

THE COUNCIL OF THE CITY OF NEW YORK

Hon. Christine C. Quinn
Speaker of the Council

Hon. Jessica Lappin
Chair, Committee on Aging

Hon. David Greenfield
Chair, Senior Centers Subcommittee



Hearing on the Fiscal 2014 Preliminary Budget & the Fiscal 2013 Preliminary Mayor's Management Report

Department for the Aging

March 12, 2013

Latonia McKinney, Deputy Director
Dohini Sompura, Legislative Financial Analyst

Finance Division
Preston Niblack, Director
Jeffrey Rodus, First Deputy Director

Table of Contents

Department for the Aging Overview..... 1

DFTA Financial Summary 3

Fiscal 2013-2014 State Executive Budget Highlights 4

Council Initiatives and Funding..... 4

Program Areas..... 6

 Senior Centers and Meals..... 6

 Senior Services 8

 Case Management 11

 Homecare..... 13

 Senior Employment and Benefits..... 15

 Administration and Contract Agency Support..... 17

Capital Program 18

Appendix A..... 21

 Budget Actions in the November and Preliminary Plans..... 21

Appendix B..... 22

 Contract Budget 22

Appendix C..... 23

 Reconciliation of Program Areas to Units of Appropriation..... 23

Department for the Aging Overview

The Department for the Aging (DFTA) administers a wide range of programs to enhance independence and quality of life of the City's elderly population. The Department's services include the operation of senior centers, provision of home delivered and congregate meals, employment counseling and placement, case management, social and legal services and home care services. DFTA also serves as an advocate for the City's elderly population through legislative activity and public policy initiatives.

This report provides a review of the Department for the Aging's Preliminary Budget for Fiscal 2014. The first section presents highlights from the Fiscal 2014 expense budget for the City, the Fiscal 2013-2014 State Executive Budget, and City Council Initiatives. Following highlights, the report outlines the Department's budget by program area and provides analysis of significant program areas. Further, it provides information on actions included in the November and Preliminary Financial Plans and reviews relevant sections of the Preliminary Mayor's Management Report for Fiscal 2013. Finally, the report provides a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the Capital Plan.

Fiscal 2014 Preliminary Plan Highlights

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Personal Services	\$24,403	\$25,201	\$24,901	\$25,219	\$18
Other Than Personal Services	233,378	238,062	240,919	207,765	(30,298)
Agency Total	\$257,781	\$263,264	\$265,820	\$232,984	(\$30,280)

**The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan funding.*

- Superstorm Sandy Revenue.** The Mayor's Office of Management and Budget estimates that DFTA will need approximately \$835,000 in federal aid for the agency's Superstorm Sandy recovery efforts. DFTA estimates that about \$90,000 of this federal aid will go towards overtime, compensation time, and fringe benefit costs the agency accrued while providing services during and after the storm. It is important to note, that DFTA has asked its providers to directly apply to Federal Emergency Management Agency (FEMA) aid so that they can be reimbursed more quickly.

- **No Programmatic PEG Cuts.** DFTA did not implement any new programmatic cuts in Fiscal 2014. DFTA was able to achieve a \$6 million savings to meet its PEG target through accruals from federal grants, delays in awarding senior center contracts, as well as deferring maintenance on technology systems.
- **New York City Services Initiative “TimeBanks”.** DFTA provides an additional \$138,000 to the New York City Services Initiative program in Fiscal 2014. This is a citywide network that allows community members to share their skills with other members. For every hour that a TimeBank member provides a service or shares a skill with another member, he or she earns a “time credit” for the exchange. This “time credit” can then be redeemed for a service from someone else in the network.
- **Success Mentors Program.** The Success Mentors program links senior citizens with chronically absent students in New York City Public Schools to serve as mentors; DFTA provides \$112,000 in funding towards this program in Fiscal 2014.

DFTA's budget consists of six program areas which are identified by units of appropriation. They are: Administration & Contract Agency Support (U/A 001, 002, 003 and 004); Case Management (U/A 003); Homecare (U/A 002 and 003); Senior Centers and Meals (U/A 003); Senior Employment & Benefits (U/A 002, 003 and 004); and Senior Services (U/A 003). The following table, "DFTA Financial Summary," provides an overview of the Department's total budget from Fiscal 2012 to the Preliminary Plan for Fiscal 2014.

