

THE COUNCIL OF THE CITY OF NEW YORK

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Hon. Jessica Lappin, Chair, Aging Committee

Hon. David Greenfield, Chair, Senior Centers Subcommittee

## Hearing on the Mayor's Fiscal 2013 Preliminary Budget & the Fiscal 2012 Preliminary Mayor's Management Report

The Department for the Aging

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## Agency Overview

The Department for the Aging (DFTA) administers a wide range of programs to enhance independence and quality of life of the City’s elderly population. The Department’s services include the operation of senior centers, provision of home delivered and congregate meals, employment counseling and placement, case management, social and legal services and home care services. DFTA also serves as an advocate for the City’s elderly population through legislative activity and public policy initiatives.

This report provides a review of the Department for the Aging’s Preliminary Budget for Fiscal 2013. The first section presents highlights from the Fiscal 2013 expense budget for the City, the Fiscal 2012-2013 State Executive Budget, and City Council Initiatives. Following highlights, the report outlines the Department’s budget by program area and provides analysis of significant program areas. Further, it provides information on actions included in the November and February Financial Plans and reviews relevant sections of the Preliminary Mayor’s Management Report for Fiscal 2012. Finally, the report provides a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the Capital Plan.

## Fiscal 2013 Preliminary Plan Highlights

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Personal Services	\$27,300	\$26,319	\$24,964	\$25,440	(\$879)
Other Than Personal Services	236,342	232,381	236,697	207,959	(24,422)
<b>Agency Total</b>	<b>\$263,643</b>	<b>\$258,700</b>	<b>\$261,662</b>	<b>\$233,399</b>	<b>(\$25,301)</b>

*\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan funding.*

- Exemption from PEG Program.** It is significant to note that DFTA was exempt from the PEG programs for both the 2011 November Financial Plan and the 2012 February Financial Plan. Hence, the agency did not have to absorb any additional cuts, so far, for Fiscal 2012 or Fiscal 2013.
- Exclusion of Prior Year Council Funding for Core Operations.** Council initiative funding totaled approximately \$30 million in Fiscal 2012, including over \$20 million in funding for senior centers and meals. Through negotiations with the City Council, the Administration agreed to baseline \$14 million of this funding for senior centers and meals for Fiscal 2013 and in the outyears. The remaining \$16 million in Council funding, however, was excluded from the February Financial Plan. The exclusion of this \$16 million raises concerns regarding DFTA’s ability to provide continuity of quality social services to seniors in Fiscal 2013 and in the outyears.

## Fiscal 2012-2013 State Executive Budget Highlights

The State Executive Budget included minimal cuts to aging services, including small reductions to funding for NORCs and caregiver programs. Given the relatively small reduction in funding, it is unlikely that either will have a large impact on aging services in NYC.

- Naturally Occurring Retirement Communities (NORC) Funding.** Reduction in funding for services provided at NORCs. (2012-13 Statewide Reduction: \$0.46 million; 2013-14 Statewide Reduction: \$0.46 million)
- State Funding for Regional Caregivers Centers of Excellence Program.** This grant program was intended to develop regional centers of excellence in caregiver training and program development and provide regional technical assistance. To minimize duplication of caregiver support functions, this funding is eliminated and the functions will continue under other programs, including existing Caregiver Resource Centers, Family Caregiver Council and New York Connects. (2012-13 Statewide Reduction: \$0.1 million; 2013-14 Statewide Reduction: \$0.1 million)

It is also significant to note that the State Executive Budget did NOT propose any cuts to Title XX social services funding (including approximately \$25 million for senior centers) as was proposed in prior fiscal years. This is a significant win for the senior services community.

### Council Initiative Funding

In Fiscal 2012, the City Council provided over 20 percent of DFTA’s City funds budget supporting core operations, including its senior center network, meals programs, Naturally Occurring Retirement Community (NORC) programs, and other senior services. Below is a breakdown of those funds.

<b>FY 2012 Council Changes at Adoption</b>	
<i>Dollars in Thousands</i>	
<b>Senior Centers &amp; Meals</b>	
Senior Center Core Operating Costs	\$14,000
Senior Center Closures – PEG Restoration	1,645
Space Costs for Senior Centers	1,500
Transportation Operating Costs	2,000
City Meals on Wheels	1,000
<b>Subtotal</b>	<b>\$20,145</b>
<b>Senior Services</b>	
Case Management Restoration	\$3,000
Elder Abuse Prevention PEG Restoration	800
Borough Presidents’ Discretionary funding PEG Restoration	4,100
NORC Supportive Service Program	900
Information and Referral Contracts	1,000
<b>Subtotal</b>	<b>\$9,800</b>
<b>TOTAL</b>	<b>\$29,945</b>

## DFTA Financial Summary

DFTA's budget consists of six program areas which are identified by units of appropriation. They are: Administration & Contract Agency Support (U/A 001, 002, 003 and 004); Case Management (U/A 003); Homecare (U/A 002 and 003); Senior Centers and Meals (U/A 003); Senior Employment & Benefits (U/A 002, 003 and 004); and Senior Services (U/A 003). The following table, "DFTA Financial Summary," provides an overview of the Department's total budget from Fiscal 2011 to the February Plan for Fiscal 2013.

