

THE COUNCIL OF THE CITY OF NEW YORK

Speaker of the Council
Christine C. Quinn



Hon. James Vacca, Chair, Transportation Committee

Hearing on the Mayor's Fiscal 2013 Preliminary Budget & the Fiscal 2012 Preliminary Mayor's Management Report

Department of Transportation

March 6, 2012

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Agency Overview

The Department of Transportation (DOT) is responsible for the condition and operation of approximately 6,000 miles of streets, highways and public plazas, and 787 bridge structures. DOT ensures traffic safety through a variety of ways including: enhancing conditions for pedestrians near schools and in communities with high concentrations of seniors; continuous analysis of traffic operations in key intersections and corridors; as well as through the daily operation of traffic signals at more than 12,300 signalized intersections and over 300,000 street lights. The Department maintains 69 million linear feet of markings on City streets and highways. Additionally, DOT manages mobility at many different levels including: it's Joint Traffic Management Center; an extensive emergency response operation; the bus rapid transit program; the Staten Island Ferry operation; the bicycle program; sidewalk repair and ADA compliance programs; and the on-street parking system encompassing approximately 39,000 parking meters. The Department's infrastructure programs comprise an extensive bridge capital investment and life-cycle maintenance program; roadway resurfacing, repair and maintenance; and a capital program that includes street and sidewalk reconstruction and projects such as greenway construction. DOT's alternative fuel program promotes the use of cleaner vehicles in both the public and private sectors.

Fiscal 2013 Preliminary Plan Highlights

	2011	2012	2012	2013	*Difference
<i>Dollars in Thousands</i>	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Personal Services	\$382,967	\$328,693	\$365,165	\$325,174	(\$3,518)
Other Than Personal Services	481,946	365,009	451,417	\$360,202	(4,807)
Agency Total	\$864,913	\$693,701	\$816,582	\$685,377	(\$8,325)

**The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan funding.*

The Department of Transportation's Fiscal 2013 Preliminary Budget totals \$685.4 million, which is \$8.3 million less than the Fiscal 2012 Adopted Budget of \$693.7 million. Since the City's fiscal year and the State and Federal fiscal years do not coincide, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments as additional grant funds become available. For the current fiscal year (Fiscal 2012), to date over \$122 million in State and federal funding has been realized post Adoption.

The proposed Fiscal 2013 Preliminary Budget includes \$430.9 million in City-tax levy funds, an increase of \$8 million from the Fiscal 2012 Adopted Budget of \$422.9 million. The Preliminary Budget includes \$12.5 million in Programs to Eliminate the Gap (PEGs), \$1.4 million in new needs, mostly for resurfacing operations, \$1.1 million to restore one-week winter layoff of roadway repairers and \$4.6 million in other adjustments. Similar to Fiscal 2012, the Department was able to meet most of its PEG program target with new revenue totaling over \$15 million, including \$10.6 million in additional revenue from parking fees. The revenue PEGs are:

- **Increase Commercial Parking Meter Rates.** DOT proposes to increase commercial parking rates from \$3 per hour to \$4 for the first hour in Manhattan (see p. 6).
- **Increase Highway Inspection & Quality Assurance (HIQA) Summons Fines.** Beginning in Fiscal 2012, DOT proposes to increase the fine amount on two HIQA violations (see p. 9).
- **Parking Meter Initiatives and Efficiencies.** The Department anticipates additional revenue of \$7 million from existing parking initiatives (see p. 6).
- **Additional Revenue from Revocable Consents, Debris Container Permits, and Concessions.** DOT proposes to generate additional revenue of \$3.2 million in Fiscal 2013 from revocable consents, debris permits and concessions (see p. 9).

In addition to the above revenue PEGs, other key actions affecting the agency's proposed budget include:

- **Agency-wide OTPS Reduction.** The Department anticipates savings of \$1.6 million in Fiscal 2012 and \$3.7 million in Fiscal 2013 from an across-the-board OTPS reduction. (see p. 21).
- **Parking Collection and Maintenance.** Due to the ongoing conversion of single-space meters to multi-space meters, DOT anticipates PS and OTPS savings of approximately \$8.5 million in Fiscal 2013 from parking meter collection (see p. 6).

- **Installation of Energy-Efficient Lights.** DOT anticipates savings of \$268,000 in Fiscal 2013 and \$331,000 in Fiscal 2014 and the outyears from the installation of energy-efficient LED lights (see p. 6).
- **Restoration of One-Week Winter Layoff of Full-Time Employees in Street Maintenance.** The Proposed Budget includes restoration of a Fiscal 2011 PEG of \$1.1 million in Fiscal 2012 and the outyears from the implementation of a one-week winter furlough of non-seasonal workers in street maintenance (see p. 9).

Financial Summary

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Budget by Program Area					
Bridge Engineering and Administration	\$24,871	\$26,426	\$28,869	\$26,443	\$17
Bridge Maintenance, Repair & Operations	57,309	45,931	64,598	46,714	783
DOT Management & Administration	44,953	43,738	53,392	43,425	(313)
DOT Vehicles & Facilities Mgmt & Maintenance	104,947	34,690	39,285	35,086	397
Ferry Administration & Surface Transit	6,924	3,760	7,540	3,721	(39)
Municipal Ferry Operation & Maintenance	91,787	96,796	101,007	88,928	(7,869)
Roadway Construction Coordination & Admin	9,936	11,644	11,824	11,744	100
Roadway Repair, Maintenance & Inspection	219,788	179,996	194,588	176,341	(3,655)
Traffic Operations & Maintenance	269,219	239,006	280,726	241,599	2,593
Traffic Planning Safety & Administration	35,178	11,715	34,755	11,376	(339)
TOTAL	\$864,913	\$693,701	\$816,582	\$685,377	(\$8,325)
Funding					
City Funds	N/A	\$422,950	\$422,497	\$430,903	\$7,952
Other Categorical	N/A	34	159	159	125
Capital- IFA	N/A	180,951	181,838	175,030	(5,921)
State	N/A	49,123	106,231	47,928	(1,195)
Federal - Other	N/A	39,260	104,411	29,974	(9,286)
Intra City	N/A	1,383	1,447	1,383	0
TOTAL	\$864,913	\$693,701	\$816,582	\$685,377	(\$8,325)
Positions					
Full-Time Positions - Civilian	4,488	4,074	4,584	3,979	(95)
Amts to be Scheduled and Other	0	12	9	9	(3)
TOTAL	4,488	4,086	4,593	3,988	(98)

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan funding.

Program Areas

Traffic Operations and Maintenance

The Division of Traffic Operations is responsible for the safe and efficient movement of people and goods on the City's streets and for the development, installation, and maintenance of the City's traffic signals, street lights, traffic signs and roadway markings. The Division manages the municipal parking facilities and parking meters as well as the Department's Traffic Management Center (TMC). The TMC monitors real-time traffic conditions, controls thousands of computerized traffic signals, and operates variable message signs on the City's major arteries. The Division also manages the Red Light Camera program, which is designed to promote safe, responsible driving by photographing and fining vehicles that run red lights. Currently, the DOT has 170 cameras installed at 150 locations citywide.

