

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Melissa Mark-Viverito  
Speaker of the Council

Hon. Corey Johnson  
Chair, Committee on Health



# Hearing on the Fiscal 2015 Preliminary Budget & the Fiscal 2014 Preliminary Mayor's Management Report

Department of Health and Mental Hygiene

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## Department of Health and Mental Hygiene Overview

The Department of Health and Mental Hygiene (DOHMH) protects and promotes the health and mental well-being of all New Yorkers. The Department provides mental health services; mental retardation and developmental disability services; alcohol and drug use services; and Early Intervention services to developmentally delayed infants and toddlers. The DOHMH's community-based services include: District Public Health Offices; five borough-based Early Intervention offices; three year-round immunization walk-in clinics; five Tuberculosis (TB)/chest centers; nine Sexually Transmitted Disease (STD) clinics; HIV prevention and control services; health services at more than 1,500 schools; and health and mental hygiene services in the City's correctional facilities. DOHMH has programs to prevent and control chronic diseases such as heart disease, diabetes, asthma and cancer. The Department has also made reducing tobacco-related illnesses a priority. DOHMH generates community health profiles; issues birth and death certificates; conducts health and safety inspections to enforce the City Health Code; and protects public safety through immediate response to emergent public health threats.

This report provides a review of the Fiscal 2015 Preliminary Budget for the Department of Health and Mental Hygiene. In the first section, the highlights of the Fiscal 2015 expense budget are presented, along with a discussion of proposed funding shifts and reductions, relevant state budget actions and Fiscal 2014 Council restorations and initiatives.

The report then presents the Department's budget by program area and provides analysis of significant program areas, discusses actions included in the November and Preliminary Financial Plans and reviews relevant sections of the Preliminary Mayor's Management Report for Fiscal 2014.

Finally, a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the Capital Plan is presented. Please note there are separate reports covering the DOHMH's budget as it pertains to mental hygiene and medical examiner functions.

## Fiscal 2015 Preliminary Plan Highlights

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
<b>Public Health</b>						
Personal Services	\$379,423	\$367,842	\$356,153	\$372,470	\$347,902	(\$8,251)
Other than Personal Services	534,217	562,565	539,966	570,352	496,345	(43,621)
<b>Subtotal, Division of Public Health</b>	<b>\$913,640</b>	<b>\$930,407</b>	<b>\$896,119</b>	<b>\$942,822</b>	<b>\$844,247</b>	<b>(\$51,872)</b>
<b>Division of Mental Hygiene</b>						
Personal Services	\$4,385	\$4,896	\$7,676	\$7,730	\$8,238	\$562
Other than Personal Services	651,560	570,666	465,859	485,221	490,664	24,805
<b>Subtotal, Division of Mental Hygiene</b>	<b>\$655,945</b>	<b>\$575,563</b>	<b>\$473,535</b>	<b>\$492,951</b>	<b>\$498,902</b>	<b>\$25,367</b>
<b>Agency Total</b>	<b>\$1,569,584</b>	<b>\$1,505,970</b>	<b>\$1,369,653</b>	<b>\$1,435,773</b>	<b>\$1,343,149</b>	<b>(\$26,504)</b>

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The Department of Health and Mental Hygiene's (DOHMH) Fiscal 2015 Preliminary Budget includes \$1.34 billion in spending, of which \$620 million is funded with City tax-levy (CTL) dollars. The Department's overall operating budget for Fiscal 2015 has decreased by \$26.5 million, or two percent, compared to the Fiscal 2014 Adopted Budget.

The Fiscal 2015 Preliminary Budget for the DOHMH includes restorations and new spending first proposed in November Plan. This spending falls into three broad categories: PEG Restorations, New Needs and Other Adjustments. See below for more details.

### Other Adjustments

- **Baselining of Council Initiatives.** The Department restored and baselined all City Council initiatives in the November Financial Plan, totaling \$28 million in City spending. In Fiscal 2014, the City Council discretionary funds supported programs promoting asthma control; cancer screening and prevention; family planning; HIV/AIDS education, screening and linkage to care; harm reduction; infant mortality reduction; dental care for underserved kids; and obesity prevention and intervention. (See page 7 for more details.)

### PEG Restorations

- **Restoration- Immunizations Layoffs.** The Department proposes to restore ten positions in immunization, which were eliminated in Fiscal 2014. As a result of this action, the Department will spend \$491,000 in City funds (\$164,000 in State funds) in Fiscal 2015. (See page 13 for more details.)
- **Restoration - HIV Layoffs.** The Department proposes to restore four positions in HIV/AIDS that were eliminated in Fiscal 2014. As a result of this action, the Department will spend of \$359,000 in City funds (\$139,000 in State funds) in Fiscal 2015. (See page 9 for more details.)

## New Needs

- **Accela.** The Department will spend an additional \$127,000 in City funding and \$55,000 in State aid for the City's new enterprise licensing and permitting system, Accela, which aims to improve customer service and streamline licensing and permitting processes citywide. (See page 16 for more details.)
- **Restaurant Fine Council Bills.** The Department will spend an additional \$310,000 in City funding and \$6,000 in State funding on a set of reforms to the City's restaurant inspection system. These modifications include the creation of a food service ombudsman office, the creation of a food service advisory board, the development of an inspection code of conduct, and the development of a consultative inspection program for food service establishments. (See pages 17-18 for more details.)

## DOHMH Financial Summary

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 -2015
<b>Budget by Program Area</b>						
General Administration	\$202,001	\$206,192	\$188,791	\$203,937	\$168,093	(\$20,698)
Disease Prev & Treat- HIV/AIDS	162,985	173,191	162,623	165,966	152,303	(10,320)
Disease Prev & Treat	72,098	67,623	78,326	91,761	74,745	(3,581)
Environmental Disease Prevention	11,265	11,234	10,106	10,146	10,277	171
Environmental Health	58,040	59,784	63,519	64,036	63,765	246
Epidemiology	15,875	16,755	12,259	14,342	12,558	299
Hlth Care Access & Improve	175,437	178,800	177,093	179,925	177,596	503
Hlth Promo & Dis Prev	139,148	131,434	117,791	120,759	107,283	(10,508)
Mental Hygiene - Administration	0	164	0	0	0	0
Mental Hygiene- Chemical Dependency	63,538	70,999	74,651	76,059	75,553	902
Mental Hygiene- Development Disabilities	\$11,366	\$8,476	\$9,697	\$9,272	\$9,272	(\$425)
Mental Hygiene- Early Intervention	406,477	310,431	204,983	205,391	207,435	2,452
Mental Hygiene- Mental Health Services	174,564	185,493	192,910	201,373	203,089	10,179
Mental Hygiene - Prison Services	0	0	856	856	3,553	2,697
Office of Chief Medical Examiner	60,949	64,806	56,796	67,986	59,033	2,237
World Trade Center Related Programs	15,826	20,588	19,252	23,966	18,593	(659)
<b>TOTAL</b>	<b>\$1,569,569</b>	<b>\$1,505,970</b>	<b>\$1,369,653</b>	<b>\$1,435,774</b>	<b>\$1,343,149</b>	<b>(\$26,504)</b>
<b>Funding</b>						
City Funds			\$621,032	\$626,324	\$620,302	(\$730)
Federal- Other			282,570	326,217	269,548	(13,022)
Intra City			4,498	10,385	2,143	(2,355)
Other Categorical			1,210	2,640	1,124	(86)
State			460,344	470,208	450,032	(10,312)
<b>TOTAL</b>	<b>\$1,569,569</b>	<b>\$1,505,970</b>	<b>\$1,369,653</b>	<b>\$1,435,774</b>	<b>\$1,343,149</b>	<b>(\$26,504)</b>
<b>Positions</b>						
General Administration	1,195	1,379	1,224	1,330	1,224	0
Disease Prev & Treat- HIV/AIDS	346	382	300	393	303	3
Disease Prev & Treat	752	837	810	859	818	8
Environmental Disease Prevention	133	145	125	128	128	3
Environmental Health	650	715	699	702	704	5
Epidemiology	149	162	151	159	153	2
Hlth Care Access & Improve	176	235	132	143	132	0
Hlth Promo & Dis Prev	375	441	373	415	398	25
Mental Hygiene- Chemical Dependency	2	2	2	2	2	0
Mental Hygiene- Mental Health Services	70	84	110	108	108	(2)
Mental Hygiene- Prison Services	0	0	8	8	8	0
Office of Chief Medical Examiner	582	622	619	656	626	7
World Trade Center Related Programs	38	52	30	46	45	15
<b>TOTAL</b>	<b>4,468</b>	<b>5,056</b>	<b>4,583</b>	<b>4,949</b>	<b>4,649</b>	<b>66</b>



