0
Amount to be Scheduled	0	0	204	0	0	(204)
Unsalariad	623	657	460	460	464	3
<b>SUBTOTAL</b>	<b>\$67,626</b>	<b>\$70,042</b>	<b>\$75,922</b>	<b>\$75,969</b>	<b>\$76,556</b>	<b>\$635</b>
<b>Other Than Personal Services</b>						
Contractual Services	\$27,116	\$28,465	\$31,325	\$31,208	\$31,552	\$227
Fixed & Misc. Charges	22	11	587	593	587	0
Other Services & Charges	2,749	2,811	3,643	6,032	3,448	(195)
Property & Equipment	718	1,080	622	969	639	17
Supplies & Materials	439	386	655	651	655	0
<b>SUBTOTAL</b>	<b>\$31,045</b>	<b>\$32,752</b>	<b>\$36,831</b>	<b>\$39,455</b>	<b>\$36,880</b>	<b>\$49</b>
<b>TOTAL</b>	<b>\$98,671</b>	<b>\$102,794</b>	<b>\$112,753</b>	<b>\$115,424</b>	<b>\$113,436</b>	<b>\$684</b>
<b>Funding</b>						
City Funds			\$87,032	\$89,687	\$87,506	\$474
Other Categorical			12,450	12,450	12,528	78,121
Capital- IFA			13,058	13,074	13,189	130,906
Intra City			213	212,854	212,854	0
<b>TOTAL</b>	<b>\$98,671</b>	<b>\$102,794</b>	<b>\$112,753</b>	<b>\$115,424</b>	<b>\$113,436</b>	<b>\$684</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	760	748	791	791	791	0

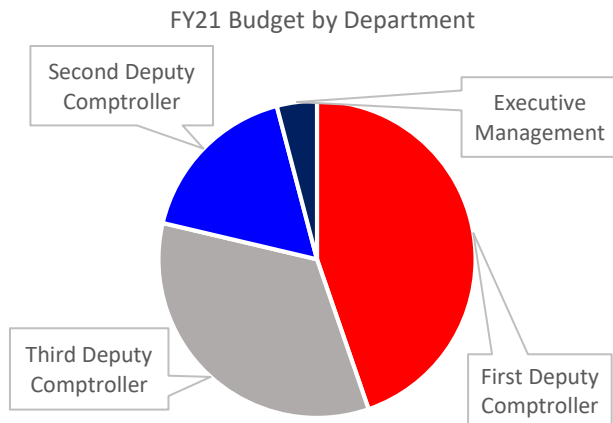
\*The difference of Fiscal 2020 Adopted Budget compared to Fiscal 2021 Preliminary Budget.

The Office of the Comptroller's (Office) Fiscal 2021 Preliminary Budget totals \$113.4 million, including \$76.6 million for Personal Services to support 791 budgeted full-time positions, and \$36.8 million for Other Than Personal Services. Personal Services, or PS, refers to budgetary funds intended to pay salaries and benefits for employees of the agency. The Other Than Personal Services category, or OTPS, accounts for budgetary funding used for all other general operating costs of the agency, including contracts.

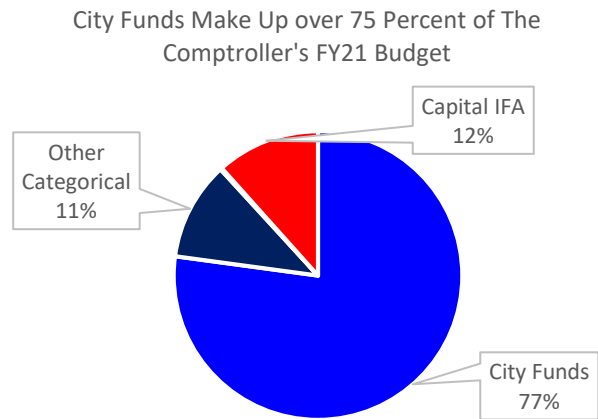
The Office’s Fiscal 2021 \$113.4 million Preliminary Budget is roughly \$2 million less than the current budget for Fiscal 2020. Changes to the Office’s budget since Adoption of the Fiscal 2020 budget include only minimal budgetary adjustments and transfers for Fiscal 2021. For the current fiscal year, the Office’s budget posted a single new need totaling \$2.6 million for IT infrastructure upgrades in its First Deputy Comptroller division.

The Office is broken into four main departments, each with its own budget. Figures 1 and 2 below detail the percentage of the Office’s budget allocated to each department and the various sources which fund its budget.