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$62,556	\$51,006	\$60,615	\$47,095	(\$3,911)
Other Salaried and Unsalared	917	781	812	781	0
Additional Gross Pay	3,745	1,322	1,322	1,322	0
Overtime - Civilian	11,197	6,242	6,472	3,962	(2,280)
Amounts to be Scheduled	0	793	798	798	4
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	744	627	627	627	0
Subtotal	\$79,159	\$60,771	\$70,645	\$54,584	(\$6,187)
Other Than Personal Services					
Supplies and Materials	\$8,675	\$19,654	\$12,387	\$20,150	\$497
Fixed and Misc. Charges	145	138	30	138	0
Property and Equipment	1,325	4,304	3,133	4,302	(2)
Other Services and Charges	72,051	75,733	75,474	72,338	(3,394)
Contractual Services	107,864	78,407	119,056	90,087	11,679
Subtotal	\$190,060	\$178,235	\$210,081	\$187,015	\$8,780
TOTAL	\$269,219	\$239,006	\$280,726	\$241,599	\$2,593
Funding					
City Funds	N/A	\$194,837	\$194,350	\$200,046	\$5,209
Other Categorical	N/A	34	34	34	0
Capital- IFA	N/A	12,673	12,677	12,677	4
State	N/A	7,357	38,214	4,737	(2,620)
Federal - Other	N/A	24,105	35,451	24,105	0
Intra City	N/A	0	0	0	0
TOTAL	\$269,219	\$239,006	\$280,726	\$241,599	\$2,593

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

DOT's Fiscal 2013 Preliminary Budget includes \$241.6 million for traffic operations and maintenance, \$2.6 million more than the Fiscal 2012 Adopted Budget of \$239 million, but \$39.1 million less than the current Modified Budget. The proposed budget for this program area takes into account the planned savings from parking meter collections and increased spending in Other Than Personal Services (OTPS) costs, particularly for contracted services which will increase by \$11.7 million in Fiscal 2013 compared to the Fiscal 2012 amount.

Furthermore, variances exist between the Adopted Budget and the Modified because the Department reports only baseline funding and State and federal grants that the agency anticipates at the beginning of the fiscal year; adjustments are made as additional State and federal funds are received. At the time of this report, the Fiscal 2012 Budget includes an additional \$42.2 million in State and federal funds not assumed at Adoption last year. These funds include \$6.1 million for bicycle network development, \$12.5 million in federal SAFETEA -LU funding, \$3.7 million for Hunts Point diesel emission reduction and more than \$27 million in State Consolidated Highway Improvement funds.

The Division of Traffic Operations Fiscal 2013 Preliminary Budget includes funding for 897 positions, of which nine are yet to be hired for traffic operations and maintenance, a decrease of 98 positions compared to the Fiscal 2012 Adopted Budget. This decrease is in part the result of savings from single-spaced meter maintenance and collection due to the conversion to multi-space meters. However, since most State and federal grants are generally recognized post Adoption, it is likely that additional positions will be recognized for this program area.

Since the Fiscal 2012 Budget was adopted last June, specific actions affecting the Traffic Operations and Maintenance program area include the following:

- **Increase Commercial Parking Rates.** Beginning in Fiscal 2012, DOT will increase commercial parking rates in Manhattan, 14th to 60th Street and 1st to 8th Avenue, from \$3 per hour to \$4 for the first hour, \$5 for the second hour and \$6 for the third hour for a total parking charge of \$15 for three consecutive hours. The Department anticipates additional revenue of \$1 million in Fiscal 2012 and \$4 million in Fiscal 2013 and the outyears that will be offset by \$151,000 in Fiscal 2012 and \$427,000 in Fiscal 2013 and the outyears for related OTPS costs. This action is estimated to bring total parking meter revenue to \$195.3 million in Fiscal 2013, an 8.1 percent increase over the Fiscal 2012 projected amount of \$180.7 million.
- **Parking Meter Initiatives and Efficiencies.** Because of efficiencies and increased revenue over estimates, DOT anticipates additional revenue of \$7 million in Fiscal 2013 and the outyears from existing parking initiatives.
- **Installation of Energy-Efficient Lights.** DOT will replace 2,380 existing street lights with high-efficiency LEDs in Central Park (1,588), on FDR Drive under-decks (650), and on Eastern Parkway (142) for savings of \$268,000 in Fiscal 2013 and \$331,000 in Fiscal 2014 and the outyears.
- **Parking Collection and Maintenance.** Because of the Department's citywide conversion of single-space meters to multi-space muni meters, less funding will be needed for parking meter collections and maintenance resulting in PS and OTPS savings of \$370,000 in Fiscal 2012 and \$8.5 million in Fiscal 2013. Associated with this action will be a headcount reduction of 50

positions through attrition or redeployment, and the layoff of 62 positions, 15 of which are in administrative positions.

- Agency-wide OTPS Reduction.** The Fiscal 2013 Preliminary Budget for the Department of Transportation includes an agency-wide reduction in OTPS of \$1.6 million in Fiscal 2012 and \$3.7million in Fiscal 2013 only, of which \$2.4 million is in this program area.

Performance Measures

	FY 09	FY 10	FY 11	4-Month Actual FY11	4-Month Actual FY12	Target FY 13
Average travel speed (miles per hour) - Manhattan Central Business District	9.1	9.3	9.2	N/A	N/A	*
Traffic- monitoring cameras	183	183	183	183	183	*
On-street parking meters that are operable (%)	88.7%	82.9%	92.9%	86.1%	96.8%	90%
Percent of metered spaces that have muni-meters (multi-space meters)	36.6%	40.9%	42.3%	41.7%	51.8%	*

In the first four months of Fiscal 2012, the proportion of metered spaces with multi-space parking increased to over 51 percent citywide compared to the Fiscal 2011 actual of 41.7 percent.

The Mayor’s Management Report for the DOT now includes data reporting on vehicular travel speeds in Manhattan Central Business District, a key yardstick for measuring improved mobility and congestion. The new metric provides data on the average weekday travel speed of yellow taxi cabs within Manhattan’s Central Business District south of 60th Street. As indicated, travel time decreased from 9.3 miles per hour in Fiscal 2010 to 9.2 miles per hour in Fiscal 2011.

Roadway Repair, Maintenance and Inspection

The Department's Roadway Repair, Maintenance and Inspection program area is responsible for the maintenance and inspection of approximately 5,700 linear miles of streets and arterial highways within the five boroughs. In an effort to maintain the arterial highways within the City, and increase community participation, the Department manages the Adopt-a-Highway Program. This program enables sponsors to adopt up to 362 miles of highway and contribute funding for the cleaning and maintenance of the roadside. Additionally, the Division utilizes available State aid to perform both road maintenance and repair activities. The Division cleans and maintains 1,175 lane miles of arterial highway and 2,525 acres of landscaped areas and shoulders annually. The Division also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$78,075	\$72,067	\$80,736	\$75,305	\$3,238
Other Salaried and Unsalariad	11,328	7,685	8,799	7,685	0
Additional Gross Pay	6,495	3,350	3,350	3,350	0
Overtime - Civilian	18,518	9,757	10,503	10,157	400
Amounts to be Scheduled	0	0	5	5	5
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	314	486	561	561	75
Subtotal	\$114,730	\$93,345	\$103,954	\$97,062	\$3,718
Other Than Personal Services					
Supplies and Materials	\$67,046	\$63,034	\$62,680	\$55,735	(\$7,298)
Fixed and Misc. Charges	6	20	25	20	0
Property and Equipment	981	1,265	1,265	1,265	0
Other Services and Charges	25,632	8,381	12,908	8,307	(74)
Contractual Services	11,394	13,951	13,756	13,951	0
Subtotal	\$105,058	\$86,651	\$90,634	\$79,279	(\$7,372)
TOTAL	\$219,788	\$179,996	\$194,588	\$176,341	(\$3,655)
Funding					
City Funds	N/A	\$32,812	\$34,696	\$35,096	\$2,285
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	139,317	140,185	133,377	(5,939)
State	N/A	7,867	19,707	7,867	0
Federal - Other	N/A	0	0	0	0
Intra City	N/A	0	0	0	0
TOTAL	\$219,788	\$179,996	\$194,588	\$176,341	(\$3,655)

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

DOT's Fiscal 2013 Preliminary Budget includes \$176.3 million in Fiscal 2013 for roadway repair, maintenance and inspections, \$3.6 million less than the amount allocated in the Fiscal 2012 Adopted Budget. Despite increased City funding and the restoration of funding for a one-week winter furlough of non-seasonal workers in street maintenance, the Fiscal 2013 is less than the Fiscal 2012 Adopted Budget. This is due to reduced intra-funding agreements (IFAs), which are funding shifts from the capital budget to the expense budget for capital work performed by the Department's staff. The Fiscal 2013 Preliminary Budget includes only \$133.4 million in IFA-funds for this program area compared to \$139 million in Fiscal 2012. The funding may increase post Adoption if additional intra-fund agreements are recognized.

