

THE COUNCIL OF THE CITY OF NEW YORK

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Speaker of the Council

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Chair, Committee on Sanitation and Solid Waste Management



Report on the Fiscal 2017 Preliminary Budget and the Fiscal 2016 Preliminary Mayor's Management Report Department of Sanitation

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DSNY Overview

The Department of Sanitation (DSNY or the Department) keeps New York City healthy, safe and clean by collecting, recycling and disposing of waste; cleaning streets and vacant lots; and clearing snow and ice. The Department operates 59 district garages and manages a fleet of more than 2,200 collection trucks, 450 mechanical brooms and 577 large and small salt spreaders. The Department clears litter, snow and ice from approximately 6,000 miles of City streets and removes debris from vacant lots and abandoned vehicles from City streets. The Department leads the City's efforts to contribute zero waste to landfills by 2030, a key component of One New York: The Plan for a Strong and Just City.

The report provides a review of the DSNY's Fiscal 2017 Preliminary Budget. The first section presents highlights of the Fiscal 2017 expense budget, including actions from the November and Preliminary Financial Plans. The report then presents the agency's contract budget, miscellaneous revenues, analysis of the Department's program area budgets and review relevant sections of the Preliminary Mayor's Management Report for Fiscal 2016. This is followed by a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the \$1 billion Capital Plan for Fiscal 2016-2019. Finally, the appendices are included to highlight the Budget Actions in the November and Preliminary Plans and the reconciliation of the Department's program areas to units of appropriation.

Fiscal 2017 Preliminary Budget Highlights

The City's Preliminary Fiscal 2017 Budget is \$82.1 billion, \$3.6 billion more than the Fiscal 2016 Adopted Budget of \$78.5 billion.

DSNY Expense Budget						
	2014	2015	2016	Preliminary Plan		*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	2016	2017	2016 - 2017
Personal Services	\$867,392	\$886,768	\$910,170	\$917,289	\$951,370	\$41,200
Other Than Personal Services	546,831	590,644	656,976	629,951	686,487	29,511
TOTAL	\$1,414,222	\$1,477,412	\$1,567,145	\$1,547,240	\$1,637,857	\$70,712

*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.

The Department of Sanitation's Fiscal 2017 Preliminary Budget totals \$1.64 billion (including City and non-City funds); this represents approximately two percent of the City's total budget. The Department of Sanitation's \$1.64 billion Fiscal 2017 Preliminary Budget is \$71 million more than the Fiscal 2016 Adopted Budget of \$1.57 billion. The Preliminary Plan includes an increased headcount of 78 employees for the Hamilton Avenue Marine Transfer Station (MTS), M1/2/5 garages and various administrative roles, combined with an increase for collective bargaining costs, organics program expansion and snow overtime costs.

The key actions affecting the agency's proposed Preliminary Plan include:

- **Organics Collection Program.** The Fiscal 2017 Preliminary Plan includes \$7.5 million in Fiscal 2016 and \$3.5 million in Fiscal 2017 to expand the curbside and school

organics collection program. The additional community districts set to receive service include Brooklyn 7, 8, 10,11 and 15; Bronx 10 and 11; Queens 1, 5, 7, 9 and 11; and Staten Island 1 and 3.

- **Commercial Waste Collection Study.** The Preliminary Plan includes \$1 million in Fiscal 2017 to conduct a study of the commercial waste industry, including an evaluation of truck route efficiencies, employee safety practices and waste collection zones. The study is set to be published in the Spring of 2016.
- **Sanitation Chiefs.** The Preliminary Plan includes \$573,000 in Fiscal 2016 and \$927,000 in Fiscal 2017 for the option for officers to be paid out for their accrued compensatory time. This measure is meant to ensure that adequate coverage is maintained on shifts.
- **Fall Leaf Collection Program.** The fall leaf collection program, mandated as per local law 77 of 2013, was temporarily suspended as the Department focused on the organics collection pilot. The Preliminary Plan includes \$815,000 in Fiscal 2017 only to reinstate the fall leaf collection program. This funding is not included in the outyears as the program is anticipated to be absorbed by the organics collection program, which will collect Department-managed yard waste within designated areas of the City where a substantial amount of yard waste is generated.
- **Office Lease.** To alleviate overcrowding, the Fiscal 2017 Preliminary Plan includes \$225,000 in Fiscal 2016, growing to \$674,000 in Fiscal 2017, to lease office space at 33 Whitehall St. for the Bureau of Information Technology. This funding is not included in the outyears as the Department doesn't anticipate a long-term lease at this location.
- **Handheld Radio Replacement.** The Fiscal 2017 Preliminary Plan includes \$400,000 to purchase 200 handheld radios.
- **Queens 7 Parking Lease.** The Fiscal 2017 Preliminary Plan includes \$225,000 in Fiscal 2016 growing to \$300,000 in Fiscal 2017 and in the outyears to lease parking spaces to alleviate vehicle overcrowding at the Queens 7 garage.
- **Manhole Cover Replacement.** The Fiscal 2017 Preliminary Plan includes \$300,000 in Fiscal 2016 and \$350,000 in Fiscal 2017 to bring the Department into compliance with federal safety and environmental regulations related to manhole covers.
- **Facility Improvements.** The Fiscal 2017 Preliminary Plan includes \$320,000 in Fiscal 2016 and in the outyears for contractor work on capially ineligible projects at Department facilities.

Financial Summary

DSNY Financial Summary						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Budget by Program Area						
Civilian Enforcement	\$4,562	\$4,410	\$4,204	\$4,285	\$4,324	\$120
Collection & Street Cleaning	655,146	673,618	693,623	695,597	716,285	22,662
Enforcement - General	13,248	14,574	17,351	17,750	17,975	624
Engineering	4,231	8,852	7,690	9,542	7,277	(413)
General Administration	110,098	103,954	118,246	119,819	119,040	794
Legal Services	3,222	3,401	3,689	3,744	3,823	134
Long Term Export	3,000	3,047	2,075	1,888	2,011	(64)
Public Information	1,736	1,966	2,200	2,246	2,286	86
Snow Removal	130,653	116,619	77,464	77,728	87,576	10,112
Solid Waste Transfer Stations	6,712	8,938	14,908	15,263	19,997	5,089
Support Operations - Motor Equipment	85,063	91,850	87,351	91,663	92,291	4,940
Support Operations-Building Management	20,043	23,680	26,516	27,340	26,663	147
Waste Disposal - General	13,771	12,729	17,478	17,468	17,003	(475)
Waste Disposal - Landfill Closure	18,658	52,566	85,210	55,685	83,681	(1,529)
Waste Export	299,712	316,133	367,815	355,376	387,411	19,596
Waste Prevention, Reuse, and Recycling	44,368	41,075	41,323	51,846	50,214	8,891
TOTAL	\$1,414,222	\$1,477,412	\$1,567,145	\$1,547,240	\$1,637,857	\$70,712
Funding						
City Funds			\$1,543,519	\$1,521,806	\$1,612,948	\$69,429
Other Categorical			750	1,274	750	0
Capital- IFA			4,331	4,711	5,219	888
State			25	25	25	0
Federal - Community Development			15,330	15,407	15,717	387
Federal - Other			0	363	0	0
Intra City			3,191	3,654	3,198	7
TOTAL	\$1,414,222	\$1,477,412	\$1,567,145	\$1,547,240	\$1,637,857	\$70,712
Budgeted Headcount						
Full-Time Positions - Civilian	1,890	2,005	2,247	2,264	2,275	28
Full-Time Positions - Uniform	7,185	7,381	7,427	7,427	7,477	50
TOTAL	9,075	9,386	9,674	9,691	9,752	78

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

In general, agency program budgets provide insight into which programs are priorities and how the budget impacts programs' outcomes. DSNY's functions can be broken down into sixteen program areas as illustrated in the chart above. These program areas are funded with a combination City tax-levy funds and other resources. Of the Department's program areas, funding for Collection & Street Cleaning makes up nearly 3.3 percent of total funding in Fiscal 2017, while funding for Snow Removal is up nearly 13 percent. The increase is due to an increased headcount of 78 employees across for the Hamilton Avenue Marine Transfer Station (MTS), M1/2/5 garages and various administrative roles, combined with an increase for collective bargaining, organics program expansion and snow overtime costs.