DFTA Financial Summary

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Budget by Program Area					
Administration & Contract Agency Support	\$53,860	\$49,459	\$36,965	\$33,805	(\$15,654)
Case Management	18,286	18,967	19,388	15,347	(3,620)
Homecare	12,329	16,508	16,930	16,887	379
Senior Centers and Meals	125,891	138,383	149,057	139,536	1,153
Senior Employment & Benefits	11,023	8,704	9,373	8,602	(102)
Senior Services	36,392	31,243	34,107	18,807	(12,436)
TOTAL	\$257,781	\$263,264	265,820	\$232,984	(\$30,280)
Funding					
City Funds		\$145,276	\$139,197	\$116,408	(\$28,868)
State		36,968	36,890	36,968	0
Federal - Community Development		2,235	2,781	2,235	0
Federal - Other		77,562	85,475	76,779	(783)
Intra City		1,223	1,376	594	(629)
Other Categorical		0	129	0	0
TOTAL	\$257,781	\$263,264	\$265,820	\$232,984	(\$30,280)
Positions					
	286	297	295	297	0
Full-Time Positions	547	436	408	449	13
TOTAL	833	733	703	746	13

**The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan funding.*

The proposed budget for DFTA in Fiscal 2014 is approximately \$30 million less than the Fiscal 2013 Adopted Budget. The majority of the decrease in funding in Fiscal 2014 can be attributed to the absence of one-time City Council funding of \$27.65 million.

Fiscal 2013-2014 State Executive Budget Highlights

In Fiscal 2013, DFTA lost approximately \$400,000 in State funding, primarily as a result of new State census data. Although New York City boasts the highest concentration of seniors in absolute numbers in the State, the percentage of seniors living in New York City when compared to the total population in the area, is much lower than in other parts of New York State. State funding for seniors shifted more towards upstate New York, but DFTA was able to absorb this deficit without compromising the quality and quantity of services the agency provides. Currently, the City is appealing this loss in State funds.

The Fiscal 2013-2014 State Executive Budget includes minimal cuts to aging services. However, it is significant to note that the State's Medicaid Redesign has several indirect impacts on the agency.

- **Transition to Managed Long Term Care (MLTC).** There is a concern as to whether seniors will be able to receive the same home care aid/home attendant under State mandated enrollment in MLTCs for those requiring more than 120 days of long term care. This may impact the continuity of care an individual receives, especially those who have been receiving home care for a long period of time and have a long standing relationship with a home attendant that knows the client's medical history.
- **Increase in Social Adult Day Care Programs (SADs).** As MLTCs try to provide cost effective services, there is a fear that there will be a push for seniors to use congregated group care such as SADs or senior centers, which is more cost effective than administering individual care. This has the potential to lead to a poor quality of care or seniors not receiving the kind of care required.

Council Initiatives and Funding

In Fiscal 2013, the City Council provided over 11 percent of DFTA's City funds budget supporting core operations, including its senior center network, meals programs, Naturally Occurring Retirement Community (NORC) programs, and other senior services. Below is a breakdown of those funds.

FY 2013 Council Changes at Adoption
Dollars in Thousands

Senior Centers & Meals	
Senior Centers and Programs Restoration	\$1,496
Space Costs for Senior Centers	1,500
Transportation Operating Costs	2,000
City Meals on Wheels	1,000
Subtotal	\$5,996
Senior Services	
Case Management Restoration	\$4,000
Elder Abuse Programs Restoration	800
Information and Referral Contracts	1,000
NORC Supportive Service Programs	900
Senior Services – Borough Presidents’ Discretionary Funding Restoration	4,100
Social Adult Day Care Programs	400
Subtotal	\$11,200
Member Items	
Local	\$4,991
Aging	5,546
Subtotal	\$10,457
TOTAL	\$27,653

Program Areas

Senior Centers and Meals

The core of DFTA’s service portfolio is the Department’s citywide network of 246 contracted senior centers. In addition to supporting 10 million meals annually, senior centers offer older New Yorkers opportunities for socialization, recreation, and participation in a wide array of activities designed to improve their health and quality of life. Senior centers provide educational programs, nutrition and health services, exercise programs, and recreational programming in community-based settings. Most senior centers provide both congregate and home delivered meals to seniors.

The proposed budget for senior centers and meals for Fiscal 2014 is approximately \$1.1 million more than the Fiscal 2013 Adopted Budget. A slight increase in contractual services accounts for this \$1.1 million.