<b>DFTA Financial Summary</b>					
<i>Dollars in Thousands</i>	<b>2011</b>	<b>2012</b>	<b>2012</b>	<b>2013</b>	<b>*Difference</b>
	<b>Actual</b>	<b>Adopted</b>	<b>Feb. Plan</b>	<b>Feb. Plan</b>	<b>2012 - 2013</b>
<b>Budget by Program Area</b>					
Administration & Contract Agency Support	\$57,207	\$48,297	\$47,313	\$49,279	\$982
Case Management	21,140	17,967	17,963	14,926	(3,041)
Homecare	16,546	16,508	15,905	16,466	(43)
Senior Centers and Meals	123,672	137,317	136,258	125,353	(11,965)
Senior Employment & Benefits	13,586	9,272	11,082	8,696	(576)
Senior Services	31,492	29,339	33,140	18,680	(10,659)
<b>TOTAL</b>	<b>\$263,643</b>	<b>\$258,700</b>	<b>\$261,662</b>	<b>\$233,399</b>	<b>(\$25,301)</b>
<b>Funding</b>					
City Funds	N/A	\$139,608	\$141,554	\$116,027	(\$23,581)
State	N/A	37,331	37,782	36,968	(363)
Federal - Community Development	N/A	2,495	2,495	2,235	(260)
Federal - Other	N/A	78,775	78,182	77,677	(1,098)
Intra City	N/A	492	1,649	492	0
<b>TOTAL</b>	<b>\$263,643</b>	<b>\$258,700</b>	<b>\$261,662</b>	<b>\$233,399</b>	<b>(\$25,301)</b>
<b>Positions</b>					
Full-Time Positions - Civilian	298	296	297	296	0
<b>TOTAL</b>	<b>298</b>	<b>296</b>	<b>297</b>	<b>296</b>	<b>0</b>

*\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan funding.*

The proposed budget for DFTA in Fiscal 2013 is approximately \$25 million less than the Fiscal 2012 Adopted Budget. This can be largely attributed to both the City's Fiscal 2012 PEG program and the fact that a large portion of City funds are historically from the City Council. As Council funding is renegotiated annually and allocated on a one-time basis, it is not included in the Fiscal 2013 Preliminary Budget.

Additionally, State funding for the Crime Victims Program, federal Community Development Block Grant funding for senior centers and meals, federal Title V funding for senior employment, and smaller federal grants for various senior services have all decreased slightly in Fiscal 2013.

## Program Areas

### Senior Centers and Meals

The core of DFTA’s service portfolio is the Department’s citywide network of 256 senior centers. In addition to supporting 9.5 million congregate meals annually, senior centers offer older New Yorkers opportunities for socialization, recreation, and participation in a wide array of activities designed to improve their health and quality of life. Senior centers provide educational programs, nutrition and health services, exercise programs, and recreational programming in community-based settings. Most senior centers provide both congregate and home delivered meals to seniors.

The proposed budget for senior centers and meals for Fiscal 2013 is approximately \$12 million less than the Fiscal 2012 Adopted Budget. This can be attributed to the fact that a large portion of the City funds in this program area are from the City Council. As Council funding is renegotiated annually and allocated on a one-time basis, it is not included in the Fiscal 2013 Preliminary Budget.

<i>Dollars in Thousands</i>	2011 Actual	2012 Adopted	2012 Feb. Plan	2013 Feb. Plan	*Difference 2012 - 2013
<b>Spending</b>					
<b>Other Than Personal Services</b>					
Contractual Services	\$123,667	\$133,497	\$132,437	\$120,282	(\$13,215)
Fixed and Misc Charges	5	0	0	0	0
Other Services and Charges	0	3,821	3,821	5,071	1,250
<b>Subtotal, OTPS</b>	<b>\$123,672</b>	<b>\$137,317</b>	<b>\$136,258</b>	<b>\$125,353</b>	<b>(\$11,965)</b>
<b>TOTAL</b>	<b>\$123,672</b>	<b>\$137,317</b>	<b>\$136,258</b>	<b>\$125,353</b>	<b>(\$11,965)</b>
<b>Funding</b>					
City Funds	N/A	\$79,017	\$78,865	\$67,312	(\$11,705)
Federal - Other	N/A	45,028	43,888	45,028	0
Federal - Community Development	N/A	1,997	1,997	1,737	(260)
State	N/A	11,277	11,508	11,277	0
<b>TOTAL</b>	<b>\$123,672</b>	<b>\$137,317</b>	<b>\$136,258</b>	<b>\$125,353</b>	<b>(\$11,965)</b>

