

THE COUNCIL OF THE CITY OF NEW YORK

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Speaker of the Council

Hon. Andrew Cohen
Chair, Committee on Mental Health, Developmental Disabilities, Alcoholism,
Drug Abuse and Disability Services



Report on the Fiscal 2017 Preliminary Budget and the Fiscal 2016 Preliminary Mayor's Management Report

Department of Health and Mental Hygiene

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Department of Health and Mental Hygiene Overview

The Department of Health and Mental Hygiene (DOHMH, or the Department) protects and promotes the health and well-being of all New Yorkers. The Department develops and implements robust public health education activities and policy recommendations, enforces health regulations, and provides limited direct health services. The Department works to ensure that conditions for good health – available, sustainable, high-quality services and efficient, effective systems – flourish in New York City.

DOHMH seeks to reduce death and disability from chronic diseases, such as heart disease and cancer, by reducing smoking and consumption of unhealthy foods and promoting physical activity. It contracts for mental health, developmental disability, and alcohol and substance use disorder treatment services. The Department works with healthcare providers to increase the use of preventive services, such as immunizations, and to improve healthcare delivery generally. It also collaborates with community-based organizations to prevent, detect and treat HIV infection.

The Department's Early Intervention Program serves infants and toddlers with developmental delays. Direct services are provided at four tuberculosis clinics, eight sexually transmitted disease clinics, one immunization clinic, and more than 1,200 public schools. DOHMH issues birth and death certificates, inspects restaurants and child care centers, and protects public safety through immediate response to emergent public health threats. The Department's three District Public Health Offices work to reduce health disparities in the City's highest need neighborhoods.

The merger between the New York City Department of Health and the Department of Mental Health, Mental Retardation and Alcoholism Services in 2002 eliminated the separation of services that prove deeply entwined. Since the merger, the Division of Mental Hygiene partners more effectively with providers, consumers, and families to ensure access to quality services and to improve the lives of New Yorkers with mental illness and chemical dependency disorders, as well as those with mental retardation and developmental delays and disabilities.

Report Structure

This report reviews the Department of Health and Mental Hygiene's \$1.4 billion Fiscal 2017 Preliminary Budget. The report presents the expense budget highlights and the Miscellaneous Revenue Budget, followed by a review of Council-funded initiatives and baselined funding and relevant New York State budget actions. The report then analyzes the Division of Mental Hygiene's program areas and reviews relevant sections of the Fiscal 2016 Preliminary Mayor's Management Report. An in-depth review of the Division of Mental Hygiene's new needs—including ThriveNYC projects—follows. Finally, the appendices outline the Budget Actions in the November and Preliminary Plans, the Fiscal 2017 DOHMH Contract Budget, and the Department's proposed capital budget, including an analysis of the significant changes proposed to the City's \$57.2 billion Capital Plan for Fiscal 2017-2019.

Fiscal 2017 Preliminary Plan Highlights

DOHMH Expense Budget

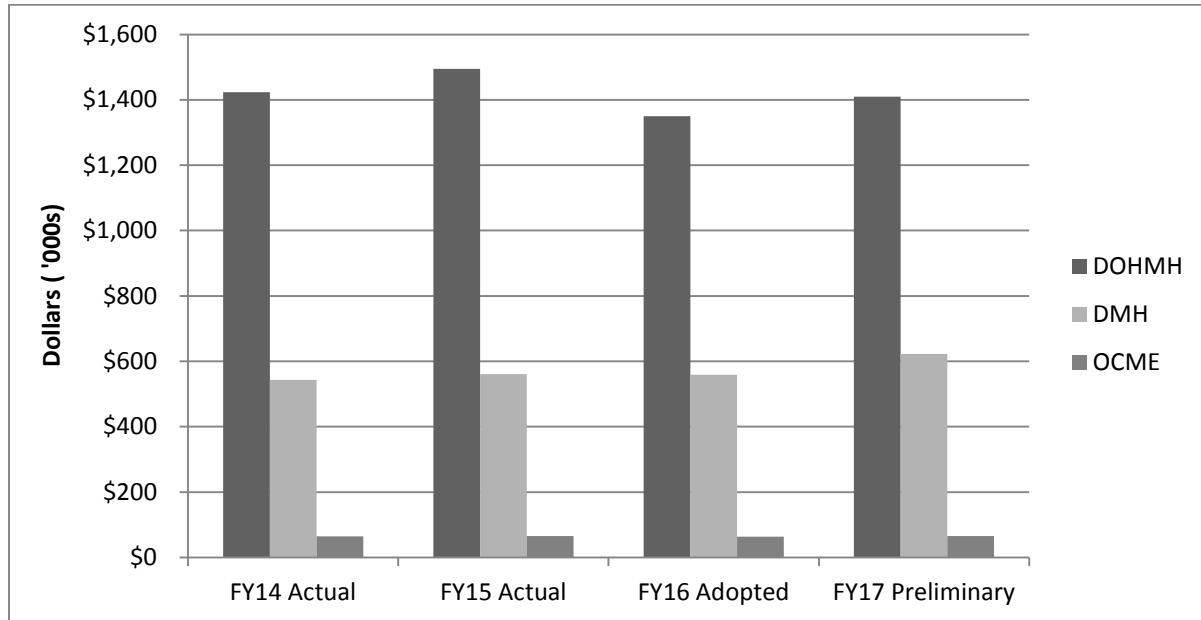
The City's Preliminary Fiscal 2017 Budget totals \$82.1 billion, an increase of \$3.6 billion, or approximately four percent, compared to the \$78.5 billion Fiscal 2016 Adopted Budget. The Department of Health and Mental Hygiene's Fiscal 2017 Preliminary Budget totals \$1.41 billion (including City and non-City funds), an increase of \$60 million, or four percent, compared to the \$1.35 billion Fiscal 2016 Adopted Budget. At \$438 million, Personal Services (PS) account for 31 percent of the Department's Fiscal 2017 operating budget, and at \$972 million, Other Than Personal Services (OTPS) account for 69 percent.

DOHMH Expense Budget						
	2014	2015	2016	Preliminary Plan		*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	2016	2017	2016 - 2017
Mental Hygiene						
Personal Services	\$36,269	\$37,905	\$44,846	\$48,866	\$58,686	\$13,840
Other Than Personal Services	507,023	523,782	515,137	536,193	564,511	49,374
Subtotal, Division of Mental Hygiene	\$543,292	\$561,687	\$559,983	\$585,059	\$623,197	\$63,214
OCME						
Personal Services	\$43,891	\$47,104	\$48,950	\$52,306	\$51,367	\$2,418
Other Than Personal Services	21,916	19,436	15,419	23,192	15,319	(100)
Subtotal, OCME	\$65,806	\$66,539	\$64,369	\$75,498	\$66,687	\$2,318
Public Health						
Personal Services	\$285,256	\$304,953	\$301,673	\$316,649	\$328,007	\$26,334
Other Than Personal Services	528,831	562,366	424,224	467,478	392,245	(31,979)
Subtotal, Division of Public Health	\$814,087	\$867,320	\$725,897	\$784,127	\$720,252	(\$5,645)
DOHMH Total	\$1,423,185	\$1,495,547	\$1,350,248	\$1,444,685	\$1,410,135	\$59,887
DOHMH Spending						
Personal Services	\$365,416	\$389,963	\$395,469	\$417,821	\$438,060	\$42,592
Other Than Personal Services	1,057,770	1,105,584	954,780	1,026,864	972,075	17,295
TOTAL	\$1,423,185	\$1,495,547	\$1,350,248	\$1,444,685	\$1,410,135	\$59,887

*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.

- The Division of Mental Hygiene's (DMH or the Division) Fiscal 2017 operating budget totals \$623 million, an increase of \$63 million, or 10 percent, compared to the budget at adoption. The Division relies heavily on private service providers; therefore, the OTPS budget accounts for 90 percent, or \$564.5 million, of the Division's overall \$623 million budget.
- The Public Health operating budget totals \$720 million in Fiscal 2017, with a fairly even split between PS and OTPS services.
- The Fiscal 2017 budget includes more than \$66 million for the Office of the Chief Medical Examiner (OCME); Personal Services comprise 77 percent of these funds. Overall, OCME accounts for less than five percent of the Department's Fiscal 2017 budget.

DOHMH Actual and Planned Spending



The Department's Fiscal 2017 Preliminary Budget includes \$89.7 million in new needs, with \$60 million of this funding within DMH. Other adjustments in DOHMH funding introduced since the Fiscal 2016 Adopted Budget total \$4 million, with the New York State Office of Alcoholism and Substance Abuse Services (OASAS) and the New York State Office of Mental Health (OMH) providing the majority of these funds. OMH State Aid totals \$2.6 million in Fiscal 2017 and includes \$558,000 to fund Mobile Crisis Teams and \$120,000 to fund the Single Point of Access (SPOA) CC/ACT Program, which connects individuals with psychiatric disabilities with appropriate vacancies in the Care Coordination/ACT system. OASAS State Aid totals \$1.7 million in Fiscal 2017 and funds alcoholism-related services. These funds help to offset the decreases in City funding and other losses in State and federal aid.

Changes made to DMH's budget during the course of Fiscal 2016 are summarized in Appendix A, with all changes to DOHMH's budget summarized in Appendix B. Fluctuations in non-City grant funding, collective bargaining, and other technical adjustments contribute to the changes in DOHMH spending in Fiscal 2017.

Funding for "ThriveNYC: A Mental Health Roadmap for All" totals \$56 million in DOHMH funding in Fiscal 2017, accounting for the majority, 63 percent, of the Department's total new needs. ThriveNYC constitutes a citywide action plan to guide the City toward a more effective and holistic mental health system. The Preliminary Plan also includes more than \$10 million in the current fiscal year in order to implement the Roadmap programs, including a \$3.8 million media campaign. The five-year plan allocates more than \$300 million to DOHMH in order to fund Mental Health Roadmap projects through Fiscal 2020.

DOHMH, and specifically DMH, receives the majority of the Roadmap funding, but the Budget also allocates \$3 million in Fiscal 2017 to the Administration for Children's Services (ACS) for Social-Emotional Learning; \$650,000 to the Department of Social Services (DSS) for ThriveNYC: Veterans Outreach; \$1.8 million to the Department for the Aging (DFTA) for Thrive NYC: Friendly Visiting; and \$10.5 million to the Department for Homeless Services (DHS) for NYC Safe Shelter Security. The Preliminary Budget also includes \$14.4 million in Fiscal 2017 to the Department of Education (DOE) to provide mental health services to 100 high-need schools, to provide mental health trainings to DOE staff, and to provide social-emotional learning services to the Early Childhood Education (ECE) and Universal PreKindergarten (UPK) communities.