**Figure 1**



**Figure 2**

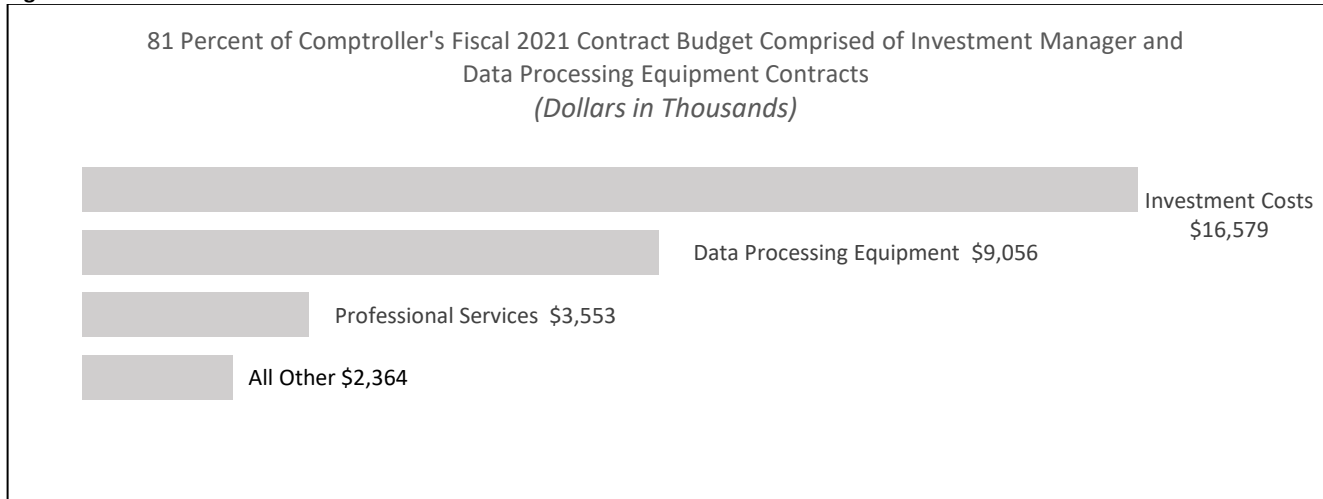


## Contract Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personnel service provided to the City by means of a contract. The Contract Budget is a subset of the OTPS portion of the City’s Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. The Fiscal 2021 Preliminary Citywide Contract Budget totals just shy of \$17 billion for procurement expenditures across all agencies.

The Office’s Preliminary Fiscal 2021 Contract Budget totals \$31.6 million for 80 contracts accounting for almost 86 percent of the Office’s overall OTPS budget. Roughly half, or \$16.6 million, of the Office’s budget for procurement fulfills its contract agreements with various providers in its Bureau of Asset Management, which oversees the five pension systems that comprise the New York City Retirement System. The other significantly sizable portion of the Office’s contract budget is data processing equipment, where the Office’s Fiscal 2021 budget accounts for a little more than \$9 million split between the Bureau of Asset Management (\$3 million) and the Bureau of Information Systems & Technology (\$6.1 million). Combined, the investment manager and data processing equipment contracts comprise more than 81 percent of the Office’s contract budget for Fiscal 2021.

Figure 3



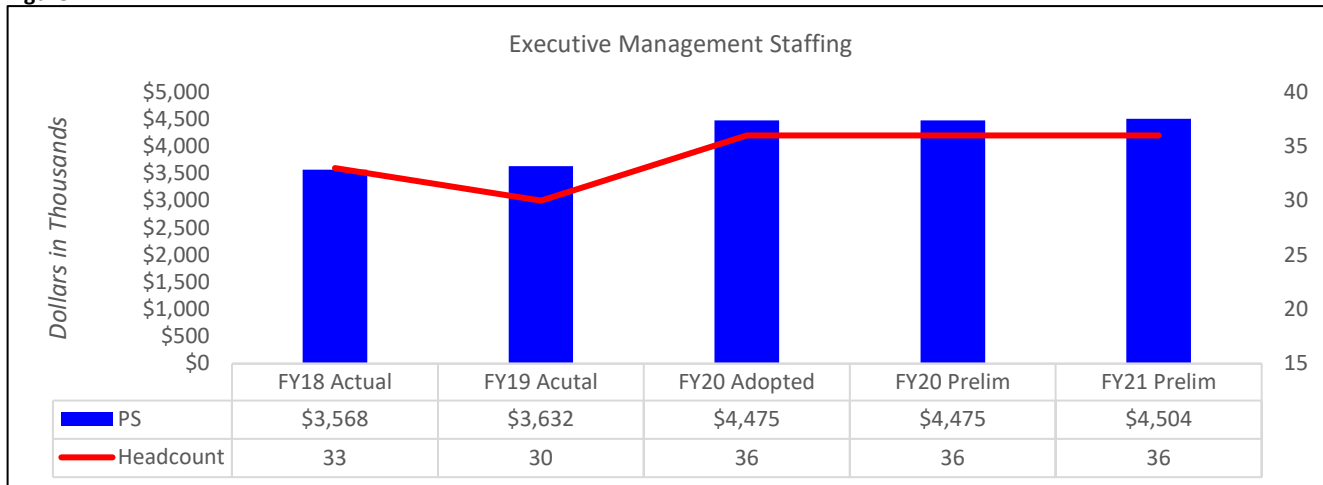
### Departmental Budgets

The Office of the Comptroller is structured into four main departments: Executive Management, First Deputy Comptroller, Second Deputy Comptroller, and Third Deputy Comptroller. Each department holds several distinct bureaus which contribute to the output and function of the Office. The following section provides a brief description of each department’s overall function, while detailing the role of each contributing bureau and corresponding budget. Appendix C provides a funding summary for each department and its respective bureaus.

#### Executive Management

The Comptroller’s Executive Management department houses the Office’s executive personnel, and is made up almost entirely of PS funding for 36 full-time positions. The proposed budget for the Executive Management department totals \$4.6 million for Fiscal 2021, which includes \$4.5 million in PS funding and \$130,916 in OTPS. As of December 2019, the Executive Management department was operating at a 13.9 percent staff vacancy rate with 31 positions filled.