Contract Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personal service provided to the City by means of a contract. The Contract Budget is actually a subset of the OTPS portion of the City's Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. In January, it is prepared with the Departmental Estimates, and in late April it is submitted to the Council with the Executive Budget.

The following table provides DSNY's Preliminary Contract Budget for Fiscal 2017.

DSNY Fiscal 2017 Preliminary Contract Budget				
<i>Dollars in Thousands</i>				
Category	Fiscal 2016 Adopted	Number of Contracts	Fiscal 2017 Preliminary	Number of Contracts
Contractual Services General	\$88,661	16	\$93,990	16
Telecommunications Unit	852	5	840	5
Maintenance & Repair Motor Vehicle Equip	1,138	13	1,138	13
Maintenance & Repair General	628	12	592	12
Office Equipment Maintenance	142	6	141	6
Data Processing Equipment	534	1	534	1
Printing Contracts	1,149	5	1,292	6
Security Services	4,175	8	4,118	8
Waste Disposal	367,827	31	387,423	31
Temporary Services	473	3	473	3
Cleaning Services	467	17	266	17
Training Program City Employees	83	5	93	6
Maintenance & Operation Of Infrastructure	3,942	22	2,145	22
Professional Services - Computer Services	1,177	13	2,027	14
Professional Services - Other	11,741	21	11,138	21
TOTAL	\$482,989	178	\$506,210	181

The City's Contract Budget, as proposed, totals \$13.16 billion in Fiscal 2017, a decrease of \$76 million or less than one percent when compared to the Fiscal 2016 Adopted Budget of \$13.24 billion. For DSNY, the contract budget for Fiscal 2017 is approximately \$506.2 million. Many of the contracts in the Department support daily operations and maintenance. The largest category for DEP, Waste Disposal, includes 31 contracts for \$387.4 million. Waste Disposal includes waste management engineering and waste export administration. Approximately 11,000 tons of residential and institutional refuse is collected by the Department daily and exported by private vendors for disposal.

Revenue

DSNY Miscellaneous Revenue Budget Overview						
<i>Dollars in Thousands</i>						
Revenue Sources	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Transfers Permits	\$563	\$563	\$563	\$563	\$563	\$0
Dumping Fees	1,100	1,131	900	900	900	0
Abandon Vehicles	250	275	275	275	275	0
Landfill Gas Concession	2,932	2,900	3,300	3,300	3,000	(300)
General Fees-Badges, Equipt	10	10	10	10	10	0
Pest Control Fees	440	440	440	440	440	0
Dynamometer Emissions Fees	25	100	100	100	100	0
E-Waste Processing Fees	0	111	111	111	111	0
Impound Fees-Illegal Dumping	50	50	50	50	50	0
Recycled Bulk & Paper Sales	2,860	3,242	4,819	4,819	4,819	0
Photocopy & Misc. Fees	5	5	5	5	5	0
Recycled Newspaper-VISY	1,399	1,473	1,591	1,591	1,591	0
VISY-MTS Charges	3,389	3,900	2,866	2,866	2,866	0
Employee HLTH Contributions	3,600	3,700	2,550	2,550	2,550	0
Compost Sales	0	0	250	0	0	(250)
TOTAL	\$16,623	\$17,900	\$17,830	\$17,580	\$17,280	(\$550)

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The chart above shows the fifteen categories of revenue generated by the Department and reports revenue for Fiscal 2014 through Fiscal 2017. The Preliminary 2016 and 2017 figures are derived from the Office of Management and Budget (OMB) based on historical patterns of actual revenues in previous fiscal years. The DSNY Revenue Budget totals \$17.3 million in Fiscal 2017, a decrease of \$550,000 or 3.1 percent when compared to the Fiscal 2016 Adopted Budget. The estimated decrease in Fiscal 2017 reflects the continuation of declining gas prices and the elimination of compost sales.

Program Areas

Civilian Enforcement

This program area includes funding for borough specific enforcement of sanitary laws in all five boroughs.

Civilian Enforcement						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$4,317	\$4,159	\$4,204	\$4,285	\$4,324	\$120
Additional Gross Pay	159	161	0	0	0	0
Overtime - Civilian	86	90	0	0	0	0
TOTAL	\$4,562	\$4,410	\$4,204	\$4,285	\$4,324	\$120
Funding						
City Funds	\$4,562	\$4,410	\$4,204	\$4,285	\$4,324	\$120
TOTAL	\$4,562	\$4,410	\$4,204	\$4,285	\$4,324	\$120
Budgeted Headcount						
Full-Time Positions - Civilian	134	141	125	125	125	0
TOTAL	134	141	125	125	125	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Department's Fiscal 2017 Preliminary Budget includes \$4.3 million for Civilian Enforcement, which is \$120,000 or three percent more than the Fiscal 2016 Adopted Budget of \$4.2 million. This change reflects a reallocation of \$120,000 from Collection and Street Cleaning to Civilian Enforcement for collective bargaining costs.

Collection & Street Cleaning

The Department's Bureau of Cleaning and Collection (the Bureau) is responsible for collecting household refuse and recyclables as well as cleaning City Streets. The Department utilizes a fleet of 2,030 rear-loading collection trucks, 450 mechanical brooms and 365 salt spreaders and operates 59 sanitation garages from which it dispatches for community collection, cleaning, and recycling services. On an average day, about 11,000 tons of waste for disposal, and 2,000 tons of curbside and containerized recycling are collected. The Department receives federal funding through the Department of Housing and Urban Development which allows DSNY to clean vacant lots and surrounding premises of city-owned buildings in order to meet the City's health code standards.