The Fiscal 2013 Preliminary Budget provides funding for 991 positions for roadway repair, maintenance and inspection, an increase of 16 positions compared to the Fiscal 2012 Adopted Budget number of 975 positions.

Since the Fiscal 2012 Budget was adopted last June, the key actions affecting this program area in Fiscal 2013 are:

- **Restoration of One-Week Winter Layoff of Full-Time Employees in Street Maintenance & Arterial Resurfacing.** In the Fiscal 2011 Budget, to meet its PEG target, the Department proposed to furlough for one week each year 641 full-time workers in Street Maintenance and Arterial Resurfacing for a savings of \$1 million in Fiscal 2011 and the outyears, resulting in 9,000 fewer repaired potholes annually. The Fiscal 2013 Preliminary Budget reverses this action in Fiscal 2012 and the outyears.
- **Increase Highway Inspection & Quality Assurance Fines.** DOT anticipates additional revenue of \$911,000 in Fiscal 2012, \$1.5 million in Fiscal 2013, \$1.2 million in Fiscal 2014 and \$1.1 million in Fiscal 2015 from increased fines on two existing Highway Inspection Quality Assurance (HIQA) violations. The two violations are Non-Emergency Work on Critical Streets and Utility Cover Opening without Permit. These fines are generally issued to contractors and Utilities companies doing work on city streets without a permit and will increase from \$1,000 to \$2,000 per violation.
- **Reduce Lease Space at 16 Court Street.** By relinquishing its 16th floor office space at 16 Court Street in Brooklyn and transferring its highway inspection staff to existing space at the Brooklyn Army Terminal, the DOT anticipates savings of \$191,000 in Fiscal 2013, which would increase to \$208,000 by Fiscal 2016.
- **Additional Revenue from Revocable Consents.** DOT anticipates to generate additional revenue of \$1.8 million in Fiscal 2012, \$3.2 million in Fiscal 2013, which would increase to \$6.5 million in Fiscal 2016 from revocable consents, including electrical transformers, issuance of the web-based \$30 debris container permits, and rental income at the St. George and White Hall Ferry Terminals.
- **Safety Crews for DOT Resurfacing Operation.** The Fiscal 2013 Preliminary Budget includes \$404,000 in Fiscal 2012 and \$1.4 million in Fiscal 2013 and the outyears for 14 safety crew positions in DOT's resurfacing division.

Performance Measures

	FY 09	FY 10	FY 11	4- Month Actual FY11	4- Month Actual FY 12	Target FY 13
Streets Maintained with a Pavement Rating of – Good (%)	70.9	70.8	72.3	N/A	N/A	*
Percentage of City Highways with a Cleanliness Rating of Good	98.3	98.1	97.6	N/A	N/A	*
Average time to Close a Pothole Work Order where Repair was done (days)	4.1	5.6	10.8	6.8	6.3	*
Potholes repaired (local streets)	224,648	295,297	305,001	51,130	52,820	*
Average Cost per Lane Mile Resurfaced Citywide (\$)	\$136,191	\$166,203	\$153,790	N/A	N/A	*

According to the Preliminary Mayor's Management Report (PMMR), in the first four months of Fiscal 2012 the average time to close a pothole work order where repair was done was 6.3 days compared to 6.8 days during the same period of Fiscal 2011. However, the overall average in Fiscal 2011 to close pothole orders was 10.8 days. It was 4.1 days and 5.6 days respectively in Fiscal 2009 and Fiscal 2010.

Roadway Construction Coordination and Administration

The Department supervises street resurfacing and repair work; permits management; and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

<i>Dollars in Thousands</i>	2011 Actual	2012 Adopted	2012 Feb. Plan	2013 Feb. Plan	*Difference 2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$8,227	\$9,019	\$8,957	\$8,919	(\$100)
Other Salaried and Unsalaries	563	841	841	841	0
Additional Gross Pay	415	345	345	345	0
Overtime - Civilian	424	788	788	788	0
Amounts to be Scheduled	0	0	0	0	0
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	0	0	0	0	0
Subtotal	\$9,629	\$10,992	\$10,930	\$10,892	(\$100)
Other Than Personal Services					
Supplies and Materials	\$95	\$120	\$136	\$120	\$0
Fixed and Misc. Charges	0	0	0	0	0
Property and Equipment	16	27	8	27	0
Other Services and Charges	25	30	22	30	0
Contractual Services	171	476	729	676	200
Subtotal	\$307	\$652	\$894	\$852	\$200
TOTAL	\$9,936	\$11,644	\$11,824	\$11,744	\$100
Funding					
City Funds	N/A	\$9,458	\$9,600	\$9,558	\$100
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	2,185	2,185	2,185	0
State	N/A	0	0	0	0
Federal - Other	N/A	0	38	0	0
Intra City	N/A	0	0	0	0
TOTAL	\$9,936	\$11,644	\$11,824	\$11,744	\$100

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The DOT's Fiscal 2013 Preliminary Budget includes \$11.7 million in Fiscal 2013 for roadway construction coordination and administration, \$100,000 more when compared to the Fiscal 2012 Adopted Budget. The Fiscal 2013 headcount for this program area remains at 111 positions, the same as at adoption.

Municipal Ferry Operation and Maintenance

This program area is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities. The Staten Island Ferry currently carries over 20 million passengers annually on a 5.2-mile run between the St. George Terminal in Staten Island and the Whitehall Terminal in lower Manhattan. Service is provided 24 hours a day, 365 days a year. A typical weekday schedule involves the use of five boats to transport approximately 65,000 passengers daily (110 trips). During the day, between rush hours, boats are regularly fueled and maintenance work is performed. Terminals are cleaned around the clock and routine terminal maintenance is performed on the day shift. On weekends, three boats are used (64 trips each weekend day). Over 33,000 trips are made annually.

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$32,807	\$39,787	\$38,438	\$38,438	(\$1,349)
Other Salaried and Unsalari ed	411	109	109	109	0
Additional Gross Pay	3,319	1,748	1,748	1,748	0
Overtime - Civilian	11,405	9,071	9,071	9,071	0
Amounts to be Scheduled	0	0	0	0	0
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	408	380	380	380	0
Subtotal	\$48,351	\$51,095	\$49,746	\$49,746	(\$1,348)
Other Than Personal Services					
Supplies and Materials	\$15,337	\$16,298	\$16,960	\$16,608	\$310
Fixed and Misc. Charges	15	18	13	18	0
Property and Equipment	337	247	951	247	0
Other Services and Charges	83	8,138	2,772	8,578	440
Contractual Services	27,663	21,001	30,564	13,731	(7,270)
Subtotal	\$43,437	\$45,702	\$51,260	\$39,181	(\$6,520)
TOTAL	\$91,787	\$96,796	\$101,007	\$88,928	(\$7,869)
Funding					
City Funds	N/A	\$55,198	\$53,245	\$55,190	(\$8)
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	1,891	1,891	1,891	0
State	N/A	25,865	26,543	27,290	1,425
Federal - Other	N/A	12,767	18,189	3,481	(9,286)
Intra City	N/A	1,075	1,139	1,075	0
TOTAL	\$91,787	\$96,796	\$101,007	\$88,928	(\$7,869)

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The DOT’s Fiscal 2013 Preliminary Budget includes \$88.9 million in Fiscal 2013 for municipal ferry operation and maintenance, \$7.8 million less than the Fiscal 2012 Adopted Budget of \$96.8 million. The decrease reflects primarily the end of federal stimulus funding for ferry transit maintenance. The DOT received \$10.1 million of the federal stimulus funding in Fiscal 2010, Fiscal 2011 and Fiscal 2012 for ferry transit maintenance in addition to \$277 million in Fiscal 2009 for various capital projects.