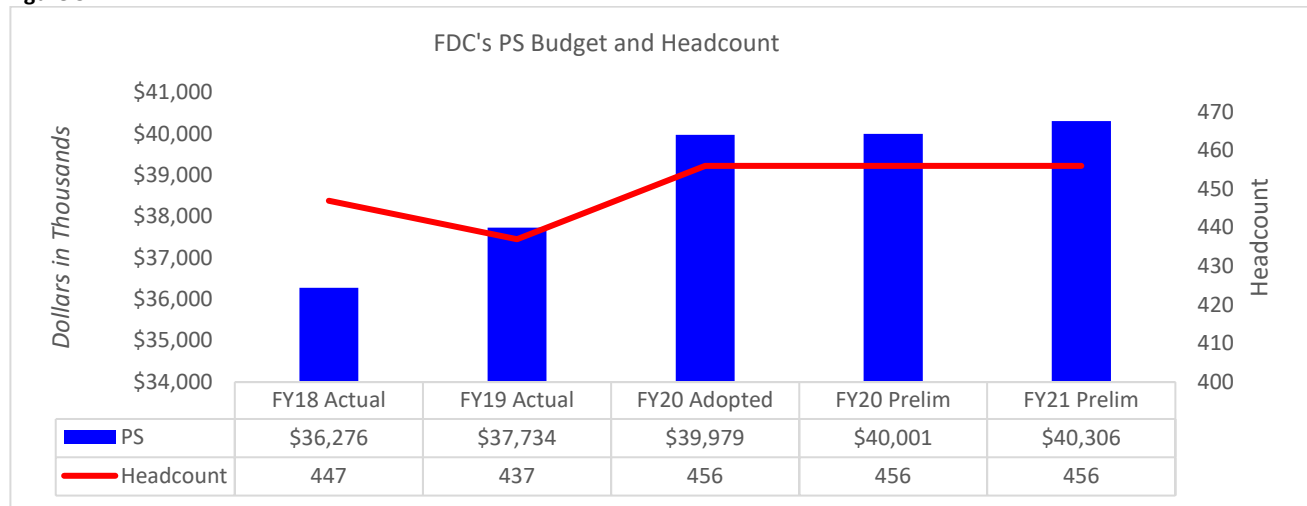
Figure 4



### First Deputy Comptroller

The First Deputy Comptroller (FDC) oversees several operations critical to the Office’s role in the audit and oversight of the City’s budget and financial management. Specific bureaus under the purview of the First Deputy Comptroller include Accountancy, Administration, Audit, Engineering, Budget, Public Affairs, Press Office, Information Systems & Tech, and Public Policy. The FDC’s budget totals \$50.7 million for Fiscal 2021, which includes \$40.3 million in PS funding to support 456 full-time positions, and \$10.4 million in OTPS. The figure below gives a breakdown of the FDC’s actual PS expenditures and headcount for Fiscal 2018-2019, as well as its budgeted headcount from the Fiscal 2020 Preliminary and Adopted Budgets, and the Fiscal 2021 Preliminary Budget. The Fiscal 2021 Preliminary budgeted headcount remains unchanged from Fiscal 2020. As of December 2019, the FDC was operating at a 4.2 percent staff vacancy rate with 437 positions filled.

Figure 5



### Bureau of Accountancy

The Bureau of Accountancy’s budget for Fiscal 2021 totals \$7.5 million to support 97 full-time positions, and does not include any OTPS funding.

The Bureau of Accountancy manages all aspects of the City’s financial accounting and reporting, including management of the City’s centralized accounting, internal control and budgeting systems, and the City’s Financial Management System (FMS). It also prepares a number of annual reports on the City’s financial position, including the Comptroller’s Comprehensive Annual Financial Report and Popular Annual Financial Report, which contain Charter-mandated audited financial statements for the City. Additionally, the Bureau of Accountancy ensures accuracy in City agency accounting, reconciles over 100 City bank accounts, provides investment accounting for the City’s five main pension plans and eleven variable supplement funds, services the City’s general obligation debt, and approves vendor information. Lastly, it issues and updates the Comptroller’s Directives and Memoranda, dictating a broad spectrum of accounting and internal control procedures for City agencies and related entities.

### Bureau of Administration

The Bureau of Administration’s Fiscal 2021 budget totals \$7.3 million, which includes \$3.9 million in PS funding to support 45 full-time positions, and \$3.4 million in OTPS funding. The Bureau of Administration supports the work of other bureaus, including management of the Office’s capital and

operating budgets, human resources functions, procurement and payment activity, facilities management, and information systems support.

### **Bureau of Audit**

The Fiscal 2021 budget for the Bureau of Audit totals \$14.1 million in PS funding to support 171 full-time positions, and nothing budgeted for OTPS. The Bureau of Audit manages the Office's audit and analysis of the City's agencies. The City Charter requires the Comptroller to perform an audit of some aspect of each City agency once every four years. It is also mandated by the Charter that the Comptroller perform financial audits of City transactions, revenues, and expenditures, ensuring agencies meet their annual goals and are using funds responsibly.

The Bureau of Audit conducted 61 audits and special reports over the course of Fiscal 2019 including topics such as revenue and cost savings, asset management, service delivery and program performance, and information technology. A complete list of the Comptroller's audits and reports can be found at <https://comptroller.nyc.gov/reports/>.

### **Bureau of Engineering**

The Bureau of Engineering's Fiscal 2021 budget totals \$3.9 million. Its budget is comprised entirely of PS funding for 37 full-time positions. The Bureau of Engineering aids the Comptroller's Office in technical consulting and engineering. Mainly, it holds the responsibility to negotiate and recommend the disposition of contract disputes and claims between contractors and the City. It also reports on liability and damages to the Bureau of Law and Adjustment to be used in damage tort claims.

### **Bureau of Budget**

The Fiscal 2021 budget for the Bureau of Budget, comprised entirely of PS funding, totals \$2.4 million to support 16 full-time positions. The Bureau of Budget monitors and reports on the City's financial position by evaluating the City's revenue collection, expenditures, capital program, debt capacity, and the health of the local economy.

### **Bureau of Public Affairs and Press Office**

The Bureau of Public Affairs and the Press Office have a budget totaling \$2.4 million for Fiscal 2021 supporting 29 full-time positions. The Bureau of Public Affairs serves as the Office's primary liaison to the public, Administration, and authorities at the State, federal, and local levels. Through management of the Community Action Center, the Bureau fields complaints from the public regarding inadequate government services throughout the City. Additionally, the Bureau organizes several events for the Office, including meetings with civic and community leaders and Community Boards across the five boroughs, financial education workshops, and town hall meetings. The Press Office circulates information and reports produced by the Office, fields questions from news outlets, manages the Office's social media accounts, and prepares written communications on behalf of the Office.