Collection & Street Cleaning						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$466,809	\$467,381	\$496,603	\$497,121	\$513,299	\$16,696
Full-Time Salaried - Civilian	10,662	12,674	13,650	13,737	14,293	643
Other Salaried and Unsalariated	1,217	1,258	1,706	1,706	1,717	11
Additional Gross Pay	81,904	85,875	87,597	87,714	89,649	2,052
Overtime - Uniformed	49,374	60,122	49,973	49,258	51,100	1,127
Overtime - Civilian	937	945	216	216	216	0
Fringe Benefits	31,468	32,161	32,113	34,083	34,102	1,989
Subtotal	\$642,372	\$660,416	\$681,868	\$683,836	\$704,377	\$22,508
Other Than Personal Services						
Supplies and Materials	\$3,289	\$3,608	\$3,289	\$3,204	\$3,097	(\$192)
Fixed and Misc Charges	1	0	5	5	5	0
Property and Equipment	1,678	2,797	1,800	1,708	2,250	450
Other Services and Charges	5,137	4,421	4,375	4,345	4,375	0
Contractual Services	2,668	2,377	2,287	2,499	2,182	(105)
Subtotal	\$12,773	\$13,203	\$11,756	\$11,761	\$11,909	\$153
TOTAL	\$655,145	\$673,618	\$693,623	\$695,597	\$716,285	\$22,661
Funding						
City Funds			\$676,964	\$678,705	\$699,334	\$22,370
Other Categorical			750	983	750	0
Federal - Community Development			14,142	14,142	14,426	284
Intra City			1,767	1,767	1,774	7
TOTAL	\$655,145	\$673,618	\$693,623	\$695,597	\$716,285	\$22,661
Budgeted Headcount						
Full-Time Positions - Civilian	271	280	320	325	325	5
Full-Time Positions - Uniform	6,933	7,048	7,028	7,028	7,023	(5)
TOTAL	7,204	7,328	7,348	7,353	7,348	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Department's Fiscal 2017 Preliminary Budget includes \$716.3 million for Collection and Street Cleaning, which is \$22.7 million or nearly three percent more than the Fiscal 2016 Adopted Budget of \$693.6 million. This change reflects a \$21 million increase for collective bargaining costs, which is modestly offset by Fiscal 2016 funding that did not

carry into Fiscal 2017 for locker room rehabilitation contracts, and City Council discretionary funding.

Performance Measures

DSNY Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Vacant lot cleaning requests	3,056	2,539	2,367	2,500	2,500	1,193	1,287
Lots cleaned citywide	3,607	2,997	3,206	3,200	3,200	1,160	1,177
Refuse collection cost per ton (\$)	252	277	282	*	*	NA	NA
Disposal cost per ton (\$)	140	145	167	*	*	NA	NA
Refuse cost per ton (fully loaded) (\$)	392	422	449	*	*	NA	NA

DSNY received 1,287 vacant lot cleaning requests in the first quarter of Fiscal 2016, an increase of 94, or 7.9 percent when compared to the same period in Fiscal 2015. The Department cleaned 1,177 lots citywide in the first quarter of Fiscal 2016, an increase of 17, or 1.4 percent when compared to the same period in Fiscal 2015.

Engineering

This program area includes funding for the Capital Projects Division, which oversees the design and construction of DSNY capital projects.

Engineering						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$2,936	\$2,872	\$3,117	\$3,503	\$3,980	\$863
Other Salaried and Unsalariated	14	20	36	36	36	0
Additional Gross Pay	117	179	62	63	63	1
Overtime - Civilian	26	7	46	46	46	0
Subtotal	\$3,094	\$3,077	\$3,261	\$3,648	\$4,125	\$864
Other Than Personal Services						
Supplies and Materials	\$308	\$1,106	\$284	\$309	\$284	\$0
Property and Equipment	3	23	37	37	37	0
Other Services and Charges	298	3,032	883	1,894	973	90
Contractual Services	528	1,613	3,225	3,653	1,858	(1,367)
Subtotal	\$1,137	\$5,774	\$4,429	\$5,894	\$3,152	(\$1,277)
TOTAL	\$4,231	\$8,852	\$7,690	\$9,542	\$7,277	(\$413)
Funding						
City Funds			\$4,865	\$6,342	\$3,613	(\$1,252)
Capital- IFA			2,825	3,200	3,664	839
TOTAL	\$4,231	\$8,852	\$7,690	\$9,542	\$7,277	(\$413)
Budgeted Headcount						
Full-Time Positions - Civilian	39	36	39	48	48	9

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for Engineering is approximately \$7.2 million, which is a decrease of \$413,000, or 5.4 percent less than the Fiscal 2016 Adopted Budget of \$7.7 million. This change reflects a \$1.4 million decrease that was allocated in Fiscal 2016 towards facility repair contracts and a construction fine that is not included in Fiscal 2017. Decreases are partially offset by an increase of nine positions for project manager and engineering titles related to work on the Brooklyn 3/3A and Manhattan 6/6A/8 garages and various Department capital projects.

Enforcement – General

This program area includes the Department’s enforcement agents who are responsible for monitoring compliance with administrative, recycling, and health laws as they relate to the maintenance of clean streets, illegal dumping and the disposal of waste and recyclable materials.

Enforcement - General						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$6,979	\$7,112	\$8,108	\$8,396	\$8,550	\$442
Full-Time Salaried - Civilian	4,546	4,679	6,117	6,226	6,295	178
Other Salaried and Unsalared	0	20	35	35	35	0
Additional Gross Pay	793	879	879	881	882	3
Overtime - Uniformed	627	737	780	780	780	0
Overtime - Civilian	80	180	223	223	223	0
Subtotal	\$13,025	\$13,606	\$16,142	\$16,541	\$16,765	\$624
Other Than Personal Services						
Supplies & Materials	\$96	\$231	\$570	\$546	\$568	(\$1)
Property & Equipment	26	547	524	554	538	13
Other Services & Charges	100	108	100	109	100	0
Contractual Services	1	81	16	1	4	(12)
Subtotal	\$223	\$968	\$1,210	\$1,210	\$1,210	\$0
TOTAL	\$13,248	\$14,574	\$17,351	\$17,750	\$17,975	\$624
Funding						
City Funds			\$17,351	\$17,750	\$17,975	\$624
TOTAL	\$13,248	\$14,574	\$17,351	\$17,750	\$17,975	\$624
Budgeted Headcount						
Full-Time Positions - Civilian	103	104	150	151	151	1
Full-Time Positions - Uniform	96	91	112	112	112	0
TOTAL	199	195	262	263	263	1

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget includes \$18 million for Enforcement – General, which is \$624,000, or 3.6 percent more than the Fiscal 2016 Adopted Budget of \$17.4 million. This increase is attributed to collective bargaining costs and an increase in one civilian position.

Performance Measures

DSNY Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Streets rated acceptably clean (%)	1	1	1	1	1	1	1
Streets rated filthy (%)	0	0	0	*	*	0	0
Sidewalks rated acceptably clean (%)	96.10%	96.00%	95.50%	97.00%	97.00%	95.30%	96.10%
Sidewalks rated filthy (%)	0.40%	0.30%	0.40%	*	*	0.40%	0.30%
Violations issued for dirty sidewalks	28,690	39,975	49,828	*	*	19,829	25,858
Violations issued for illegal posting	16,182	18,217	11,601	*	*	7,288	272

In the first quarter of Fiscal 2016, streets and sidewalks rated acceptably clean remained high at 96.1 percent. The number of violations issued for dirty sidewalks increased by 6,029, or 30.4 percent, from 19,829 to 25,858 the same period in Fiscal 2015.

The number of violations given for illegal posting decreased by 7,016, or 96.3 percent when compared to the same period in Fiscal 2015. In early 2015, the Department of Sanitation received an adverse New York State Supreme Court decision which forced the Department to reconsider its enforcement practices for poster law violations. The decision held that the issuance of multiple poster law violations can be a violation of the Eighth Amendment of the United States Constitution as an unconstitutionally excessive fine. While reconsidering its enforcement practices, the Department temporarily suspended issuing these violations while working with the Law Department to develop how best to issue these violations and address the Court's decision. The Department then instituted a policy of sending a warning letter to parties responsible for illegal posting, giving such parties two weeks to remove any posters that are illegally posted. If, after the two week period the Department sees the posters illegally posted, the Department will then issue a violation for every illegal posting.