The Fiscal 2013 Preliminary Budget headcount for this program area is 611, an increase of six positions compared to the Fiscal 2012 Adopted Budget of 605 positions.

Actions taken which affect this program area since Fiscal 2012 budget adoption last June include:

- **Staten Island Ferry State Revenue Adjustment.** The Fiscal 2013 Preliminary Budget contains additional State funding of \$1.9 million for the Staten Island Ferry.

Performance Measures

	FY 09	FY 10	FY 10	4-Month Actual FY11	4-Month Actual FY 12	Target FY13
Staten Island Ferry – Trips that are on-time	91.1%	90.6%	90.9%	87.6%	84.6%	90.0%
Ridership (millions)	20.1	21.5	21.4	7.8	7.9	*
Average cost per passenger (\$)	5.38	5.32	5.16	N/A	N/A	*

Ridership on the Staten Island Ferry continues to increase. In the first four months of Fiscal 2012, ridership grew to 7.9 million compared to the same period a year ago. Ridership on the Ferry grew to more than 21 million in Fiscal 2010 compared to 20 million in Fiscal 2009. As a result, the average cost per passenger has decreased by more than 22 cents to \$5.16, compared to \$5.38 in Fiscal 2009.

Ferry Administration and Surface Management

This program area is responsible for regulating private ferries, in addition to overseeing subsidies to the MTA Bus Company (MTABC) and the Atlantic Bus Express paid from the City's Miscellaneous Budget. In 2006, the City finalized the transfer of subsidized local and express bus service formerly provided by private franchise bus companies to the MTABC. MTABC is primarily funded through farebox revenues and City subsidies. In addition to five facilities leased from private owners, the City currently owns three bus depots dedicated to MTABC operations, located in Yonkers, Southeast Brooklyn, and College Point, Queens.

<i>Dollars in Thousands</i>	2011 Actual	2012 Adopted	2012 Feb. Plan	2013 Feb. Plan	*Difference 2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$3,709	\$3,230	\$3,140	\$3,140	(\$90)
Other Salaried and Unsalariad	119	17	17	17	0
Additional Gross Pay	141	311	311	311	0
Overtime - Civilian	307	137	137	137	0
Amounts to be Scheduled	0	0	1	1	1
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	0	0	0	0	0
Subtotal	\$4,276	\$3,695	\$3,607	\$3,607	(\$89)
Other Than Personal Services					
Supplies and Materials	\$68	\$19	\$47	\$19	\$0
Fixed and Misc. Charges	0	0	2	0	0
Property and Equipment	133	6	564	6	0
Other Services and Charges	2,369	27	146	77	50
Contractual Services	78	12	3,174	12	0
Subtotal	\$2,648	\$64	\$3,933	\$114	\$50
TOTAL	\$6,924	\$3,760	\$7,540	\$3,721	(\$39)
Funding					
City Funds	N/A	\$3,640	\$3,910	\$3,601	(\$39)
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	120	120	120	0
State	N/A	0	154	0	0
Federal - Other	N/A	0	3,355	0	0
Intra City	N/A	0	0	0	0
TOTAL	\$6,924	\$3,760	\$7,540	\$3,721	(\$39)

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The Department's Fiscal 2013 Preliminary Budget includes \$3.7 million and 32 positions in Fiscal 2013 for ferry administration and surface management, a decrease of two positions compared to the Fiscal 2012 Adopted Budget. While funding for this program area remains almost unchanged

when compared to the Fiscal 2012 Adopted Budget, it should be noted that federal and State funds have increased post Adoption for a combined total of more than \$3.5 million, including \$2 million for the DOT private ferry project. The current plan for Fiscal 2013 includes no federal funding for this program area.

Performance Measures

	FY 09	FY 10	FY 11	4-Month Actual FY11	4-Month Actual FY 12	Target FY13
Private Ferry – Average Weekday Ridership	30,694	27,943	28,422	30,237	27,404	*
Private Ferry – Number of Routes	20	21	20	19	22	*

In Fiscal 2011, average weekday ridership on private ferries increased to 28,422 compared to 27,943 in Fiscal 2010. However, in the first four months of Fiscal 2012, ridership on the private ferries has declined to 27,404, compared to 30,237 in the same period last year. Currently, major providers of private ferry service under DOT’s supervision include New York Water Way, Seastreat, Liberty Water Taxi, and New York Water Taxi. Unlike the Staten Island Ferry, users of private ferry services must pay market rate fares, except in instances where City subsidies are used to reduce market rate fares such as the East River Ferry Initiative.

Bridge Maintenance, Repair and Operations

The Department's Division of Bridges is responsible for the inspection, maintenance, repair and operation of 787 bridge structures, including 758 non-movable bridges, 25 movable bridges, and six tunnels including the four East River bridges. While the Division is responsible for the capital rehabilitation of the 61 culverts in Staten Island, maintenance and inspection responsibilities remain with the New York City Department of Environmental Protection. In addition, the Bridge Division designs and supervises consultant designs of bridge projects and oversees major bridge reconstruction and construction work. The Division is comprised of six bureaus: Roadway Bridges; East River Bridges/Movable Bridges/Tunnels; Engineering Review; Bridge Maintenance/Inspections/Operations; Specialty Engineering and Construction; and Management Support Services.

	2011	2012	2012	2013	*Difference
<i>Dollars in Thousands</i>	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$32,020	\$30,004	\$33,314	\$30,338	\$334
Other Salaried and Unsalaries	520	2	2	2	0
Additional Gross Pay	1,197	836	836	836	0
Overtime - Civilian	6,690	4,458	5,422	4,493	35
Amounts to be Scheduled	0	0	0	0	0
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	2,300	2,435	2,435	2,435	0
Subtotal	\$42,726	\$37,735	\$42,008	\$38,104	\$369
Other Than Personal Services					
Supplies and Materials	\$2,626	\$2,008	\$3,100	\$2,408	\$400
Fixed and Misc. Charges	10	15	17	15	0
Property and Equipment	303	359	486	359	0
Other Services and Charges	802	733	774	622	(111)
Contractual Services	10,841	5,080	18,213	5,205	125
Subtotal	\$14,583	\$8,196	\$22,590	\$8,610	\$414
TOTAL	\$57,309	\$45,931	\$64,598	\$46,714	\$783
Funding					
City Funds	N/A	\$37,568	\$37,207	\$38,226	\$658
Other Categorical	N/A	0	125	125	125
Capital- IFA	N/A	1,641	1,641	1,641	0
State	N/A	6,437	11,674	6,437	0
Federal - Other	N/A	0	13,666	0	0
Intra City	N/A	285	285	285	0
TOTAL	\$57,309	\$45,931	\$64,598	\$46,714	\$783

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The DOT’s Fiscal 2013 Preliminary Budget includes \$46.7 million for bridge maintenance, repair and operations in Fiscal 2013, an increase of \$783,000 or 1.7 percent when compared to the Fiscal 2012 Adopted Budget of \$45.9 million. These funds will help the Department to continue to provide adequate maintenance of the City’s bridges. Currently in Fiscal 2012, total federal funding for this program area totals \$13.7 million and includes \$6.9 million for the maintenance of the Ed Koch/Queensboro and Williamsburg Bridges and \$1.6 million for bridge inspection. The Fiscal 2013 Preliminary Plan includes no federal funding for bridge maintenance, repair and operations. It should be noted that in prior fiscal years, actual funding for bridge operations increased post Adoption as federal funds became available. As such, it is likely that funding for bridge operations could increase in Fiscal 2013 as additional federal funds are recognized.