	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim Plan	Prelim Plan	2014 -2015
<b>Funding</b>						
<u>General Administration</u>						
City Funds			\$107,462	\$111,996	\$98,955	(\$8,507)
Federal- Other			17,989	24,377	18,353	364
Intra City			133	1,749	133	0
Other Categorical			0	70	0	0
State			63,207	65,744	50,652	(12,555)
<b>Subtotal, General Admin</b>	<b>\$202,001</b>	<b>\$206,192</b>	<b>\$188,791</b>	<b>\$203,936</b>	<b>\$168,093</b>	<b>(\$20,698)</b>
<u>Public Health</u>						
City Funds			\$320,391	\$319,098	\$316,516	(\$3,875)
<i>Memo: Council Funds</i>			22,249	0	0	(22,249)
Federal- Other			232,757	260,179	217,993	(14,763)
Intra City			4,365	6,399	2,010	(2,355)
Other Categorical			1,210	2,545	1,124	(86)
State			82,984	82,680	126,770	43,786
<b>Subtotal, Public Health</b>	<b>\$650,675</b>	<b>\$659,409</b>	<b>\$641,706</b>	<b>\$670,901</b>	<b>\$664,414</b>	<b>\$22,707</b>
<u>Division of Mental Hygiene (DMH)**</u>						
City Funds			\$136,756	\$138,331	\$148,004	\$11,248
<i>Memo: Council Funds</i>			8,863	0	0	(8,863)
Federal- Other			31,456	32,006	31,000	(456)
Intra City			0	2,237	0	0
Other Categorical			0	0	0	0
State			314,148	320,377	272,605	(41,543)
<b>Subtotal, DMH</b>	<b>\$655,945</b>	<b>\$575,563</b>	<b>\$482,360</b>	<b>\$492,951</b>	<b>\$451,609</b>	<b>(\$30,751)</b>
<u>Office of Chief Medical Examiner (OCME)</u>						
City Funds			\$56,422	\$56,899	\$56,827	\$404
Federal- Other			368	9,655	2,202	1,833
Other Categorical			0	25	0	0
State			5	1,407	5	(0)
<b>Subtotal, OCME</b>	<b>\$60,949</b>	<b>\$64,806</b>	<b>\$56,796</b>	<b>\$67,986</b>	<b>\$59,033</b>	<b>\$2,238</b>
<b>TOTAL</b>	<b>\$1,569,569</b>	<b>\$1,505,970</b>	<b>\$1,369,653</b>	<b>\$1,435,774</b>	<b>\$1,343,149</b>	<b>(\$26,504)</b>

\*Continuation from previous page

\*\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

## Financial Summary Analysis

The Department of Health and Mental Hygiene's (DOHMH) Fiscal 2015 Preliminary Budget includes \$1.34 billion in spending for public health, mental hygiene, medical examiner services and general administration. City tax-levy (CTL) dollars account for 46.2 percent of all agency revenue. State aid and federal grants comprise 33.5 percent and 20.1 percent of all other revenues, respectively.

The Department's overall operating budget for Fiscal 2015 has decreased by \$26.5 million, or two percent, compared to the operating budget at Adoption last year. While the Department's total reduced agency spending for Fiscal 2015 is \$26.5 million, the General Administration budget decreases by \$21 million. The Bureau of Disease Prevention and Treatment – Bio Terrorism and the Division of Mental Hygiene each experience budget increases while the remaining program areas sustain losses ranging in degree of impact.

Public health spending comprises nearly 50 percent of the DOHMH's Fiscal 2015 Preliminary Budget. The balance of the agency's spending is split among mental hygiene, general administration and medical examiner functions, accounting for 33.6 percent, 12.5 and 4.4 percent of total agency spending for Fiscal 2015, respectively.

### **Funding Sources**

City tax-levy accounts for nearly half of public health (non-administration) spending. Federal funds are the second largest source, comprising about 33 percent of the agency's public health budget. These particular funds are typically allocated to the agency in the form of a conditional/categorical block grant and can only be used for a specific purpose, as directed by the federal government. State aid accounts for approximately 20 percent of the agency's public health revenue, with a majority of funds allocated to the City via the State's public health local assistance provision (commonly referred to as "Article 6 matching grants"). Article 6 of the State's Public Health law offers matching aid to localities (usually at a rate of 36 percent) for the local provision of specified public health services. Due to the matching nature of these grants, this form of state aid fluctuates with changes in CTL, whereas federal grants tend to remain unaffected.

### **Personal and Other than Personal Services**

For the most part, public health spending appears to be fairly closely split between personal service (PS) and other than personal service (OTPS) expenses, at 41 percent and 59 percent, respectively. Given the breadth of services covered by the DOHMH, some tend to be more staff-intensive than others. For example, most of the activities associated with the Environmental Health program area, including environmental hazard surveillance and inspections of child care facilities and food service establishments, require an internal staff of credentialed public health inspectors/sanitaricians. In other cases, such as Disease Control and Treatment for HIV/AIDS, many of these services tend to be contracted out to local community-based organizations and health providers who have the requisite expertise and capacity to meet performance guidelines.

### **Headcount**

The DOHMH's budgeted headcount of 4,649 full-time positions in Fiscal 2015 reflects a 1.4 percent increase in total headcount budgeted since adoption. In the DOHMH's Fiscal 2015 Preliminary Budget, 68 positions are being added while 2 positions are being eliminated, providing for a *net increase of 66* full-time positions. The majority of these newly added positions will be dedicated to Chronic Disease and School Health in the Health Promotion & Disease Prevention bureau. More details about the headcount increase will be outlined in the appropriate program budget area.

A total of 58 percent of the DOHMH's workforce is dedicated toward public health, non-medical examiner functions, with most staff split among Disease Prevention and Treatment or Environmental Health functions. General administration staff comprises 26 percent of the agency's workforce. Since the agency's Division of Mental Hygiene's primary function is to contract out local mental health services, its combined workforce of 118 staff is relatively low (2.5

percent of total agency headcount) when compared with the headcount allocated to the agency's public health functions. The medical examiner's staff of 626 full-time positions represents nearly 14 percent of the agency's total Fiscal 2015 proposed headcount.

## Council Initiatives and Funding

City Council discretionary funding provides approximately \$31 million, or two percent of the Department's annual City-funds Fiscal 2014 operating budget. This includes over \$28 million in initiative funding (\$19.7 million for public health services and \$8.9 million for mental health services) and Council Member items that total approximately \$2.5 million, which is funding for a combination of public and mental health services. In Fiscal 2014, the City Council discretionary funds supported programs promoting asthma control; cancer screening and prevention; family planning; HIV/AIDS education, screening and linkage to care; harm reduction; infant mortality reduction; dental care for underserved kids; and obesity prevention and intervention.