*\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.*



## Preliminary Mayor’s Management Report Highlights

	FY 09	FY 10	FY 11	Target FY 12	Target FY 13	4 Month Actual FY 11	4 Month Actual FY 12
Average daily attendance at senior centers	27,951	27,176	25,107	*	*	26,629	25,434
Citywide senior center utilization rate	N/A	N/A	88%	*	*	N/A	N/A
Senior center lunches served	6,987,646	6,801,948	6,301,828	7,085,852	7,085,852	2,236,805	2,136,426
Home-delivered meals	3,936,718	3,860,416	3,770,569	4,257,750	4,257,750	1,220,080	1,250,862

As indicated in the table above, both the average daily attendance at senior centers as well as the number of congregate and home delivered meals served to seniors, has fallen slightly when comparing Fiscal 2010 to Fiscal 2011. It is possible that this can be attributed to slight year-over-year losses in funding for senior centers.

### Council Initiatives

<b>Senior Centers &amp; Meals (Dollars in thousands)</b>	
Senior Center Core Operating Costs	\$14,000
Senior Center Closures – PEG Restoration	1,645
Space Costs for Senior Centers	1,500
Transportation Operating Costs	2,000
City Meals on Wheels	1,000
<b>Total</b>	<b>\$20,145</b>

- **Senior Center Core Operating Costs.** This \$14 million allocation restored direct funding to the DFTA senior center network in an effort to stabilize the system. It is significant to note that the Council was successful in negotiating with the Administration to baseline this \$14 million for Fiscal 2013 and in the outyears.
- **Senior Center Closures PEG Restoration.** This \$1.6 million restored funding to save a portion of the 51 DFTA senior centers slated for closure in the Fiscal 2011 PEG Program.
- **Space Costs for Senior Centers.** This \$1.5 million allocation is a Council initiative which supports space/facility costs at senior centers.
- **Transportation Operating Costs.** This \$2 million allocation is a Council initiative which supports transportation costs at senior centers. This funding pays for fuel, insurance, and maintenance costs for senior center vans which transport seniors to medical/personal appointments, recreational activities, and other social service sites.
- **City Meals on Wheels.** This \$1 million allocation is a Council initiative which supports CityMeals on Wheels, an organization that provides home-delivered meals to seniors on the weekends and on holidays. This funding is used to reduce waiting lists for home delivered meals.

## Senior Services

This program area includes a variety of services to seniors, such as caregiver programs, social services and transportation, elder abuse services, the congregate services initiative, extended services, intergenerational funding, legal services, social adult day services, and funding for Naturally Occurring Retirement Communities (NORC). The proposed budget for senior services for Fiscal 2013 is approximately \$11 million less than the Fiscal 2012 Adopted Budget. This can be attributed to the fact that a large portion of the City funds in this program area are from Council initiatives and discretionary funding. As Council funding is renegotiated annually and allocated on a one-time basis, it is not included in the Fiscal 2013 Preliminary Budget.

<i>Dollars in Thousands</i>	2011 Actual	2012 Adopted	2012 Feb. Plan	2013 Feb. Plan	*Difference 2012 - 2013
<b>Spending</b>					
<b>Personal Services</b>					
Full-Time Salaried – Civilian	\$121	\$0	\$121	\$0	\$0
Additional Gross Pay	11	0	11	0	0
Overtime - Civilian	0	0	16	0	0
<b>Subtotal, PS</b>	<b>\$133</b>	<b>\$0</b>	<b>\$149</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Than Personal Services</b>					
Contractual Services	\$31,352	\$29,338	\$32,976	\$18,680	(\$10,658)
Other Services and Charges	8	1	15	0	(1)
<b>Subtotal, OTPS</b>	<b>\$31,360</b>	<b>\$29,339</b>	<b>\$32,991</b>	<b>\$18,680</b>	<b>(\$10,659)</b>
<b>TOTAL</b>	<b>\$31,492</b>	<b>\$29,339</b>	<b>\$33,140</b>	<b>\$18,680</b>	<b>(\$10,659)</b>
<b>Funding</b>					
City Funds	N/A	\$20,218	\$22,360	\$9,907	(\$10,311)
Federal - Other	N/A	8,223	9,321	7,875	(348)
Federal - Community Development	N/A	362	362	362	0
Intra City	N/A	0	382	0	0
State	N/A	536	715	536	0
<b>TOTAL</b>	<b>\$31,492</b>	<b>\$29,339</b>	<b>\$33,140</b>	<b>\$18,680</b>	<b>(\$10,659)</b>

\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

## Preliminary Mayor’s Management Report Highlights

	FY 09	FY 10	FY 11	Target FY 12	Target FY 13	4 Month Actual FY 11	4 Month Actual FY 12
Caregivers who received casework services or training through DFTA's In-house Alzheimer's and Long-Term Care Unit and Grandparent Resource Center	7,746	8,770	9,359	*	*	3,432	4,226
Caregivers who received supportive services through DFTA's contracted providers	6,414	6,202	8,783	*	*	2,959	3,052

As indicated in the table above, services provided to caregivers has risen when comparing Fiscal 2010 to Fiscal 2011. It is possible that this is at least partially attributable to the recognition of nationwide research, including a 2008 report released by the New York Academy of Medicine,<sup>1</sup> which outlines the importance of services to caregivers in improving the quality of life for seniors and their support systems.