Key actions in the proposed Preliminary Plan include:

- **NYC Safe.** The Preliminary Plan includes \$13.8 million in Fiscal 2017 to expand mental health treatment options and resources, including new Intensive Mobile Treatment Teams. In addition to \$1 million in the current fiscal year, the Preliminary Plan includes \$13.9 million to DOHMH in the outyears for NYC Safe. (See page 23 for more details).
- **Roadmap Mental Health Corps.** The Preliminary Plan includes \$18 million to DOHMH in Fiscal 2017 to develop a mental health corps, as well as \$1.5 million in Fiscal 2016, \$33 million in Fiscal 2018, and \$48 million in both Fiscal 2019 and Fiscal 2020. (See page 25 for more details).
- **Roadmap School Mental Health.** The Preliminary Plan includes \$1.1 million to DOHMH in Fiscal 2016, \$8.2 million in Fiscal 2017, and \$10.5 million in the outyears to assess the mental health needs of public schools and to implement effective interventions. The initiative also adds 85 DOHMH positions in Fiscal 2016 and 125 positions in the outyears. (See page 26 for more details).
- **Public Health Diversion Center.** Based on a recommendation from the Mayor's Task Force on Behavioral Health and the Criminal Justice System, the Preliminary Plan includes \$3.8 million to DOHMH in Fiscal 2017 and \$1.8 million in Fiscal 2018 to support a second Public Health Diversion Center. (See page 23 for more details).

Financial Summary

DOHMH Financial Summary						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Budget by Program Area						
<u>Division of Mental Health (DMH)</u>						
Family & Child Hlth - Early Intervention	\$231,829	\$230,274	\$217,660	\$219,211	\$218,576	\$915
Mental Hygiene - Administration	19,898	20,185	25,373	24,127	24,321	(1,052)
Mental Hygiene - Chemical Dependency	72,020	76,706	77,493	83,242	82,408	4,915
Mental Hygiene - Development Dis	12,451	14,310	13,924	12,376	11,949	(1,975)
Mental Hygiene - Mental Health Services	207,094	220,212	225,532	246,104	285,943	60,411
Subtotal, DMH	\$543,292	\$561,687	\$559,983	\$585,060	\$623,197	\$63,214
<u>DOHMH, Other</u>						
Public Health	\$765,200	\$811,489	\$686,441	\$749,639	\$685,370	(\$1,071)
Office of the Chief Medical Examiner	65,806	66,539	64,369	75,498	66,687	2,318
General Administration	114,693	122,370	103,825	109,986	101,568	(2,256)
Subtotal, DOHMH Other	\$879,893	\$933,859	\$790,266	\$859,625	\$786,938	(\$3,327)
TOTAL	\$1,423,185	\$1,495,547	\$1,350,248	\$1,444,685	\$1,410,135	\$59,887
Funding						
<u>Division of Mental Health (DMH)</u>						
City Funds			\$158,869	\$169,732	\$221,858	\$62,988
State			343,470	356,307	347,570	4,100
Federal - Other			57,643	58,985	53,769	(3,875)
Intra City			0	36	0	0
Subtotal, DMH	\$543,292	\$561,687	\$559,983	\$585,060	\$623,197	\$63,214
<u>DOHMH Other</u>						
City Funds			\$418,935	\$379,278	\$402,774	(\$16,161)
Other Categorical			1,243	2,867	1,242	(2)
State			137,371	187,112	148,122	10,751
Federal - Other			230,527	278,711	232,591	2,064
Intra City			2,189	11,657	2,210	21
Subtotal, DOHMH Other	\$879,893	\$933,859	\$790,266	\$859,625	\$786,938	(\$3,327)
TOTAL	\$1,423,185	\$1,495,547	\$1,350,248	\$1,444,685	\$1,410,135	\$59,887
Headcount						
Division of Mental Health	539	530	671	842	909	238
Public Health	3,741	3,819	4,433	4,579	4,411	(22)
Office of the Chief Medical Examiner	554	569	641	641	662	21
General Administration	583	578	618	676	581	(37)
TOTAL	4,280	4,349	5,104	5,421	5,320	216

*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.

The Department intends to spend approximately \$623 million on mental hygiene-related services in Fiscal 2017, representing 44 percent of the Department's overall \$1.4 billion budget. The Division of Mental Hygiene administers services through five distinct program areas: (1) Early Intervention (EI); (2) Mental Health Services; (3) Developmental Disabilities; (4) Chemical Dependency Services; and (5) Mental Hygiene Administration.

The contracting of local mental health services constitutes the primary role of the Division of Mental Hygiene. Contractual services, therefore, comprise 90 percent of the Division's spending. Generally, the State and federal governments mandate the contracted mental health services and the City serves as an administrator or fiscal conduit. Non-City funds,

therefore, comprise nearly two-thirds (64 percent) of all DMH funding, with over half (56 percent) of the Division's revenue attributable to State aid.

The Fiscal 2017 Preliminary Budget allocates \$82.4 million to the Chemical Dependency Program, an increase of nearly \$5 million, or six percent, compared to the budget at adoption. Funding for Mental Health Services in Fiscal 2017 also increased substantially compared to the Fiscal 2016 Adopted Budget due to the aforementioned Roadmap funding, adding \$60 million, or 21 percent, bringing the total to \$286 million. The Budget funds the Early Intervention Program at \$218.5 million, an increase of \$1 million, or less than one percent, compared to the Fiscal 2016 Adopted Budget.

The Fiscal 2017 Preliminary Budget allocates \$11.9 million to the Developmental Disabilities Program, a decrease of nearly \$2 million, or 16 percent, compared to the budget at adoption. Fluctuations in State and federal aid contribute to this change. Funding for Mental Hygiene Administration also decreased in the Fiscal 2017 Preliminary Budget when compared to the Adopted Budget, dropping \$1 million, or four percent, for a total of \$24.3 million.

The Division of Mental Hygiene's headcount increased by 238 positions between the Fiscal 2016 Adopted Budget and the Fiscal 2017 Preliminary Budget, with the 2017 Preliminary Budget adding more than 900 full-time DOHMH positions over the five-year plan for ThriveNYC projects. The Department's Public Health and Administration sectors actually experienced a decrease in headcount between the Adopted and Preliminary Budgets, but the new Mental Hygiene positions offset these losses, bringing the total number of new DOHMH positions during this period to 216.

Regarding the current fiscal year, the Department's \$1.44 billion Fiscal 2016 Budget shows an increase of \$94 million since the budget was adopted in June of 2015. City funds actually decreased by nearly \$29 million during this period, but State funding increased by \$62.6 million and federal funding provided nearly an additional \$50 million. Non-City funds, therefore, add approximately \$112 million to the \$1.35 billion Fiscal 2016 Adopted Budget—a midyear increase typical for the Department. Intra City and Other Categorical funding provide an additional \$11 million to the budget since adoption.

The Department typically does not include federal and State funding in its preliminary appropriations but, rather, modifies the budget over the course of the fiscal year as it receives the funding. This funding is generally in the form of grants. The Department's headcount also increased by 317 between the Fiscal 2016 Adopted Budget and the 2017 Preliminary Budget, with the Division of Mental Hygiene gaining 171 positions, largely for ThriveNYC projects.

Division of Mental Hygiene New Needs

The Department's Fiscal 2017 Preliminary Budget includes \$89.7 million in new needs, with \$60 million of this funding within DMH. In addition to the ThriveNYC Roadmap projects, the Budget also funds a K2 Media Campaign as part of the citywide efforts to end the sale and use of synthetic marijuana. DOHMH, in conjunction with the Department of Consumer Affairs, will conduct a three-month media campaign to target and educate sellers of K2 regarding the dangers of the drug. Since 2015, New York City has reported more than 6,000 synthetic cannabinoid-related emergency department visits, with males accounting for approximately 90 percent of these visits. Death is a rare but there is serious risk associated with the use of K2; in NYC, there have been two confirmed deaths caused by K2.

Miscellaneous Revenue Budget

DOHMH Miscellaneous Revenue						
<i>Dollars in Thousands</i>						
Revenue Sources	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Licenses, Permits, Private Franchises	\$11,595	\$11,692	\$11,802	\$11,692	\$11,802	\$0
Charges for Services	15,566	15,138	16,238	16,238	16,238	0
Miscellaneous	5,668	3,250	32,100	4,100	4,100	(28,000)
Federal Grants - Categorical	354,243	365,765	311,145	306,323	309,334	(1,811)
State Grants - Categorical	489,797	499,113	481,664	468,124	469,515	(12,149)
Non-Governmental Grants	2,840	3,289	1,369	1,369	1,367	(2)
Intra-City Revenue	16,096	22,601	2,189	2,973	2,210	21
TOTAL	\$895,805	\$920,848	\$856,506	\$810,819	\$814,566	(\$41,940)

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

State grants constitute the largest source of the Department's Miscellaneous Revenue in the Fiscal 2017 Preliminary Budget, accounting for more than half (58 percent) of the Department's \$815 million. Federal grants also provide a substantial portion of the Department's revenue, totaling \$309 million, or 38 percent, in the Fiscal 2017 Preliminary Budget. Overall, the Department's Fiscal 2017 Miscellaneous Revenue decreased by nearly \$42 million when compared to the budget at adoption.

DMH State and Federal Grants

DMH federal grants in the Fiscal 2017 Preliminary Budget decreased by \$3.9 million compared to the Fiscal 2016 Adopted Budget. Federal funding for Early Intervention Respite & Medical Assistance Programs represented one of the largest losses, decreasing by more than \$4 million. State funding in the Fiscal 2017 Preliminary Budget increased by \$3.9 million. State funding for Mental Health Services—Community Support System increased by \$5.45 million, helping to offset the \$4.7 million decrease in State EI Medicaid funding. Overall, State and federal grant funding increased slightly, adding \$64,000 between the Fiscal 2016 Adopted and Fiscal 2017 Preliminary Budgets.

<i>Dollars in Thousands</i>	2016 Adopted		2017 Preliminary	
Program Area	Federal	State	Federal	State
EI Services, Administration, Medicaid	-	\$114,603	-	\$109,921
EI Respite & Medical Assistance Programs	\$18,609	-	\$14,323	-
MH Admin Community Support System	-	2,332	-	2,332
MH Admin Community MH Reinvestment	-	2,890	-	2,890
MH Admin, Medical Assistance Program	4,759	-	4,759	-
MH Admin, Other sources	-	6,097	-	6,097
MH CD Alcoholism	11,537	37,752	12,260	40,285
MH CD, Medical Assistance Program	1,300	-	1,300	-
MH DD Mental Retardation	-	6,444	-	5,614
MH DD, Medical Assistance Program	300	-	300	-
MH Services Community MH Reinvestment	-	54,823	-	54,823
MH Services Intensive Case Management	-	19,161	-	19,281
MH Services Community Support System	-	11,815	-	17,269
MH Services NY State-NYC Initiative	-	34,601	-	34,601
MH Services, Other sources	6,897	43,625	6,897	44,968
MH Services Community Support	14,242	-	13,930	-
DMH Total	\$57,643	\$334,143	\$53,769	\$338,081

The Early Intervention Program experienced the largest appreciable decreases in State and federal funding during this period; however, increases in City funding help to offset these losses in Fiscal 2017. Overall, the fiscal changes do not significantly affect service delivery because of the aforementioned standard practice of modifying the budget over the course of the fiscal year. The Department anticipates the changes, adding the funding as it officially becomes available.