In Fiscal 2013, funded headcount for this program area would remain at 433 positions, the same number reflected in the Fiscal 2012 Adopted Budget.

Performance Measures

	FY 09	FY 10	FY 11	4-Month Actual FY11	4-Month Actual FY 12	Target FY13
Bridges Rated Fair (%)	57.8%	58.1%	58.8%	N/A	N/A	*
Bridges Rated Good or Very Good (%)	41.8%	41.4%	40.7%	N/A	N/A	*
Bridges Rated Poor (%)	0.4%	0.5%	0.5%	N/A	N/A	*
Bridge Projects (Structural) Completed on Schedule –East River	100%	N/A	100%	N/A	N/A	100%
Bridge Projects (Structural) Completed on Schedule –Non East River	67%	100%	100%	N/A	N/A	100%

The Preliminary Mayor’s Management Report does not provide performance data on bridge rating for the first four months of Fiscal 2012. However, the report does show that the percentage of bridges rated good or very good declined slightly to 40.7 percent in Fiscal 2011 compared to 41.4 percent in Fiscal 2010.

Bridge Engineering and Administration

This program area is responsible for the design of bridge projects and supervision of consultant designs of bridge projects, and for oversight of Capital Budget work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's Capital Budget through intra-fund agreements (IFA). Since 2000, this Division has managed over \$3 billion in bridge Capital reconstruction projects including a number of projects to rehabilitate the East River Bridges, namely the Brooklyn, Manhattan, Williamsburg and Ed Koch/Queensboro Bridges. In the last few years other major bridges that have been completely replaced, including the Third Avenue, Macombs Dam and the 145th Street spans over the Harlem River. Over the next ten years, DOT will spend more than \$3.4 billion, as contained in the Ten Year Capital Strategy plan, on bridge reconstruction projects.

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$21,947	\$23,076	\$23,140	\$23,076	\$0
Other Salaried and Unsalaries	275	4	4	4	0
Additional Gross Pay	974	844	844	844	0
Overtime - Civilian	780	815	815	815	0
Amounts to be Scheduled	0	0	17	17	17
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	0	1	30	1	0
Subtotal	\$23,977	\$24,740	\$24,850	\$24,757	\$17
Other Than Personal Services					
Supplies and Materials	\$112	\$279	\$278	\$279	\$0
Fixed and Misc. Charges	1	26	21	26	0
Property and Equipment	46	283	310	283	0
Other Services and Charges	93	400	418	400	0
Contractual Services	642	698	2,992	698	0
Subtotal	\$894	\$1,686	\$4,019	\$1,686	\$0
TOTAL	\$24,871	\$26,426	\$28,869	\$26,443	\$17
Funding					
City Funds	N/A	\$5,457	\$6,146	\$5,462	\$5
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	18,759	18,771	18,771	12
State	N/A	0	0	0	0
Federal - Other	N/A	2,210	3,952	2,210	0
Intra City	N/A	0	0	0	0
TOTAL	\$24,871	\$26,426	\$28,869	\$26,443	\$17

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

Similar to Fiscal 2012, the DOT's Fiscal 2013 Preliminary Budget includes \$26.4 million in Fiscal 2013 for engineering and administration. However, since the Fiscal 2012 Budget was adopted, the Department has been able to secure an additional \$1.7 million in federal funds for this program area.

Also, there are no changes in headcount between the Fiscal 2013 Preliminary Budget and the Fiscal 2012 Adopted Budget.

DOT Management and Administration

This program area consists of the commissioner's office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general procurement services, data processing, general counsel, public information and information systems. The agency's six Borough Commissioners, including the Borough Commissioner for Lower Manhattan, are also included in this program area. The Borough Commissioners are charged with dealing directly with borough-wide issues.

<i>Dollars in Thousands</i>	2011 Actual	2012 Adopted	2012 Feb. Plan	2013 Feb. Plan	*Difference 2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$30,987	\$25,982	\$32,609	\$25,651	(\$331)
Other Salaried and Unsalaries	1,872	1,103	1,103	1,103	0
Additional Gross Pay	1,023	629	629	629	0
Overtime - Civilian	848	1,021	1,058	1,023	2
Amounts to be Scheduled	0	0	9	9	9
P.S. Other	(80)	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	0	10	76	10	0
Subtotal	\$34,650	\$28,746	\$35,486	\$28,426	(\$320)
Other Than Personal Services					
Supplies and Materials	\$1,441	\$1,382	\$794	\$600	(\$782)
Fixed and Misc. Charges	2	4	7	4	0
Property and Equipment	414	423	707	424	1
Other Services and Charges	6,416	11,755	12,912	12,543	788
Contractual Services	2,030	1,428	3,485	1,428	0
Subtotal	\$10,303	\$14,992	\$17,906	\$14,999	\$6
TOTAL	\$44,953	\$43,738	\$53,392	\$43,425	(\$313)
Funding					
City Funds	N/A	\$38,075	\$39,380	\$37,760	(\$315)
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	3,866	3,868	3,868	2
State	N/A	1,597	5,062	1,597	0
Federal - Other	N/A	178	5,059	178	0
Intra City	N/A	23	23	23	0
TOTAL	\$44,953	\$43,738	\$53,392	\$43,425	(\$313)

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The DOT's Fiscal 2013 Preliminary Budget includes \$43.4 million for this program area, \$313,000 less than the Fiscal 2012 Adopted Budget of \$43.7 million. However, compared to the current Modified Budget, the Fiscal 2013 Preliminary Budget shows a decrease of \$9.9 million as a result of additional State and federal funds received post-Adoption and used for 78 additional positions

and other administrative overhead costs associated with the implementation of federal- and State-funded projects agency-wide. Because State and federal grants are generally recognized post-Adoption, the 78 positions are not currently recognized in the Fiscal 2013 Preliminary Budget.

Since adoption of the Fiscal 2012 Budget last June, funding for this program area has increased as a result of the following actions:

- **Agency-wide OTPS Reduction.** The Fiscal 2013 Preliminary Budget for the Department of Transportation includes an agency-wide reduction in OTPS spending of \$1.6 million in Fiscal 2012 and \$3.7 million in Fiscal 2013 only, of which \$555,000 is in this program area.

DOT Vehicles, Facilities Management and Maintenance

Funding in this program area provides for the maintenance of the Department's approximately 3,000 vehicles and facilities citywide.