General Administration

This program area includes funding that supports all aspects of administration and planning of the Department, including payroll, budgeting, accounting, purchasing, data processing, training, and other services required to support executive and administrative operations that cannot be clearly linked to specific program areas.

General Administration						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$3,869	\$5,059	\$7,904	\$7,549	\$7,752	(\$152)
Full-Time Salaried - Civilian	17,181	18,608	24,890	24,894	25,444	554
Other Salaried and Unsalariated	709	944	836	819	835	(1)
Additional Gross Pay	988	1,268	1,049	1,041	1,049	0
Overtime - Uniformed	118	458	338	338	385	47
Overtime - Civilian	446	488	123	123	123	0
P.S. Other	(111)	(265)	0	0	0	0
Fringe Benefits	9	10	40	70	70	30
Subtotal	\$23,209	\$26,569	\$35,181	\$34,833	\$35,658	\$477
Other Than Personal Services						
Supplies and Materials	\$42,681	\$33,150	\$38,653	\$35,084	\$36,403	(\$2,250)
Fixed & Misc. Charges	1,238	232	27	32	27	0
Property & Equipment	530	687	784	1,644	1,849	1,065
Other Services & Charges	36,054	36,678	37,502	39,030	38,563	1,062
Contractual Services	6,386	6,639	6,100	9,196	6,540	440
Subtotal	\$86,889	\$77,386	\$83,065	\$84,986	\$83,382	\$317
TOTAL	\$110,098	\$103,954	\$118,246	\$119,819	\$119,040	\$794
Funding						
City Funds			\$115,340	\$116,346	\$116,078	\$738
Other Categorical			0	27	0	0
Capital- IFA			1,288	1,293	1,330	43
State			25	25	25	0
Federal - Community Development			189	195	202	13
Federal - Other			0	363	0	0
Intra City			1,404	1,571	1,404	0
TOTAL	\$110,098	\$103,954	\$118,246	\$119,819	\$119,040	\$794
Budgeted Headcount						
Full-Time Positions - Civilian	248	256	332	334	334	2
Full-Time Positions - Uniform	41	43	61	65	54	(7)
TOTAL	289	299	393	399	388	(5)

*The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.

The Fiscal 2017 Preliminary Budget for general administration is \$119 million, which is \$794,000 more than the Fiscal 2016 Adopted Budget of \$118.2 million. This increase reflects the following:

- \$1.1 million for computer software purchases;
- \$950,000 to upgrade existing summons issuing software; and
- \$674,000 to lease office space to alleviate crowding for the Bureau of Information Technology.

Performance Measures

DSNY Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Cases commenced against the City in state and federal court	309	341	651	*	*	186	248
Payout (\$000)	26,944	32,265	25,500	*	*	8,726	9,735
Private transfer station permits	\$59	\$59	\$59	*	*	59	59
Private transfer station inspections performed	\$5,047	\$6,022	\$5,998	*	*	2,196	1,318
Total Environmental Control Board violations issued	259,909	358,064	402,251	*	*	136,309	147,112
Violations admitted to or upheld at the Environmental Control Board (%)	84%	86%	88%	*	*	89%	88%
Paper recycling revenue per ton (\$)	\$11	\$11	\$11	*	*	\$11	\$11
Collisions involving City vehicles	2,093	2,457	2,616	*	*	611	568
Workplace injuries reported (uniform and civilian)	1,572	1,539	1,266	*	*	433	442

In the first quarter of Fiscal 2016, there were 568 accidents involving City vehicles, a decrease of 43, or seven percent when compared to the first quarter of Fiscal 2015. As a part of Vision Zero, the Department of Citywide Administrative Services (DCAS) will be overseeing new safety procedures and technologies for the City's fleets.

Environmental Control Board violations issued in the first quarter of Fiscal 2016 reached 147,112, an increase of 10,803 violations issued, or 14.8 percent when compared to the first quarter of Fiscal 2015.

Legal Services

This program area includes funding for the Department's legal affairs division.

Legal Services						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$146	\$115	\$145	\$149	\$151	\$6
Full-Time Salaried - Civilian	2,839	2,966	3,321	3,370	3,446	125
Other Salaried and Unsalariated	33	71	26	26	26	0
Additional Gross Pay	185	234	171	173	174	3
Overtime - Uniformed	15	10	22	22	22	0
Overtime - Civilian	3	4	5	5	5	0
TOTAL	\$3,222	\$3,401	\$3,689	\$3,744	\$3,823	\$134
Funding						
City Funds			\$3,561	\$3,617	\$3,692	\$131
Capital- IFA			127	127	131	4
TOTAL	\$3,222	\$3,401	\$3,689	\$3,744	\$3,823	\$134
Budgeted Headcount						
Full-Time Positions - Civilian	38	39	43	43	43	0
Full-Time Positions - Uniform	2	1	2	2	2	0
TOTAL	40	40	45	45	45	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for legal services is \$3.8 million, which is \$134,000, or nearly four percent more than the Fiscal 2016 Adopted Budget of \$3.7 million. This change reflects an increase of \$134,000 for collective bargaining costs.

Long Term Export

The Bureau of Long Term Export is responsible for the development of DSNY's long-term export facilities (connected to barge and rail transportation), the Solid Waste Management Plan (SWMP) and supporting Final Environmental Impact Statements.

Long Term Export						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$750	\$700	\$1,218	\$1,030	\$1,050	(\$167)
Other Salaried and Unsalariated	0	0	13	13	13	0
Additional Gross Pay	172	59	21	21	21	0
Overtime - Civilian	23	30	7	7	7	0
Subtotal	\$946	\$789	\$1,258	\$1,071	\$1,091	(\$167)
Other Than Personal Services						
Supplies and Materials	\$4	\$7	\$10	\$8	\$10	\$0
Property and Equipment	0	2	4	5	4	0
Other Services and Charges	6	263	5	9	5	0
Contractual Services	2,044	1,985	799	794	902	103
Subtotal	\$2,054	\$2,257	\$818	\$816	\$921	\$103
TOTAL	\$3,000	\$3,047	\$2,075	\$1,888	\$2,011	(\$64)
Funding						
City Funds			\$2,072	\$1,884	\$2,008	(\$64)
Capital- IFA			3	3	3	0
TOTAL	\$3,000	\$3,047	\$2,075	\$1,888	\$2,011	(\$64)
Budgeted Headcount						
Full-Time Positions - Civilian	10	8	11	11	11	0
Full-Time Positions - Uniform	8	9	12	11	11	(1)
TOTAL	8	9	12	11	11	(1)

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Long Term Export is approximately \$2 million, which is \$64,000, or three percent more than the Fiscal 2016 Adopted Budget of \$2.1 million. This change is a result of a reduction of \$167,000 from the Bureau of Long Term Export to the Bureau of Waste Disposal – General for collective bargaining costs, offset by an increase of \$103,000 for MTS crane maintenance contracts.

Public Information

The Bureau of Public Information and Community Affairs is responsible for communicating the Department's policies, procedures and services, both internally and externally. The Bureau manages and monitors the general public's opinions of the Department's performance and assists with public policy development. The Bureau also coordinates all DSNY special events, ceremonies and community outreach programs.