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim Plan	2014 Prelim Plan	*Difference 2013 - 2014
Spending					
Other Than Personal Services					
Contractual Services	\$125,891	\$133,312	\$143,987	\$134,465	\$1,153
Fixed and Misc Charges	0	0	0	0	0
Other Services and Charges	0	5,071	5,071	5,071	0
Subtotal, OTPS	\$125,891	\$138,383	\$149,057	\$139,536	\$1,153
TOTAL	\$125,891	\$138,383	\$149,057	\$139,536	\$1,153
Funding					
City Funds		\$79,802	\$81,256	\$77,769	(\$2,033)
Federal - Other		45,843	53,677	48,058	2,215
Federal - Community Development		1,737	2,283	1,737	0
State		11,001	11,841	11,973	972
TOTAL	\$125,891	\$138,383	\$149,057	\$139,536	\$1,153

**The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan Funding.*

- **Senior Center Core Operating Costs.** In Fiscal 2013, the Council was successful in negotiating with the Administration to baseline \$14 million for senior center core operating costs for Fiscal 2013 and the outyears.

Performance Measures

	FY 10 Actual	FY 11 Actual	FY 12 Actual	Target FY 13	Target FY 14	4 Month Actual FY 12	4 Month Actual FY 13
Average daily attendance at senior centers	27,046	25,107	25,337	*	*	25,434	24,830
Citywide senior center utilization rate	N/A	88%	93%	*	*	N/A	N/A
Total Meals Served**	N/A	N/A	11,275,545	*	*	N/A	N/A

***DFTA no longer tracks how many senior center lunches served and home delivered meals served, and replaced these two indicators with the total number of meals served to seniors.*

During the first four months of Fiscal 2013, the average daily attendance at senior centers declined to 24,830 compared to the same time period in Fiscal 2012, and the number of meals served in senior centers declined by 1.8 percent, to approximately 2.1 million meals. The decline of average daily attendance can be attributed to the closing of senior centers for three days during Superstorm Sandy. Prior to the closings, Fiscal 2013 indicators were higher than the previous fiscal year.

Last year, DFTA launched a pilot project to increase the number of home-delivered meal clients. Through this program, the meals delivered to older New Yorkers increase nine percent compared to the prior year. Approximately 1.36 million meals were delivered to seniors in their homes.

Council Initiatives

Senior Centers & Meals (Dollars in thousands)	
Senior Centers and Program Restoration	\$1,496
Space Costs for Senior Centers	1,500
Transportation Operating Costs	2,000
City Meals on Wheels	1,000
Total	\$5,996

- **Senior Centers and Program Restoration.** This \$1.4 million allocation restored funding to DFTA senior centers and programs.
- **Space Costs for Senior Centers.** This \$1.5 million allocation is a Council initiative that supports space/facility costs at senior centers.
- **Transportation Operating Costs.** This \$2 million allocation is a Council initiative which supports transportation costs at senior centers. This funding pays for fuel, insurance, and maintenance costs for senior center vans, which transport seniors to medical/personal appointments, recreational activities, and other social service sites.
- **City Meals on Wheels.** This \$1 million allocation is a Council initiative which supports CityMeals on Wheels, an organization that provides home-delivered meals to seniors on the weekends and holidays. This funding is used to reduce waiting lists for home-delivered meals.

Senior Services

This program area includes a variety of services to seniors, such as caregiver programs, social services and transportation, elder abuse services, the congregate services initiative, extended services, intergenerational funding, legal services, social adult day services, and funding for Naturally Occurring Retirement Communities (NORC). The proposed budget for senior services for Fiscal 2014 is approximately \$12.5 million less than Fiscal 2013 Adopted Budget. This can be attributed to the fact that a large portion of the City funds in this program area are from Council initiatives and discretionary funding. As Council funding is renegotiated annually and allocated on a one-time basis, it is not included in the Fiscal 2014 Preliminary Budget.

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Spending					
Personal Services					
Full-Time Salaried – Civilian	\$84	\$146	\$381	\$127	(\$19)
Additional Gross Pay	24	0	0	0	0
Overtime - Civilian	0	0	0	0	0
Subtotal, PS	\$108	\$146	\$381	\$127	(\$19)
Other Than Personal Services					
Contractual Services	\$36,262	\$30,923	\$33,535	\$18,666	(\$12,257)
Other Services and Charges	23	174	181	15	(159)
Subtotal, OTPS	\$36,285	\$31,096	\$35,687	\$18,680	(\$12,416)
TOTAL	\$36,392	\$31,243	\$34,107	\$18,807	(\$12,435)
Funding					
City Funds		\$21,021	\$24,241	\$9,907	(\$11,114)
Federal - Other		8,593	10,874	8,002	(591)
Federal - Community Development		362	362	362	0
Intra City		731	12	0	(731)
Other Categorical		0	30	0	0
State		536	559	536	0
TOTAL	\$36,392	\$31,243	\$34,107	\$18,807	(\$12,436)

*The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan Funding.