It is important to note that all of these services, minus the local initiatives, were baselined in the November Plan for Fiscal 2015 and in the outyears.

<b>FY 2014 Council Changes at Adoption</b>	
<i>Dollars in Thousands</i>	
<b>DOHMH, Council Public Health Initiatives</b>	
Anti-Gun Violence - CeaseFire and Preparedness Programs	\$1,770
Asthma Control Program*	818
Callen Lorde Health Center	350
Cancer Initiatives*	1,525
Family Planning*	350
HIV Prevention and Health Literacy for Seniors*	400
HIV/AIDS Prevention - Evidence Based Behavioral Interventions)*	2,716
HIV/AIDS - Communities of Color (Prevention & Education)*	1,125
HIV/AIDS - Faith Based Initiative*	1,500
Infant Mortality*	2,500
Injection Drug Users Health Alliance (IDUHA)*	1,000
NYU Dental Van	268
Nutrition Program Administration PEG Restoration	995
Obesity Intervention Programs*	1,300
Rapid Hiv Testing (HHC pass-through)	2,000
Sexual Health - PEG Restorations to STD Clinic Saturday Hours and STEP UP	297
School Based Health Center - PEG Restoration	775
<b>Subtotal, Council Public Health Initiatives</b>	<b>\$19,689</b>
<b>Council Mental Hygiene Initiatives</b>	<b>\$8,863</b>
<b>Council Local Initiatives</b>	<b>\$2,560</b>
<b>TOTAL, DOHMH, Public Health</b>	<b>\$31,112</b>

\* City tax levy dollars for these services may be eligible for a state match

## Program Areas

### Disease Prevention & Treatment – HIV/AIDS

The Department's Bureau of HIV/AIDS aims to control the HIV epidemic and minimize its impact on New Yorkers by preventing new HIV infections. The Department promotes HIV testing, monitors trends in the HIV epidemic through surveillance for HIV/AIDS, allocates prevention resources within the DOHMH and the community to those populations at greatest need and promotes access to medical care, treatment, and support of HIV infected persons. The Bureau participates in community planning through the New York City HIV Prevention Planning Group and the HIV Planning Council and oversees contracts for HIV prevention, care and housing in New York City. New York City remains the epicenter of HIV/AIDS in the U.S. More than 110,000 New Yorkers are living with HIV, but thousands more don't know they're infected. New York City's AIDS case rate is almost three times the U.S. average, and HIV is the 3rd leading cause of death for New York City residents aged 35 to 54.

The Fiscal 2015 Preliminary Budget for HIV/AIDS Disease Prevention and Treatment is \$152.3 million, reduced by \$10.3 million or six percent from the Fiscal 2014 Adopted Budget. The Fiscal 2015 Preliminary Budget for HIV/AIDS prevention and treatment services represents 11 percent of the Department's overall proposed spending. The change in funding is primarily a result of a reduction in a federal grant due to federal sequestration cuts.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
<b>Spending</b>						
<b>Personal Services Subtotal</b>	<b>\$20,789</b>	<b>\$22,730</b>	<b>\$15,377</b>	<b>\$19,782</b>	<b>\$16,632</b>	<b>\$1,256</b>
Full-Time Salaried	19,022	20,620	14,234	18,445	15,368	1,134
Additional Gross Pay	1,075	1,527	626	708	628	2
Fringe Benefits	6	5	4	4	4	0
Unsalaries	685	578	513	625	632	120
<b>Other Than Personal Services Subtotal</b>	<b>\$142,196</b>	<b>\$150,461</b>	<b>\$147,247</b>	<b>\$146,183</b>	<b>\$135,671</b>	<b>(\$11,576)</b>
Contractual Services	138,045	145,148	140,771	139,733	129,183	(11,588)
Other	4,151	5,313	6,476	6,450	6,488	13
<b>TOTAL</b>	<b>\$162,985</b>	<b>\$173,191</b>	<b>\$162,623</b>	<b>\$165,965</b>	<b>\$152,303</b>	<b>(\$10,320)</b>
<b>Funding</b>						
City Funds			\$2,143	\$2,909	\$2,390	\$247
Federal – CD			0	0	0	0
Federal- Other			159,218	160,930	148,569	(10,649)
Intra City			0	71	0	0
Other Categorical			57	81	0	(57)
State			1,205	1,975	1,344	139
<b>TOTAL</b>	<b>\$162,985</b>	<b>\$173,191</b>	<b>\$162,623</b>	<b>\$165,965</b>	<b>\$152,303</b>	<b>(\$10,320)</b>
<b>Headcount (full-time salaried)</b>	<b>346</b>	<b>382</b>	<b>300</b>	<b>393</b>	<b>303</b>	<b>3</b>

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

**Fiscal 2015 Preliminary Plan Actions**

- **Restoration - HIV/AIDS Staff Reduction.** The Department restored four positions in HIV/AIDS that provide administrative support for the Bureau of HIV Prevention and Control Conduct field work and partner notification activities as part of the Field Services Unit. The elimination of these filled positions was expected to impact the Department's level of field work, thus causing delays in partner notifications. The Department restored \$359,000 in City funds (\$139,000 in State funds) in Fiscal 2015 and in the outyears.
- **Federal Sequestration Impact.** The November Plan for the Department of Health included a reduction of \$18 million in Ryan White funding in Fiscal 2015 and the outyears, due to the federal sequestration cuts. The Ryan White grant provides services such as HIV testing, outreach, education, drug assistance through community based organizations.

**Performance Measures**

	FY11 Actual	FY12 Actual	FY13 Actual	Target FY 14	4-Month Actual FY14
Number of Male Condoms Distributed (000)	36,309	36,108	37,506	37,000	13,399
New HIV Diagnoses	3,532	3,404	3,092	*	691
Patients enrolled in Ryan White with current antiretroviral (ARV) prescription at last assessment (%)	N/A	N/A	87.2%	85.0%	87.9%

The Department distributed 14.4 percent more male condoms during the first four months of Fiscal 2014 compared to the same period last year. The increase is due to more partner agencies ordering condoms. The Department’s concerted efforts to participate in more Pride events contributed to its increased engagement with young gay and bisexual men of color, a population that is at greater risk of acquiring HIV.

The Department promotes HIV testing, conducts multimedia campaigns to raise awareness and help prevent infection. The DOHMH also supports medical case management to ensure that persons living with HIV are engaged and retained in care and adhere to their medication, so that they can remain healthy and are less likely to infect their partners. Based on preliminary data, the Department is projecting a decrease in the number of new HIV diagnoses from the first three months of Calendar 2013 as compared to Calendar 2012, but the drop of 15.6 percent during the first quarter is most likely an overestimate due to data reporting lags.

The following indicators are reported quarterly and reflect the first three months of the year:

- ‘New HIV diagnoses (CY)’; and
- ‘Patients enrolled in Ryan White with current antiretroviral prescription at last assessment.’

**Council Initiatives**

Below, the Council provided support for the following HIV/AIDS prevention, screening and treatment activities contracted through the DOHMH for Fiscal 2014. With the baselining of many Council initiatives in the November Plan, the following funding is included in the Fiscal 2015 Preliminary Budget.