### Council Initiatives

<b>Senior Services (Dollars in thousands)</b>	
Elder Abuse Prevention PEG Restoration	\$800
Borough Presidents’ Discretionary funding PEG Restoration	4,100
NORC Supportive Service Program	900
Information and Referral Contracts	1,000
<b>Total</b>	<b>\$6,800</b>

- Elder Abuse Prevention PEG Restoration.** This \$800,000 allocation restored funding for elder abuse prevention programs slated for elimination in the Fiscal 2010 PEG program. DFTA contracts with community-based service providers to provide direct services to victims of elder abuse, as well as develop prevention activities. Service providers provide long-term case management services, including: securing orders of protection; providing long-term counseling; accompanying victims to court; examining powers of attorney and other legal documents; working with police to place victims on high propensity lists; and working closely with district attorneys to aid in prosecution of cases
- Borough Presidents’ Discretionary Funding PEG Restoration.** This \$4.1 million allocation restored funds for Borough Presidents’ discretionary spending slated for elimination in the Fiscal 2010 PEG program. This funding provides budgetary support to senior centers citywide for operating costs, meals, and various social services.
- Naturally Occurring Retirement Communities (NORC) Supportive Service Program.** This \$900,000 allocation provided additional funding for Naturally Occurring Retirement Communities (NORCs). NORCs are on-site collaborations among housing entities, social service providers, and healthcare networks which, by providing such critical services as case

<sup>1</sup> <http://www.nyam.org/news/docs/AgeFriendly.pdf>

assistance and healthcare management, allow seniors to remain in their own homes. In addition to the \$900,000 from the Council, the Administration provides \$5.5 million for 28 NORCs in the Bronx, Brooklyn, Manhattan and Queens, collectively serving approximately 45,000 seniors. The NORC program model has gained national recognition, putting New York City in the forefront of adapting programs to meet the changing needs of the elderly population.

- **Information and Referral Contracts.** This \$1 million allocation restored funding for information and referral contracts, originally slated for elimination in the Fiscal 2009 PEG program. This funding supports eight programs which perform various case management functions including providing information and referral to available City services and benefits to seniors.

## Case Management

Case management agencies provide assessment and link homebound seniors with services, including home delivered meals, homecare, information and referral, and other supportive programs. This also includes monitoring and coordinating programs, providing supportive counseling, as well as, assistance with light housekeeping, shopping and laundry.

DFTA contracts with 23 case management agencies that perform comprehensive in-home assessments of homebound seniors in order to link them with supportive services, including home delivered meals and home care, as well as a variety of other benefits for which they may be eligible. Seniors access case management services through referrals from senior centers, home delivered meal providers, hospitals and other community-based social service and health care agencies. The proposed budget for case management services for Fiscal 2013 is \$3 million less than the Fiscal 2012 Adopted Budget. This can be attributed to the Fiscal 2012 PEG program.

<i>Dollars in Thousands</i>	<b>2011</b>	<b>2012</b>	<b>2012</b>	<b>2013</b>	<b>*Difference</b>
	<b>Actual</b>	<b>Adopted</b>	<b>Feb. Plan</b>	<b>Feb. Plan</b>	<b>2012 - 2013</b>
<b>Spending</b>					
<b>Other Than Personal Services</b>					
Contractual Services	\$21,140	\$17,967	\$17,963	\$14,926	(\$3,041)
<b>Subtotal, OTPS</b>	<b>\$21,140</b>	<b>\$17,967</b>	<b>\$17,963</b>	<b>\$14,926</b>	<b>(\$3,041)</b>
<b>TOTAL</b>	<b>\$21,140</b>	<b>\$17,967</b>	<b>\$17,963</b>	<b>\$14,926</b>	<b>(\$3,041)</b>
<b>Funding</b>					
City Funds	N/A	\$7,911	\$7,911	\$4,870	(\$3,041)
State	N/A	10,056	10,052	10,056	0
<b>TOTAL</b>	<b>\$21,140</b>	<b>\$17,967</b>	<b>\$17,963</b>	<b>\$14,926</b>	<b>(\$3,041)</b>

*\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.*

## Preliminary Mayor’s Management Report Highlights

### 311 Customer Service Center Inquiries

	Total Jul- Oct FY 11	% of Inquiries Total Jul- Oct FY 11	Rank in # of Calls Total Jul- Oct FY 11	Total Jul- Oct FY 12	% of Inquiries Total Jul- Oct FY 12	Rank in # of Calls Total Jul- Oct FY 12
<b>Top DFTA Related Inquiries</b>						
Housing Options for low-income Seniors	3,323	10%	1	2,761	10%	1
Case Assistance	3,081	9%	2	2,508	9%	2
Lawyer referrals	2,381	7%	3	2,235	8%	3
HEAP Application assistance	1,568	5%	4	1,895	7%	4
Assistance with finding a senior center	1,772	5%	5	1,518	6%	5

As indicated in the table above, case management related inquiries to 311 has fallen slightly when comparing Fiscal 2011 to Fiscal 2012. It is possible that this can be attributed to the loss in funding for case management, causing waiting lists for services.