Public Information						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$303	\$373	\$442	\$460	\$469	\$27
Full-Time Salaried - Civilian	1,335	1,457	1,546	1,573	1,603	57
Other Salaried and Unsalariated	14	14	49	49	49	0
Additional Gross Pay	29	39	36	37	37	1
Overtime - Uniformed	38	53	98	98	98	0
Overtime - Civilian	17	30	29	29	29	0
TOTAL	\$1,736	\$1,966	\$2,200	\$2,246	\$2,286	\$85
Funding						
City Funds	\$1,736	\$1,966	\$2,200	\$2,246	\$2,286	\$85
TOTAL	\$1,736	\$1,966	\$2,200	\$2,246	\$2,286	\$85
Budgeted Headcount						
Full-Time Positions - Civilian	21	21	24	24	24	0
Full-Time Positions - Uniform	3	5	5	5	5	0
TOTAL	24	26	29	29	29	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Public Information is \$2.3 million, which is an increase of \$85,000 or nearly four percent from the Fiscal 2016 Adopted Budget of \$2.2 million.

Snow Removal

This program area includes funding for the Department's citywide snow removal program. The City Charter requires that DSNY's snow removal budget be calculated as the spending average of the previous five fiscal years.

Snow Removal						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$2,788	\$2,743	\$2,741	\$2,741	\$2,741	\$0
Other Salaried and Unsalaried	3,532	2,466	1,898	1,898	1,898	0
Additional Gross Pay	7,541	3,788	54	54	54	0
Overtime - Uniformed	59,972	54,396	41,718	41,718	47,418	5,700
Overtime - Civilian	8,970	8,534	1,088	1,088	1,088	0
Subtotal	\$82,803	\$71,928	\$47,500	\$47,500	\$53,200	\$5,700
Other Than Personal Services						
Supplies and Materials	\$34,703	\$28,332	\$25,418	\$21,050	\$29,830	\$4,413
Property & Equipment	991	7,981	1,429	2,972	1,429	0
Other Services & Charges	12,013	8,049	2,940	4,878	2,940	0
Contractual Services	142	329	178	1,327	178	0
Subtotal	\$47,850	\$44,691	\$29,964	\$30,228	\$34,377	\$4,413
TOTAL	\$130,653	\$116,619	\$77,464	\$77,728	\$87,576	\$10,112
Funding						
City Funds			\$77,464	\$77,464	\$87,576	\$10,112
Other Categorical			0	264	0	0
TOTAL	\$130,653	\$116,619	\$77,464	\$77,728	\$87,576	\$10,112

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for Snow Removal is \$87.6 million, which is an increase of \$10.1 million, or 13.1 percent more than the Fiscal 2016 Adopted Budget of \$77.5 million. This increase reflects a five-year average adjustment for snow removal versus the snow fall average.

Performance Measures

DSNY Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Snowfall (total inches)	24	56	48	*	*	0	0
Salt used (tons)	183,597	492,369	522,841	*	*	0	0
Removal cost per inch of snow (\$000)	1,602	2,330	2,444	*	*	NA	NA

The first quarter of the City's fiscal year is in the summer months so no metrics are included in the Preliminary Management Report pertaining to this winter's snowfall.

Solid Waste Transfer Stations

Funding in this program area provides for the operation of the Staten Island Transfer Station, the 59th Street Marine Transfer Station (MTS) and the marine loading and unloading operations. Future MTSs outlined in the Capital Program Overview will be included in this program area once built and operational.

Solid Waste Transfer Stations						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$4,569	\$6,353	\$11,287	\$11,563	\$15,772	\$4,485
Full-Time Salaried - Civilian	1,073	1,167	1,825	1,839	2,207	381
Additional Gross Pay	374	555	773	773	773	0
Overtime - Uniformed	672	841	862	927	1,084	223
Overtime - Civilian	16	14	33	33	33	0
Fringe Benefits	8	8	128	128	128	0
TOTAL	\$6,712	\$8,938	\$14,908	\$15,263	\$19,997	\$5,088
Funding						
City Funds	\$6,712	\$8,938	\$14,908	\$15,263	\$19,997	\$5,088
TOTAL	\$6,712	\$8,938	\$14,908	\$15,263	\$19,997	\$5,088
Budgeted Headcount						
Full-Time Positions - Civilian	25	26	37	37	43	6
Full-Time Positions - Uniform	65	122	154	154	209	55
TOTAL	90	148	191	191	252	61

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for solid waste transfer stations is \$20 million, which is an increase of \$5.1 million, or 34.1 percent more than the Fiscal 2016 Adopted Budget of \$14.9 million. This increase reflects a total headcount increase of 61, with six civilian and 55 uniform positions for the Hamilton Avenue MTS and MTS headquarters.

Support Operations – Motor Equipment

Funding in this program area provides for services related to the acquisition, repair and maintenance of the Department’s citywide fleet.

Support Operations - Motor Equipment						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$51,146	\$54,759	\$57,825	\$61,635	\$63,090	\$5,264
Other Salaried and Unsalariated	68	218	56	56	56	0
Additional Gross Pay	3,538	3,089	2,640	2,640	2,640	0
Overtime - Civilian	2,765	2,592	2,429	2,429	2,163	(267)
Subtotal	\$57,517	\$60,658	\$62,954	\$66,761	\$67,949	\$4,994
Other Than Personal Services						
Supplies and Materials	\$21,216	\$26,716	\$19,707	\$19,845	\$19,653	(\$54)
Fixed & Misc. Charges	0	0	2	2	1	(1)
Property & Equipment	1,282	1,611	1,702	1,944	1,684	(18)
Other Services & Charges	129	149	152	159	149	(3)
Contractual Services	4,919	2,716	2,834	2,952	2,856	22
Subtotal	\$27,546	\$31,192	\$24,397	\$24,902	\$24,343	(\$54)
TOTAL	\$85,063	\$91,850	\$87,351	\$91,663	\$92,291	\$4,940
Funding						
City Funds			\$86,333	\$90,573	\$91,183	\$4,850
Federal - Community Development			998	1,070	1,089	90
Intra City			20	20	20	0
TOTAL	\$85,063	\$91,850	\$87,351	\$91,663	\$92,291	\$4,940
Budgeted Headcount						
Full-Time Positions - Civilian	719	735	801	791	801	0
Full-Time Positions - Uniform	735	767	786	786	791	5
TOTAL	1,454	1,502	1,587	1,577	1,592	5

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Support Operations – Motor Equipment is \$92.2 million, which is an increase of \$4.9 million, or nearly six percent more than the Fiscal 2016 Adopted Budget of \$87.4 million. This change reflects an increase of \$5.2 million for collective bargaining costs and a headcount increase of five auto mechanics. These increases are offset by a decrease related a technical adjustment for curbside organics collection.

Support Operations – Building Management

Funding in this program area provides for services related to the acquisition, repair and maintenance of the Department’s buildings and equipment.