Council Initiatives and Baselined Funding

The Department of Health and Mental Hygiene's Fiscal 2016 Budget includes \$35.6 million in City Council discretionary funding, representing approximately two and a half percent of the Department's total budget. This discretionary funding includes over \$32.6 million in initiative funding (\$20.4 million for public health services and \$12.2 million for mental health services) and \$3 million in local initiatives that support a combination of public and mental health services.

The initiatives enable the City Council to prioritize the needs of New York City residents and allocate funds accordingly. The Mental Health Initiatives demonstrate a strong commitment to vulnerable and marginalized populations, including LGBTQ youth, the elderly, and individuals and families affected by autism. The local initiatives help fund community-based organizations (CBOs) across the City, supporting organizations such as Staten Island Mental Health Society, New York Families for Autistic Children, and Resources for Children with Special Needs.

In Fiscal 2014, the Bloomberg Administration baselined funding for Council Initiatives that support hundreds of programs operated by CBOs throughout the City. Baselined Mental Health Initiatives include Autism Awareness; Bailey House; Children Under Five; Geriatric Mental Health; Mental Health Contracts; Mental Health Services–Chemical Dependency; Mental Health Services–Developmental Disabilities; Mental Health Services–Mental Health Providers; Samaritan's of New York; and Young Adult Institute & Workshop. Baselined funding will prove a source of discussion and negotiation between the Administration and the Council during the Fiscal 2017 budget process.

Mental Health Services, Council Initiatives

Fiscal 2016 Council Changes at Adoption	
<i>Dollars in Thousands</i>	
DOHMH, Mental Health Initiatives	
Autism Awareness*	\$2,000
Bailey House*	125
Children Under Five*	1,450
Court-Involved Youth–Mental Health Initiative	1,900
Geriatric Mental Health*	2,000
LGBTQ Youth All-Borough Mental Health Initiative	1,000
Medicaid Redesign Transition	500
Mental Health Contracts*	621
Mental Health Services–Chemical Dependency*	525
Mental Health Services–Developmental Disabilities*	806
Mental Health Services–MH Providers*	1,164
Samaritan's of New York*	50
Young Adult Institute & Workshop*	50
Subtotal, Mental Health Services	\$12,191
DOHMH, Public Health Initiatives	\$20,409
Local Initiatives	\$3,087
TOTAL	\$35,687

*Baselined Initiative

The following is a brief description of each Council initiative as funded in Fiscal 2016. For those initiatives that were baselined, a chart outlining the Department's proposed procurement method for Fiscal 2017 is in Appendix E.

Autism Awareness. The \$2 million allocation supports wraparound services to autistic children in after-school and summer programs and during school closings. The initiative also provides forums and training seminars to teach coping skills to families affected by autism. Of the \$2 million, \$1.3 million is baselined.

Bailey House. The \$125,000 allocation enables Bailey House, one of the only community-based mental health clinics in East Harlem, to provide substance abuse and risk reduction services to hundreds of individuals living with HIV/AIDS. The U.S Health Resources and Services Administration designated East Harlem as a Medically Underserved Area/Population, as well as a Primary Care and Mental Health Professional Shortage Area.

Children Under Five. The \$1.45 million allocation enables community-based outpatient mental health clinics throughout the City to provide mental health treatment for children under the age of five. Mental health treatment activities include screening and clinical evaluation; individual, small group, and child-parent psychotherapy; consultation to pediatricians, preschool teachers and child welfare workers; and trauma-informed interventions. Of the \$1.45 million, \$1.25 million is baselined.

Court-Involved Youth. The \$1.9 million allocation supports programs that provide risk assessment tools to help determine whether juveniles in the arrest process need mental health services; provide family counseling, respite services and other evidence-based practices to the families of court-involved youth; and connect community-based providers to non-governmental organizations familiar with the Courts, the Administration for Children's Services (ACS), the Department of Correction (DOC), and other relevant City and State agencies.

Geriatric Mental Health. The \$2 million allocation strengthens the infrastructure of organizations that provide mental health services in "non-clinical settings," such as senior centers, drop-in centers, religious institutions, social clubs, homeless prevention programs, and homes.

LGBTQ Youth All-Borough Mental Health Initiative. The \$1 million allocation provides mental health care for the City's LGBTQ youth in partnership with key agencies in all five boroughs. The initiative supports comprehensive services to strengthen the safety net for vulnerable LGBTQ youth, particularly youth of color, youth in immigrant families, and homeless youth who are court-involved.

Medicaid Redesign Transition. The \$500,000 allocation aids mental health care providers in the City's community-based organizations in transitioning from NY State Medicaid's fee-for-service delivery system to the new managed-care system. Medicaid remains the source of health insurance for approximately three million New Yorkers.

Mental Health Contracts. The \$621,000 allocation funds programs and contracts that provide a range of mental health services.

Mental Health Services - Chemical Dependency. The \$525,000 allocation funds medically supervised outpatient programs (MSOPs) contracts. MSOPs assist patients in

their abstinence and recovery from chemical dependence and abuse and typically offer a variety of services, such as individual and group counseling; individualized treatment plans; addiction education; co-dependency counseling, relapse and prevention; psychiatrist and nurse on-site; and Department of Motor Vehicles (DMV) referrals.

Mental Health Services – Developmental Disabilities. The \$806,000 allocation funds a range of outpatient services for individuals with developmental disabilities, including diagnostic evaluation, habilitation services, treatment coordination and planning, and medical/healthcare services.

Mental Health Services – Mental Health Providers. The \$1.16 allocation supports contracts for “bridge” (transition management) programs, psychological clubs, recreation programs and respite programs. These programs provide community supports to New Yorkers with serious mental illness and to their families at various stages of the recovery/rehabilitation process.

Samaritan’s of New York. The \$50,000 allocation enhances Samaritan’s of New York’s confidential suicide-prevention hotline. This emotional support and crisis response hotline provides individuals in crisis—and their caregivers—with a 24-hour safety net. The hotline bridges the time between mental health appointments, providing ongoing emotional maintenance for those with chronic emotional issues.

Young Adult Institute & Workshop (YAI). The \$50,000 allocation enhances the Institute’s three adult rehabilitation and recreation programs: the Manhattan Evening Adjustment Program (MEAP); the Bronx Evening Adjustment Program (BEAP); and the Alumni Club. These programs provide essential socialization and community inclusion services to adults with developmental disabilities.

Fiscal 2016-2017 State Executive Budget Highlights

The Fiscal 2016-2017 State Executive Budget increases funding for community-based programs, strengthens the oversight of services for vulnerable persons, and redirects funding from high-cost institutional services to more effective lower-cost program models. The Executive Budget proposes Mental Hygiene system spending of \$8.2 billion in Fiscal 2017, an annual spending increase of \$260 million, or 3.3 percent; however, adjusting for Medicaid spending and other prior costs, projected spending actually decreases by \$219 million. Several reforms, if enacted, would affect the delivery of behavioral health services in New York City.

- **The Office for People with Developmental Disabilities (OPWDD)** ensures the continued health and safety of individuals with developmental disabilities and improves the overall quality, availability, and cost-effectiveness of community-based, person-centered services. The State Executive Budget allocates \$4.2 billion to OPWDD in Fiscal 2017, an increase of \$174 million, or 4.3 percent, compared to Fiscal 2016.

The Executive Budget includes a \$120 million investment in new OPWDD service opportunities for individuals currently living at home or in residential schools, as well as \$15 million to expand affordable housing, \$15 million to expand crisis services, and \$24 million to transition individuals to more appropriate community-based settings. In addition, over the past 18 months, OPWDD awarded approximately \$65 million in Balancing Incentive Program (BIP) transformation grants to over 100 agency providers.

OPWDD services include Medicaid-funded long-term care services. The Medicaid landscape remains in flux as local providers transition from a fee-for-service structure to a managed care model. For individuals under 21 years of age who are currently enrolled in Medicaid and receive behavioral health services through a fee-for-service model, the transition to Medicaid Managed Care (MMC) will begin in New York City in 2017. Changes to the State's delivery and payment system also affect Home and Community-Based Services (HCBS)—Medicaid-funded long-term services and support provided in non-institutional residential settings that help patients with daily tasks. Local providers are navigating new requirements regarding appropriate home/community-based residential settings.

- **The Office of Mental Health (OMH)** operates psychiatric centers and regulates, certifies, and oversees various inpatient and outpatient programs. The State Executive Budget allocates \$3.3 billion to OMH in Fiscal 2017, an increase of \$73 million, or 2.2 percent, compared to Fiscal 2016. The proposed budget includes \$16 million to expand community services and \$50 million to support new residential opportunities. The Executive Budget also allocates \$1 million to implement Raise the Age, a collaborative effort with the Office for Children and Family Services (OCFS) and the Department of Corrections and Community Supervision (DOCCS) to provide mental health services to minors relocating from rehabilitation facilities to juvenile facilities.

Regarding mental illness-related expenditures in New York City, more than 25 percent of *all* health care costs involve patients with mental illness—resulting in nearly \$17 billion in health insurance payments. These figures do not capture the cost of care for the many New Yorkers who remain uninsured.

- **The Office of Alcoholism and Substance Abuse Services (OASAS)** serves individuals with dependencies on alcohol and chemical substances. The State Executive Budget allocates \$603 million to OASAS in Fiscal 2017, an increase of \$11 million, or 1.9 percent, compared to Fiscal 2016. The Executive Budget allocates \$7 million to increase housing and community capacity and \$2 million in capital funding to purchase synthetic drug testing devices.

The Executive Budget also allocates \$141 million, an increase of \$6 million from Fiscal 2016, to address the growing heroin and opiate epidemic in communities across the State. These funds will support prevention, treatment, and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness and education activities. Specifically, the funding will enable OASAS to implement the following initiatives: Family Support Navigators; On-Call Peers; Adolescent Clubhouses; Recovery Community and Outreach Centers; Treatment Availability Tool; Kitchen Table Toolkit; and Talk2Prevent.

Program Areas

Family & Child Health – Early Intervention

Early Intervention (EI), a subset of the Family and Child Health Program, provides therapeutic and supportive services to infants and children with physical, cognitive, communicative, socioemotional, and/or adaptive developmental disabilities and delays, such as autism, cerebral palsy and mental retardation. Following an evaluation and eligibility screening, a team of professionals works with the child and family to develop a service plan that meets their needs. The federally mandated program is jointly financed by federal, state and local governments and is available at no cost to all New York families regardless of race, ethnicity, income, or immigration status. The New York State Department of Health coordinates the Statewide network of services and DOHMH serves as the lead City agency.