### **Bureau of Information Systems & Technology (IT)**

The Bureau of IT's Fiscal 2021 budget totals \$12.3 million, including \$5.3 million in PS funding to support 54 full-time positions, and \$7 million in OTPS funding. The Bureau of IT cultivates technology solutions, maintaining more than 120 software applications, and facilitates hardware operations, website development and administration, business continuity planning, security administration, webcasting and video services, communications and network administration, and systems development.



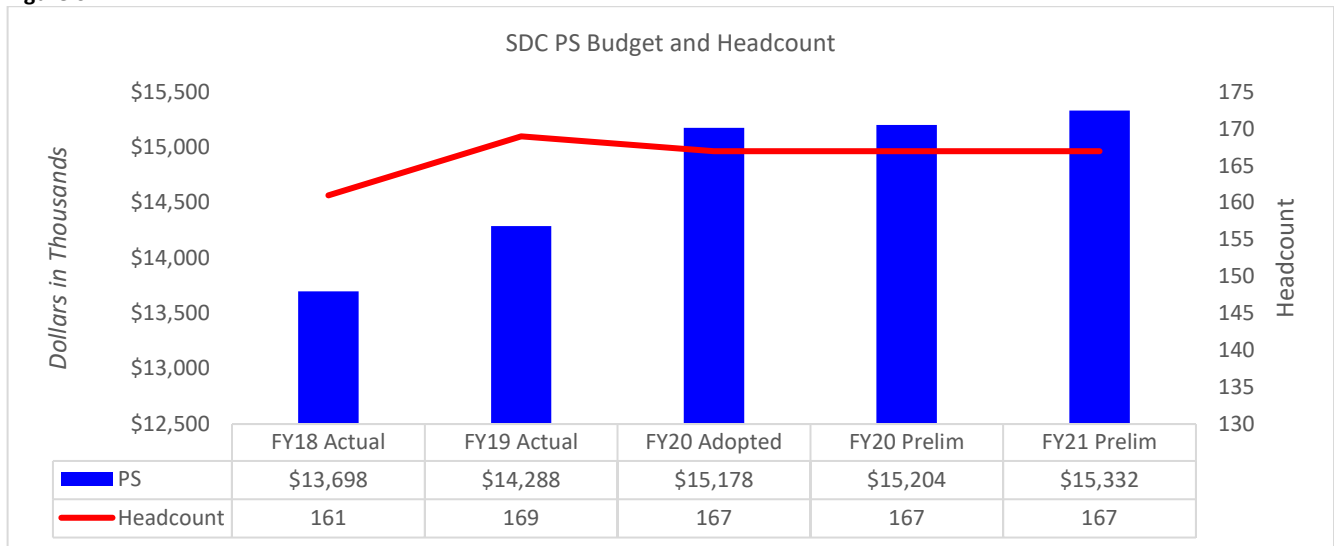
**Bureau of Public Policy**

The Fiscal 2021 budget for the Bureau of Public Policy totals \$842,613 in PS funding for seven positions with no OTPS funding. The Bureau of Public Policy generates policy recommendations and initiatives on an array of topics, including education, infrastructure, economic development, housing, healthcare, procurement, transportation, public safety, and immigration.

**Second Deputy Comptroller**

The Second Deputy Comptroller (SDC) oversees several functions important to the Office’s role in several key areas of both internal and Citywide operations. Specific bureaus under the umbrella of the SDC include Economic Development, Law & Adjustment, Contract Administration, General Counsel, and Labor Law. The SDC’s budget totals \$19.5 million for Fiscal 2021, which includes \$15.3 million in PS funding to support 167 full-time positions, and \$4.2 million in OTPS. Figure 6 gives a breakdown of the SDC’s actual PS budget and headcount for Fiscal 2018-2019, as well as its budgeted headcount from the Fiscal 2020 Preliminary and Adopted Budgets, and the Fiscal 2021 Preliminary Budget. The Fiscal 2021 Preliminary budgeted headcount remains unchanged from Fiscal 2020. As of December 2019, the SDC was operating with a 1.2 percent staff vacancy rate with 165 positions filled.

Figure 6



**Bureau of Economic Development**

The Fiscal 2021 budget for the Bureau of Economic Development is comprised entirely of PS funding, totaling \$724,876 to support 6 full-time positions. The Bureau of Economic Development employs the Office’s authority to create sustainable opportunities which spur economic growth and development throughout the City. The Bureau plays an important role in the oversight of the City’s agencies tasked with generating economic development for the City, and recommends solutions for economically targeted investments.

**Bureau of Law and Adjustment**

The Bureau of Law and Adjustment’s Fiscal 2021 budget totals \$10.9 million, including \$6.7 million in PS funding to support 80 full-time positions, and \$4.2 million in OTPS funding. The City Charter gives the Comptroller sole authority in settling claims on behalf or against the City before a lawsuit is filed. The Bureau of Law and Adjustment oversees all of the Office’s resolution and settlement claims. A

large part of the Bureau's work includes the investigation of false claims and referral of fraudulent claims to the District Attorney.

In Fiscal 2019, the City spent \$705 million on judgments and claims, with the Comptroller approving roughly \$24 million in affirmative settlements. Affirmative settlements refers to money paid to the City based on claims against others. In collaboration with City agencies, the Comptroller was also able to collect \$12.4 million from claimants who had settlements from the City, and had outstanding obligations to the City for public assistance or child support. Lastly, demonstrating efforts to increase compensation from New Yorkers who damage City property, the Comptroller collected about \$2.4 million from property damage affirmative claims.