Support Operations - Building Management						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$17	\$0	\$69	\$69	\$69	\$0
Full-Time Salaried - Civilian	14,323	16,180	19,195	19,725	19,737	542
Other Salaried and Unsalariated	4	3	25	25	25	0
Additional Gross Pay	610	605	1,077	1,077	1,077	0
Overtime - Civilian	719	1,223	1,227	1,097	1,097	(130)
Fringe Benefits	907	947	725	855	877	153
Subtotal	\$16,581	\$18,958	\$22,318	\$22,849	\$22,883	\$565
Other Than Personal Services						
Supplies and Materials	\$1,441	\$2,413	\$1,622	\$1,992	\$1,347	(\$274)
Property and Equipment	135	159	140	140	125	(15)
Other Services and Charges	33	222	15	171	121	106
Contractual Services	1,853	1,928	2,421	2,188	2,186	(235)
Subtotal	\$3,463	\$4,723	\$4,198	\$4,491	\$3,779	(\$419)
TOTAL	\$20,043	\$23,680	\$26,516	\$27,340	\$26,663	\$146
Funding						
City Funds			\$26,516	\$27,043	\$26,663	\$146
Intra City			0	297	0	0
TOTAL	\$20,043	\$23,680	\$26,516	\$27,340	\$26,663	\$146
Budgeted Headcount						
Full-Time Positions - Civilian	178	229	250	250	250	0
Full-Time Positions - Uniform	0	0	1	1	1	0
TOTAL	178	229	251	251	251	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Support Operations – Building Management is \$26.7 million, which is an increase of \$146,000, or less than one percent, more than the Fiscal 2016 Adopted Budget of \$26.5 million. This change reflects a \$542,000 increase for collective bargaining costs, which is partially offset by savings realized from operational delays at the East 91st Street and Southwest Brooklyn MTSs.

Waste Disposal – General

In this program, funding provides for the general operation of waste disposal, including waste management engineering and waste export administration.

Waste Disposal - General						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Uniformed	\$4,303	\$4,314	\$4,907	\$4,631	\$4,730	(\$177)
Full-Time Salaried - Civilian	3,525	3,781	4,032	4,276	4,374	341
Other Salaried and Unsalariated	10	13	65	65	65	0
Additional Gross Pay	673	803	790	878	788	(2)
Overtime - Uniformed	399	476	538	473	473	(66)
Overtime - Civilian	25	25	86	86	86	0
Amounts to be Scheduled	0	0	1	0	0	(1)
Subtotal	\$8,933	\$9,412	\$10,419	\$10,408	\$10,514	\$96
Other Than Personal Services						
Supplies and Materials	\$848	\$461	\$179	\$407	\$179	\$0
OTPS Holding Code	0	0	3,473	3,473	3,067	(406)
Fixed and Misc Charges	0	8	0	0	0	0
Property and Equipment	81	184	193	192	193	0
Other Services and Charges	1,313	1,027	1,579	1,224	1,579	0
Contractual Services	2,596	1,637	1,636	1,765	1,471	(165)
Subtotal	\$4,838	\$3,317	\$7,060	\$7,060	\$6,489	(\$571)
TOTAL	\$13,771	\$12,729	\$17,478	\$17,468	\$17,003	(\$475)
Funding						
City Funds			\$17,390	\$17,380	\$16,913	(\$478)
Capital- IFA			88	88	91	3
TOTAL	\$13,771	\$12,729	\$17,478	\$17,468	\$17,003	(\$475)
Budgeted Headcount						
Full-Time Positions - Civilian	56	59	67	68	68	1
Full-Time Positions - Uniform	43	48	50	50	50	0
TOTAL	99	107	117	118	118	1

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Waste Disposal is \$17 million, which is \$475,000, or nearly three percent less than the Fiscal 2016 Adopted Budget of approximately \$17.5 million. This decrease reflects a cost re-estimate for Fiscal 2017 to remediate the Great Kills Landfill and savings achieved from MTS operational delays at the East 91st Street and Southwest Brooklyn MTSs.

Waste Disposal – Landfill Closure

Funding in this program provides for the engineering, remediation, closure construction, post-closure maintenance and environmental management of the Fresh Kills landfill. Closure activities include final capping of the landfill, leachate treatment and control, methane gas collection and flaring, maintenance of and security of the site and waterways, and environmental monitoring.

Waste Disposal - Landfill Closure						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Other Than Personal Services						
Supplies and Materials	\$11	\$12	\$19	\$21	\$19	\$0
Property and Equipment	9	19	40	30	40	0
Other Services and Charges	491	1,339	2,012	853	2,012	0
Contractual Services	18,146	51,196	83,139	54,783	81,610	(1,529)
Subtotal	\$18,658	\$52,566	\$85,210	\$55,685	\$83,681	(\$1,529)
TOTAL	\$18,658	\$52,566	\$85,210	\$55,685	\$83,681	(\$1,529)
Funding						
City Funds	\$18,658	\$52,566	\$85,210	\$55,685	\$83,681	(\$1,529)
TOTAL	\$18,658	\$52,566	\$85,210	\$55,685	\$83,681	(\$1,529)

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Waste Disposal – Landfill Closure is \$83.7 million, which is a decrease of \$1.5 million, or nearly two percent less than the Fiscal 2016 Adopted Budget of \$85.2 million. The year-over-year \$1.5 million decrease reflects the current estimate to close the Fresh Kills Landfill.

Waste Export

Funding in this program area is for contracts with private vendors to dispose of all Department-collected refuse.

Waste Export						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Other Than Personal Services						
Supplies and Materials	\$36	\$5,964	\$139	\$102	\$139	\$0
Property and Equipment	12	64	134	149	134	0
Other Services and Charges	13	64	9	34	9	0
Contractual Services	299,651	310,041	367,534	355,091	387,130	19,596
Subtotal	\$299,712	\$316,133	\$367,815	\$355,376	\$387,411	\$19,596
TOTAL	\$299,712	\$316,133	\$367,815	\$355,376	\$387,411	\$19,596
Funding						
City Funds	\$299,712	\$316,133	\$367,815	\$355,376	\$387,411	\$19,596
TOTAL	\$299,712	\$316,133	\$367,815	\$355,376	\$387,411	\$19,596

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for waste export is \$387.4 million, which is an increase of \$19.6 million, or five percent more than the Fiscal 2016 Adopted Budget of \$367.8 million. The year-over-year increase represents the projected additional cost to export refuse as a higher percentage of refuse is captured by the long-term export contracts.

Waste Prevention, Reuse, and Recycling

The Department has several recycling initiatives, including waste prevention and reuse education. The Department's recycling collection operation includes curbside collection, containerized collection, school night truck collection, bulk metal recycling, tire disposal, pilot public school and organic waste collections, leaf and Christmas tree collection and chlorofluorocarbon (CFC) evacuation.

Waste Prevention, Reuse, and Recycling						
<i>Dollars in Thousands</i>						
	2014	2015	2016	Preliminary Plan		*Difference
	Actual	Actual	Adopted	2016	2017	2016 - 2017
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$2,482	\$2,392	\$4,258	\$4,289	\$4,363	\$105
Other Salaried and Unsalariated	63	90	8	8	8	0
Additional Gross Pay	73	68	1	6	8	8
Overtime - Civilian	62	91	0	0	0	0
Subtotal	\$2,679	\$2,641	\$4,267	\$4,304	\$4,379	\$112
Other Than Personal Services						
Supplies and Materials	\$7,807	\$3,805	\$465	\$8,113	\$2,210	\$1,745
Property and Equipment	147	189	241	638	241	0
Other Services and Charges	25,631	29,922	23,526	30,422	23,825	300
Contractual Services	8,104	4,517	12,825	8,369	19,559	6,734
Subtotal	\$41,689	\$38,434	\$37,056	\$47,543	\$45,835	\$8,778
TOTAL	\$44,368	\$41,075	\$41,323	\$51,846	\$50,214	\$8,891
Funding						
City Funds	\$44,368	\$41,075	\$41,323	\$51,846	\$50,214	\$8,891
TOTAL	\$44,368	\$41,075	\$41,323	\$51,846	\$50,214	\$8,891
Budgeted Headcount						
Full-Time Positions - Civilian	34	38	62	62	62	0
TOTAL	34	38	62	62	62	0

**The difference of Fiscal 2016 Adopted Budget compared to Fiscal 2017 Preliminary Budget.*

The Fiscal 2017 Preliminary Budget for the Bureau of Waste Prevention, Reuse, and Recycling is approximately \$50.2 million, which is an increase of \$8.9 million, or 21.5 percent more than the Fiscal 2016 Adopted Budget of \$41.3 million. This change reflects funding for the expansion of the organics collection program to an additional 14 community districts in Fiscal 2017.