Family & Child Health – Early Intervention						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Actual	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$13,556	\$13,519	\$15,293	\$15,671	\$16,207	\$914
Other Salaried and Unsalaries	75	47	15	33	16	1
Additional Gross Pay	435	521	2	201	2	0
Overtime - Civilian	22	9	0	34	1	0
Subtotal	\$14,088	\$14,097	\$15,310	\$15,938	\$16,225	\$915
Other Than Personal Services						
Supplies and Materials	\$343	\$94	\$1,237	\$776	\$1,237	\$0
Other Services and Charges	3,908	2,938	3,742	4,135	3,742	0
Contractual Services	213,490	213,145	197,372	198,362	197,372	0
Subtotal	\$217,741	\$216,177	\$202,351	\$203,273	\$202,351	\$0
TOTAL	\$231,829	\$230,274	\$217,660	\$219,211	\$218,576	\$915
Funding						
City Funds			\$84,448	\$85,223	\$94,332	\$9,884
State			114,603	114,603	109,921	(4,682)
Federal - Other			18,609	19,385	14,323	(4,286)
TOTAL	\$231,829	\$230,274	\$217,660	\$219,211	\$218,576	\$915
Headcount (Full-Time, Salaried)	239	231	260	297	297	37

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget allocates \$218.6 million to the Early Intervention Program, an increase of nearly \$1 million, or less than one percent, compared to the \$217.7 million budget at adoption. City funds increased by nearly \$10 million, but State and federal funding each fell by over \$4 million due to adjustments in grant funding. The Preliminary Budget also increases by 37 full-time positions between the Fiscal 2016 Adopted and Fiscal 2017 Preliminary Budgets. Early Intervention funding represents 35 percent of the Division's total budget and more than 15 percent of the Department's total budget.

The EI Program measures its ability to facilitate access to services to New Yorkers with, or at risk of developing, mental illness or developmental disabilities, using the number of new

children who receive services from the Program as a performance indicator. The Program has experienced decent growth in recent fiscal years, increasing from 13,800 children receiving services in Fiscal 2013 to 14,300 children in Fiscal 2015.

Performance Indicator	Actual			4-Month Actual	
	FY13	FY14	FY15	FY15	FY16
New children receiving services from the Early Intervention Program (000)	13.8	13.7	14.3	4.8	4.7

Mental Hygiene – Administration

The Mental Hygiene Administration Program provides division-wide administrative services to the Division of Mental Hygiene and the Executive Deputy Commissioner’s Office. Services include information management, analysis, and planning and DMH finance and positions.

MH Administration						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$12,025	\$12,259	\$14,951	\$15,019	\$15,191	\$240
Other Salaried and Unsalariated	575	558	521	521	526	5
Additional Gross Pay	470	487	761	761	761	0
Overtime - Civilian	46	29	219	232	220	1
Subtotal	\$13,115	\$13,334	\$16,452	\$16,534	\$16,698	\$246
Other Than Personal Services						
Supplies and Materials	\$186	\$256	\$269	\$125	\$144	(\$125)
Other Services and Charges	5,825	6,079	8,051	6,489	6,908	(1,143)
Contractual Services	772	515	600	979	570	(29)
Subtotal	\$6,782	\$6,851	\$8,920	\$7,593	\$7,623	(\$1,298)
TOTAL	\$19,897	\$20,184	\$25,373	\$24,127	\$24,321	(\$1,052)
Funding						
City Funds			\$8,838	\$7,346	\$7,786	(\$1,052)
State			11,776	12,022	11,776	0
Federal - Other			4,759	4,759	4,759	0
TOTAL	\$19,897	\$20,184	\$25,373	\$24,127	\$24,321	(\$1,052)
Headcount (Full-Time, Salaried)	167	157	242	241	241	(1)

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget allocates \$24.3 million for Mental Hygiene Administration, a decrease of \$1 million, or four percent, compared to the budget at adoption—attributable to a loss of City funding. State and federal funding remains consistent at \$11.7 million and \$4.7 million, respectively. Mental Hygiene Administration funding represents approximately four percent of the Division’s total budget and less than two percent of the Department’s total budget.

Mental Hygiene – Chemical Dependency

The Mental Hygiene Chemical Dependency (CD) Program develops, plans, monitors and evaluates programmatic and policy efforts to reduce substance use and abuse in New York City—where unintentional drug overdose deaths outnumber both homicide and motor vehicle fatalities. In fact, alcohol and substance use disorders constitute the second leading contributor to overall disease burden in NYC. The CD Program collaborates with community-based providers and other City agencies to provide chemical dependency services, including services for homeless individuals and people with co-occurring chemical dependency and mental health or developmental disorders. The Program operates through the Bureau of Alcohol and Drug Use Prevention, Care and Treatment and adheres to the State Mental Hygiene Law and the City Charter.

MH Chemical Dependency						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$1,657	\$1,820	\$2,268	\$2,282	\$2,319	\$51
Other Salaried and Unsalaries	3	37	57	57	57	0
Additional Gross Pay	38	40	409	409	409	0
Subtotal	\$1,698	\$1,897	\$2,733	\$2,747	\$2,785	\$51
Other Than Personal Services						
Supplies and Materials	\$0	\$5	\$0	\$0	\$0	\$0
Other Services and Charges	10,452	12,817	9,960	12,344	10,250	290
Contractual Services	59,870	61,987	64,800	68,150	69,374	4,573
Subtotal	\$70,321	\$74,809	\$74,760	\$80,495	\$79,623	\$4,864
TOTAL	\$72,020	\$76,705	\$77,493	\$83,242	\$82,408	\$4,915
Funding						
City Funds			\$26,905	\$28,397	\$28,563	\$1,658
State			37,752	41,285	40,285	2,533
Federal - Other			12,837	13,560	13,560	723
TOTAL	\$72,020	\$76,705	\$77,493	\$83,242	\$82,408	\$4,915
Headcount (Full-Time, Salaried)	17	21	30	30	30	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget allocates \$82.4 million for the Chemical Dependency Program, an increase of nearly \$5 million from the budget at adoption. State, City and federal funding all increased: State funding increased by \$2.5 million, City funding by \$1.6 million, and federal funding by \$700,000. The federal, State, and City funding increases, attributable, in part, to a strengthened commitment to address the opioid epidemic, will help to fund treatment and prevention efforts, including increased access to naloxone—a medication that reverses opioid overdose. Nationally, the death rate from drug overdoses is climbing at a much faster pace than other causes of death, jumping to an average of 15 per 100,000 in 2014 from nine per 100,000 in 2003. In fact, deaths from overdoses are reaching levels similar to the HIV epidemic at its peak. Chemical Dependency funding represents approximately 13 percent of the Division’s total budget and roughly five percent of the Department’s total budget.

The Chemical Dependency Program measures its ability to reduce the adverse health consequences of substance misuse, using the number of new buprenorphine patients and the number of deaths from unintentional drug overdoses as performance indicators. Deaths from unintentional drug overdoses continue to rise, jumping from 725 deaths in Calendar Year (CY) 2013 to 793 deaths in Calendar Year 2015, while the number of new buprenorphine patients continues to decline, decreasing from more than 9,000 patients in CY13 to 7,000 patients in CY15.

Performance Indicators	Actual			Target		4-Month Actual	
	CY13	CY14	CY15	CY16	CY17	CY15	CY16
New buprenorphine patients (CY) (quarterly)	9,620	8,487	7,046	7,500	8,000	2,231	NA
Deaths from unintentional drug overdose (CY)*	725	786	793	↓	↓	NA	NA

*Critical indicator

Mental Hygiene – Developmental Disabilities

The Mental Hygiene Developmental Disabilities Program, through the Bureau of Developmental Disabilities, develops, plans, and funds day and support services to individuals with developmental disabilities. The services, provided primarily by voluntary agencies contracting with DOHMH, include work readiness, transitional employment, specialty clinics, socialization/recreation programs for children and adults, and counseling and information/referral programs.

MH Developmental Disabilities						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$690	\$801	\$872	\$883	\$898	\$26
Other Salaried and Unsalariated	0	27	47	47	47	0
Additional Gross Pay	18	27	15	15	15	0
Subtotal	\$708	\$856	\$934	\$945	\$960	\$26
Other Than Personal Services						
Other Services and Charges	\$313	\$478	\$135	\$131	\$131	(\$4)
Contractual Services	11,431	12,977	12,855	11,300	10,858	(1,997)
Subtotal	\$11,744	\$13,455	\$12,990	\$11,431	\$10,989	(\$2,001)
TOTAL	\$12,451	\$14,310	\$13,924	\$12,376	\$11,949	(\$1,975)
Funding						
City Funds			\$7,180	\$6,462	\$6,035	(\$1,145)
State			6,444	5,614	5,614	(830)
Federal - Other			300	300	300	0
TOTAL	\$12,451	\$14,310	\$13,924	\$12,376	\$11,949	(\$1,975)
Headcount (Full-Time, Salaried)	11	12	12	12	12	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget allocates nearly \$12 million for the Developmental Disabilities Program, a decrease of nearly \$2 million, or 16 percent, when compared to the budget at adoption, which can be attributable to a decrease in City and State funds, and stagnant federal dollars. Specifically, the Preliminary Budget includes less money to contractual services, meaning fewer services provided to individuals with developmental disabilities in New York City. Developmental Disabilities funding represents approximately two percent of the Division's total budget and less than one percent of the Department's total budget.

Mental Hygiene – Mental Health Services

The Bureau of Mental Health Services manages the planning, development, solicitation, funding, and monitoring of a wide range of mental health services for children and adults with mental illnesses and/or functional impairments in the five boroughs. The services, contracted or overseen by the Division and provided by nonprofit community-based agencies and hospitals, include clinic programs for children, adults, and the elderly; assertive community treatment; clubhouses; advocacy; supported housing; supportive, intensive, and blended case management; assisted competitive employment; information and referral; on-site school services; and home-based and mobile crisis intervention.

The Bureau also collaborates with other City and State agencies and offices to monitor the operations of the following services and programs: Adult Single Point of Access (SPOA); Assertive Community Treatment (ACT); Assisted Outpatient Treatment (AOT); and Children's Single Point of Access (CSPOA), which includes the Children's Home and Community Based Waiver Program, case management programs, family based treatment programs, and community residences.

MH Services						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$6,386	\$7,332	\$9,013	\$12,249	\$21,610	\$12,597
Other Salaried and Unsalariated	105	115	224	227	229	4
Additional Gross Pay	166	268	173	219	173	0
Overtime - Civilian	1	7	6	7	6	0
Subtotal	\$6,659	\$7,721	\$9,417	\$12,702	\$22,018	\$12,601
Other Than Personal Services						
Supplies and Materials	\$166	\$98	\$661	\$1,086	\$1,781	\$1,119
Other Services and Charges	29,726	31,180	30,694	35,619	37,490	6,795
Contractual Services	170,544	181,212	184,760	196,696	224,655	39,895
Subtotal	\$200,435	\$212,491	\$216,116	\$233,402	\$263,925	\$47,810
TOTAL	\$207,094	\$220,212	\$225,532	\$246,104	\$285,943	\$60,411
Funding						
City Funds			\$31,498	\$42,304	\$85,141	\$53,643
State			172,895	182,783	179,975	7,079
Federal - Other			21,139	20,982	20,827	(312)
Intra City			0	36	0	0
TOTAL	\$207,094	\$220,212	\$225,532	\$246,104	\$285,943	\$60,411
Headcount (Full-Time, Salaried)	105	109	127	262	329	202

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget allocates nearly \$286 million for Mental Health Services, an increase of over \$60 million, or 21 percent, when compared to the Fiscal 2016 Adopted Budget. While federal funds decreased slightly between the Adopted and Preliminary Budgets, the State provided an additional \$7 million and the City provided an additional \$53.6 million. The Preliminary Budget also includes more than 200 new full-time positions to staff a variety of ThriveNYC projects, including 125 positions in Fiscal 2017 to assess the mental health needs of the City's schools and to implement effective interventions. Funding for Mental Health Services represents approximately 46 percent of

the Division's total budget—its largest sector—and 20 percent of the Department's total budget.