Performance Measures

	FY 10 Actual	FY 11 Actual	FY 12** Actual	Target FY 13	Target FY 14	4 Month Actual FY 12	4 Month Actual FY 13
Caregivers who received casework services or training through DFTA's In-house Alzheimer's and Long-Term Care Unit and Grandparent Resource Center	8,770	9,359	N/A	*	9,359	1,365	3,333
Caregivers who received supportive services through DFTA's contracted providers	6,202	8,783	N/A	*	8,783	1,365	4,484

**DFTA is unable to report Fiscal 2012 full-year data for caregiver indicators due to data processing issues. Missing values will be reported in the Fiscal 2013 Mayor's Management Report.

As indicated in the table above, services provided to caregivers in the first four months of the year in Fiscal 2013 increased compared to the same time period in Fiscal 2012. This increase can be attributed to the method by which DFTA tracks this indicator. The agency implemented an interim module that tracks service and client information for caregiver programs, which has led to more accurate reporting of numbers and accounts for the sudden increase in units.

Council Initiatives

Senior Services (Dollars in thousands)	
Elder Abuse Programs Restoration	\$800
Senior Services - Borough Presidents' Discretionary Funding Restoration	4,100
NORC Supportive Service Program	900
Social Adult Day Care Programs	400
Information and Referral Contracts	1,000
Total	\$7,200

- Elder Abuse Prevention PEG Restoration.** This \$800,000 allocation restored funding for elder abuse prevention programs slated for elimination in the Fiscal 2010 PEG program. DFTA contracts with community-based service providers to provide direct services to victims of elder abuse, as well as develop prevention activities. Service providers provide long-term case management services, including: securing orders of protection; providing long-term counseling; accompanying victims to court; examining powers of attorney and other legal documents; working with police to place victims on high propensity lists; and working closely with district attorneys to aid in prosecution of cases.
- Borough Presidents' Discretionary Funding PEG Restoration.** This \$4.1 million allocation restored funds for Borough Presidents' discretionary spending slated for elimination in the Fiscal 2010 PEG program. This funding provides budgetary support to senior centers citywide for operating costs, meals, and various social services.
- Naturally Occurring Retirement Communities (NORC) Supportive Service Program.** This \$900,000 allocation provided additional funding for Naturally Occurring Retirement Communities (NORCs). NORCs are on-site collaborations among housing entities, social service providers, and healthcare networks which, by providing such critical services as case

assistance and healthcare management, allow seniors to remain in their own homes. In addition to the \$900,000 from the Council to fund ten NORC programs, the Administration provided \$5.6 million in Fiscal 2013 to support 28 NORCs in the Bronx, Brooklyn, Manhattan and Queens, collectively serving approximately 45,000 seniors. The NORC program model has gained national recognition, putting New York City in the forefront of adapting programs to meet the changing needs of the elderly population.

- **Information and Referral Contracts.** This \$1 million allocation restored funding for information and referral contracts, originally slated for elimination in the Fiscal 2009 PEG program. This funding supports eight programs which perform various case management functions including providing information and referral to available City services and benefits to seniors.

Case Management

Case management agencies provide assessment and link homebound seniors with services, including home delivered meals, homecare, information and referral, and other supportive programs. They monitor and coordinate programs, provide supportive counseling, as well as, assist with light housekeeping, shopping and laundry.

Seniors access case management services through referrals from senior centers, home delivered meal providers, hospitals and other community-based social service and health care agencies. The proposed budget for case management services for Fiscal 2014 is \$3.6 million less than the Fiscal 2013 Adopted Budget. This difference can be attributed to the Fiscal 2011 PEG program in which DFTA eliminated \$6.6 million from case management and the Council restored \$3 million in Fiscal 2013.