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$9,560	\$7,752	\$9,106	\$8,513	\$761
Other Salaried and Unsalaries	225	25	25	25	0
Additional Gross Pay	222	160	160	160	0
Overtime - Civilian	1,195	1,266	1,266	1,266	0
Amounts to be Scheduled	0	0	0	0	0
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	236	249	298	249	0
Subtotal	\$11,439	\$9,452	\$10,856	\$10,213	\$761
Other Than Personal Services					
Supplies and Materials	\$2,095	\$1,761	\$2,606	\$2,211	\$450
Fixed and Misc. Charges	70,994	2	3	2	0
Property and Equipment	1,611	559	893	665	106
Other Services and Charges	16,167	17,812	19,639	19,125	1,312
Contractual Services	2,640	5,103	5,288	2,870	(2,233)
Subtotal	\$93,508	\$25,237	\$28,429	\$24,873	(\$364)
TOTAL	\$104,947	\$34,690	\$39,285	\$35,086	\$397
Funding					
City Funds	N/A	\$34,440	\$32,840	\$34,836	\$397
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	250	250	250	0
State	N/A	0	275	0	0
Federal - Other	N/A	0	5,920	0	0
Intra City	N/A	0	0	0	0
TOTAL	\$104,947	\$34,690	\$39,285	\$35,086	\$397

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The DOT's Fiscal 2013 Preliminary Budget includes \$35.1 million in Fiscal 2013 for vehicles, facilities management and maintenance, \$397,000 more than the Fiscal 2012 Adopted Budget of \$34.7 million. This increase reflects a collective bargaining increase in PS costs offset by decreases in other OTPS costs in this program area. The Fiscal 2013 Preliminary Budget is \$4.2 million less than the current Modified Budget. This is primarily the result of increased federal funding post-Adoption for fleet-wide emission reduction initiatives, including \$3.7 million for the Hunts Point Diesel Emission Reduction program in Fiscal 2012. The Fiscal 2013 Preliminary Budget includes funding for 127 positions in Fiscal 2013 for this program area, which is an increase of three

positions compared to the Fiscal 2012 Adopted Budget of 124 positions.

Since adoption of the Fiscal 2012 Budget last June, the following actions have altered the DOT vehicle, facilities management and maintenance program area budget:

- **Fleet Service Reduction.** The Preliminary Budget for the DOT includes a funding reduction of \$500,000 in Fiscal 2012 and Fiscal 2013 and the outyears for the in-house purchase of light-duty vehicle parts and contractual repair services of light-duty vehicles.

Traffic Planning Safety and Administration

This program area is responsible for the supervision, planning and research, and general support of the Department's traffic and parking programs. The Division also develops programs to enhance mobility for bicyclists and pedestrians, and studies traffic patterns and impacts of major projects and development.

	2011	2012	2012	2013	*Difference
<i>Dollars in Thousands</i>	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Spending					
Personal Services					
Full-Time Salaried - Civilian	\$12,827	\$6,798	\$11,119	\$6,456	(\$342)
Other Salaried and Unsalaries	478	63	315	63	0
Additional Gross Pay	380	1,084	1,075	1,075	(9)
Overtime - Civilian	345	147	370	141	(6)
Amounts to be Scheduled	0	0	17	17	17
P.S. Other	0	0	0	0	0
New Positions	0	0	0	0	0
Fringe Benefits	0	30	187	30	0
Subtotal	\$14,030	\$8,123	\$13,084	\$7,783	(\$339)
Other Than Personal Services					
Supplies and Materials	\$1,070	\$433	\$1,076	\$433	\$0
Fixed and Misc. Charges	10	2	13	2	0
Property and Equipment	623	988	1,051	988	0
Other Services and Charges	2,619	1,064	1,418	1,064	0
Contractual Services	16,827	1,105	18,113	1,105	0
Subtotal	\$21,148	\$3,593	\$21,671	\$3,593	\$0
TOTAL	\$35,178	\$11,715	\$34,755	\$11,376	(\$339)
Funding					
City Funds	N/A	\$11,465	\$11,122	\$11,126	(\$339)
Other Categorical	N/A	0	0	0	0
Capital- IFA	N/A	250	250	250	0
State	N/A	0	4,602	0	0
Federal - Other	N/A	0	18,781	0	0
Intra City	N/A	0	0	0	0
TOTAL	\$35,178	\$11,715	\$34,755	\$11,376	(\$339)

*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

The DOT's Fiscal 2013 Preliminary Budget includes \$11.4 million for traffic planning safety and administration, \$339,000 less than the Fiscal 2012 Adopted Budget. However, it is \$23.4 million less when compared to the current Modified Budget for Fiscal 2012. This variance is due to the fact that DOT does not typically recognize State and federal funds until post Budget Adoption. The post-Adoption increases in State and federal funding for this program area include \$3.5 million for stop DWI initiatives, \$1.8 million for sub-regional planning, \$1.1 million for freight route sign

study, \$3.2 million for bus rapid transit and more than \$12.5 million in federal SAFETEA-LU funds for various projects. As a result of the additional State and federal funds, the traffic planning safety and administration’s headcount increased from 71 to 137, an increase of 66 positions. The additional positions, however, are not recognized in the Fiscal 2013 Preliminary Budget but would be recognized, post-Adoption, when and if State and federal grants become available.

Performance Measures

	FY 09	FY 10	FY 11	4-Month Actual FY11	4-Month Actual FY 12	Target FY13
Bicycle Lane Miles Installed	88.7	52.3	33.1	N/A	N/A	50
Bicycle Racks Installed	1,529	775	2,750	N/A	N/A	1,500
Bicycle Network Connectivity Index*	919	1,018	1,134	N/A	N/A	*
Construction Permits Issued (000)	268.9	244.1	264.5	88.9	107.9	*
Inspections of Permitted Street Work (000)	558	550.9	539.9	186.4	211.3	*
Speed Humps Installed Near Schools	27	98	92	65	28	*

**The total connectivity figure is derived by adding the number of intersections covered by all the City’s bicycle routes, plus the scores from zero to eight for each of these intersections.*

Capital Program

Capital Budget Summary

The Fiscal 2012 Executive Capital Commitment Plan includes \$4.3 billion in Fiscal 2012-2015 for the Department of Transportation (including City and Non-City funds). This represents 12.3 percent of the City's total \$35.1 billion Preliminary Plan for Fiscal 2012-2015. The agency's Preliminary Commitment Plan for Fiscal 2012-2015 is 4.2 percent more than the \$4.1 billion scheduled in the September Commitment Plan, an increase of \$174.6 million.

Over the past five years (2008-2011), the Department of Transportation has only committed an average of 52.8 percent of its annual Capital Plan. Therefore, it is assumed that a portion of the agency's Fiscal 2012 Capital Plan will be rolled into Fiscal 2013, thus increasing the size of the Fiscal 2013-2016 Capital Plan. Since adoption last June, the total Capital Commitment Plan for Fiscal 2013 has increased from \$876.3 million to \$1.3 billion, an increase of \$464 million or 52.9 percent.