### **Bureau of Contract Administration**

The Fiscal 2021 budget for the Bureau of Contract Administration totals \$3.1 million to support 34 full-time positions, and nothing budgeted for OTPS. The Bureau of Contract Administration manages the Office's oversight role in the City's procurement process. The Bureau registers contracts and purchase agreements, including franchise, revocable consent, or concession agreements financed through the City's treasury or with money from the City's budget. Prior to a contract with the City receiving legal implementation, the City Charter requires the Comptroller's Office to register the contract within 30 days from the date it was submitted for registration. Contract registration marks the Office's role in the oversight process of City's procurement cycle. The Office's role in registration ensures that the City has adequate funding to pay for the goods and services, guarantees that all appropriate certifications and documentation are obtained, identifies flags on the contractor's record, and certifies that no corruption existed throughout the contract's life cycle up through registration.

The Bureau of Contract Administration received 22,887 contract actions for registration in Fiscal 2019, of which 91 percent were eventually registered. The other nine percent of contract actions were either returned to the submitting agency with questions and flags, or withdrawn by the submitting agency. Although it is not clear of the timeline for contract rejection from the Bureau of Contract Administration, the Council has heard from several agencies and providers that the contract rejections often occur at the tail end of the Comptroller's 30-day registration period. For many providers, this further extends the amount of time before payment is disbursed.

### **Office of the General Counsel**

The Fiscal 2021 budget for the General Counsel's Office totals \$1.8 million in PS funding to support 11 full-time positions. The General Counsel's Office advises the Comptroller on legal matters related to its mission and operations, and provides legal guidance related to investment activity and pension issues pursuant to the Comptroller's role as fiduciary of the City's five public pension funds. The General Counsel also oversees the Bureau of Labor Law's enforcement of prevailing and living wage requirements, and the Bureau of Law and Adjustment's role in settling and adjusting claims.

### **Bureau of Labor Law**

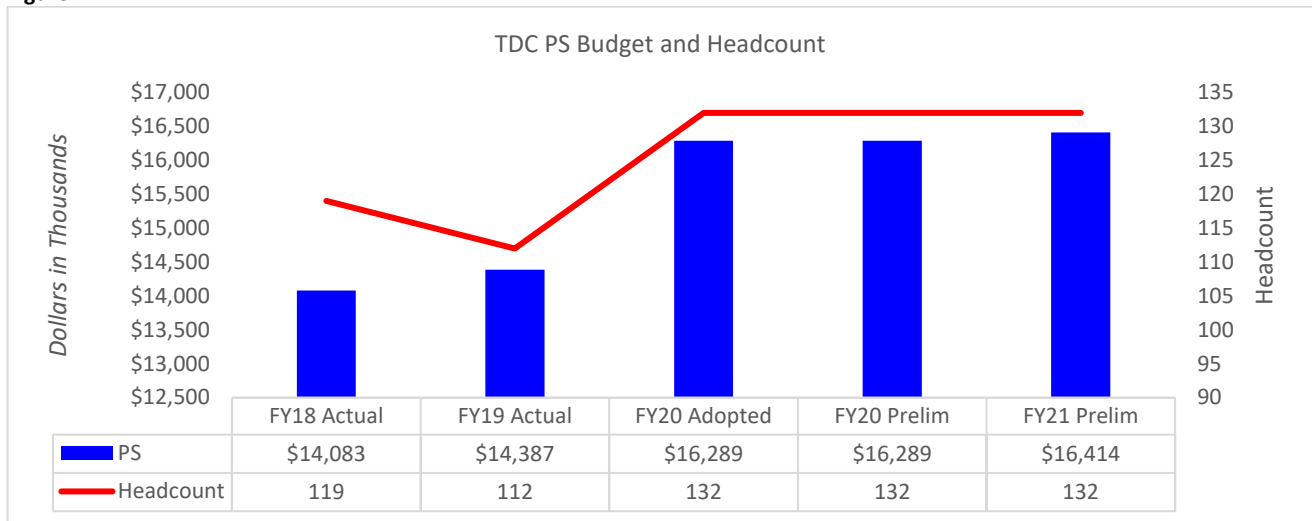
The Bureau of Labor Law's Fiscal 2021 budget totals \$2.3 million in PS funding to support 29 full-time positions. The Bureau of Labor Law sets and enforces both prevailing wage and benefit rates for workers employed on public works projects and building/service contracts for City agencies. Each year, in addition to setting prevailing wage and benefits rates, the Bureau investigates violations for prevailing wage and benefits rates and brings enforcement proceedings to the Office of Administrative Trials and Hearings. The Bureau also conducts outreach and distributes educational materials specific to compliance with prevailing wage and benefits rates regulation.

In Fiscal 2019, the Bureau of Labor law assessed more than \$2.4 million in underpayments and interest against City contractors, and assessed over \$20,000 in civil penalties against those contractors. Additionally, the Bureau opened 60 new cases, resolved 89 cases, and debarred three contractors from New York State and City public works for egregious conduct.

**Third Deputy Comptroller**

The Third Deputy Comptroller’s (TDC) department houses the Asset Management function of the Comptroller’s Office. The TDC’s budget totals \$38.5 million for Fiscal 2021, which includes \$16.4 million in PS funding to support 132 full-time positions, and \$22.1 million in OTPS. The figure below gives a breakdown of the TDC’s actual PS budget and headcount for Fiscal 2018-2019, as well as its budgeted headcount from the Fiscal 2020 Preliminary and Adopted Budgets, and the Fiscal 2021 Preliminary Budget. The Fiscal 2021 Preliminary budgeted headcount remains unchanged since Fiscal 2020. As of December 2019, the TDC was operating with a 12.1 percent staff vacancy rate with 116 positions filled.