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Spending					
Other Than Personal Services					
Contractual Services	\$18,286	\$18,967	\$19,388	\$15,347	(\$3,620)
Subtotal, OTPS	\$18,286	\$18,967	\$19,388	\$15,347	(\$3,620)
TOTAL	\$18,286	\$18,967	\$19,388	\$15,347	(\$3,620)
Funding					
City Funds		\$8,911	\$8,911	\$4,870	(\$4,041)
State		10,056	10,477	10,477	421
TOTAL	\$18,286	\$18,967	\$19,388	\$15,347	(\$3,620)

*The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan Funding.

Performance Measures

Top DFTA Related Inquiries	Actual FY 10	Actual FY 11	Actual FY12	Target FY 13	Target FY 14	4 Month Actual FY 12	4 Moth Actual FY 13
Percent meeting time to (first) action – Elder Abuse (5 days)	66	88	82	85	*	84	79
Percent meeting time to (first) action – Eviction (3 days)	85	N/A	N/A	N/A	*	N/A	N/A
Percent meeting time to (first) action – Home Repair (14 days)	99	100	99	100	*	98	100
Percent meeting time to (first) action – Housing Options (14 days)	86	96	96	100	*	97	93
Percent meeting time to (first) action – Weatherization (14 days)	99	100	100	100	*	100	100

Council Initiatives

Case Management (Dollars in thousands)	
Case Management Restoration	\$3,000
Total	\$3,000

- **Case Management PEG Restoration.** This \$3 million allocation partially restored funding for DFTA's case management programs. The cut would have reduced contracted provider budgets by 30 percent. The Council restoration of nearly half of this cut allowed case management providers to maintain continuity of service. Case management agencies provide the City's most vulnerable, homebound seniors with, at times, the *only* link to services and benefits, as well as monitoring and support from the "outside world."

Homecare

The Homecare Program provides assistance to low-income frail seniors with daily chores and personal care to allow them to safely remain in their homes. This may include housekeeping and personal care, meal preparation, companionship, supervision of medications and doctor’s visits, referrals to legal and financial experts, as well as other necessary services.

The agency provides home care services to approximately 4,500 elderly residents throughout the City. These services are for low-income frail elderly, who are above the Medicaid eligibility threshold, allowing them to safely remain in their homes by providing assistance with daily chores and personal care. DFTA also provides emergency homecare to enable elderly persons to be discharged from hospitals or other facilities before other home care arrangements, including Medicaid or Medicare services, have been put into place.

The proposed budget for homecare services for Fiscal 2014 is approximately \$380,000 more than Fiscal 2013 Adopted Budget. This slight increase can be attributed to more funds being allocated towards contractual services.

<i>Dollars in Thousands</i>	2012	2013	2013	2014	*Difference
	Actual	Adopted	Prelim. Plan	Prelim. Plan	2013 - 2014
Spending					
Personal Services					
Full-Time Salaried – Civilian	\$15	\$0	\$0	\$0	\$0
Additional Gross Pay	1	0	0	0	0
Subtotal, PS	\$16	\$0	\$0	\$0	\$0
Other Than Personal Services					
Contractual Services	\$12,312	\$16,508	\$16,930	\$16,887	\$379
Subtotal, OTPS	\$12,312	\$16,508	\$16,930	\$16,887	\$379
TOTAL	\$12,329	\$16,508	\$16,930	\$16,887	\$379
Funding					
City Funds		\$4,900	\$4,900	\$4,857	(\$49)
Intra City		300	300	300	0
State		11,308	11,730	11,730	422
TOTAL	\$12,329	\$16,508	\$16,930	\$16,887	\$379

**The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan Funding.*

Performance Measures

	Actual FY 10	Actual FY 11	Actual FY 12	Target FY13	Target FY14	4 Month Actual FY 12	4 Month Actual FY 13
Total recipients of home care services	5,206	3,012	2,861	*	2,861	N/A	N/A
Hours of home care services (annual)	1,622,966	1,033,407	823,831	1,039,003	1,039,003	275,490	286,946

As indicated from the table above, the number of recipients of home care services fell when comparing Fiscal 2011 to Fiscal 2012. The decrease can be attributed to the agency’s continuation of transferring Medicaid recipients, or those eligible for Medicaid, to the homecare program at the Human Resources Administration (HRA).

The target hours of home care services for both Fiscal 2013 and Fiscal 2014 are greater than the actual hours of home care services provided in Fiscal 2012. It has taken the agency 18 months to restore the hours of home care services since the \$10 million cut in Fiscal 2011. This accounts for the increase in target home care services hours in Fiscal 2013 and Fiscal 2014, when compared to Fiscal 2012. In addition, approximately 286,946 hours of home care services were provided in the first four months of Fiscal 2013. This represents a 4.2 percent increase from the same period last year.