<b>FY 2014 Council Changes at Adoption</b>	
<i>Dollars in Thousands</i>	
<b>Disease Control and Prevention - HIV/AIDS</b>	
HIV Prevention and Health Literacy for Seniors	\$400
HIV/AIDS - Communities of Color (Prevention & Education)	1,125
HIV/AIDS - Faith Based Initiative	1,500
Injection Drug Users Health Alliance (IDUHA)	1,000
<b>Total, Disease Control and Prevention - HIV/AIDS</b>	<b>\$4,025</b>

- **HIV Prevention and Health Literacy for Seniors.** This allocation represents funding to develop tailored HIV prevention and education messages that target the older adult population, aging service providers and health care providers. The AIDS Community Research Initiative of America (ACRIA) provides services under this initiative.
- **HIV/AIDS Communities of Color (Prevention & Education).** This allocation represents funding to address the disproportionate number of AIDS cases among communities of color and women. This funding is primarily directed to community-based organizations which demonstrate an ability to engage these vulnerable populations, raise awareness of the disease and thereby help lower the rate of HIV/AIDS amongst communities of color and women. Public Health Solutions and Community Resource Exchange, Inc. administers the contracts to a number of organizations.
- **HIV/AIDS – Faith Based Initiative.** This allocation represents funding for prevention, education, outreach, advocacy and support services. This funding is directed to local religious institutions and community-based organizations that demonstrate an ability to engage vulnerable populations and to raise awareness of the epidemic, thereby helping to reduce the spread of HIV/AIDS.
- **Injection Drug Users Health Alliance.** This allocation represents funding to combat the spread of HIV/AIDS as passed through intravenous drug use through enabling sterile syringe access, facilitating Hepatitis C care coordination and through offering overdose prevention and addiction treatment services. Providers under this initiative are part of the Injection Drug Users Health Alliance that provides clean syringes and referrals to drug treatment programs.

## Disease Prevention & Treatment

The Department safeguards the health of New Yorkers through the identification, surveillance, treatment, control and prevention of infectious diseases and protects the health of citizens during emergencies. The Bureau of Communicable Disease performs a range of activities, from investigating disease outbreaks and individual cases of disease, to monitoring drug resistance patterns for select diseases. In cooperation with other emergency response agencies, the Bureau operates a comprehensive surveillance system to improve the City's ability to detect and respond to the release of a biological agent. The Bureau's Sexually Transmitted Disease Control Program promotes healthy sexual behavior to reduce the impact of Sexually Transmitted Diseases (STDs) in New York City. The Department's Bureau of Immunization works to prevent the occurrence and transmission of diseases through promoting immunization of children and adults.

The Department's Bureau of Tuberculosis Control provides direct patient care, education, surveillance and outreach to reduce the incidence of Tuberculosis (TB). The Bureau offers free evaluation and treatment for tuberculosis at five separate Chest Center locations.

The Department's Public Health Laboratory provides state-of-the-art laboratory services to identify and investigate infectious diseases, including rapid testing for bioterrorist agents. The Laboratory performs tests for conditions such as rabies, West Nile virus, and certain environmental exposures not offered by commercial laboratories. It is also the City's largest HIV testing laboratory.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
<b>Spending</b>						
Personal Services	\$56,907	\$52,825	\$61,879	\$63,132	\$57,013	(\$4,866)
Other Than Personal Services	14,863	14,799	16,447	28,628	17,731	1,284
<b>TOTAL</b>	<b>\$71,770</b>	<b>\$67,624</b>	<b>\$78,326</b>	<b>\$91,760</b>	<b>\$74,744</b>	<b>(\$3,582)</b>
<b>Funding</b>						
City Funds			\$12,594	\$12,461	\$12,785	\$191
Federal – CD			0	0	0	0
Federal- Other			51,752	64,801	47,892	(3,860)
Intra City			55	291	30	(25)
Other Categorical			845	1,203	850	5
State			13,080	13,004	13,187	107
<b>TOTAL</b>	<b>\$71,770</b>	<b>\$67,624</b>	<b>\$78,326</b>	<b>\$91,760</b>	<b>\$74,744</b>	<b>(\$3,582)</b>
<b>Headcount (full-time salaried)</b>	752	837	810	859	818	8

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The Fiscal 2015 Preliminary Budget includes \$74.7 million for Disease Prevention and Treatment, which has been decreased by \$3.6 million, or nearly five percent since Adoption. Spending for Disease Prevention and Treatment represents 5.6 percent of total proposed Department spending for Fiscal 2015. The change in funding is a function of spending reductions via federal grant adjustments needed to align the budget with grant award letters.



**Fiscal 2015 Preliminary Plan Actions**

- **Restoration - Immunization Staff Layoffs.** The Department has proposed to restore ten positions in immunization, restoring \$491,000 in City funds (\$164,000 in State funds) in Fiscal 2015. Staff eliminated impacted the immunization services in Corona and Tremont clinics. City funding was restored with the intent of continuing to provide immunization services in these two locations. However, the restored funds are not sufficient to maintain services for all of FY 2015, as a result of the reduction in federal funding for vaccines.

**Performance Measures**

	FY11 Actual	FY12 Actual	FY13 Actual	Target FY 14	4-Month Actual FY14
Syphilis cases	966	903	1100	*	392
New tuberculosis cases (CY) (preliminary)	711	689	651	*	233
Children in the public schools who are in compliance with required immunizations (%)	98.8%	99.1%	99.1%	99.0%	96.3%
Children aged 19-35 months with up-to-date immunizations (%)	65.1%	66.4%	70.1%	71.5%	70.7%
Seniors aged 65+, who received a flu shot in the last 12 months (%) (CY)	62.3%	67.4%	61.8%	65.0%	N/A

The preliminary number of reported syphilis cases during the first four months of Fiscal 2014 increased 18.1 percent to 392 cases. This increase is attributed to continued unprotected sex among some men who have sex with men. The Department continues to monitor reports of syphilis and works to prevent disease transmission, including notifying, testing and treating the partners of individuals diagnosed with syphilis.

The percent of children 19-35 months old who are up to date for immunizations increased by 6.2 percent for the first three months of Fiscal 2014, when compared with the same period in Fiscal 2013. The Department conducts activities to increase pediatric immunization rates including distributing vaccines, providing facility and provider-specific immunization rates and a list of patients needing immunizations.

**Noteworthy Changes:**

- The ‘Children aged 19-35 months with up-to- date immunization’ indicator is reported quarterly and reflects the first 3 months of the year.
- As of January 2013, immunization rate calculations for 19-35 month-olds include 4 doses of pneumococcal conjugate vaccine (PCV) in order to maintain consistency with the National Immunization Survey and Healthy People 2020 goals.

## General Administration

This program area includes the Commissioner's office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general services, data processing, general counsel, public information and operations site support, which includes security and custodial services.

The Fiscal 2015 Preliminary Budget for General Administration is \$168 million, which is \$21 million, or 11 percent, less than the budget at Adoption. General Administration comprises 12.5 percent of total proposed Department spending for Fiscal 2015. The change in funding is driven primarily by reductions in the State's public health local assistance provision and slight reduction in City funds.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
<b>Spending</b>						
<b>Personal Services Subtotal</b>	<b>\$88,567</b>	<b>\$84,159</b>	<b>\$82,248</b>	<b>\$85,564</b>	<b>\$78,447</b>	<b>(\$3,801)</b>
Full-Time Salaried	78,663	75,596	73,134	75,435	68,707	(4,427)
Other Salaried and Unsalari ed	3,880	3,285	4,833	5,327	5,453	620
Fringe Benefits	253	251	265	507	273	8
Other	5,771	5,027	4,016	4,295	4,014	(2)
<b>OTPS Subtotal</b>	<b>\$113,434</b>	<b>\$122,032</b>	<b>\$106,543</b>	<b>\$118,373</b>	<b>\$89,646</b>	<b>(\$16,897)</b>
Contractual Services	39,948	46,183	38,841	47,180	4,663	(34,178)
Other	73,486	75,849	67,702	71,193	84,983	17,281
<b>TOTAL</b>	<b>\$202,001</b>	<b>\$206,192</b>	<b>\$188,791</b>	<b>\$203,937</b>	<b>\$168,093</b>	<b>(\$20,698)</b>
<b>Funding</b>						
City Funds			\$107,462	\$111,996	\$98,955	(\$8,507)
Federal – CD			0	0	0	0
Federal- Other			17,989	24,377	18,353	364
Intra City			133	1,749	133	0
Other Categorical			0	70	0	0
State			63,207	65,744	50,652	(12,555)
<b>TOTAL</b>	<b>\$202,001</b>	<b>\$206,192</b>	<b>\$188,791</b>	<b>\$203,937</b>	<b>\$168,093</b>	<b>(\$20,698)</b>
<b>Headcount (full-time salaried)</b>	1,195	1,379	1,224	1,330	1,224	0