### Council Initiatives

<b>Case Management</b> <i>(Dollars in thousands)</i>	
Case Management Restoration	\$3,000
<b>Total</b>	<b>\$3,000</b>

- Case Management PEG Restoration.** This \$3 million allocation partially restored funding for DFTA’s case management programs. The Fiscal 2012 Executive Budget included a \$6.6 million PEG to case management. The cut would have reduced contracted provider budgets by 30 percent. The Council restoration of nearly half of this cut allowed case management providers to maintain continuity of service. Case management agencies provide the City’s most vulnerable, homebound seniors with, at times, the *only* link to services and benefits, as well as monitoring and support from the “outside world.”

## Homecare

The Homecare Program provides assistance to low-income frail seniors with daily chores and personal care to allow them to safely remain in their homes. This may include housekeeping and personal care, meal preparation, companionship, supervision of medications and doctor’s visits, referrals to legal and financial experts, as well as other necessary services.

The agency provides home care services to approximately 4,500 elderly residents throughout the City. These services are for low-income frail elderly, who are above the Medicaid eligibility threshold, allowing them to safely remain in their homes by providing assistance with daily chores and personal care. DFTA also provides emergency homecare to enable elderly persons to be discharged from hospitals or other facilities before other home care arrangements, including Medicaid or Medicare services, have been put into place.

The proposed budget for home care services for Fiscal 2013 remains relatively unchanged when compared to the Fiscal 2012 Adopted Budget.

<i>Dollars in Thousands</i>	<b>2011</b>	<b>2012</b>	<b>2012</b>	<b>2013</b>	<b>*Difference</b>
	<b>Actual</b>	<b>Adopted</b>	<b>Feb. Plan</b>	<b>Feb. Plan</b>	<b>2012 - 2013</b>
<b>Spending</b>					
<b>Personal Services</b>					
Full-Time Salaried – Civilian	\$65	\$0	\$46	\$0	\$0
Additional Gross Pay	5	0	3	0	0
<b>Subtotal, PS</b>	<b>\$69</b>	<b>\$0</b>	<b>\$50</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Than Personal Services</b>					
Contractual Services	\$16,477	\$16,508	\$15,856	\$16,466	(\$43)
<b>Subtotal, OTPS</b>	<b>\$16,477</b>	<b>\$16,508</b>	<b>\$15,856</b>	<b>\$16,466</b>	<b>(\$43)</b>
<b>TOTAL</b>	<b>\$16,546</b>	<b>\$16,508</b>	<b>\$15,905</b>	<b>\$16,466</b>	<b>(\$43)</b>
<b>Funding</b>					
City Funds	N/A	\$4,900	\$4,900	\$4,857	(\$43)
Intra City	N/A	300	300	300	0
State	N/A	11,308	10,705	11,308	0
<b>TOTAL</b>	<b>\$16,546</b>	<b>\$16,508</b>	<b>\$15,905</b>	<b>\$16,466</b>	<b>(\$43)</b>

*\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.*

## Preliminary Mayor's Management Report Highlights

	FY 09	FY 10	FY 11	Target FY 12	Target FY 13	4 Month Actual FY 11	4 Month Actual FY 12
Total recipients of home care services	6,064	5,206	3,012	*	*	N/A	N/A
Hours of home care services	1,534,705	1,622,966	1,033,407	1,039,003	1,039,003	395,313	275,490

As indicated in the table above, both the number of recipients of home care services and the hours of service provided, fell sharply when comparing Fiscal 2010 to Fiscal 2011. This decrease can be attributed to the agency's transfer of Medicaid recipients, or those eligible for Medicaid, to the homecare program at the Human Resources Administration (HRA).

According to DFTA, the agency had been matching State funds in excess of approximately \$10 million annually. To achieve savings, DFTA cut this \$10 million in Fiscal 2011. As a result, the agency reorganized home care services to assess Medicaid eligibility, as DFTA's program is reserved for non-Medicaid eligible seniors. Those served by DFTA who were Medicaid eligible were transferred to the HRA Home Care Program. According to DFTA, approximately 20 percent of their clientele was enrolled in the Medicaid program or likely to be Medicaid eligible. Collaboration between HRA and DFTA found that about 700 DFTA home care clients (16 percent) currently receive Medicaid and approximately 300 others (7 percent) receive food stamps and therefore are likely to be Medicaid eligible.