Senior Employment and Benefits

This program area mainly funds employment programs that subsidize jobs for seniors. It also supports benefit programs which assist seniors with home energy costs through the Home Energy Assistance Program (HEAP), and the Weatherization Referral and Packaging Program (WRAP). Additionally, it provides funding for the Health Insurance Counseling and Advocacy Program (HICAP) which assist seniors with obtaining health insurance that is best suited to their individual needs. The proposed budget for senior employment and benefits for Fiscal 2014 reflects a slight decrease of about \$102,000, when compared to Fiscal 2013 in the Adopted Budget. This can be largely attributed to a decrease in Federal National Council on Aging (NCOA) Title V funding in Fiscal 2013, which impacted City funding for senior employment and benefits.

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Spending					
Personal Services					
Full-Time Salaried – Civilian	\$1,370	\$1,533	\$1,351	\$1,490	(\$43)
Additional Gross Pay	79	72	66	72	0
Overtime - Civilian	0	0	0	0	0
Other Salaried and Unsalariated	4,743	3,811	3,305	3,884	73
Fringe Benefits	\$0	200	0	200	0
Subtotal, PS	\$6,192	\$5,615	\$4,721	\$5,646	\$30
Other Than Personal Services					
Contractual Services	\$4,326	\$2,592	\$4,205	\$2,504	(\$88)
Fixed and Misc Charges	1	1	1	1	0
Other Services and Charges	395	363	373	375	12
Property and Equipment	5	5	4	5	0
Supplies and Materials	103	128	68	71	(57)
Subtotal, OTPS	\$4,831	\$3,089	\$4,651	\$2,956	(\$133)
TOTAL	\$11,023	\$8,704	\$9,373	\$8,602	(\$102)
Funding					
City Funds		\$611	\$861	\$473	(\$138)
Federal - Other		8,054	7,636	8,089	35
Intra City		20	789	20	0
Other Categorical		0	100	0	0
State		20	17	20	0
TOTAL	\$11,023	\$8,704	\$9,373	8,602	(\$102)
Positions					
Full-Time Positions	25	26	26	26	0
TOTAL	25	26	26	26	0

*The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan Funding.

Preliminary Mayor's Management Report Highlights

DFTA removed 'Seniors trained for unsubsidized employment (Title V)' and 'Senior trainees placed in unsubsidized employment (Title V)' indicators from this year's Preliminary Mayor's Management Report (PMMR). According to DFTA, these indicators were removed because the agency is focusing on tracking metrics for their larger programs.

Administration and Contract Agency Support

This program area includes funding for personnel, supplies, and support contracts to provide for administration and program oversight. Certain contract agency expenses, including insurance, facility leases, and utilities, are paid directly by the City on behalf of providers. The proposed budget for administration and central agency support for Fiscal 2014 is approximately \$15.6 million less than the Fiscal 2013 Adopted Budget. The decrease can be attributed to a \$14.7 million decrease in fixed and miscellaneous charges from the Fiscal 2013 Adopted Budget. In Fiscal 2013, DFTA’s Request for Proposals (RFPs) for neighborhood senior centers no longer includes the City paying for liability insurance in Fiscal 2014 through the Central Insurance Program (CIP). This accounts for most of decrease in fixed and miscellaneous costs.

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Spending					
Personal Services					
Full-Time Salaried – Civilian	\$16,809	\$18,620	\$18,029	\$18,488	(\$132)
Additional Gross Pay	501	98	786	105	7
Overtime - Civilian	0	0	0	0	0
Other Salaried and Unsalaries	777	681	942	802	121
Fringe Benefits	0	42	42	42	0
Subtotal, PS	\$18,087	\$19,441	\$19,799	\$19,446	\$5
Other Than Personal Services					
Contractual Services	\$828	\$1,944	\$1,898	\$1,944	\$0
Fixed and Misc Charges	24,145	14,831	1,504	81	(14,750)
Other Services and Charges	10,321	12,375	12,788	11,466	(909)
Supplies and Materials	177	534	498	534	0
Property and Equipment	302	333	479	333	0
Subtotal, OTPS	\$35,773	\$30,018	\$17,166	\$14,359	(\$15,659)
TOTAL	\$53,860	\$49,459	\$36,965	\$33,805	(\$15,654)
Funding					
City Funds		\$30,031	\$20,999	\$18,532	(\$11,499)
Federal - Other		15,072	13,287	12,630	(2,442)
Federal - Community Development		136	136	136	0
Intra City		172	275	275	3
State		4,047	2,268	2,232	(1,815)
TOTAL		\$53,860	\$49,459	\$36,965	(\$15,654)
Positions					
Full-Time Positions	256	269	263	269	0
TOTAL	256	269	263	269	0

*The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan Funding.