ThriveNYC: A Mental Health Roadmap for All

Born from a need to develop a more effective and holistic mental health system, “ThriveNYC: A Mental Health Roadmap for All” constitutes a citywide action plan, and a major fiscal commitment, to improve the mental health and wellbeing of all New Yorkers. Comprised of 54 targeted initiatives, including 23 new initiatives, ThriveNYC addresses the needs of the 1.7 million New Yorkers who experience a mental health disorder in any given year—20 percent of the City’s population—while supporting and promoting mental health generally.

The Roadmap represents an investment of \$850 million over four years—including \$548 in new needs— across numerous agencies, including: the Department of Education; the Administration for Children’s Services; and the Department for the Aging. In Fiscal 2016, the City’s budget included \$1.46 billion in mental health expenditures across several agencies, in addition to the nearly \$1 billion NYC Health + Hospitals spent providing mental health services.

ThriveNYC Mental Health Roadmap						
<i>*Dollars in Thousands</i>						
ThriveNYC Program	City Agency	FY 2016	FY 2017	FY 2018	FY 2019	TOTAL
Buprenorphine	DOHMH	\$81	\$268	\$358	\$358	\$1,065
CBO Action Guide	DOHMH	88	242	323	323	976
CUNY Digital Platforms	DOHMH, CUNY	296	725	-	-	1,021
Friendly Visiting	DFTA	-	1,800	1,800	1,800	5,400
Innovation Lab	DOHMH	141	843	1,124	1,124	3,232
Media Campaign	DOHMH, Public Engagement	3,845	2,020	2,020	2,020	9,905
Mental Health Corps	DOHMH	1,507	18,016	33,170	48,275	100,968
Mental Health First Aid	DOHMH	600	1,287	1,549	1,549	4,985
Mental Health Services for 100 High Need High Schools	DOE	-	6,200	6,200	6,200	18,600
Mental Health Trainings for School Staff	DOE	4	80	-	-	84
NYC Safe	DOHMH, DHS, NYPD, MOCJ	11,498	21,264	21,051	21,051	74,864
NYC Support Call Center	DOHMH	-	5,299	4,565	4,565	14,429
Peer Training	DOHMH	-	2,861	2,518	-	5,379
Public Health Diversion Center	DOHMH, NYPD	-	3,817	1,818	-	5,635
School Mental Health	DOHMH, DOE	1,145	8,265	10,465	10,465	30,340
Social-Emotional Learning in Early Care & Education Settings	DOHMH, DOE	592	10,660	12,099	12,544	35,895
Trauma Informed Care in Early Learn	ACS	-	2,977	2,230	2,230	7,437
Veterans Outreach Team Expansion	DSS	-	560	560	560	1,680
Workforce Summit	DOHMH, CUNY	71	-	-	-	71
TOTAL		\$19,868	\$87,184	\$101,850	\$113,064	\$321,966

Three core objectives and six guiding principles inform the Roadmap’s public health approach to mental illness. The objectives include identifying and addressing root causes; focusing on the highest-risk individuals; and providing treatment options that are effective and accessible. The guiding principles—to be implemented collectively—include (1)

Changing the culture; (2) Acting early; (3) Closing treatment gaps; (4) Partnering with communities; (5) Using better data; and (6) Strengthening government's ability to lead.

Mental illness and substance misuse engender a host of social, emotional and economic consequences in New York City. The City reports an estimated \$14 billion in annual productivity losses related to depression and substance misuse, including 70,000 alcohol-related emergency room visits and 1,800 alcohol-related deaths among 18-to-64 year olds. Cost-benefit analyses suggest, however, that certain well-delivered, evidence-based treatments can yield savings, including reducing other kinds of healthcare or treatment costs.

In addition to preventing illness and promoting health, improving service delivery and closing treatment gaps prove central to the Roadmap's action plan, as more than 40 percent of adult New Yorkers who have a serious mental illness (SMI) reported that they needed treatment at some point in the past year but did not receive help or they experienced a delay in receiving services. Significantly, SMI is more than twice as common among adults who live below 200 percent of the federal poverty level compared to those living 200 percent above it.

Funding for "ThriveNYC: A Mental Health Roadmap for All" totals approximately \$60 million in Fiscal 2017 for DOHMH, which accounts for the majority, 66 percent, of the Department's total new needs. The Fiscal 2017 Preliminary Budget also adds more than \$10 million in the current fiscal year in order to ramp up the Roadmap programs, including a \$3.8 million media campaign. The five-year plan allocates more than \$300 million to DOHMH in new needs and more than 900 full-time DOHMH positions by Fiscal 2020.

ThriveNYC includes the following new needs in the DOHMH 2017 Preliminary Plan:

- **NYC Safe** enables DOHMH to expand mental health treatment options and resources, adding new Intensive Mobile Treatment Teams and additional Assertive Community Treatment Teams. NYC Safe will also enhance Assisted Outpatient Treatment oversight and create new co-response teams with the New York Police Department (NYPD). Significantly, the misuse of illicit and prescription drugs and alcohol in NYC together cost approximately \$3 billion in criminal justice expenditures annually. In addition to \$1 million in the current fiscal year, the Preliminary Plan allocates \$13.8 million in Fiscal 2017 and \$13.9 million in the outyears to DOHMH for NYC Safe. The Preliminary Plan adds 23 NYC Safe positions to the Department in Fiscal 2016 and 46 positions in the outyears.

In addition to the NYPD and DOHMH, the Mayor's Office of Criminal Justice (MOCJ) and the Department of Homeless Services (DHS) also participate in NYC Safe. DHS receives \$10.5 million and 175 new positions in Fiscal 2016 and \$7.4 million and 67 positions in Fiscal 2017 and in the outyears for NYC Safe and shelter security.

- **Public Health Diversion Center** enables DOHMH to partner with the New York Police Department (NYPD) to run a service hub designed to reduce the number of nonviolent, low-level offenders with behavioral health issues who cycle through the criminal justice system. Based on a recommendation from the Mayor's Task Force on Behavioral Health and the Criminal Justice System, the Fiscal 2017 Preliminary Plan allocates \$3.8 million in Fiscal 2017 and \$1.8 million in Fiscal 2018 to support a

second Public Health Diversion Center. The Center is designed to reduce recidivism rates for low-level offenders and to redirect limited law-enforcement resources to more serious or violent offenders. The Preliminary Plan does not add any positions.

The Task Force issued a number of performance indicators for Fiscal 2016, noting that nearly 400 NYPD officers have completed crisis intervention training and more than 700 individuals have received substance use disorder treatments services between July and October of Fiscal 2016. The Center has not yet enrolled any participants in supervised released slots or provided DOHMH permanent supportive housing slots.

Performance Indicator	July – Oct FY16	FY16 Target	Cumulative Target	End Date
NYPD officers who completed crisis intervention training	394	990	5,500	6/30/2018
Participants enrolled in supervised release slots	0	677	3,048	6/30/2018
Individuals provided with substance use disorder treatment services	727	2,000	4,000	6/30/2017
DOHMH permanent supportive housing slots	0	120	267	TBD

- **Roadmap Buprenorphine** enables DOHMH to train 1,000-1,500 providers to prescribe buprenorphine and allows the agency to provide technical assistance to providers incorporating buprenorphine into clinical workflows. Buprenorphine, a life-saving medication used to treat opioid use disorder by stopping cravings and preventing withdrawal symptoms, is available from general physicians in office-based primary care settings. However, the availability of buprenorphine remains low, leaving many individuals who suffer from opioid use disorders without the treatment they need. The Preliminary Plan adds two buprenorphine positions and allocates \$81,000 in Fiscal 2016; \$268,000 in Fiscal 2017; and \$358,000 in the outyears.
- **Roadmap CBO Action Guide** enables DOHMH to develop a web-based learning platform to target non-specialized mental health workers, such as clergy, community-based organizations and agency leaders, and support them in addressing mental health challenges. Outreach for this free, universally available web-based Learning Center will initially focus on faith-based and immigrant-serving organizations. Fiscal 2017 new needs funding totals \$242,000, increasing to \$323,000 in the outyears. The Preliminary Plan also allows for one new hire each year.
- **Roadmap CUNY Digital Platforms** enables DOHMH to partner with the City University of New York (CUNY) School of Public Health and CUNY Health Services to pilot web and mobile-based mental wellness services to 30,000 students at selected campuses and to expand the mental health ambassador program. The Platform makes high-quality, low-cost mental health services and self-care resources available to the students through web-based and mobile-supported media. The campaign will begin in the 2016-2017 academic year. The Preliminary Plan includes \$296,000 in Fiscal 2016 for new needs and \$725,000 in Fiscal 2017 to fund

the new technology but no new positions. The Mental Health Roadmap also includes a Website and Program Finder Initiative, designed to help New Yorkers find mental health and substance use services quickly, easily, and tailored toward their specific demographics.