Performance Measures

DSNY Performance Indicators	Actual			Target		4-Month Actual	
	FY13	FY14	FY15	FY16	FY17	FY15	FY16
Curbside and containerized recycling diversion rate (%)	15%	15%	16%	19%	19%	15%	16%
Curbside and containerized recycled tons (000)	539	553	575	683	683	192	202
Total annual recycling diversion rate (%)	16.30%	17.00%	17.80%	*	*	NA	NA
Recycled tons per day	2,058	2,088	2,197	2,270	2,270	NA	NA
Annual tons recycled total (000)	642	652	685	*	*	NA	NA
Recycling tons per truck-shift	5.1	5.1	5.2	6.2	6.2	5.2	5.4
Missed recycling collections (%)	3.30%	0.00%	0.00%	*	*	0.00%	0.20%
Recycling trucks dumped on shift (%)	0.292	0.257	0.258	*	*	0.276	0.284
Recycling summonses issued	65,017	107,049	107,428	*	*	36,962	35,206
Recycling cost per ton (fully loaded) (\$)	656	721	684	*	*	NA	NA
Recycling collection cost per ton (\$)	627	692	640	*	*	NA	NA
Paper recycling revenue per ton (\$)	11	11	11	*	*	11	11

To get the City Council's support to approve the 2006 SWMP, the Bloomberg Administration committed to achieving a 24 percent diversion rate of curbside recycling by 2007. The City Council also advocated for the creation of the Office of Recycling Outreach and Education (OROE), run by the non-for-profit GrowNYC, which designates a recycling outreach coordinator for each borough to work with local communities.

One way to achieve a higher recycling diversion rate is to focus on separating organic waste such as food scraps, yard trimmings, and compostable paper. Such organic waste comprised approximately 29 percent of the City's waste according to a 2004-2005 study commissioned by DSNY. Increasing recycling diversion rates is challenging as it requires changing the habits of average New Yorkers, especially habits regarding food waste as organic waste may emit odors if not picked up frequently enough.

In May of 2012, a new Deputy Commissioner for Recycling was appointed and more resources were added to the recycling program, including organic waste collection pilots in select City public schools, residences and institutions which began in the Autumn of 2012. In July of 2013, the Bloomberg Administration announced a new set of policies to reach a target of a 30 percent diversion rate by 2017, which included the expansion of recyclable plastic to all rigid plastics, as mandated by Local Law 35 of 2010, and an expansion of organic waste collection pilots. In Fiscal 2014, public space recycling was expanded to more locations throughout the City in accordance with Local Law 38 of 2010.

The de Blasio Administration has embraced the goal to reach a diversion rate of 30 percent by 2017 and is expanding the residential and school organics in Fiscal 2017.

In the first quarter of Fiscal 2016, the curbside and containerized recycling diversion rate was 16 percent, an increase of one percent when compared to the first quarter of Fiscal 2015. Moreover, in the first quarter of Fiscal 2016, there was an increase in curbside and

containerized recycling tonnage, an increase of ten tons, or five percent when compared to the first quarter of Fiscal 2015.

The number of summonses issued in the first quarter of Fiscal 2016 reached 35,206, a decrease of 1,756 summonses issued, or nearly five percent when compared to the 36,962 issued in the same period in Fiscal 2015.

Capital Program

Capital Budget Summary

The Fiscal 2017 Preliminary Capital Commitment Plan includes \$1 billion in Fiscal 2016-2019 for the Department of Sanitation (City funds). This represents approximately 1.7 percent of the City's total \$57.2 billion January Plan for Fiscal 2016-2019. The agency's Preliminary Commitment Plan for Fiscal 2016-2019 is 2.2 percent more than the \$981 million scheduled in the September Commitment Plan, an increase of \$21 million.

The majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2015, the Department of Sanitation committed \$273.6 million or 73 percent of its annual capital plan funding. Therefore, it is assumed that a significant portion of the agency's Fiscal 2016 Capital Plan funding will be rolled into Fiscal 2017, thus increasing the size of the Fiscal 2016-2019 Capital Plan. Since adoption last June, the citywide total Capital Commitment Plan for Fiscal 2016 has increased from \$19.3 billion in the September Capital Commitment Plan to \$19.7 billion in the Preliminary Capital Commitment Plan, an increase of \$415 million or 2.2 percent.

DSNY 2016-2019 Capital Commitment Plan: Adopted and Preliminary Budget					
<i>Dollars in Thousands</i>					
	FY16	FY17	FY18	FY19	Total
Adopted					
Total Capital Plan	\$255,531	\$239,672	\$175,127	\$310,909	\$981,239
Preliminary Plan					
Total Capital Plan	\$255,078	\$266,570	\$170,827	\$309,909	\$1,002,384
Change					
Level	(\$453)	\$26,898	(\$4,300)	(\$1,000)	\$21,145
Percentage Change	(0.2%)	11.2%	(2.5%)	(0.3%)	2.2%

Preliminary Capital Plan Highlights

The Department's capital program supports construction of MTSs required as part of the 2006 SWMP, and the construction and upkeep of sanitation garages and facilities throughout the five boroughs. The capital program also supports the purchase of equipment for garbage and recycling pickup and telecommunications upgrade.

DSNY's 2016-2019 Preliminary Capital Commitment Plan

Capital Program Goals

- ✓ Maintain and invest in DSNY's facilities and capital assets.
- ✓ Upkeep and upgrade sufficient vehicles for a reliable fleet.
- ✓ Execute a long-term strategy for waste disposal based on efficiency and economic viability.
- ✓ Minimize negative environmental impacts and maximize flexibility to allow exploration of available disposal alternatives.