**Figure 7**

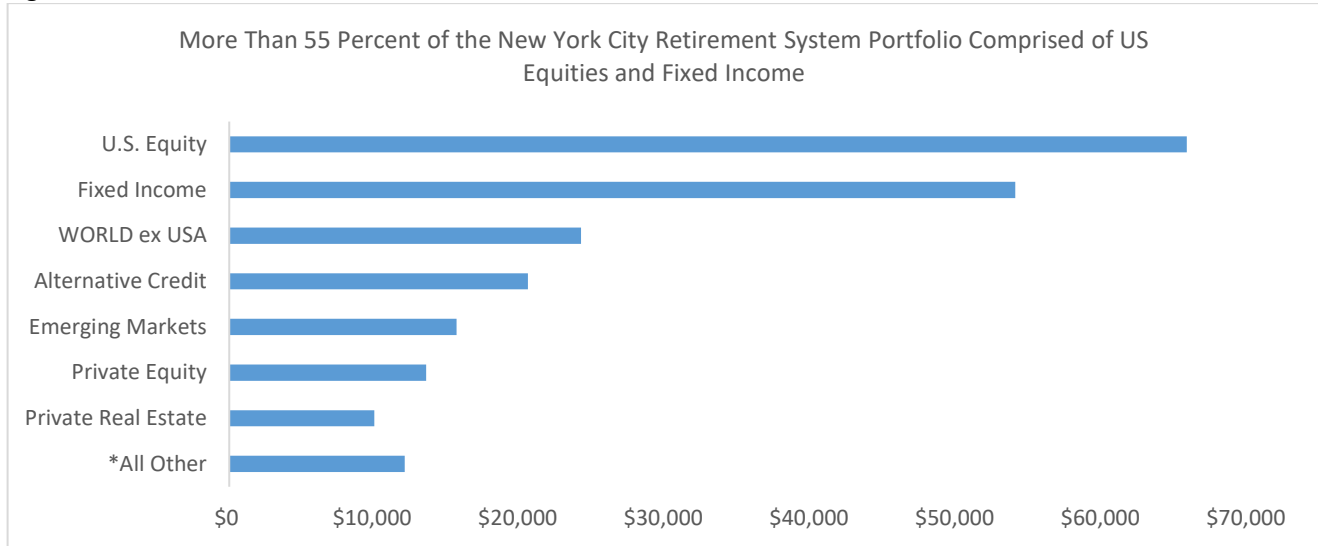


**Bureau of Asset Management**

The Bureau of Asset Management has a budget for Fiscal 2021 comprised of \$16.4 million in PS funding to support 132 full-time positions, and \$22.1 million in OTPS funding. Of its OTPS budget, \$16.5 million is budgeted for contracts related to governance and audit of New York City’s Retirement Systems portfolio. The Bureau of Asset Management plays a large role in monitoring investment and corporate responsibility practices at portfolio companies through its Corporate Governance and Responsible Investment (GCR) group. During Fiscal 2019, the CGR voted on 126,775 ballot items at more than 13,000 shareholder meetings in 86 global markets. Some of the significant proxy voting issues included the election of directors; proposal by management to approve auditors; approve executive compensation and mergers and acquisitions; and shareholder proposals on a collection of environmental, social, and governance policies and practices.

During Fiscal 2019, the time-weighted return of the aggregate portfolio for the City’s pension system, net of management fees, was 7.2 percent, contrasted with an 8.7 percent return for Fiscal 2018. Figure 8 gives a summary of the asset allocation of the City’s pension funds as of August, 2019.

**Figure 8**



*\*All other contains hedge funds, infrastructure, cash, real estate investment trusts, international fund of funds, and global equity*

**US Equity**

The City’s pension system contains roughly \$65.7 billion in US Equity as of August, 2019. This allocation achieved returns of 8.68 percent and 14.88 percent for Fiscal 2018 and 2019 respectfully. These returns are well within proximity to the returns in a broader US equity market which continues to benefit from US economic expansion. The benchmark (Russell 3000 Index) indicated similar returns of 8.98 percent in Fiscal 2019, and 14.78 percent for the year prior.

**Fixed Income**

Fixed income provides another of the City’s pension system portfolio’s most substantial allocations, representing roughly 25 percent, or \$54 billion, of the broader portfolio as of August, 2019. At the aggregate level, the fixed income program achieved favorable performance, returning 8.93 percent for Fiscal 2019, ahead of the benchmark (Bloomberg Barclays Aggregate Index) 7.87 percent return for the same period.

**World ex-US Equity**

World ex-US Equity comprises 11.2 percent, or \$24.1 billion, of the City’s pension system portfolio. The MSCI World ex-USA Investable Market Net Dividends Index, the benchmark for this allocation, recognized returns of 11.69 percent and .28 percent for Fiscal 2018 and 2019 respectfully. By contrast this allocation in the City’s pension system portfolio saw returns of 11.2 percent for Fiscal 2018, and .28 percent for Fiscal 2019.

**Alternative Credit**

The Alternative Credit program contributes a portfolio allocation of 9.5 percent, or \$20.5 billion. The Alternative Credit program is organized into three asset classes: High-Yield Bonds, Opportunistic Fixed-Income, and Bank Loans.

Of the 9.5 percent Alternative Credit program allocation, High-Yield Bonds contribute 4.8 percent. With a market value of \$10 billion as of August, 2019, the High-Yield portfolio achieved a 7.5 percent return, slightly ahead of the 7.48 percent return of its benchmark (Barclays US High Yield 2% Issuer-Capped).

The Opportunistic Fixed Income portfolio makes up 2.9 percent of the City's broader pension system portfolio with market value totaling \$6.1 billion as of August 2019. Several strategies are employed in Opportunistic Fixed-Income portfolio, including distressed debt, non-performing loans, commercial real estate loans / mortgage-backed securities, residential mortgage-backed securities, collateralized loan obligations, and other positions in global and domestic credit markets. Opportunistic Fixed-Income achieved a 5.1 percent net IRR in Fiscal 2019, lagging slightly behind the benchmark (JPM Global High Yield and Credit Suisse US Leveraged Loan Indexes) return of 6.1 percent.

With \$4 billion in market value as of August 2019, the Bank Loan portfolio returned 3.33 percent for Fiscal 2019, slightly less than its benchmark (Credit Suisse US Leveraged Loan Index) return of 4.15 percent.