- **Roadmap Innovation Lab** enables DOHMH to provide technical assistance, evaluation, and evidence-based best practices to City agencies and providers involved in the expansion of mental health services and the State's Medicaid Redesign. The Roadmap promotes a data-based understanding of mental illness and substance misuse in order to effectively tailor treatment and prevention efforts. The Lab will help drive the use of evidence-based best practices throughout the field and design better methods for gathering needed data, leading to more innovative and effective programs. In addition to \$141,000 in Fiscal 2016, the Preliminary Plan allocates \$843,000 in Fiscal 2017 and \$1.1 million in the outyears for this new need. The headcount also increases by eight in Fiscal 2016 and by 10 each year out.
- **Roadmap Media Campaign** enables DOHMH to launch a citywide public education campaign to destigmatize mental illness and to help New Yorkers find appropriate services. This culturally competent awareness campaign—a joint effort between the Department and the Mayor's Office, Public Engagement Unit—will help New Yorkers navigate the mental health service system while reshaping the conversation around mental health. The campaign will also include a Community Engagement component. The Preliminary Plan allocates \$3.8 million in Fiscal 2016 and \$2 million in Fiscal 2017 and in the outyears to fund the campaign, as well as one new hire annually.
- **Roadmap Mental Health Corps** enables DOHMH to train, place, and supervise 390 contracted mental health workers in a variety of high-need primary care and substance use settings. The Preliminary Plan allocates \$1.5 million in Fiscal 2016 and \$18 million in Fiscal 2017 for the mental health corps, increasing to \$33 million in Fiscal 2018 and \$48 million in Fiscal 2019 and Fiscal 2020. The Preliminary Plan adds five DOHMH positions in Fiscal 2016 for this endeavor, increasing to seven in Fiscal 2017, eight in Fiscal 2018, and nine in both Fiscal 2019 and Fiscal 2020.
- **Roadmap Mental Health First Aid** enables DOHMH to train 500 instructors to further train 250,000 New Yorkers, including at least 125,000 frontline City positions, in Mental Health First Aid (MHFA) over the next five years. MHFA educates people in how to best support individuals experiencing mental health crises, while helping to destigmatize mental illness and promote mental health. In addition to \$600,000 in Fiscal 2016, the Preliminary Plan includes \$1.3 million in Fiscal 2017 and \$1.5 million in the outyears for this training, as well as two full-time positions annually.
- **Roadmap NYC Support Call Center** enables DOHMH to provide a single 24/7 hotline for New Yorkers to call to connect to behavioral healthcare services. NYC Support plans to bolster the capacity of its phone-based crisis hotline; add the ability to access resources via text messaging and the web; and significantly expand services to include providing non-crisis connections to behavioral health services.

In addition to playing a more proactive role in facilitating access to services and helping people enter care. NYC Support will provide crisis intervention, suicide prevention, and resource referral services. The Preliminary Plan includes \$5.3 million in Fiscal 2017 and \$4.6 million in the outyears but does not provide for any new positions.

- **Roadmap Peer Training** enables DOHMH to expand training to additional peer specialists for two years. This training will equip individuals who have life experience with mental illness and substance use to take on workforce positions in the healthcare system and obtain their NYS Peer Specialist Certification. The City will graduate 200 peer specialists from this program per year beginning in Fiscal Year 2017. The Preliminary Plan includes \$2.9 million in Fiscal 2017 and \$2.5 million in Fiscal 2018 for the training. In addition, as of January 2016, New York State provides coverage for peer support services delivered by professionally certified Peer Specialists to adults enrolled in Health and Recovery Plans. Coverage for these services expands to include children in January 2017.
- **Roadmap School Mental Health** enables DOHMH to hire mental health consultants to assess the specific priority mental health needs of every public school and subsequently implement effective interventions to increase teacher capacity and improve student mental health and academic success. Eight percent of NYC public high school students report attempting suicide and 73,000 students report feeling sad or hopeless each month. The Preliminary Plan includes \$1.1 million in Fiscal 2016 and \$8.2 million in Fiscal 2017 for school mental health, as well as \$10.5 million in the outyears. The initiative also adds 85 positions in Fiscal 2016 and 125 positions in the outyears, totaling 585 positions in the five-year plan.

Furthermore, the Department of Education (DOE) receives \$6.2 million in ThriveNYC funding each fiscal year to provide Mental Health Services to 100 high-need schools and \$84,000 in Fiscal 2017 to provide Mental Health Trainings to DOE staff. (See page 27 for more details).

- **Roadmap Social-Emotional Learning in Early Care & Education Settings** enables DOHMH to provide mental health consultation and treatment to children in need of specialized services identified through the expansion of Social-Emotional learning in Universal Pre-K and Early Learn centers. Over the next three years, the City will train approximately 9,000 teachers, assistants and school leaders to support social-emotional competencies in approximately 100,000 children from birth through age five, helping the children to become more aware of their emotions and to develop the skills needed to self-regulate and adapt to new situations.

New needs funding in DOHMH for Fiscal 2016 and 2017 totals \$592,000 and \$2.5 million, respectively, increasing to \$3.4 million in the outyears. The Preliminary Plan also adds three new positions annually for the initiative. The Administration for Children's Services also receives \$3 million in Fiscal 2017 ThriveNYC funding and \$2.2 million in the outyears for Social-Emotional Learning Trauma programs. The Department of Education receives \$8.1 million in Fiscal 2017; \$8.7 million in

Fiscal 2018; and \$9.1 million in the outyears in ThriveNYC funding for this Social-Emotional Learning initiative.

- **Roadmap Workforce Summit** enables DOHMH to partner with CUNY to convene a summit meeting in May 2016 with relevant stakeholders to address mental health treatment gaps, the diversity of the mental health workforce, and the incorporation of public health activities in mental health care. The group will also work to build collective training capacity, create certification protocols, establish core competencies, and improve payment structures. The Preliminary Plan includes \$71,000 in Fiscal 2016 for the summit but does not provide for any new positions.

DOHMH will also host, in partnership with the Mayor's Community Affairs Unit (CAU), a Mental Health Weekend for Faith-Based Communities, inviting faith leaders across the City to preach on the topic of mental health.

Thrive NYC in Other City Agencies

DOHMH, and specifically DMH, receives the majority of the Roadmap funding, but the Preliminary Plan also includes Fiscal 2017 new needs funding to the Administration for Children's Services (ACS), the Department of Social Services (DSS), the Department for the Aging (DFTA), the Department of Education (DOE), and the Department of Homeless Services (DHS). The supportive housing initiative also provides Fiscal 2017 funding to the Department of Housing Preservation and Development (HPD) and the Human Resources Administration (HRA).

ThriveNYC new needs funding included in the Fiscal 2017 Preliminary Plans for other City agencies include:

- **Mental Health Services for 100 High Need High Schools (DOE).** The Preliminary Plan includes \$6.3 million annually, starting in Fiscal 2017, to hire 100 School Mental Health Consultants (SMHCs) to work with every school citywide. SMHCs, through the Office of School Health, will facilitate a connection between staff and administrators and students with the highest immediate needs to care. These Masters-level social workers or counselors will (1) conduct needs assessments that enable schools to identify priority areas; (2) provide support, training, and technical assistance to enable schools to successfully plan and implement new or enhanced programs and services; and (3) facilitate emergency response and linkages by forging connections with existing DOE resources and community-based partners. The initiative does not add any new positions.
- **Mental Health Trainings for School Member (DOE).** The Preliminary Plan includes \$4,000 in Fiscal 2016 and \$80,000 in Fiscal 2017 to launch three new mental health training efforts: (1) Train selected staff of middle and high schools in Youth Mental Health First Aid; (2) Train the school staff in Youth Suicide Prevention; and (3) Offer At-Risk Training to all full-time staff of elementary, middle and high schools. The initiative does not add any new positions.
- **ThriveNYC: Trauma Informed Care in Early Learn (ACS).** The Preliminary Plan includes \$1.4 million in City funding and \$1.9 in combined State and federal funding

annually to create clinically enhanced, community-based services, providing specialized counseling and other interventions to mothers (and in some cases fathers) with very young children. The initiative adds 22 ACS positions annually, starting in Fiscal 2017.

- ACS, DOHMH and the Mayor's Office will also work to improve the health and well-being of young children in New York City through the Early Years Collaborative (EYC), an initiative of Mayor de Blasio's Children's Cabinet designed to connect positions from City agencies with community-based organizations. The Collaborative will address healthy pregnancy, school readiness, and secure parent-child attachment, safety, and stability.
- **Veterans Outreach Team Expansion (DSS).** The Preliminary Plan includes \$560,000 in Fiscal 2017 and in the outyears to enhance access to veteran services, emphasizing prevention and early intervention. The initiative also adds 10 positions per year, starting in Fiscal 2017. New York City is home to 230,000 veterans, nearly a quarter of whom have a probable diagnosis of post-traumatic stress disorder and/or major depression. Furthermore, more than 40 percent of veterans in the City report being unaware of what help is available, or uncertain about how to navigate the systems that provide assistance. In addition to the Outreach Team Expansion, the City will also create a Veterans Holistic Treatment Fund of \$1 million to provide grants to organizations that serve veterans and their families, bridging the gap between mind-body medicine and traditional clinical care.
- **Thrive NYC: Friendly Visiting (DFTA).** The Preliminary Plan includes \$1.8 million in Fiscal 2017 and in the outyears to fund 12 case management agencies. The initiative does not include any new direct DFTA hires. The agencies will identify 1,200 homebound clients who are suffering from the ill effects of social isolation, including high rates of depression and anxiety, and connect them to trained volunteers. The volunteers will make regular home visits and telephone calls, providing meaningful social contact. The incidence of depression is higher among subpopulations of elders compared to the general population, with rates of major depression occurring in 13.5 percent of elder home healthcare recipients.
- **NYC Safe: Shelter Security (DHS).** The Preliminary Plan includes \$10.5 million in Fiscal 2016 and \$7.4 million in the outyears to enhance clinical services and provide additional security at single adult shelters. The initiative adds 175 positions in Fiscal 2016 and 67 positions in the outyears. Approximately 35 percent of clients in the City's homeless shelters suffer from a serious mental illness—a figure closer to 40 percent among people who are street homeless.
- **New Supportive Housing for Vulnerable New Yorkers (HPD, HRA, DOHMH, DHS, ACS, Mayor's Office of Veteran's Affairs—MOVA).** Supportive housing constitutes a combination of affordable housing and support services designed to help individuals and families use housing as a platform for health and recovery. Supportive housing reduces the use of costly services, such as shelters, hospitals, and jails. The Administration is currently developing its supportive housing plan and funding structures.

- **Mental Health Council.** DOHMH also collaborated with City Hall to establish a Mental Health Council comprised of more than 20 City agencies from every sector of government, including health, human services, law enforcement, education, youth development, labor relations, and parks. As one of the new ThriveNYC Initiatives, the Council will serve as a key vehicle for managing mental health projects, policy-making, and problem-solving across City government. Another collaborative effort between the Mayor's Office and DOHMH concerns the ThriveNYC Mayors Conference for Mental Health. The City of New York will host the first Mayors Conference for Mental Health in 2016, bringing cities together to share new ideas and promising mental health initiatives.

Existing Initiatives among Thrive NYC

Historical initiatives that will be a part of the ThriveNYC Mental Health Roadmap include:

Administration for Children's Services (ACS)

- **Attachment and Biobehavioral Catch-up:** Expand Attachment and Biobehavioral Catch-up (ABC), an attachment-focused, strengths-based therapeutic model that helps caregivers more effectively nurture and engage children between the ages of six months and three years, to high-need neighborhoods in all five boroughs.
- **Cognitive Behavioral Therapy Plus:** Implement Partnering for Success (PfS), a framework to improve access to and delivery of behavioral health services for children in foster care and their families, at 18 of the Administration's 23 contracted family foster care agencies.
- **Relationship Counseling for All Foster Care Teens:** Provide healthy relationship training to all New York City teens in foster care in order to help young people prevent, recognize, and respond to dating violence, with the *Mayor's Office to Combat Domestic Violence (OCDV)*.

Department for the Aging (DFTA)

- **Geriatric Mental Health in Senior Centers:** Place a Licensed Clinical Social Worker or a professional with similar skills in up to 25 of the Department's largest senior centers.