Additionally, according to the Mayor's Office of Management and Budget (OMB), DFTA had over 100 seniors on Medicaid on its home care waiting list. Under the revised program, these individuals were moved to HRA's home care program after receiving the required medical assessment, rather than remain on DFTA's waiting list. These individuals comprised 17 percent of the wait list. HRA has been working to ensure that any seniors referred are aware of all the community-based, long-term care options for which they might qualify, including the Long Term Home Health Care Program and the Managed Long Term Care program. Lastly, unlike DFTA which has a 20-hour cap on home care service hours, there is no cap on the service hours provided through HRA. Hours provided to each senior are based on individual need. As anticipated, hours of DFTA home care services provided decreased by 29 percent during the first four months of Fiscal 2011 as a result of this cut.



## Senior Employment and Benefits

This program area mainly funds employment programs that subsidize jobs for seniors. It also supports benefit programs which assist seniors with home energy costs through the Home Energy Assistance Program (HEAP), and the Weatherization Referral and Packaging Program (WRAP). Additionally, it provides funding for the Health Insurance Counseling and Advocacy Program (HICAP) which assist seniors with obtaining health insurance that is best suited to their individual needs. The proposed budget for senior employment and benefits for Fiscal 2013 reflects a slight decrease of \$576,000, when compared to the Fiscal 2012 Adopted Budget. This can be largely attributed to the loss of federal Title V funding for senior community service employment.

<i>Dollars in Thousands</i>	2011 Actual	2012 Adopted	2012 Feb. Plan	2013 Feb. Plan	*Difference 2012 - 2013
<b>Spending</b>					
<b>Personal Services</b>					
Full-Time Salaried – Civilian	\$1,433	\$1,379	\$1,412	\$1,136	(\$243)
Additional Gross Pay	72	70	75	72	1
Overtime - Civilian	0	0	2	0	0
Other Salaried and Unsalariated	6,582	4,471	4,073	4,337	(133)
Fringe Benefits	0	367	0	200	(168)
<b>Subtotal, PS</b>	<b>\$8,087</b>	<b>\$6,287</b>	<b>\$5,562</b>	<b>\$5,744</b>	<b>(\$542)</b>
<b>Other Than Personal Services</b>					
Contractual Services	\$4,957	\$2,410	\$4,840	\$2,464	\$54
Fixed and Misc Charges	1	1	1	1	0
Other Services and Charges	429	445	506	354	(91)
Property and Equipment	9	5	8	5	0
Supplies and Materials	103	125	165	128	3
<b>Subtotal, OTPS</b>	<b>\$5,499</b>	<b>\$2,985</b>	<b>\$5,520</b>	<b>\$2,951</b>	<b>(\$33)</b>
<b>TOTAL</b>	<b>\$13,586</b>	<b>\$9,272</b>	<b>\$11,082</b>	<b>\$8,696</b>	<b>(\$576)</b>
<b>Funding</b>					
City Funds	N/A	\$669	\$1,252	\$431	(\$238)
Federal - Other	N/A	8,549	9,139	8,211	(338)
Intra City	N/A	20	674	20	0
State	N/A	34	17	34	0
<b>TOTAL</b>	<b>\$13,586</b>	<b>\$9,272</b>	<b>\$11,082</b>	<b>\$8,696</b>	<b>(\$576)</b>
<b>Positions</b>					
Full-Time Positions - Civilian	26	22	23	22	0
<b>TOTAL</b>	<b>26</b>	<b>22</b>	<b>23</b>	<b>22</b>	<b>0</b>

\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.

### Preliminary Mayor’s Management Report Highlights

	FY 09	FY 10	FY 11	Target FY 12	Target FY 13	4 Month Actual FY 11	4 Month Actual FY 12
Seniors trained for unsubsidized employment (Title V)	308	542	706	*	*	145	141
Senior trainees placed in unsubsidized employment (Title V)	161	123	162	*	*	46	41

As indicated in the table above, both the number of seniors trained and placed for subsidized employment rose when comparing Fiscal 2010 to Fiscal 2011. This can be attributed to the addition of federal American Recovery and Reinvestment Act (ARRA) funding. DFTA received a total of \$1.3 million in ARRA funding to support job training for low-income seniors. This funding provided an additional 112 Title V slots. Title V workers receive training at work sites in clerical work, customer service, human services, healthcare and retail, with the goal of being placed in employment upon completion of their training.

### Administration and Contract Agency Support

This program area includes funding for personnel, supplies, and support contracts to provide for administration and program oversight. Certain contract agency expenses, including insurance, facility leases, and utilities, are paid directly by the City on behalf of providers. The proposed budget for administration and central agency support for Fiscal 2013 is approximately \$1 million more than the Fiscal 2012 Adopted Budget, due to rising administrative costs.