### **Emerging Markets**

Emerging Market equity comprises 7.2 percent, or \$15.6 billion of the City's pension system portfolio as of August 2019. The MSCI Emerging Markets Index, the benchmark for this allocation, achieved 8.21 percent and 1.21 percent for Fiscal 2018 and 2019 respectfully. By contrast this allocation in the City's pension system portfolio saw returns of 3.22 percent for Fiscal 2018, and 3.81 percent for Fiscal 2019.

### **Private Equity**

The Private Equity (PE) allocation represents 6.3 percent, or \$13.5 billion, of the portfolio for the City's retirement systems as of August, 2019. The PE program has generated a 10.1 percent IRR since its inception on the back of continued strength in the PE deal-flow and fundraising atmospheres, and strong investor demand in PE positions.

### **Private Real Estate**

Private Real Estate comprises roughly 4.6 percent, or \$10 billion, of the City's pension funds as of August 2019. Since inception, the Real Estate allocation has achieved an 8.4 percent IRR, ahead of the benchmark (Russell 3000 + Barclays US Aggregate Index) return of 7.7 percent.

More information for these allocations, and all allocations classified as "All Other" in Figure 8 can be found in the Comptroller's Letter of Transmittal directly preceding the Comptroller's Comprehensive Annual Financial Report: <https://comptroller.nyc.gov/reports/comprehensive-annual-financial-reports/>.

## Appendices

### A: Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2020			FY 2021		
	City	Non-City	Total	City	Non-City	Total
<b>Comptroller's Budget as of the Adopted 2020 Budget</b>	<b>\$87,031</b>	<b>\$25,722</b>	<b>\$112,753</b>	<b>\$87,465</b>	<b>\$25,913</b>	<b>\$0</b>
<b>New Needs - Preliminary Plan 2021</b>						
IT Infrastructure Upgrades	2,624	0	2,624	0	0	0
<b>Subtotal New Needs</b>	<b>\$2,624</b>	<b>\$0</b>	<b>\$2,624</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Adjustments - November Plan 2020</b>						
CSBA Collective Bargaining	22	16	38	24	18	42
L237 Collective Bargaining	10	0	10	16	0	16
<b>Subtotal - Other Adjustments</b>	<b>\$32</b>	<b>\$16</b>	<b>\$48</b>	<b>\$40</b>	<b>\$18</b>	<b>\$58</b>
<b>TOTAL, All Changes</b>	<b>\$2,656</b>	<b>\$16</b>	<b>\$2,672</b>	<b>\$40</b>	<b>\$18</b>	<b>\$58</b>
<b>Comptroller's Budget as of the Preliminary 2021 Budget</b>	<b>\$89,687</b>	<b>\$25,738</b>	<b>\$115,425</b>	<b>\$87,505</b>	<b>\$25,931</b>	<b>\$113,436</b>

### B: Comptroller's Fiscal 2021 Contract Budget

<i>Dollars in Thousands</i>				
Category	FY20 Adopted	Number of Contracts	FY21 Preliminary	Number of Contracts
Cleaning Services	\$37	2	\$37	2
Contractual Services - General	434	4	434	4
Data Processing Equipment Maintenance	8,829	5	9,056	5
Investment Costs	16,579	41	16,579	41
Maintenance and Repairs - General	5	2	5	2
Maintenance and Repairs - Motor Vehicle Equip	3	1	3	1
Office Equipment Maintenance	51	3	51	3
Printing Services	481	5	481	5
Prof. Services - Computer Services	1,024	2	1,024	2
Prof. Services - Other	3,553	2	3,553	2
Security Services	24	3	24	3
Telecommunications Maintenance	25	2	25	2
Temporary Services	90	5	90	5
Training Program for City Employees	190	3	190	3
<b>TOTAL</b>	<b>\$31,325</b>	<b>80</b>	<b>\$31,552</b>	<b>80</b>

C: Program Areas

Executive Management

<i>Dollars in Thousands</i>	FY18	FY19	FY20	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY20	FY21	FY20-FY21
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$81	\$58	\$10	\$10	\$10	\$0
Full-Time Salaried - Civilian	3,391	3,458	4,367	4,367	4,395	28
Overtime - Civilian	0	0	32	32	32	0
Unsalaries	97	116	66	66	67	1
<b>Subtotal</b>	<b>\$3,568</b>	<b>\$3,632</b>	<b>\$4,475</b>	<b>\$4,475</b>	<b>\$4,504</b>	<b>\$29</b>
<b>Other Than Personal Services</b>						
Contractual Services	47	63	45	66	45	0
Other Services & Charges	8	7	11	11	11	0
Property & Equipment	36	42	40	46	40	0
Supplies & Materials	26	28	35	29	35	0
<b>Subtotal</b>	<b>117</b>	<b>141</b>	<b>131</b>	<b>152</b>	<b>131</b>	<b>0</b>
<b>TOTAL</b>	<b>\$3,685</b>	<b>\$3,773</b>	<b>\$4,606</b>	<b>\$4,627</b>	<b>\$4,635</b>	<b>\$29</b>
<b>Funding</b>						
City Funds			4,606	4,627	4,635	29
<b>TOTAL</b>	<b>\$3,685</b>	<b>\$3,773</b>	<b>\$4,606</b>	<b>\$4,627</b>	<b>\$4,635</b>	<b>\$29</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	33	30	36	36	36	0

\*The difference of Fiscal 2020 Adopted Budget compared to Fiscal 2021 Preliminary Budget.