Capital Program

Capital Budget Summary

The Preliminary Capital Commitment Plan includes \$38.1 million in Fiscal 2013-2016 for the Department for the Aging (including City and Non-City funds). This represents less than one percent of the City’s total \$39.36 billion Preliminary Plan for Fiscal 2013-2016. The agency’s Preliminary Commitment Plan for Fiscal 2013-2016 is one percent less than the \$38.4 million scheduled in the September Commitment Plan, a decrease of approximately \$254,000.

The majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2012, the Department for the Aging committed \$4.27 million or 17.6 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency’s Fiscal 2013 Capital Plan will be rolled into Fiscal 2014, thus increasing the size of the Fiscal 2014-2017 Capital Plan. The total Capital Commitment Plan for Fiscal 2013 has increased from \$17.9 billion in the September Capital Commitment Plan to \$19 billion in the Preliminary Capital Commitment Plan, an increase of \$1.1 billion or 6.2 percent.

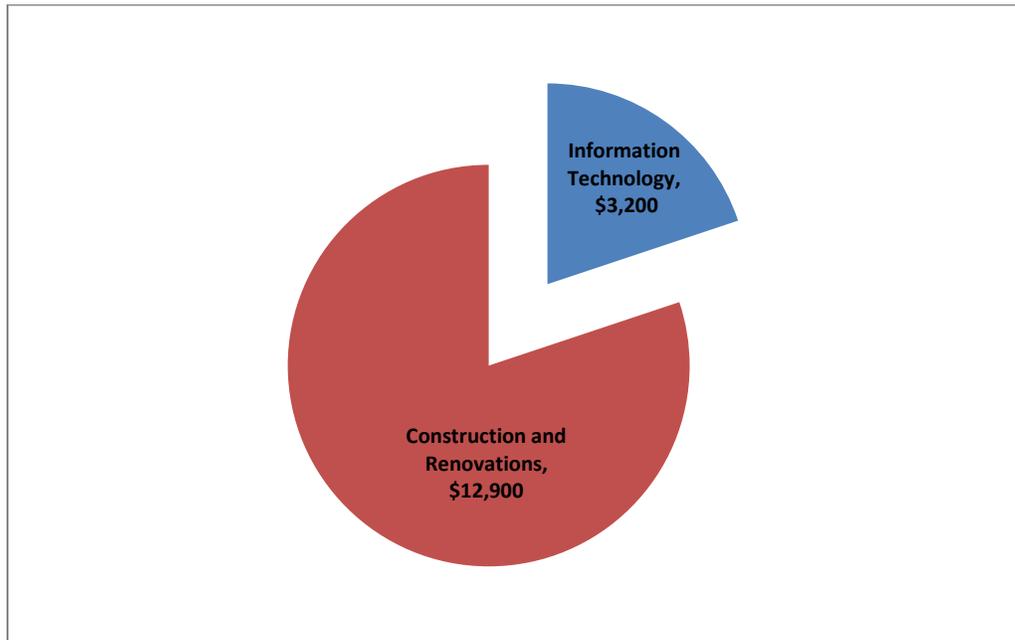
2013-2016 Commitment Plan: Adopted and Preliminary Budget

Dollars in Thousands

	FY13	FY14	FY15	FY16	Total
Adopted					
Total Capital Plan	\$32,015	\$2,943	\$1,703	\$1,741	\$38,402
Prelim					
Total Capital Plan	\$31,761	\$2,943	\$1,703	\$1,741	\$38,148
Change					
Level	(\$254)	\$0	\$0	\$0	(\$254)
Percentage	-0.79%	0.00%	0.00%	0.00%	-0.79%

Department of the Aging Preliminary Capital Commitment Plan by Ten Year Plan Category

(All Funds in 000's)



FY 2014 Preliminary Ten-Year Capital Strategy

<i>Dollars in Thousands</i>	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	Total
Data Processing and Information Technology	\$0	\$0	\$0	\$1,396	\$1,842	\$0	\$0	\$0	\$0	\$0	\$3,238
DFTA Building Reconstruction	2,943	1,703	1,741	384	0	1,192	1,205	1,228	1,253	1,278	12,927
Total	2,943	1,703	1,741	1,780	1,842	1,192	1,205	1,228	1,253	1,278	16,165

Construction and Renovations

The Preliminary Ten-Year Capital Strategy provides funding to complete renovations and upgrades for the various senior centers around the City. Funds are also provided to assure the agency’s fire and safety infrastructure meets standards, and that necessary improvements for HVAC, masonry, roofing, electrical, plumbing are completed.