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

## Fiscal 2015 Preliminary Plan Actions

- **Accela.** The Department will spend an additional \$127,000 in City funding and \$55,000 in State aid for the City's new enterprise licensing and permitting system, Accela, which aims to improve customer service and streamline licensing and permitting processes citywide. It will allow the public to conduct transactions online, thereby creating electronic records as transactions occur. An added benefit has been that the system implementation has required agencies to adapt and re-engineer oversight and intake processes. Phase 1 of DOHMH Accela launched on April 1, 2013, and DCA (Department of Consumer Affairs) Accela and DOHMH Phase 2 were expected to launch in late September 2013.

DOHMH will need two full-time Computer Systems managers to help support the application. This is a new application that the agency has not supported in the past and currently, DOHMH does not have existing staff with the skill set or application expertise to provide such support. As a result, one full-time Computer Systems Manager is required to focus on troubleshooting and basic support for the system. This includes supporting the existing basic interfaces, such as Microsoft SharePoint, operational data store (ODS), Citibank payment system, Geographic Information Systems (GIS) Geocoder, USPS, Chase Bank's Lockbox, and HRA.

## Environmental Health

The Department conducts surveillance of environmental-related disease, assesses risk from exposure to potential environmental and occupational hazards, inspects child care facilities, food service establishments and other permitted entities to ensure compliance with regulations, response to complaints of environmental and occupational exposures, and educated the public and health care providers on environmental and occupational illnesses.

The Fiscal 2015 Preliminary Budget includes approximately \$64 million for Environmental Health, reflecting an increase of \$246,000, or less than one percent since Adoption. This budget also represents nearly five percent of total proposed Department spending for Fiscal 2015. The change in funding is a function of federal and state grant adjustments needed to align the budget with grant award letters.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
<b>Spending</b>						
Personal Services	\$40,529	\$40,455	\$42,714	\$42,092	\$42,816	\$102
Other Than Personal Services	17,511	19,329	20,806	21,945	20,950	144
<b>TOTAL</b>	<b>\$58,040</b>	<b>\$59,784</b>	<b>\$63,520</b>	<b>\$64,037</b>	<b>\$63,766</b>	<b>\$246</b>
<b>Funding</b>						
City Funds			\$47,464	\$46,833	\$48,029	\$565
Federal – CD			0	0	0	0
Federal- Other			9,888	10,322	9,846	(42)
Intra City			1,980	1,980	1,980	0
Other Categorical			194	722	96	(98)
State			3,994	4,181	3,814	(180)
<b>TOTAL</b>	<b>\$58,040</b>	<b>\$59,784</b>	<b>\$63,520</b>	<b>\$64,037</b>	<b>\$63,766</b>	<b>\$246</b>
<b>Headcount (full-time salaried)</b>	650	715	699	702	704	5

*\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.*

## Fiscal 2015 Preliminary Plan Actions

- Restaurant Fine Reforms.** The Department will spend an additional \$310,000 in City funding and \$6,000 in State funding in Fiscal 2015 on a set of reforms to the City's restaurant inspection system. In October 2013, the City Council passed a package of legislation that would reform the City's restaurant inspection system. This reform package included the creation of a food service ombudsman office, creation of a food service advisory board, development of an inspection code of conduct, and the development of a consultative inspection program for food service establishments. Chart on the following page details cost associated with these legislations.

Below is a brief breakdown of the proposed new spending.

**City Council Restaurant Bills**

(\$'000)	HC	FY14		FY15		FY16		FY17+	
		City	Gross	City	Gross	City	Gross	City	Gross
PS	2	\$81	<b>\$83</b>	\$168	<b>\$171</b>	\$168	<b>\$171</b>	\$168	<b>\$171</b>
OTPS		\$322	<b>\$328</b>	\$142	<b>\$145</b>	\$130	<b>\$132</b>	\$130	<b>\$130</b>
<b>Total</b>	<b>2</b>	<b>\$403</b>	<b>\$411</b>	<b>\$310</b>	<b>\$316</b>	<b>\$297</b>	<b>\$303</b>	<b>\$297</b>	<b>\$301</b>

*Fringe not included*

<p><b>PS:</b></p> <ul style="list-style-type: none"> <li>Staffing related to Ombudsman’s Office and Advisory Board Legislation and Consultative Inspection Management</li> </ul> <p><b>OTPS:</b></p> <ul style="list-style-type: none"> <li>Printing/ mailing costs for "Inspection Code of Conduct" and multiple mailing related to rulemaking and announcement of changes in adjudication practice (fixed penalties)</li> <li>Ongoing IT Maintenance and Updates - ongoing upkeep of various unique field inspection systems</li> <li>Food worker training - improving relationship between department and restaurant industry through promotion of education as an alternative to enforcement.</li> </ul>
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- The two staff will be responsible to manage/facilitate expanded interaction with the restaurant industry, analytic report development and production, including:
  - manage additional communication, open meetings, expected external outreach
  - schedule and prioritize consultative inspections, and review inspectional findings prior to delivery, for existing restaurants
  - coordination with Department of Consumer Affairs for the management of applications for consultative inspections for newly permitted restaurants
  - Receiving, tracking and responding to complaints to the newly created ombudsman’s office. Writing annual reports on activities, consequences and data analytics associated with the ombudsman’s office, and the Advisory Board.

**Performance Measures**

	FY11 Actual	FY12 Actual	FY13 Actual	Target FY 14	4-Month Actual FY14
Restaurants inspected (%)	99.8%	99.4%	99.6%	100.0%	51.0%
Restaurants scoring an 'A' grade (%)	81.7%	85.6%	87.0%	*	86.0%
Day Care Initial site inspections	21,610	22,219	23,024	*	7,019
Initial Pest Control Inspections (000)	114	124	95	*	33
Initial Inspections with Active Rat Signs (ARS) (%)	9.8%	11.0%	11.0%	*	11.0%
Child care inspections that do not require a compliance inspection (%)	72.0%	70.0%	63.0%	*	65.0%
Compliance inspections found to be rat free (%)	47.1%	51.0%	51.0%	*	53.0%
Dog Licenses Issued (000)	98	93	83.0	105.0	34.0

There was a 13.2 percent decrease in the number of initial pest control inspections in the first four months of Fiscal 2014 compared to the same period in Fiscal 2013. At the same time, the percentage of inspections finding active rat signs (ARS) increased two percentage points to 11 percent, and compliance inspections found to be rat free increased five percentage points to 53 percent. Inspections in rat indexing zones were reduced in all boroughs to concentrate on neighborhoods with more severe rat problems, resulting in more active rodent signs being identified.