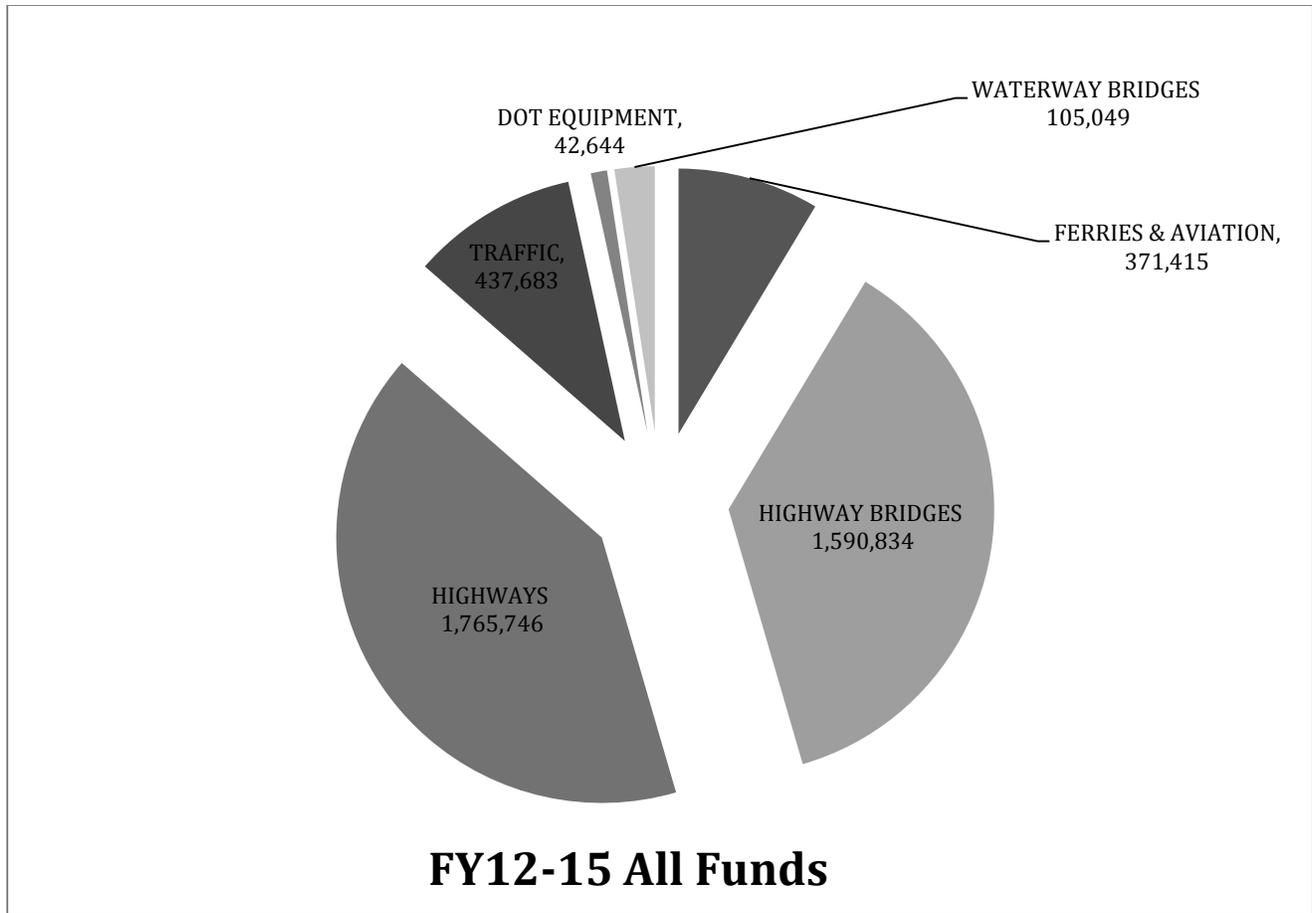
2012-2015 Commitment Plan: Adopted and Preliminary Budget

Thousands of Dollars

	FY12	FY13	FY14	FY15	Total
Adopted					
Total Capital Plan	\$1,949,301	\$876,290	\$809,918	\$503,250	\$4,138,759
Prelim					
Total Capital Plan	\$1,583,268	\$1,340,436	\$864,771	\$524,866	\$4,313,341
Change					
Level	(\$366,033)	\$464,146	\$54,853	\$21,616	\$174,582
Percentage	-18.78%	52.97%	6.77%	4.30%	4.22%

FY 2013 Preliminary Capital Commitment Plan by Categories

	2012	2013	2014	2015	2012-2015
Waterway Bridges (BR)	\$56,674	\$1,631	\$6,714	\$40,000	\$105,019
Ferries & Aviation (FA)	63,821	98,873	192,484	16,237	\$371,415
Highway Bridges (HB)	570,848	490,076	300,554	229,356	\$1,590,834
Highways (HW)	665,922	635,195	283,926	180,703	\$1,765,746
Equipment (TD)	18,679	15,480	6,488	1,997	\$42,644
Traffic (TF)	207,324	99,181	74,605	56,573	\$437,683
TOTAL	\$1,583,268	\$1,340,436	\$864,771	\$524,866	\$4,313,341



Capital Program Goals

- ✓ Provide safe and efficient travel throughout the City by rehabilitating deficient bridges and performing work necessary to keep bridges in a state of good repair.
- ✓ Provide streets in good repair.
- ✓ Improve the flow of traffic and minimize congestion.
- ✓ Maintain and improve safety on City streets by providing adequate lighting, adding and upgrading intersection signalization, installing lane markings, and traffic calming measures.
- ✓ Protect the public from excessive amounts of motor vehicle pollution.
- ✓ Provide public transportation that is accessible, reliable, and clean.
- ✓ Ensure the safe and efficient operation of ferries and ferry terminals.

Preliminary Budget Highlights

In the Preliminary Capital Plan, DOT’s planned commitments for Fiscal 2012-2015 has increased by \$174.6 million, or 4.2 percent, to a total of \$4.3 billion when compared to the Department’s

2011 September Plan. Of the increase, \$138.5 million is city-funded commitments while \$36.1 million is non-city commitments.

Major changes in the Preliminary Capital Plan for Fiscal 2012-2015 that resulted in the 4.2 percent increase include:

- **W 125th Street Bridge.** The addition of planned commitments of \$14.7 million in Fiscal 2013 for the protective coating of Riverside Drive / W 125th Street Bridge.
- **Broad Channel Bulkheads on West 13 Road.** The sum of \$3.5 million in funding for this project has been rolled from Fiscal 2012 to Fiscal 2013 and increased by \$2 million in Fiscal 2013 to reflect the current construction schedule and needs.
- **Mill Basin Bridge Belt Parkway.** The January Plan includes an additional \$106 million in Fiscal 2013 for Mill Basin Bridge reconstruction. The additional funding brings total planned commitments this project to \$164.5 million.
- **Empire Boulevard.** The Fiscal 2013 Commitment Plan includes additional funding of \$8 million for the reconstruction of Empire Boulevard from Franklin to Utica Avenue in Brooklyn.

Appendix A: Budget Actions in the November and February Plans

<i>Dollars in Thousands</i>	FY 2012			FY 2013		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of June 2011 Plan	\$422,951	\$270,751	\$693,702	\$440,347	\$250,497	\$690,844
Program to Eliminate the Gap (PEGs)						
Additional Revenue - Revocable Consents	\$288	\$0	\$288	\$250	\$0	\$250
Agency-wide OTPS Reduction	(1,626)	0	(1,626)	(3,701)	0	(3,701)
Fleet Services Reduction	(500)	0	(500)	(500)	0	(500)
Increase Commercial Parking Meter Rates	151	0	151	427	0	427
Install LED Lights	(20)	0	(20)	(268)	0	(268)
Parking Collection & Maintenance - PS	(370)	0	(370)	(8,513)	0	(8,513)
Reduce Lease Space at 16 Court St	49	0	49	(191)	0	(191)
TOTAL, PEGs	(\$2,028)	\$0	(\$2,028)	(\$12,496)	\$0	(\$12,496)
New Needs						
Safety Crews for DOT Resurfacing Operations	\$0	\$404	\$404		\$1,384	\$1,384
TOTAL, New Needs	\$0	\$404	\$404	\$0	\$1,384	\$1,384
Other Adjustments						
Bike Network Development	\$0	\$6,127	\$6,127	\$0	\$0	\$0
Bridge Painting, When and Where	0	5,237	5,237	0	0	0
Curb Reconstruction CHIPS	0	8,371	8,371	0	0	0
DOT Private Ferry Project	0	2,000	2,000	0	0	0
Hunts Point Diesel Emission Reduction	0	3,715	3,715	0	0	0
Movable Bridges	0	4,031	4,031	0	0	
NYSE Security Barriers	0	125	125	0	125	125
Parking Collection & Maintenance - PS	41	0	41	1,518	0	1,518
Queensboro Bridge FA/PM	0	2,565	2,565	0	0	0
Safetea-LU	0	12,512	12,512	0	0	0
SAMP	0	7,187	7,187	0	0	0
Select Bus Service	0	4,272	4,272	0	0	0
Sheridan Expressway Tiger II	0	1,218	1,218	0	0	0
Sign Shop, Design & Construction - CHIPS	0	27,459	27,459	0	0	0
Signal Maintenance CHIPS	0	5,554	5,554	0	0	0
Stop DWI	0	3,543	3,543	0	0	0
Williamsburg Bridge FA/PM	0	5,034	5,034	0	0	0
Bridges Inspections Program	0	1,649	1,649	0	0	0
Collective Bargaining	477	483	960	477	483	960
Fringe Adjustment	0	(13,445)	(13,445)	0	0	0
LaGuardia Study	0	1,415	1,415	0	0	0
New Freedom – Ped. & Mobility Mgmt.	0	1,360	1,360	0	0	0
Pedestrian Countdown	0	4,000	4,000	0	0	0