Major changes in the Preliminary Capital Plan for Fiscal 2016-2019 that resulted in the 2.2 percent increase include:

- **East 91st Street MTS.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes a total of \$35.8 million for the construction of the East 91st Street MTS in Manhattan, which commenced in Spring 2013. The Capital Plan includes \$14.9 million for the project in Fiscal 2016, of which \$3.7 million is for the design of the East 92nd ramp to the East 91st Street MTS.
- **Southwest Brooklyn MTS.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes \$6.7 million planned for the Southwest Brooklyn MTS project. Work is underway with an anticipated completion date in December 2017.
- **West 59th Street MTS.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes a total \$19.2 million, approximately \$9 million in Fiscal 2016, for the refurbishment of the West 59th Street MTS. The second phase in this project started in May 2015 and is expected to be completed in May 2017.
- **Bronx 9/10/11 Garage.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes a total of \$35.9 million, with \$17.1 million in Fiscal 2017, for the design of the Bronx 9/10/11 garage. Due to unsafe conditions on the concrete floor, an internal order was issued to move some personnel and equipment to temporary locations.
- **Manhattan 11 Garage.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes \$20.7 million in Fiscal 2017 for the rehabilitation and building addition of the Manhattan 11 garage. The rehabilitation work is aimed at converting an auto dealership building into a district garage for Manhattan 11 and Manhattan Lot Cleaning by adding personnel areas such as locker rooms, toilets, showers, offices and upgrades to fire alarm and sprinkler systems. The construction work is an extension building for vehicle wash, repair, storage of temperature sensitive equipment, provision for motor oil, hoist oil, and waste oil, as well as a diesel fuel tank and oil water separators.
- **Gansevoort MTS.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes \$3.6 million in Fiscal 2017 for ongoing construction management, design work and change orders for demolition of the existing MTS, incinerator, and other

structures on the Gansevoort Peninsula. There has been little progress on the Memorandum of Understanding being negotiated between the City and State.

- **Manhattan Districts 6, 6A, 8 Garage.** The Fiscal 2016-2019 Preliminary Capital Commitment Plan includes a total of \$55.2 million for the Manhattan districts 6, 6A, 8 garages, with \$4million in Fiscal 2017. These funds are for design, construction management, and the demolition part of the project, which is anticipated to begin in calendar year 2018. The Draft Environmental Impact Statement and ULURP application, done jointly between the Department and the New York City Economic Development Corporation (NYCEDC), is nearing completion. This is due to the fact that NYCEDC developments are planned on the ends of the block with the garage in the middle.

Appendix A: Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2016			FY 2017		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of the Adopted 2016 Budget	\$1,543,520	\$23,626	\$1,567,145	\$1,605,851	\$24,037	\$1,629,888
New Needs						
Commercial Waste Collection Study	\$1,000	\$0	1,000	\$0	\$0	\$0
Engineering Staff	0	369	369	0	760	760
Facility Improvements	320	0	320	320	0	320
Facility Repairs	0	0	0	290	0	290
Fall Leaf Collection Program	0	0	0	815	0	815
Great Kills Remediation Study	300	0	300	0	0	0
Handheld Radio Replacement	0	0	0	400	0	400
Human Resources Staff	19	0	19	37	0	37
Manhattan 1/2/5 Cleaning Staff	51	0	51	208	0	208
Manhole Cover Replacement	300	0	300	350	0	350
MS4 Requirements Study	0	0	0	200	0	200
Office Lease	225	0	225	674	0	674
Organics Collection Program	7,492	0	7,492	3,459	0	3,459
Queens 7 Parking Lease	225	0	225	300	0	300
Records Manager	38	0	38	75	0	75
Sanitation Chiefs	573	0	573	927	0	927
Underground Storage Tank Study	250	0	250	250	0	250
Subtotal, New Needs	\$10,793	\$369	\$11,162	\$8,305	\$760	\$9,065
Other Adjustments						
1st QTR. Revenue	\$274	\$0	\$274	\$0	\$0	\$0
1st QTR. Revenue for CDL	0	37	37	0	0	0
1st QTR. Revenue for Fuel	0	16	16	0	0	0
Auto Mechanics L246 Collective Bargaining	3,587	72	3,760	4,506	90	4,703
Carpenters UBCJ Collective Bargaining	208	0	208	208	0	208
Carriage Upholsters L246 Collective Bargaining	6	0	6	7	0	7
City Service Corps (DSNY)	(64)	0	(64)	(17)	0	(17)
Department of Sanitation Collective Bargaining Adjustment	0	11	11	0	13	13
Funds DSNY	0	2	2	0	0	0
Fresh Kills Landfill Closure	(29,821)	0	(29,821)	0	0	0
I/C MOD With Sanitation	0	165	165	0	0	0
L237 Cement Masons Collective Bargaining	38	0	38	43	0	43
L237 Maintenance Workers Collective Bargaining	110	0	110	129	0	129
Member Item Reallocation	1,366	0	1,366	0	0	0
MTS Operations Delay	0	0	0	(6,776)	0	(6,776)
Organics Bins and Outreach for Fall 2015 Curbside Organics	1,497	0	1,497	0	0	0
Organization of Staff Analysts Collective Bargaining	443	6	449	546	7	553
planYC Energy Manager/ExCEL Projects	0	297	506	0	0	0
Q14 Sandy Electrical	344	0	344	0	0	0
Rubber Tire Repairers L246 Collective Bargaining	53	0	53	62	0	62
Sandy BK 1/4 Transformer	0	19	19	0	0	0
Sheet Metal Workers L246 Collective Bargaining	55	0	55	71	0	71
Sign Painters & Letterers L246 Collective Bargaining	10	0	10	12	0	12
Street Fair Revenue	0	196	196	0	0	0
Waste Export Surplus	(9,993)	0	(9,993)	0	0	0
Subtotal, Other Adjustments	(\$31,887)	\$821	(\$30,756)	(\$1,209)	\$110	(\$992)
TOTAL, All Changes	(\$21,094)	\$1,190	(\$19,594)	\$7,096	\$870	\$8,073
Agency Budget as of the Preliminary 2017 Budget	\$1,522,426	\$24,816	\$1,547,240	\$1,612,947	\$24,907	\$1,637,857

Appendix B: DSNY Reconciliation of Program Areas to Units of Appropriation

<i>Dollars in Thousands</i>	Personal Services						Other Than Personal Services						Grand Total
	101	102	103	104	105	107	106	109	110	111	112	113	
Civilian Enforcement	\$4,324	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$4,324
Collection & Street Cleaning	13,421	690,955	0	0	0	0	2,440	9,468	0	0	0	0	716,285
Enforcement - General	16,765	0	0	0	0	0	1,210	0	0	0	0	0	17,975
Engineering	4,125	0	0	0	0	0	3,152	0	0	0	0	0	7,277
General Administration	35,658	0	0	0	0	0	83,382	0	0	0	0	0	119,040
Legal Services	3,823	0	0	0	0	0	0	0	0	0	0	0	3,823
Long Term Export	1,091	0	0	0	0	0	920	0	0	0	0	0	2,011
Public Information	2,286	0	0	0	0	0	0	0	0	0	0	0	2,286
Snow Removal	0	0	0	0	0	53,200	0	0	0	0	0	34,377	87,576
Solid Waste Transfer Stations	0	0	19,997	0	0	0	0	0	0	0	0	0	19,997
Support Operations - Motor Equipment	0	0	0	0	67,949	0	0	0	0	0	24,343	0	92,291
Support Operations - Building Management	0	0	0	22,883	0	0	0	0	0	3,780	0	0	26,663
Waste Disposal - General	0	0	10,514	0	0	0	0	0	6,489	0	0	0	17,003
Waste Disposal - Landfill Closure	0	0	0	0	0	0	0	0	83,681	0	0	0	83,681
Waste Export	0	0	0	0	0	0	0	0	387,411	0	0	0	387,411
Waste Prevention, Reuse, and Recycling	0	3,590	789	0	0	0	0	13,823	32,011	0	0	0	50,214
Grand Total	\$81,492	\$694,545	\$31,300	\$22,883	\$67,949	\$53,200	\$91,104	\$23,291	\$509,592	\$3,780	\$24,343	\$34,377	\$1,637,857