## Health Promotion & Disease Prevention

The Department, through its Division of Health Promotion and Disease Prevention, works to promote health, prevent disease and advance health equity among the people of New York City. The Division has five separate bureaus: Bureau of Chronic Disease Prevention and Control; Bureau of District Public Health Program; Bureau of Maternal, Infant and Reproductive Health; Bureau of School Health; and Bureau of Tobacco Control. The Bureau of Chronic Disease Prevention and Control spearheads programs and policy initiatives to reduce the burden of heart disease, stroke, cancer, diabetes and asthma. The Bureau of District Public Health Program directs resources, programs and attention to high-need neighborhoods in the South Bronx, East and Central Harlem, and North and Central Brooklyn to reduce health inequalities. The Bureau of Maternal, Infant and Reproductive Health works to promote sexual and reproductive health, and prevent teen pregnancies. The Bureau of School Health works with the Department of Education to deploy school nurses and promote the health of NYC's 1.1 million school-aged children. The Bureau of Tobacco Control works to reduce tobacco-related deaths and illnesses through five main strategies—taxation, legislation, cessation, public education, and evaluation and monitoring.

	2012	2013	2014	2013	2014	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
<b>Spending</b>						
Personal Services	\$91,515	\$87,061	\$82,154	\$84,080	\$80,076	(\$2,078)
Other Than Personal Services	47,632	44,373	35,638	36,678	27,208	(8,430)
<b>TOTAL</b>	<b>\$139,148</b>	<b>\$131,434</b>	<b>\$117,792</b>	<b>\$120,759</b>	<b>\$107,283</b>	<b>(\$10,509)</b>
<b>Funding</b>						
City Funds			\$62,728	\$60,705	\$57,722	(\$5,006)
Federal – CD			0	0	0	0
Federal- Other			7,880	12,261	7,886	6
Intra City			2,330	4,056	0	(2,330)
Other Categorical			0	24	0	0
State			44,854	43,712	41,675	(3,179)
<b>TOTAL</b>	<b>\$139,148</b>	<b>\$131,434</b>	<b>\$117,792</b>	<b>\$120,759</b>	<b>\$107,283</b>	<b>(\$10,509)</b>
<b>Headcount (full-time salaried)</b>	375	441	373	415	398	25

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

The Fiscal 2015 Preliminary Budget includes \$107 million for Health Promotion and Disease Prevention, reflecting a decrease of \$10.5 million or nearly nine percent since Adoption. This budget represents eight percent of total proposed Department spending for Fiscal 2015. The change in funding is a function of a \$5 million decrease in City funds, and reductions in Intra City funds and State grants.



**Performance Measures**

	<b>FY11 Actual</b>	<b>FY12 Actual</b>	<b>FY13 Actual</b>	<b>Target FY 14</b>	<b>4-Month Actual FY14</b>
Adults who smoke (%) (CY)	14.0%	14.8%	15.5%	14.7%	N/A
Adults who are obese (%)	23.4%	23.7%	24.2%	23.9%	N/A
Adults aged 50+, who received a colonoscopy in the past ten years (%) (CY)	67.5%	68.6%	68.5%	73.0%	N/A
Adults who consume an average of one or more sugar-sweetened beverage per day (%) (CY)	30.3%	29.9%	28.2%	27.1%	N/A
Hospitalization rate for asthma among children ages 0-14 (per 1,000 children) (CY) (preliminary)	5.1	4.9	N/A	4.7	N/A
Infant mortality rate (per 1,000 live births) (CY)	4.9	4.7	4.7	4.4	N/A

## Council Initiatives

Below, the Council provided support for the following health promotion and disease prevention activities contracted through the DOHMH for Fiscal 2014. With the baselining of many council initiatives in the November Plan, the following funding is included in the Fiscal 2015 Preliminary Budget.

<b>FY 2014 Council Changes at Adoption</b>	
<i>Dollars in Thousands</i>	
<b>Health Promotion and Disease Prevention</b>	
Asthma Control Program	\$818
Cancer Initiatives	1,525
Family Planning	350
Infant Mortality Reduction	2,500
Obesity Intervention Programs	1,300
<b>Total, Health Promotion and Disease Prevention</b>	<b>\$6,493</b>

- Asthma Control Program.** This allocation represents funding for the Department and community based organizations to provide services in the Managing Asthma in Daycare Program. The Council also provides funding directly to the Department to implement its corticoid steroid pilot program, participate in the NYC Asthma Partnership and to continue its Integrated Pest Management and American Lung Association programs in schools. This funding also restores positions dedicated to outreach services at the East Harlem Asthma Center of Excellence.
- Cancer Initiatives.** This allocation represents funding for various Council-funded programs that include screening and education for breast, colon and ovarian cancer. The designated organizations are focused on supporting cancer survivors during their recovery.
- Family Planning.** This allocation represents a restoration to provide funding for reproductive health and pregnancy prevention services for uninsured and high-risk teens via Planned Parenthood of New York City (PPNYC).
- Infant Mortality Reduction.** This allocation represents funding to promote women's health before, during and after pregnancy and works to improve outcomes for infants in order to reduce infant mortality and narrow racial/ethnic disparities in these areas.
- Obesity Intervention Programs.** This allocation represents funding for a number of obesity prevention programs that provide education and promote physical fitness to curb and prevent obesity in young New Yorkers.

## Health Care Access & Improvement

The Department, through its Division of Health Care Access and Improvement, promotes improvements in population health through supporting high quality health care services in New York City. As of February 2012, 64 percent of the State's two million enrolled Medicaid managed care population resides in New York City. The Division's Health Insurance services enrolls families into health insurance; works to improve the quality of health care offered through Medicaid managed care organizations, and promotes appropriate health care utilization and preventive health behaviors. The Division's Correctional Health Services coordinates medical, dental, and mental health care as well as discharge planning for people incarcerated in New York City jails. The Division also provides information technology support services and runs a Primary Care Information Project that supports the adoption and use of prevention-oriented electronic health records among primary care providers in New York City's underserved communities.

The Fiscal 2015 Preliminary Budget includes \$177.6 million for Health Care Access and Improvement, which is \$500,000, or less than one percent, more than the budget at Adoption and represents 13.2 percent in total proposed agency spending for Fiscal 2015. The change in funding is a function of spending reductions via Article 6 adjustments to align the budget with State reimbursement law.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
<b>Spending</b>						
Personal Services	\$13,985	\$13,420	\$10,182	\$10,790	\$10,498	\$316
Other Than Personal Services	161,452	165,380	166,911	169,135	167,099	188
<b>TOTAL</b>	<b>\$175,437</b>	<b>\$178,800</b>	<b>\$177,093</b>	<b>\$179,925</b>	<b>\$177,597</b>	<b>\$504</b>
<b>Funding</b>						
City Funds			\$161,506	\$163,177	\$162,256	\$750
Federal – CD			0	0	0	0
Federal- Other			0	824	68	68
Intra City			0	0	0	0
Other Categorical			0	283	28	28
State			15,587	15,642	15,245	(343)
<b>TOTAL</b>	<b>\$175,437</b>	<b>\$178,800</b>	<b>\$177,093</b>	<b>\$179,925</b>	<b>\$177,597</b>	<b>\$504</b>
<b>Headcount (full-time salaried)</b>	176	235	132	143	132	0

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

**Performance Measures**

	FY11	FY12	FY13	Target FY 14	4-Month Actual FY14
Adult New Yorkers without a regular doctor (%) (CY)	16.7%	16.9%	18.3%	*	N/A
Total correctional health clinical visits (includes intake exams, sick calls, follow-up, mental health and dental)	803,871	877,270	858,172	*	280,509

**Council Initiatives**

The Council provided support for the following health care access and improvement activities contracted through the DOHMH for Fiscal 2014. As the Bloomberg Administration baselined all Council initiatives, the following funding is included in the Fiscal 2015 Preliminary Budget.

<b>FY 2014 Council Changes at Adoption</b>	
<i>Dollars in Thousands</i>	
<b>Health Care Access and Improvement</b>	
NYU Dental Van	\$268
<b>Total, Health Care Access and Improvement</b>	<b>\$268</b>

- **NYU Dental Van.** This allocation represents funding to provide mobile dental care to medically underserved children citywide.