<i>Dollars in Thousands</i>	FY 2012			FY 2013		
	City	Non-City	Total	City	Non-City	Total
Staten Island Ferry	\$0	\$0	\$0	\$0	\$1,985	\$1,985
Intra-City Adjustments	0	64	64	0	0	0
State Grants and Adjustments	0	2,642	2,642	0	0	0
Federal Grants and Adjustments	0	25,809	25,809	0	0	0
TOTAL, Other Adjustments	\$518	\$122,927	\$123,445	\$1,995	\$2,593	\$4,588
PEG Restorations and Substitutions (PRS)						
Restore One-Week Winter Layoff	\$1,056	\$0	\$1,056	\$1,056	\$0	\$1,056
TOTAL, PRS	\$1,056	\$0	\$1,056	\$1,056	\$0	\$1,056
TOTAL, All Changes	(\$454)	\$123,331	\$122,877	(\$9,445)	\$3,977	(\$5,468)
Agency Budget as of February 2012 Plan	\$422,497	\$394,082	\$816,579	\$430,902	\$254,474	\$685,376

*Continuation from previous page

Appendix B: Contract Budget

Category	Number	Budgeted	Pct of DOT Total	Pct of City Total
Contractual Services General	89	\$17,781,973	13.7%	3.9%
Telecommunications Maintenance	21	1,438,020	1.1%	2.4%
Maintenance & Repair of Motor Vehicle Equipment	26	1,678,259	1.3%	11.9%
Maintenance & Repair, General	112	15,141,198	11.7%	12.9%
Office Equipment Maintenance	95	301,148	0.2%	2.2%
Data Processing Equipment	29	1,182,967	0.9%	0.7%
Printing Contracts	19	251,100	0.2%	0.8%
Costs Associated with Financing	1	886,112	0.7%	1.1%
Security Services	7	11,353,908	8.7%	14.1%
Temporary Services	4	91,405	0.1%	0.3%
Cleaning Services	31	2,811,266	2.2%	13.8%
Transportation Expenditures	2	12,500	0.0%	0.1%
Training Programs for City Employees	44	151,255	0.1%	1.0%
Maintenance & Operation of Infrastructure	51	74,613,776	57.5%	51.4%
Professional Services: Engineer & Architect	2	80,000	0.1%	4.2%
Professional Services: Computer Services	10	777,438	0.6%	0.7%
Professional Services: Other	8	1,210,850	0.9%	0.8%
Fiscal 2013 Preliminary Budget	551	\$129,763,175	100.0%	8.6%

Appendix C: Fiscal 2012 Mayor's Management Report Performance Measures

	FY 09	FY 10	FY 11	4-Month Actual FY11	4-Month Actual FY12	Target FY 13
Citywide Traffic Fatalities	276	259	236	96	91	*
Motorist/Passengers	98	97	78	40	39	*
Bicyclists/Pedestrians	178	162	158	56	52	*
Overall Traffic Crashes	177,996	183,278	179,112	N/A	N/A	*
Average Time to Respond to Traffic Signal Defect & Make Safe (hours)	4.3	3.7	4.1	3.5	3.5	*
Average Time to Repair Priority Regulatory Signs After Notification (days)	1.6	1.8	1.9	1.9	1.9	*
Average Time to Repair Street Lights – by DOT (days)	2.4	2.2	2.2	1.9	2.6	*
Average Time to Repair Street Lights – by ConEd (days)	14	13.5	14.3	13.5	14.5	*
Speed Humps Installed Near Schools	27	98	92	65	28	*
Tort Cases Commenced	2,138	2,175	1,983	713	705	*
Tort Dispositions	2,406	2,487	2,114	611	584	*
Tort Payout (\$000)	\$85,745	\$72,594	\$62,884	\$16,774	\$20,481	*
Average travel speed (miles per hour) - Manhattan Central Business District	9.1	9.3	9.2	N/A	N/A	*
Traffic- monitoring cameras	183	183	183	183	183	*
On-street parking meters that are operable (%)	88.7%	82.9%	92.9%	86.1%	96.8%	90%
Percent of metered spaces that have muni-meters (multi-space meters)	36.6%	40.9%	42.3%	41.7%	51.8%	*
Streets Maintained with a Pavement Rating of – Good (%)	70.9	70.8	72.3	N/A	N/A	*
Percentage of City Highways with a Cleanliness Rating of Good	98.3	98.1	97.6	N/A	N/A	*
Average time to Close a Pothole Work Order where Repair was done (days)	4.1	5.6	10.8	6.8	6.3	*
Potholes repaired (local streets)	224,648	295,297	305,001	51,130	52,820	*
Average Cost per Lane Mile Resurfaced Citywide (\$)	\$136,191	166,203	153,790	N/A	N/A	*
Bridges Rated Fair (%)	57.8%	58.1%	58.8%	N/A	N/A	*
Bridges Rated Good or Very Good (%)	41.8%	41.4%	40.7%	N/A	N/A	*
Bridges Rated Poor (%)	0.4%	0.5%	0.5%	N/A	N/A	*
Bridge Projects (Structural) Completed on Schedule –East River	100%	N/A	100%	N/A	N/A	100%
Bridge Projects (Structural) Completed on Schedule –Non East River	67%	100%	100%	N/A	N/A	100%
Staten Island Ferry – Trips that are on-time	91.1%	90.6%	90.9%	87.6%	84.6%	90%
Ridership (millions)	20.1	21.5	21.4	7.8	7.9	*
Average cost per passenger (\$)	5.38	5.32	5.16	N/A	N/A	*

Appendix D: Reconciliation of Program Areas to Units of Appropriation

<i>Dollars in Thousands</i>	Personal Services					Other Than Personal Services					Grand Total
	001	002	003	004	006	007	011	012	013	014	
Bridge Engineering and Administration					\$24,757	\$1,686					\$26,443
Bridge Maintenance, Repair & Operations					38,104	8,610					46,714
DOT Management & Administration	28,426						14,999				43,425
DOT Vehicles & Facilities Mgmt & Maintenance	9,291	922					24,873				35,086
Ferry Administration & Surface Transit			3,607						114		3,721
Municipal Ferry Operation & Maintenance			49,746						39,181		88,927
Roadway Construction Coordination & Admin		10,892						852			11,744
Roadway Repair, Maintenance & Inspection		97,062						79,279			176,341
Traffic Operations & Maintenance				54,584						187,015	241,599
Traffic Planning Safety & Administration				7,783						3,593	11,376
Grand Total	\$37,717	\$108,876	\$53,353	\$62,367	\$62,861	\$10,296	\$39,872	\$80,131	\$39,295	\$190,608	\$685,376