<i>Dollars in Thousands</i>	<b>2011</b>	<b>2012</b>	<b>2012</b>	<b>2013</b>	<b>*Difference</b>
	<b>Actual</b>	<b>Adopted</b>	<b>Feb. Plan</b>	<b>Feb. Plan</b>	<b>2012 - 2013</b>
<b>Spending</b>					
<b>Personal Services</b>					
Full-Time Salaried – Civilian	\$17,699	\$18,888	\$18,139	\$18,555	(\$332)
Additional Gross Pay	457	105	374	101	(4)
Overtime - Civilian	14	3	48	3	0
Other Salaried and Unsalariated	840	994	642	994	0
Fringe Benefits	0	42	0	42	0
<b>Subtotal, PS</b>	<b>\$19,011</b>	<b>\$20,032</b>	<b>\$19,203</b>	<b>\$19,695</b>	<b>(\$337)</b>
<b>Other Than Personal Services</b>					
Contractual Services	\$1,278	\$3,087	\$2,417	\$2,675	(\$412)
Fixed and Misc Charges	25,977	14,831	15,122	14,831	0
Other Services and Charges	10,591	9,479	9,916	11,210	1,731
Supplies and Materials	228	534	492	534	0
<b>Subtotal, OTPS</b>	<b>\$38,074</b>	<b>\$27,932</b>	<b>\$27,947</b>	<b>\$29,250</b>	<b>\$1,319</b>
<b>TOTAL</b>	<b>\$57,085</b>	<b>\$47,964</b>	<b>\$47,150</b>	<b>\$48,945</b>	<b>\$982</b>
<b>Funding</b>					
City Funds	N/A	\$26,893	\$26,266	\$28,649	\$1,757
Federal - Other	N/A	16,975	15,833	16,563	(412)
Federal - Community Development	N/A	136	136	136	0
Intra City	N/A	172	293	172	0
State	N/A	4,121	4,785	3,758	(363)
<b>TOTAL</b>	<b>\$57,085</b>	<b>\$48,297</b>	<b>\$47,313</b>	<b>\$49,279</b>	<b>\$982</b>
<b>Positions</b>					
Full-Time Positions - Civilian	269	274	274	274	0
<b>TOTAL</b>	<b>269</b>	<b>274</b>	<b>274</b>	<b>274</b>	<b>0</b>

*\*The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan Funding.*

# Capital Program

## Capital Budget Summary

The February 2012 Capital Commitment Plan includes \$41.6 million in Fiscal 2012-2015 for the Department for the Aging (including City and Non-City funds). This represents less than one percent of the City’s total \$35.07 billion Preliminary Plan for Fiscal 2012-2015. The agency’s Preliminary Commitment Plan for Fiscal 2012-2015 is one percent less than the \$42.2 million scheduled in the September Commitment Plan, a decrease of approximately \$600,000.

The majority of capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal Year 2011, the Department for the Aging committed approximately \$1.1 million or 3 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency’s Fiscal 2012 Capital Plan will be rolled into Fiscal 2013, thus increasing the size of the Fiscal 2013-2016 Capital Plan. Since adoption last June, the total Capital Commitment Plan for Fiscal 2013 has increased from \$7.35 billion to \$8.69 billion, an increase of \$1.33 billion or 24.9 percent.

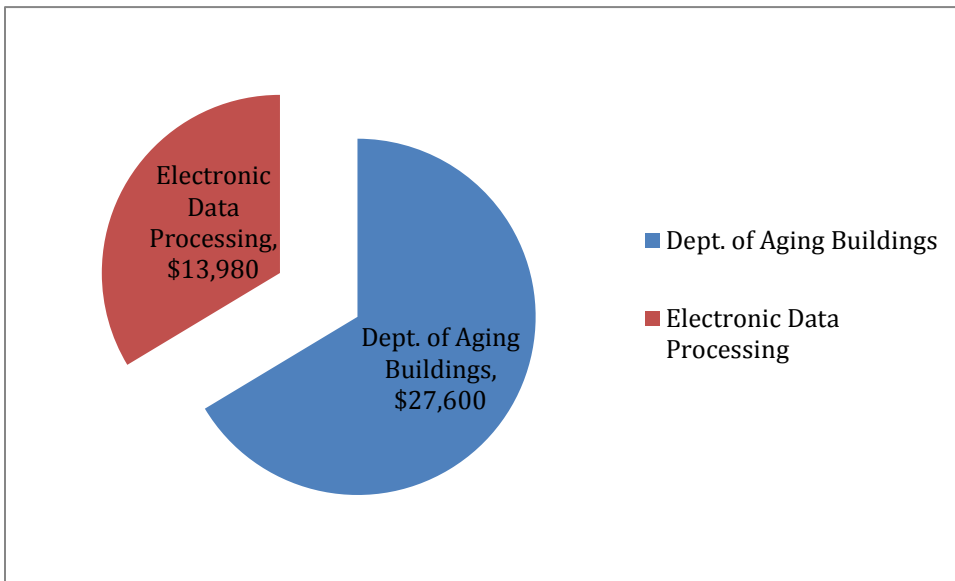
## 2012-2015 Commitment Plan: Adopted and Preliminary Budget Chart