## Environmental Disease Prevention

The Bureau of Environmental Disease Prevention prevents and controls environmentally and occupationally related diseases. Programs include Lead Poisoning Prevention, Environmental and Occupational Disease Epidemiology and the Emergency Preparedness Unit.

The Fiscal 2015 Preliminary Budget includes \$10.3 million for Environmental Disease Prevention, reflecting a \$171,000, or nearly two percent increase in funding since Adoption. This budget represents less than one percent of total proposed Department spending for Fiscal 2015. The change in funding is a function of increase in city funds as well as federal grant adjustments needed to align the budget with grant award letters.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
<b>Spending</b>						
<b>Personal Services Subtotal</b>	<b>\$9,878</b>	<b>\$9,824</b>	<b>\$8,959</b>	<b>\$8,946</b>	<b>\$9,132</b>	<b>\$173</b>
Full-Time Salaried	8,925	8,919	8,205	8,188	8,379	174
Additional Gross Pay	640	628	470	477	470	0
Fringe Benefits	8	6	1	1	1	0
Other	305	271	283	280	282	(1)
<b>Other Than Personal Services Subtotal</b>	<b>\$1,387</b>	<b>\$1,410</b>	<b>\$1,147</b>	<b>\$1,201</b>	<b>\$1,144</b>	<b>(\$3)</b>
Contractual Services	373	354	104	267	104	0
Other	1,014	1,056	1,043	934	1,040	(3)
<b>TOTAL</b>	<b>\$11,265</b>	<b>\$11,234</b>	<b>\$10,106</b>	<b>\$10,146</b>	<b>\$10,277</b>	<b>\$171</b>
<b>Funding</b>						
City Funds			\$5,066	\$4,911	\$5,138	\$72
Federal – CD			0	0	0	0
Federal- Other			2,537	2,772	2,624	87
Intra City			0	0	0	0
Other Categorical			0	47	12	12
State			2,503	2,416	2,503	0
<b>TOTAL</b>	<b>\$11,265</b>	<b>\$11,234</b>	<b>\$10,106</b>	<b>\$10,146</b>	<b>\$10,277</b>	<b>\$171</b>
<b>Headcount (full-time salaried)</b>	133	145	125	128	128	3

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

**Performance Measures**

	FY11	FY12	FY13	Target FY 14	4-Month Actual FY14
Childhood Blood Lead Levels - New cases among children aged 6 months to less than 6 years with blood lead levels greater than or equal to 10 micrograms per deciliter	1,319	1,049	844	*	331

During the first four months of Fiscal 2014, new cases among children younger than six with blood lead levels greater than or equal to 10 micrograms per deciliter decreased by 9.8 percent compared to the same period in Fiscal 2013.

Performance reflects the continued success of the Department’s lead poisoning prevention activities and the reduction of environmental lead hazards citywide.

## Epidemiology

The Epidemiology Division provides timely, systematic, and ongoing collection, analysis and dissemination of data to monitor health trends and assist in the development of appropriate interventions. The Department also registers, processes, certifies, analyzes, and issues reports of births, deaths, and spontaneous and induced terminations of pregnancy, and coordinates public health training and education initiatives for agency staff and health professionals throughout the City.

The Fiscal 2015 Preliminary Budget includes \$12.6 million for Epidemiology which is approximately \$300,000, or three percent more than the budget at Adoption and represents less than one percent of total proposed Department spending for Fiscal 2015. The change in funding is driven by federal grant adjustments as well as a slight reduction in state funding.

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Prelim. Plan	Prelim. Plan	2014 - 2015
<b>Spending</b>						
<b>Personal Services Subtotal</b>	<b>\$9,692</b>	<b>\$9,838</b>	<b>\$9,232</b>	<b>\$9,353</b>	<b>\$9,263</b>	<b>\$31</b>
Full-Time Salaried	8,635	8,846	8,317	8,438	8,348	31
Unsalaries	517	545	554	520	520	(34)
Additional Gross Pay	537	446	401	394	394	(7)
Fringe Benefits	2	1	1	1	1	0
<b>Other Than Personal Services Subtotal</b>	<b>\$6,184</b>	<b>\$6,917</b>	<b>\$3,027</b>	<b>\$4,988</b>	<b>\$3,294</b>	<b>\$267</b>
Contractual Services	3,414	4,177	927	2,674	1,189	262
Other	2,769	2,740	2,100	2,314	2,105	5
<b>TOTAL</b>	<b>\$15,875</b>	<b>\$16,755</b>	<b>\$12,259</b>	<b>\$14,342</b>	<b>\$12,558</b>	<b>\$299</b>
<b>Funding</b>						
City Funds			\$10,385	\$10,334	\$10,427	\$42
Federal – CD			0	0	0	0
Federal- Other			0	2,072	285	285
Intra City			0	0	0	0
Other Categorical			113	184	138	25
State			1,761	1,751	1,708	(53)
<b>TOTAL</b>	<b>\$15,875</b>	<b>\$16,755</b>	<b>\$12,259</b>	<b>\$14,342</b>	<b>\$12,558</b>	<b>\$299</b>
<b>Headcount (full-time salaried)</b>	149	162	151	159	153	2

\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

## World Trade Center Program

Funding in this program area is for a comprehensive and confidential health survey of those most directly exposed to the events of 9/11. This allows health professionals to compare the health of those most exposed with the health of the general population. Funding in this program area is also used for mental health services for World Trade Center responders.

The Fiscal 2015 Preliminary Budget for World Trade Center Related Programs is \$18.6 million, which is roughly \$660,000, or nearly four percent, less than the budget at Adoption. World Trade Center Related Programs comprises less than two percent of total proposed Department spending for Fiscal 2015. The change in funding is driven solely via federal grant adjustments needed to align the budget with grant award letters.

	2012	2013	2014	2013	2014	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Actual	Prelim. Plan	Prelim. Plan	2014 - 2015
<b>Spending</b>						
Personal Services	\$3,652	\$3,104	\$1,155	\$3,590	\$694	(\$461)
Other Than Personal Services	12,174	17,484	18,097	20,377	17,899	(198)
<b>TOTAL</b>	<b>\$15,826</b>	<b>\$20,588</b>	<b>\$19,252</b>	<b>\$23,966</b>	<b>\$18,593</b>	<b>(\$659)</b>
<b>Funding</b>						
City Funds			\$17,769	\$17,769	\$17,769	\$0
Federal – CD			0	0	0	0
Federal- Other			1,483	6,198	824	(659)
Intra City			0	0	0	0
Other Categorical			0	0	0	0
State			0	0	0	0
<b>TOTAL</b>	<b>\$15,826</b>	<b>\$20,588</b>	<b>\$19,252</b>	<b>\$23,966</b>	<b>\$18,593</b>	<b>(\$659)</b>
<b>Headcount (full-time salaried)</b>	38	52	30	46	45	15

*\*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.*



# Capital Program

## Capital Budget Summary

The total City Capital Commitment Plan for Fiscal 2014 has increased from \$21.2 billion in the November Capital Commitment Plan to \$21.3 billion in the Preliminary Capital Commitment Plan, an increase of \$163 million, or less than one percent. For the Department of Health and Mental Hygiene, the Capital Commitment Plan has increased by \$65,000, or less than one percent from the November Plan of \$454.3 million to \$454.4 million in the Preliminary Plan.

The majority of capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal Year 2013, DOHMH committed \$7.4 million or about four percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency’s Fiscal 2014 Capital Plan will be rolled into Fiscal 2015, thus increasing the size of the Fiscal 2015-2018 Capital Plan.