Department of Correction (DOC) & New York City Health + Hospitals

- **Ensure the City Uses Jail and Diversion Programming Effectively (DOC):** Improve public safety and mental health services using Jail and Diversion Programming, a part of the Behavioral Health Task Force action plan, with the *Mayor's Office of Criminal Justice (MOCJ)*.
- **Expand and Enhance Discharge Planning Services (DOC, NYC Health + Hospitals):** Expand Medicaid enrollment application submissions for more incarcerated people prior to discharge and expand existing jail discharge services to an additional 8,100 people who leave jail as part of the Behavioral Health Task Force action plan.

- **Mental Health and Substance Use Programming for All Youth at Rikers Island (DOC, NYC Health + Hospitals):** Provide psychiatric assessments and afterschool therapeutic arts programming at Rikers Island for all youth under 21 and substance misuse programming for 16- to 21-year olds as part of the Behavioral Health Task Force action plan.
- **Mental Health Services in All Family Justice Centers (NYC Health + Hospitals):** Expand onsite mental health services at all five of the City's Family Justice Centers, with the *Mayor's Office to Combat Domestic Violence (OCDV)*.
- **Reduce Violence and Address Treatment in the City's Jails (DOC):** Implement strategies to improve the care and safety of people with behavioral health needs within City jails, relying on de-escalation and evidence-based staffing and programming.

Department of Education (DOE)

- **Evaluate Financial Sustainability of School-Based Mental Health Services (DOE, DOHMH):** Evaluate the various insurance reimbursement structures employed in DOE's 200 school-based mental health clinics in order to better understand the provider landscape and provide more comprehensive services.
- **Mental Health Services in All Community Schools (DOE, DOHMH):** Offer mental health services at all 130 Community Schools—neighborhood hubs where students receive academic instruction, families access social services, and communities share resources.
- **School Climate Improvements:** Implement strategies to support court-involved students and expand training for school safety agencies and police officers assigned to the School Safety Division.
- **Training on Intimate Partner Violence and Teen Dating Violence for Community School Staff:** Train staff at all 130 Community Schools to help students and families experiencing intimate partner violence or teen dating violence, with the *Mayor's Office to Combat Domestic Violence (OCDV)*.

Department of Health and Mental Hygiene (DOHMH)

- **Child Health Survey:** Conduct the Child Health, Emotional Wellness and Development Survey (CHEWDS) to collect reliable, representative, citywide data on the health, emotional wellness, and the development of children ages 12 and younger living in New York City, including their service usage and needs.
- **Connections to Care (C2C):** Integrate mental health services into programs that already serve low-income communities, targeting high-need populations, such as low-income expectant mothers and parents of young children, with the *Mayor's Fund to Advance NYC and the Center for Employment Opportunities (CEO)*.
- **Continue working closely with the State on the Transition to Medicaid Managed Care:** Partner with the Mayor's Office and Medicaid managed care plans to foster the next generation of behavioral health care—promoting and

implementing many of the strategies presented in the Roadmap—and ensure the State funds Medicaid behavioral health services are at a sufficient, sustainable level.

- **Create Employment Opportunities for Individuals with Developmental Disabilities:** Sponsor new programs in each borough to increase the number of individuals with developmental disabilities who have good jobs, with the *Mayor's Community Affairs Unit (CAU)*.
- **Evaluate Existing Assertive Community Treatment (ACT) Teams:** Provide additional resources and evaluation measures to the City's 44 ACT in order to improve the effectiveness of provided services to New Yorkers with serious mental illnesses who are stable enough to live in their communities.
- **Expansion of Newborn Home Visiting Program:** Expand services to 1,600 additional mothers of newborns in all-family shelters across New York City through the Newborn Home Visiting Program (NHVP).
- **Expand Access to Naloxone:** Provide trained lay people in neighborhoods with the highest opioid-involved overdose deaths with enough naloxone to reach more than 7,000 New Yorkers. Naloxone is a medication that reverses overdose from both opioid analgesics and heroin.
- **Integrated Brief Intervention for Substance Misuse:** Introduce substance use screening, brief intervention, and referral to treatment (SBIRT) services in all eight of the City's sexually transmitted disease (STD) clinics.
- **Reshape the Community Services Board:** Enhance the role of the Community Services Board, a City Charter-mandated body that advises DOHMH's mental health work, by inviting new members who represent a broad spectrum of communities, organizations, and viewpoints.
- **"Talk to Your Baby, Their Brain Depends On It" Campaign:** Urge parents, through a major public awareness campaign, to talk, read, and sign to their babies from birth, with the *NYC Children's Cabinet*.

Department of Homeless Services (DHS)

- **Mental Health Service Coordination in All Contracted Family Shelters:** Place licensed clinical social workers as client care coordinators in all 72 contracted family shelters, providing 8,900 families with access to clinical mental health services.

Department of Youth and Community Development (DYCD)

- **Mental Health Services for All Youth in Runaway and Homeless Youth Shelters:** Enhance mental health services at Runaway and Homeless Youth Drop-In Centers, crisis shelters, and Transitional Independent Living programs.

New York Police Department (NYPD)

- **Police Crisis Intervention Team Program and Training (NYPD, DOHMH):** Implement a NYC Crisis Intervention Team Program comprised of police training, drop-off options for officers, and community involvement.
- **Public Health Drop-Off Centers (NYPD, DOHMH):** Enable the Police Department to direct individuals who exhibit signs of mental illness and/or substance misuse to treatment-based options instead of hospitalization or the criminal justice system.

Appendix A: DMH Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2016			FY 2017		
	City	Non-City	Total	City	Non-City	Total
New Needs						
K2 Media Campaign	\$344	\$0	\$344	\$0	\$0	\$0
NYC Safe	1,000	0	1,000	13,855	0	13,855
Public Health Diversion Center	0	0	0	3,817	0	3,817
Roadmap - Buprenorphine	81	0	81	268	0	268
Roadmap - CBO Action Guide	88	0	88	242	0	242
Roadmap - CUNY Digital Platforms	296	0	296	725	0	725
Roadmap - Innovation Lab	141	0	141	843	0	843
Roadmap - Media Campaign	3,845	0	3,845	2,020	0	2,020
Roadmap - Mental Health Corps	1,507	0	1,507	18,016	0	18,016
Roadmap - Mental Health First Aid	600	0	600	1,287	0	1,287
Roadmap - NYC Support Call Center	0	0	0	5,299	0	5,299
Roadmap - Peer Training	0	0	0	2,861	0	2,861
Roadmap - School Mental Health	1,145	0	1,145	8,265	0	8,265
Roadmap - Social-Emotional Learning	592	0	592	2,549	0	2,549
Roadmap - Workforce Summit	71	0	71	0	0	0
Subtotal, New Needs	\$9,710	\$0	\$9,710	\$60,049	\$0	\$60,049
Other Adjustments						
CAT. FPHNHY SERG NYC CARES	\$0	\$36	\$36	\$0	\$0	\$0
Reversal Mod	2	2	5	0	0	0
OMH State Aid Letter	0	5,379	5,379	0	2,291	2,291
OTPS Shift	(3)	0	(3)	0	0	0
OPWDD	0	(825)	(825)	0	(825)	(825)
OASAS State Aid Letter	0	3,735	3,735	0	2,735	2,735
Subtotal, Other Adjustments	(\$0)	\$8,327	\$8,327	\$0	\$4,201	\$4,201
TOTAL, All DMH Changes	\$9,710	\$8,327	\$18,037	\$60,049	\$4,201	\$64,249

Appendix B: DOHMH Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2016			FY 2017		
	City	Non-City	Total	City	Non-City	Total
DOHMH Budget as of the Adopted 2016 Budget	\$577,804	\$772,445	\$1,350,249	\$545,020	\$760,926	\$1,305,946
New Needs						
Ending the Epidemic	\$2,000	\$1,125	\$3,125	\$14,980	\$8,426	\$23,406
Homeless Shelters - Pest Control	343	56	400	363	67	431
K2 - Media Campaign	344	0	344	0	0	0
Legionella and Cooling Towers Inspection	1,853	1,028	2,880	2,442	1,360	3,802
NYC Safe	1,000	0	1,000	13,855	0	13,855
OMCE - Gun Testing	0	0	0	2,031	0	2,031
Public Health Diversion Center	0	0	0	3,817	0	3,817
Roadmap - Buprenorphine	81	0	81	268	0	268
Roadmap - CBO Action Guide	88	0	88	242	0	242
Roadmap - CUNY Digital Platforms	296	0	296	725	0	725
Roadmap - Innovation Lab	141	0	141	843	0	843
Roadmap - Media Campaign	3,845	0	3,845	2,020	0	2,020
Roadmap - Mental Health Corps	1,507	0	1,507	18,016	0	18,016
Roadmap - Mental Health First Aid	600	0	600	1,287	0	1,287
Roadmap - NYC Support Call Center	0	0	0	5,299	0	5,299
Roadmap - Peer Training	0	0	0	2,861	0	2,861
Roadmap - School Mental Health	1,145	0	1,145	8,265	0	8,265
Roadmap - Social-Emotional Learning	592	0	592	2,549	0	2,549
Roadmap - Workforce Summit	71	0	71	0	0	0
Talk to Your Baby Phase II	690	0	690	0	0	0
Subtotal, New Needs	\$14,596	\$2,209	\$16,805	\$79,865	\$9,853	\$89,718
Other Adjustments						
Fiscal 2016 November Plan	(\$400)	\$53,224	\$52,823	\$1,015	\$9,440	\$10,455
AIDS/HIV Ryan White Project	\$0	\$390	\$390	\$0	\$0	\$0
Article 6 Adjustments	0	3,297	3,297	0	0	0
CAT. AIDS Initiative for Minority Men (AIMM)	0	310	310	0	63	63
CAT. Biowatch	0	31	31	0	0	0
CAT. Community Hospitals, Collaboratives	0	13	13	0	0	0
CAT. Cost of Living Adjustments	0	368	368	0	0	0
CAT. Eat Well Play Hard & Stellar Farmer	0	765	765	0	815	815
CAT. Ending the Epidemic	0	952	952	0	0	0
CAT. Foundations	0	77	77	0	0	0
CAT. FPHNY, Maternal & Child Health	0	22	22	0	0	0
CAT. Hepatitis	0	69	69	0	32	32
CAT. HIV Partner Notification	0	73	73	0	74	74
CAT. HIV/AIDS, Other	0	367	367	0	29	29
CAT. Join the Beat CDC	0	402	402	0	0	0
CAT. Mammography	0	30	30	0	32	32
CAT. NTL PH Tracking (EH Contaminants)	0	59	59	0	0	0
CAT. NY Childhood Lead, Primary Prevention	0	387	387	0	59	59
CAT. Special Projects of National Significance	0	81	81	0	58	58
CAT. State Approaches	0	6	6	0	0	0
CAT. TANF Nurse Family Partnership	0	1,651	0	0	0	0
CAT. Vaccines	0	208	208	0	37	37
CAT. WTC Registry	0	2,277	2,277	0	0	0
CEO: Expand Access to Healthy Foods	0	0	0	182	103	285
City Council Member Items	(556)	0	(556)	0	0	0