*Dollars in Thousands*

	FY12	FY13	FY14	FY15	Total
<b>Adopted</b>					
Total Capital Plan	\$33,092	\$5,421	\$1,943	\$1,703	<b>\$42,159</b>
<b>Prelim</b>					
Total Capital Plan	\$33,092	\$4,842	\$1,943	\$1,703	<b>\$41,580</b>
<b>Change</b>					
Level	\$0	(\$579)	\$0	\$0	<b>(\$579)</b>
Percentage	0.00%	-10.68%	0.00%	0.00%	<b>-1.37%</b>

**Department for the Aging Preliminary Capital Commitment Plan by Ten Year Plan Category**

*(All Funds in 000's)*



**Capital Program Goals**

- ✓ Rehabilitation of existing facilities that provide services for senior citizens.
- ✓ Targeted improvements to senior centers throughout the City.
- ✓ Information technology upgrades, including the purchase of a client tracking software to better track programs and clients.

**Preliminary Budget Highlights**

The Capital Variance Report indicates minimal variance between the September Commitment Plan and the February Commitment Plan, with the exception of one project. The DFTA capital budget includes general funding for the rehabilitation and upkeep of senior centers. This funding may be used for large repairs and broad scale maintenance of centers on an ongoing basis. As a result of the 20 percent cut to the Fiscal 2012 overall DFTA capital budget, funding for the rehabilitation for various senior centers has been pushed out to Fiscal 2014 and the outyears.

## Appendix A: Budget Actions in the November and February Plans

<i>Dollars in Thousands</i>	FY 2012			FY 2013		
	City	Non-City	Total	City	Non-City	Total
<b>Agency Budget as of June 2011 Plan</b>	<b>\$139,608</b>	<b>\$119,093</b>	<b>\$258,701</b>	<b>\$116,048</b>	<b>\$117,710</b>	<b>\$233,758</b>
<b>Other Adjustments</b>						
November Plan Technical Adjustments	\$316	\$269	\$585	(\$14)	(\$437)	(\$451)
February Plan Technical Adjustments	1,630	641	2,271	(8)	0	(8)
<b>TOTAL, Other Adjustments</b>	<b>\$1,946</b>	<b>\$910</b>	<b>\$2,856</b>	<b>(\$22)</b>	<b>(\$437)</b>	<b>(\$459)</b>
<b>TOTAL, All Changes</b>	<b>\$1,946</b>	<b>\$910</b>	<b>\$2,856</b>	<b>(\$22)</b>	<b>(\$437)</b>	<b>(\$459)</b>
<b>Agency Budget as of February 2012 Plan</b>	<b>\$141,554</b>	<b>\$120,003</b>	<b>\$261,557</b>	<b>\$116,026</b>	<b>\$117,273</b>	<b>\$233,299</b>

## Appendix B: Contract Budget

Category	Number	Budgeted	Pct of DFTA Total	Pct of City Total
Contractual Services General	8	\$175,000	0.10%	0.00%
Telecommunications Maintenance	4	15,700	0.01%	0.00%
Maintenance & Repair of Motor Vehicle Equipment	1	4,000	0.00%	0.00%
Maintenance & Repair, General	4	192,222	0.11%	0.00%
Office Equipment Maintenance	3	14,840	0.01%	0.00%
Data Processing Equipment	3	60,000	0.03%	0.00%
Printing Contracts	6	126,200	0.07%	0.00%
Temporary Services	3	425,288	0.24%	0.00%
Training Programs for City Employees	1	4,000	0.00%	0.00%
Maintenance & Operation of Infrastructure	1	300,000	0.17%	0.00%
Payments to Delegate Agencies	1,334	172,127,250	98.13%	1.65%
Professional Services: Accounting & Auditing	17	100,000	0.07%	0.00%
Professional Services: Legal Services	1	121,611	0.07%	0.00%
Professional Services: Computer Services	3	105,000	0.06%	0.00%
Professional Services: Other	6	1,633,391	0.93%	0.02%
<b>Fiscal 2013 Preliminary Budget</b>	<b>1,395</b>	<b>\$175,404,502</b>	<b>100%</b>	<b>1.68%</b>

### Appendix C: Reconciliation of Program Areas to Units of Appropriation

<i>Dollars in Thousands</i>	Personal Services		Other Than Personal Services		Grand Total
	001	002	003	004	
Administration & Contract Agency Support	\$8,291,001	\$11,404,177	\$28,743,051	\$840,579	\$49,278,808
Case Management			14,925,575		14,925,575
Homecare		113	16,465,518		16,465,631
Senior Centers and Meals			125,352,851		125,352,851
Senior Employment & Benefits		5,744,417	2,282,766	668,697	8,695,880
Senior Services			18,680,171		18,680,171
<b>Grand Total</b>	<b>\$8,291,001</b>	<b>\$17,148,707</b>	<b>\$206,449,932</b>	<b>\$1,509,276</b>	<b>\$233,398,916</b>