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### 2014-2017 Commitment Plan: November and Preliminary Budget

*Dollars in Thousands*

	FY14	FY15	FY16	FY17	Total
<b>November</b>					
Total Capital Plan	\$320,143	\$68,011	\$60,777	\$5,370	<b>\$454,301</b>
<b>Prelim</b>					
Total Capital Plan	\$320,208	\$68,011	\$60,777	\$5,370	<b>\$454,366</b>
<b>Change</b>					
Level	\$65	\$0	\$0	\$0	<b>\$65</b>
Percentage	0.02%	0.00%	0.00%	0.00%	<b>0.01%</b>

## Capital Program Goals

- ✓ To ensure that Department facilities are in compliance with applicable codes, rules and regulations;
- ✓ To promote effective service delivery by renovating facilities requiring immediate repair and maintaining quality conditions in these facilities;
- ✓ To provide effective service delivery by investing in technology for automation and basic infrastructure; and
- ✓ To meet legal mandates for animal care and control.

## Appendix A

### Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
<b>Agency Budget as of Adopted 2014 Plan</b>	<b>\$621,032</b>	<b>\$748,622</b>	<b>\$1,369,654</b>	<b>\$588,041</b>	<b>\$741,346</b>	<b>\$1,329,387</b>
<b>Program to Eliminate the Gap (PEGs) Restorations</b>						
Mental Hygiene Contracts	\$3,638	\$0	\$3,638	\$4,369	\$0	\$4,369
Restoration- Immunization Layoffs	434	164	598	491	164	655
Restoration- HIV Layoffs	329	139	468	359	139	498
<b>TOTAL, PEGs Restoration</b>	<b>\$4,401</b>	<b>\$303</b>	<b>\$4,704</b>	<b>\$5,219</b>	<b>\$303</b>	<b>\$5,522</b>
<b>New Needs</b>						
Accela	\$772	\$317	\$1,088	\$127	\$55	\$182
Restaurant Fine Council Bills	403	8	411	310	6	316
OCME WTC Repository	477	0	477	368	0	368
<b>TOTAL, New Needs</b>	<b>\$1,652</b>	<b>\$325</b>	<b>\$1,976</b>	<b>\$805</b>	<b>\$61</b>	<b>\$865</b>
<b>Other Adjustments</b>						
Anti-Gun Violence Initiative	\$0	\$0	\$0	\$1,805	\$0	\$1,805
Anti-Gun Violence Initiative - Therapeutic services	0	0	0	580	0	580
Asthma Control Program	0	0	0	200	0	200
Asthma Control Program - Enhancement	0	0	0	300	0	300
Cancer Programs - Enhancements	0	0	0	1,525	0	1,525
CAT. HOPWA	0	10,469	10,469	0	0	0
CAT. PHEP	0	(3,671)	(3,671)	0	0	0
CAT. WTC Registry	0	5,703	5,703	0	0	0
Categorical Grants	0	24,985	24,985	0	(596)	(596)
Children Under 5 Initiative	0	0	0	1,250	0	1,250
Clinic & Outreach Restorations	0	0	0	615	0	615
Clinic & Outreach Restorations - Fringe	0	0	0	(103)	0	(103)
CTL Transfer - HHC to DOHMH	2,578	1,450	4,028	0	0	0
Family Planning	0	0	0	350	0	350
Geriatric MH Services	0	0	0	2,000	0	2,000
HIV Contractual Restorations	0	0	0	2,716	0	2,716
HIV Initiative	0	0	0	1,000	0	1,000
HIV Outreach Enhancement	0	0	0	1,500	0	1,500
HIV Prev & Literacy- Seniors	0	0	0	400	0	400
HIV Services	0	0	0	350	0	350
HIV/AIDS Education	0	0	0	1,125	0	1,125
HIV/AIDS Nutrition Program	0	0	0	995	0	995
Infant Mortality	0	0	0	2,500	0	2,500
Intra Cities	0	1,469	1,469	0	(25)	(25)

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
Mental Health Contracts	\$0	\$0	\$0	\$375	\$0	\$375
Mental Hygiene	0	0	0	372	0	372
Mental Hygiene - Contracted Services	0	0	0	2,495	0	2,495
Mental Hygiene Contracts	0	0	0	246	0	246
Mental Hygiene State Aid Letters	0	6,256	6,256	0	5,691	5,691
MH Autism	0	0	0	1,310	0	1,310
MH Young Adult	0	0	0	200	0	200
NFP Medicaid	0	(800)	(800)	0	0	0
NFP Transfer	(1,212)	(682)	(1,893)	0	0	0
Obesity Prevention Programs	0	0	0	1,300	0	1,300
Oral Health Services	0	0	0	268	0	268
PS/OTPS Shifts and Realignment	0	(729)	(729)	0	(930)	(930)
Rapid HIV Testing	0	0	0	2,000	0	2,000
Restoration - Immun. Fringe Offset	(142)	0	(142)	(200)	0	(200)
Restoration -HIV Fringe Offset	(82)	0	(82)	(112)	0	(112)
Rolls	(1,707)	8,797	7,090	1,707	0	1,707
Ryan White	0	(16,115)	(16,115)	0	(17,990)	(17,990)
School Based Health Centers	0	0	0	775	0	775
Technical Adjustment	0	0	0	(3,607)	0	(3,607)
Other Adjustments	(196)	23,071	22,876	0	(5,013)	(5,013)
<b>TOTAL, Other Adjustments</b>	<b>(\$760)</b>	<b>\$60,204</b>	<b>\$59,443</b>	<b>\$26,237</b>	<b>(\$18,863)</b>	<b>\$7,374</b>
<b>TOTAL, All Changes</b>	<b>\$5,292</b>	<b>\$60,831</b>	<b>\$66,123</b>	<b>\$32,260</b>	<b>(\$18,499)</b>	<b>\$13,761</b>
<b>Agency Budget as of Preliminary 2015 Plan</b>	<b>\$626,323</b>	<b>\$809,452</b>	<b>\$1,435,775</b>	<b>\$620,303</b>	<b>\$722,846</b>	<b>\$1,343,149</b>

\*Continuation from previous page

## Appendix B Contract Budget

Category	Number	Budgeted	Pct. of 816 Total	Pct. of Cat. Total
Contractual Services General	55	\$13,519,909	1.8%	2.5%
Telecommunications Maintenance	27	46,110	0.0%	0.1%
Maintenance and Repair & Motor Vehicle Equipment	17	253,596	0.0%	1.9%
Maintenance and Repair General	97	2,366,083	0.3%	1.8%
Office Equipment Maintenance	92	154,718	0.0%	1.1%
Data Processing Equipment	38	542,453	0.1%	0.2%
Printing Contracts	89	1,332,603	0.2%	4.2%
Security Services	3	751,077	0.1%	0.8%
Temporary Services	52	1,401,894	0.2%	3.6%
Cleaning Services	41	375,569	0.1%	1.7%
AIDS Services	45	103,303,772	13.9%	39.3%
Mental Hygiene Services	471	425,641,639	57.2%	100.0%
Hospitals Contracts	3	141,465,534	19.0%	99.8%
Special Clinical Services	1	12,720,801	1.7%	100.0%
Economic Development	10	331,105	0.0%	2.0%
Training Program City Employees	32	1,420,320	0.2%	8.8%
Maintenance & Operation of Infrastructure	59	934,062	0.1%	0.4%
Professional Services - Accounting and Auditing	2	541,227	0.1%	2.0%
Professional Services - Computer Services	12	1,267,301	0.2%	1.2%
Professional Services Other	169	35,826,667	4.8%	17.0%
<b>Fiscal 2015 Preliminary Budget</b>	<b>1,315</b>	<b>\$744,196,440</b>	<b>100.0%</b>	<b>28.6%</b>