Information Technology and Computers

The Preliminary Ten-Year Capital Strategy will continue to provide funding for the technology needs of the agency, as well as technology improvements for the agency’s contractors and service providers.

Capital Program Goals

- ✓ Rehabilitation of existing facilities that provide services for senior citizens.
- ✓ Targeted improvements to senior centers throughout the City.
- ✓ Information technology upgrades, including the purchase of client tracking software to better evaluate programs and clients.

Preliminary Capital Budget Highlights

The Capital Variance Report indicates minimal variance between the September Commitment Plan and the Preliminary Commitment Plan. The DFTA capital budget includes general funding for the rehabilitation and upkeep of senior centers. This funding may be used for large repairs and broad scale maintenance of centers on an ongoing basis. In addition, capital funds may be used towards senior center technology maintenance and upgrades, as well as purchasing new vehicles for senior centers.

Appendix A

Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY 2013			FY 2014		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of Adopted 2013 Plan	\$145,276	\$117,988	\$263,264	\$116,312	\$116,541	\$232,853
Program to Eliminate the Gap (PEG)						
Prior Year Accrual	(\$6,000)	\$6,000	\$0	\$0	\$0	\$0
TOTAL, PEGs	(\$6,000)	\$6,000	\$0	\$0	\$0	\$0
Other Adjustments						
November Plan Technical Adjustments	\$234	\$1,569	\$1,803	\$96	\$35	\$131
Preliminary Plan Technical Adjustments	(313)	1,066	753	0	0	0
TOTAL, Other Adjustments	(\$79)	\$2,635	\$2,556	\$96	\$35	\$131
TOTAL, All Changes	(\$6,079)	\$8,635	\$2,556	\$96	\$35	\$131
Agency Budget as of Preliminary 2014 Plan	\$139,197	\$126,621	\$265,818	\$116,408	\$116,576	\$232,984

Appendix B Contract Budget

Category	Number	Budgeted	Pct of DFTA Total	Pct of City Total by Category
Contractual Services General	8	\$175,000	.1%	0%
Telecommunications Maintenance	4	15,700	0%	0%
Maintenance & Repair of Motor Vehicle Equipment	1	4,000	0%	0%
Maintenance & Repair, General	4	192,222	.1%	.2%
Office Equipment Maintenance	3	14,140	0%	.1%
Data Processing Equipment	3	60,000	0%	0%
Printing Contracts	6	142,519	.1%	.4%
Temporary Services	3	381,036	.2%	1.1%
Training Programs for City Employees	1	4,000	0%	0%
Maintenance & Operation of Infrastructure	1	300,000	.2%	.2%
Payments to Delegate Agencies	1,334	187,139,114	98.6%	61.3%
Professional Services: Accounting & Auditing	17	100,000	.1%	.4%
Professional Services: Legal Services	1	121,611	.1%	.1%
Professional Services: Computer Services	3	105,000	.1%	.1%
Professional Services: Other	6	1,058,337	.6%	.6%
Fiscal 2014 Preliminary Budget	1,395	\$189,812,679	100%	64.6%

Appendix C

Reconciliation of Program Areas to Units of Appropriation

<i>Dollars in Thousands</i>	Personal Services		Other Than Personal Services		Grand Total
	1	2	3	4	
Administration & Contract Agency Support	\$8,520,922	\$10,925,535	\$13,518,022	\$840,579	\$33,805,058
Case Management			15,347,247		15,347,247
Homecare			16,887,190		16,887,190
Senior Centers and Meals			139,535,871		139,535,871
Senior Employment & Benefits		5,646,290	2,282,766	672,735	8,601,791
Senior Services		126,729	18,680,171		18,806,900
Grand Total	\$8,520,922	\$16,698,554	\$206,251,267	\$1,513,314	\$232,984,057