<i>Dollars in Thousands</i>	FY 2016			FY 2017		
	City	Non-City	Total	City	Non-City	Total
Collective Bargaining	\$41	\$334	\$374	\$50	\$496	\$545
Intercity DOHMH FY16	0	3,879	3,879	0	0	0
OASAS State Aid Letter 9-28-15	0	3,735	3,735	0	2,735	2,735
OCME	0	3,633	3,633	0	0	0
OMH State Aid Letter 9-22-15	0	5,379	5,379	0	2,291	2,291
OPWDD 7-30-15 SAL	0	(825)	(825)	0	(825)	(825)
Other Adjustments	0	1,652	1,652	0	0	0
PS Accruals	(3,500)	0	(3,500)	(1,500)	(643)	(2,143)
PS/OTPS Shifts	0	850	850	0	(70)	(70)
Revenue Savings	(38,975)	38,975	0	0	0	0
Subtotal, Other Adjustments	(\$43,390)	\$122,673	\$77,631	(\$253)	\$14,724	\$14,471
TOTAL, All Changes	(\$28,794)	\$124,882	\$94,436	\$79,611	\$24,577	\$104,189
DOHMH Budget as of Preliminary 2017 Budget	\$549,010	\$897,327	\$1,444,685	\$624,631	\$785,503	\$1,410,135

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Appendix C: DOHMH Contract Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personal service provided to the City by means of a contract. The Contract Budget is actually a subset of the OTPS portion of the City's Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. In January, it is prepared with the Departmental Estimates, and in late April it is submitted to the Council with the Executive Budget.

The following table provides DOHMH's Preliminary Contract Budget for Fiscal 2017.

Category	Fiscal 2016 Adopted*	Number of Contracts	Fiscal 2017 Preliminary*	Number of Contracts
Contractual Services General	\$66,089	58	\$61,355	55
Telecommunications Maintenance	40	26	40	27
Maintenance & Repair Motor Vehicle Equip.	80	12	57	12
Maintenance & Repair General	2,322	95	2,408	97
Office Equipment Maintenance	133	63	143	61
Data Processing Equipment	1,823	40	1,165	40
Printing Contracts	2,100	90	1,787	90
Security Services	131	3	524	4
Temporary Services	387	52	1,168	52
Cleaning Services	338	22	438	36
AIDS Services	93,958	45	93,958	45
Mental Hygiene Services	453,258	471	500,080	471
Hospitals Contracts	41,850	3	29,712	3
Special Clinical Services	12,953	1	12,721	1
Economic Development	224	9	282	11
Training Program City Staff	1,454	32	859	32
Maintenance & Operations of Infrastructure	268	59	714	59
Professional Services Accounting & Auditing	579	3	541	2
Professional Services Computer Services	666	4	449	7
Professional Services Other	45,400	169	45,098	169
TOTAL	\$724,053	1,257	\$753,498	1,274

*Dollars in Thousands

The City's Contract Budget, as proposed, totals \$13.16 billion in Fiscal 2017 for 17,666 contracts, a decrease of \$76 million, or less than one percent, compared to the \$13.24 billion Fiscal 2016 Adopted Budget. The Department's Fiscal 2017 Contract Budget totals \$753.5 million, an increase of \$29.4 million when compared to the Fiscal 2016 budget at adoption. The Fiscal 2017 Contract Budget includes 471 contracts for Mental Hygiene services valued at over \$500 million.

Appendix D: DOHMH Capital Budget Summary

The Fiscal 2016 Preliminary Capital Commitment Plan allocates \$341.7 million in Fiscal 2016-2019 to the Department of Health and Mental Hygiene (including City and Non-City funds). This funding represents less than one percent of the City's total \$57.2 billion January Plan for Fiscal 2016-2019. The Department's Preliminary Commitment Plan for Fiscal 2016-2019 is unchanged from the \$341.7 million scheduled in the Adopted Capital Commitment Plan.

DOHMH 2016-2019 Commitment Plan: September and Preliminary Budget					
<i>Dollars in Thousands</i>					
	FY 16	FY17	FY18	FY19	Total
September					
Total Capital Plan	\$121,696	\$130,295	\$48,063	\$41,647	\$341,701
Preliminary					
Total Capital Plan	\$121,696	\$130,295	\$48,063	\$41,647	\$341,701
Change					
Level	\$0	\$0	\$0	\$0	\$0

The majority of the capital projects span multiple fiscal years; therefore, it remains common practice for an agency to roll unspent capital funds into future fiscal years. Hence, the Department may roll a significant portion of its Fiscal 2016 Capital Plan into Fiscal 2017, increasing the size of the Fiscal 2017-2020 Capital Plan. Since adoption last June, the citywide total Capital Commitment Plan for Fiscal 2016 has increased from \$19.3 billion in the Adopted Capital Commitment Plan to \$19.7 billion in the Preliminary Capital Commitment Plan, an increase of \$415 million, or 2.2 percent.

The majority of the Department's Fiscal 2017 capital money funds improvements to healthcare facilities, including a \$3.6 million Electronic Vital Event Registration System. City hospitals also received a substantial portion of the Department's Capital funds, with Richmond University Health Center, Staten Island University Hospital, and Mount Sinai Hospital receiving \$11.7 million, \$6.9 million, and \$6.4 million, respectively.

The City funds the majority of the Department's capital plan with \$331.5 million of the total \$341.7 million coming from the City. Of the City funded planned commitments, elected officials have funded \$107.3 million or 32.4 percent. City Council capital funding for DOHMH capital projects totals \$78.7 million over the Fiscal 2016-19 time period.

Appendix E: Fiscal 2017 DMH Baselined Initiatives

Baselined Initiative	FY17 Amount*	FY17 Procurement	Same Scope	Proposed Contracts	FY16 Contracts
Autism Awareness	\$1,310	RFP	Yes	20	31
Bailey House	\$125	Redirected	No	1	1
Children Under Five	\$1,250	RFP	Yes	5-6	8
Geriatric Mental Health	\$2,000	RFP	Yes	9	26
Mental Health Contracts	\$621	RPF/Redirected	No	7	9
MH Services - Chemical Dependency	\$525	RFP	No	4-5	12
MH Services - Developmental Disability	\$806	RFP	Yes	2	5
MH Hygiene Services - MH Providers	\$1,164	Redirected	No	4	9
Samaritan's of New York, Inc.	\$247	Redirected	No	1	1
Young Adult Institute & Workshop	\$200	Contract Extension	Yes	1	1
	\$8,248				

*City-Tax Levy Spending (in '000s)

Procurement Details

1. Autism Awareness - \$1,310,000

- a. **Same Scope with a Caveat:** DOHMH plans to RFP this funding and continue with existing scope – focusing on a range of recreational and/or socialization services to support children and youth with autism spectrum disorders (ASDs). However, the Autism RFP, as written, excludes over 21 clients, cuts-back/eliminates parent involvement and training component, and negatively affects the ESOL aspect of some programs.
- b. **Contract Reduction:** Maximum number of anticipated contracts for FY17 is 20 contracts, compared to 31 contracts this fiscal year.

2. Bailey House - \$125,000

- a. **Different Scope:** Funds will be redirected to enhance the LifeNet contract, which will expand crisis hotline services. Funding provided to Bailey House focused on providing behavioral services at their mental health clinic.

3. Children Under Five - \$1,250,000

- a. **Same Scope:** DOHMH plans to RFP this funding and continue with the existing scope – focusing on increasing the capacity of mental health providers to provide quality early childhood mental treatment and consultation services, and improve access to these services for children (under five) and their families.
- b. **Contract Reduction:** Number of anticipated contracts for FY17 is 5-6 contracts, compared to 8 contracts this fiscal year.

4. Geriatric Mental Health – \$2,000,000

- a. **Same Scope:** DOHMH plans to RFP this funding and continue with existing scope – focusing on organizations that provide mental health services to older adults.
- b. **Contract Reduction:** Maximum number of anticipated contracts for FY17 is 9 contracts, compared to 26 contracts this fiscal year.
- c. **Justification/Technical Changes:** The current GMHI funds 26 providers ranging from \$40,000 to \$118,000 to provide a mixture of screenings for depression and alcohol/substance use, psychiatric evaluation and treatment, individual supportive counseling, group supportive counseling, and/or case management. Historically, including this fiscal year, the GMHI providers have been paid on a deliverable basis, for each screening or unit of counseling provided. With this model, DOHMH does not have the capacity to measure the impact of these screenings or counseling sessions. As per DOHMH, the programs are not sufficiently funded nor are the agencies comparable in their capacity to conduct standardized outcome assessments.
 - i. That is to say, they lack the staff hours and/or skills to report whether, for example, there was a decline in symptoms of depression subsequent to a GMHI intervention. Furthermore, there is no standard model of care for these providers to follow nor is there funding for them to implement interventions that have been proven to improve geriatric mental health.
 - ii. In the proposed RFP for FY17, DOHMH wants to give providers the training; staffing and implementation support to deliver evidence based treatment for depression in older adults. In order to accomplish this, DOHMH needs to invest more funding in fewer programs. Ultimately, the Administration believes this will deliver greater value to older adults in New York City—especially those who are homebound—by giving them access to effective depression treatment.

5. Mental Health Contracts - \$621,000

- a. **Different Scope:** Funds will be redirected to expand access to buprenorphine treatment in primary care settings. Ties to the Administration’s Mental Health Roadmap, which calls for such expansion.

6. Mental Hygiene Services – Chemical Dependency - \$525,000

- a. **Different Scope:** Funds will be redirected to expand access to buprenorphine treatment in primary care settings. Ties to the Administration’s Mental Health Roadmap, which calls for such expansion.

7. Mental Hygiene Services – Developmental Disability – \$806,000

- a. **Same Scope:** DOHMH plans to RFP this funding and continue with existing scope – focusing on a range of outpatient services for individuals with DD, including diagnostic evaluation, habilitation services, treatment coordination and planning, and medical/health care services.
- b. **Contracts:** Number of anticipated contracts for FY17 is five contracts, while only three are funded by this initiative.

8. Mental Hygiene Services – MH Providers – \$1,164,000

- a. **Different Scope:** DOHMH plans to redirect \$1 million to existing contracts for Crisis Respite Centers and \$164,000 will be redirected to an existing contract for LifeNet.
 - i. Crisis Respite Centers (“Respite”) offer 24/7 support in a home-like setting for people who need additional care to get through a psychiatric crisis but do not meet the threshold for admission to an inpatient psychiatric unit.

9. Samaritan’s of New York - \$247,000

- a. **Different Scope:** Funds will be redirected to enhance the LifeNet contract, which will expand crisis hotline services. Funding provided to Samaritan’s focused on supporting their 24-hour confidential suicide prevention hotline.

10. Young Adult Institute - \$200,000

- a. **Same Scope:** DOHMH plans to continue existing scope through a contract amendment.