## First Deputy Comptroller

<i>Dollars in Thousands</i>	FY18	FY19	FY20	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY20	FY21	FY20-FY21
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$1,440	\$1,387	\$1,583	\$1,583	\$1,583	\$0
Amounts to be Scheduled	0	0	204	0	0	(204)
Full-Time Salaried - Civilian	34,203	35,720	37,606	37,832	38,134	528
Other Salaried	62	74	8	8	10	1
Overtime - Civilian	170	111	235	235	235	0
P.S. Other	3	4	0	0	0	0
Unsalaries	398	438	343	343	345	2
<b>Subtotal</b>	<b>\$36,276</b>	<b>\$37,734</b>	<b>\$39,979</b>	<b>\$40,001</b>	<b>\$40,306</b>	<b>\$327</b>
<b>Other Than Personal Services</b>						
Contractual Services	5,775	7,201	6,574	6,135	6,861	288
Contractual Professional Services	575	649	497	1,068	497	0
Fixed & Misc. Charges	0	10	0	6	0	0
Other Services & Charges	1,866	1,873	2,575	4,843	2,319	(256)
Property & Equipment	318	439	283	455	300	17
Supplies & Materials	383	301	448	471	448	
<b>Subtotal</b>	<b>\$8,917</b>	<b>\$10,471</b>	<b>\$10,377</b>	<b>\$12,979</b>	<b>\$10,426</b>	<b>\$49</b>
<b>TOTAL</b>	<b>\$45,193</b>	<b>\$48,205</b>	<b>\$50,355</b>	<b>\$52,980</b>	<b>\$50,732</b>	<b>\$376</b>
<b>Funding</b>						
Capital- IFA			8,660	8,660	8,729	69
City Funds			41,483	44,108	41,790	307
Intra City			213	213	213	0
<b>TOTAL</b>	<b>\$45,193</b>	<b>\$48,205</b>	<b>\$50,355</b>	<b>\$52,980</b>	<b>\$50,732</b>	<b>\$376</b>
<b>Budgeted Headcount</b>						
Full-Time Positions	447	437	456	456	456	0

\*The difference of Fiscal 2020 Adopted Budget compared to Fiscal 2021 Preliminary Budget.



Second Deputy Comptroller

<i>Dollars in Thousands</i>	FY18	FY19	FY20	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY20	FY21	FY20-FY21
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$331	\$288	\$210	\$210	\$210	\$0
Full-Time Salaried - Civilian	13,244	13,880	14,922	14,948	15,076	154
Overtime - Civilian	62	76	35	35	35	0
Unsalariated	62	45	12	12	12	0
<b>Subtotal</b>	<b>\$13,698</b>	<b>\$14,288</b>	<b>\$15,178</b>	<b>\$15,204</b>	<b>\$15,332</b>	<b>\$154</b>
<b>Other Than Personal Services</b>						
Contractual Services	9	57	127	123	127	0
Contractual Services - Professional Services	3,227	3,267	3,353	3,363	3,353	0
Fixed & Misc. Charges	2	2	2	2	2	0
Fixed & Misc. Charges - Judgments & Claims	0	0	585	585	585	0
Other Services & Charges	41	98	18	48	18	0
Property & Equipment	177	162	68	68	68	0
Supplies & Materials	11	17	65	29	65	0
<b>Subtotal</b>	<b>3,467</b>	<b>3,603</b>	<b>4,217</b>	<b>4,217</b>	<b>4,217</b>	<b>0</b>
<b>TOTAL</b>	<b>\$17,166</b>	<b>\$17,891</b>	<b>\$19,395</b>	<b>\$19,421</b>	<b>\$19,550</b>	<b>\$154</b>
<b>Funding</b>						
Capital- IFA			4,398	4,414	4,460	62
City Funds			14,998	15,007	15,090	92
<b>TOTAL</b>	<b>\$17,166</b>	<b>\$17,891</b>	<b>\$19,395</b>	<b>\$19,421</b>	<b>\$19,550</b>	<b>\$154</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	161	169	167	167	167	0

*\*The difference of Fiscal 2020 Adopted Budget compared to Fiscal 2021 Preliminary Budget.*

Third Deputy Comptroller

<i>Dollars in Thousands</i>	FY18	FY19	FY20	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY20	FY21	FY20-FY21
<b>Spending</b>						
<b>Personal Services</b>						
Additional Gross Pay	\$225	\$415	\$161	\$161	\$161	\$0
Full-Time Salaried - Civilian	13,776	13,908	15,996	15,996	16,120	124
Overtime - Civilian	15	6	93	93	93	0
Unsalariated	66	58	40	40	40	0
<b>Subtotal</b>	<b>\$14,083</b>	<b>\$14,387</b>	<b>\$16,289</b>	<b>\$16,289</b>	<b>\$16,414</b>	<b>\$124</b>
<b>Other Than Personal Services</b>						
Contractual Services	527	574	3,423	3,047	3,362	(61)
Contractual Services - Financing	16,490	16,490	16,579	16,579	16,579	0
Contractual Professional Services	467	164	728	828	728	0
Fixed & Misc. Charges	20	0	0	0	0	0
Other Services & Charges	834	833	1,039	1,130	1,100	61
Property & Equipment	188	436	229	399	229	0
Supplies & Materials	19	39	108	123	108	0
<b>Subtotal</b>	<b>18,544</b>	<b>18,537</b>	<b>22,106</b>	<b>22,106</b>	<b>22,106</b>	<b>0</b>
<b>TOTAL</b>	<b>\$32,626</b>	<b>\$32,924</b>	<b>\$38,395</b>	<b>\$38,395</b>	<b>\$38,519</b>	<b>\$124</b>
<b>Funding</b>						
City Funds			25,945	25,945	25,991	46
Other Categorical			12,450	12,450	12,528	78
<b>TOTAL</b>	<b>\$32,626</b>	<b>\$32,924</b>	<b>\$38,395</b>	<b>\$38,395</b>	<b>\$38,519</b>	<b>\$124</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	119	112	132	132	132	0

\*The difference of Fiscal 2020 Adopted Budget compared to Fiscal 2021 Preliminary Budget.