



**New York City Council**

Christine C. Quinn, Speaker

**Finance Division**

Preston Niblack, Director

Jeffrey Rodus, First Deputy Director

**Hearing on the Mayor's Fiscal Year 2012 Preliminary Budget &  
the Fiscal Year 2011 Preliminary Mayor's Management Report**

**Landmarks Preservation Commission**

March 16, 2011

**Committee on Land Use**

Hon. Leroy Comrie, Chair

Latonia McKinney, Deputy Director, Finance Division  
Anthony Brito, Senior Legislative Financial Analyst

# Landmarks Preservation Commission

The Landmarks Preservation Commission (LPC) protects the City’s architectural, historic, and cultural resources. The Commission identifies, designates, and regulates buildings, districts, sites, and interiors, surveys potential landmarks and historic districts, evaluates proposals for landmark designations, and regulates alterations to designated sites and structures.

**Key Public Services Areas**

- Preserve the City’s architectural, historic, cultural and archeological assets.

**Critical Objectives**

- Identify and designate eligible individual landmarks, interior landmarks, scenic landmarks, and historic districts.
- Increase efficiency and compliance with landmark regulations.
- Review potential impacts to archeological resources.

*SOURCE: Mayor’s Management Report*

## Landmarks Preservation Commission

*Dollars in Thousands*

	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	*Difference 2011–2012
<b>Budget by Unit of Appropriation</b>						
Personal Services	\$3,878	\$4,115	\$4,489	\$4,178	\$4,131	(\$359)
Other Than Personal Services	721	493	1,094	1,227	669	(425)
<b>TOTAL</b>	<b>\$4,599</b>	<b>\$4,608</b>	<b>\$5,583</b>	<b>\$5,405</b>	<b>\$4,799</b>	<b>(\$784)</b>
<b>Funding</b>						
City Funds	N/A	N/A	\$4,963	\$4,652	\$4,179	(\$784)
Federal - Community Development	N/A	N/A	619	753	619	0
<b>TOTAL</b>	<b>\$4,599</b>	<b>\$4,608</b>	<b>\$5,583</b>	<b>\$5,405</b>	<b>\$4,799</b>	<b>(\$784)</b>
<b>Positions</b>						
Full-Time Positions	54	58	64	64	61	(3)

*\* Difference refers to the variance between the Fiscal 2011 Adopted Budget and the Fiscal 2012 Preliminary Plan.*

## Expense Budget Summary

The LPC's Fiscal 2012 Preliminary Budget is approximately \$4.7 million, \$784,000 less than the agency's Fiscal 2011 Adopted Budget of approximately \$5.5 million. The agency's headcount will decrease from 64 positions in Fiscal 2011 to 61 positions in Fiscal 2012 due to the following actions taken by the agency since the Fiscal 2011 Adopted Budget:

- **Landmark Permit Fee Increase.** LPC will collect additional revenue for the City's general fund as a result of a fee increase for landmark permits. Permits are required for landmark properties that are undergoing certain alterations to the property. The Commission and the Department of Buildings then reviews alteration proposals and determines if there is any significant effect on the features of a building or historic district. In previous fiscal years, the projected revenue from these fees has been approximately \$2 million. With the fee increase, the projected revenue will now be \$2.5 million starting in Fiscal 2012 a 22 percent increase. In particular, the current permit fee is \$50 for all work that is valued at \$25,000, which makes up 40 percent of the total revenue. The remaining 60 percent consist of a \$4 fee for every \$1,000 above the \$25,000 value of work done for a permit fee. For example, \$26,000 of work value would therefore require a \$54 permit fee. The Commission will now increase the base fee to \$95 for work valued at \$25,000 and a \$5 increase for every \$1,000 spent above the \$25,000 limit.
- **Personal Services Reduction.** The LPC will eliminate three positions through attrition from the Buildings Operations Unit for a savings of \$191,422 in Fiscal 2012 with that savings increasing to a \$214,978 by Fiscal 2013. This action will reduce the agency's headcount from 64 in Fiscal 2011 to 61 in Fiscal 2012.
- **Surplus Savings from the Personal Services Budget.** In the Fiscal 2011 November Plan the agency identified \$311,000 in savings in its personal services (PS) budget. This is due to an unusual amount of LPC employees who were on maternity leave in Fiscal 2011.

**Personal Services (PS) & Other than Personal Services (OTPS)**

<i>Dollars in Thousands</i>	<b>2009 Actual</b>	<b>2010 Actual</b>	<b>2011 Adopted</b>	<b>2011 Feb Plan</b>	<b>2012 Feb Plan</b>	<b>*Difference 2011-2012</b>
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$3,300	\$3,702	\$3,944	\$3,633	\$3,585	(\$359)
Other Salaried and Unsalariated	464	320	389	389	389	
Additional Gross Pay	113	89	126	126	126	
Overtime – Civilian	0	4	7	7	7	
Amounts to be scheduled	-	-	22	22	22	
P.S Other	0	41	-	-	-	
<b>Subtotal</b>	<b>\$3,878</b>	<b>\$4,156</b>	<b>\$4,489</b>	<b>\$4,178</b>	<b>\$4,131</b>	<b>(\$359)</b>
<b>Other than Personal Services</b>						
Supplies and Materials	\$57	\$70	\$49	\$63	\$49	\$0
Property and Equipment	18	18	19	27	19	
Other Services and Charges	258	229	740	644	315	(425)
Contractual Services	387	174	285	491	285	
Fixed and Miscellaneous Charges	2	2	-	2	-	
<b>Subtotal</b>	<b>\$721</b>	<b>\$493</b>	<b>\$1,094</b>	<b>\$1,227</b>	<b>\$669</b>	<b>(\$425)</b>
<b>TOTAL</b>	<b>\$4,599</b>	<b>\$4,649</b>	<b>\$5,583</b>	<b>\$5,405</b>	<b>\$4,799</b>	<b>(\$784)</b>
<b>Funding</b>						
City Funds	N/A	N/A	\$4,963	\$4,652	\$4,179	(\$784)
Federal - Community Development	N/A	N/A	620	753	620	
<b>TOTAL</b>	<b>N/A</b>	<b>N/A</b>	<b>\$5,583</b>	<b>\$5,405</b>	<b>\$4,799</b>	<b>(\$784)</b>
Full-Time Positions	54	58	64	64	61	(3)

\* Difference refers to the variance between the Fiscal 2011 Adopted Budget and the Fiscal 2012 Preliminary Plan.

## Fiscal 2012 Preliminary Contracts Budget

The New York City Charter mandates the preparation of a Contract Budget to identify expenditures for contractual services, which are defined as any technical, consultant or personal service provided to the City by means of a contract. The Contract Budget is actually a subset of the Other Than Personal Services (OTPS) portion of the City's Expense Budget. The Administration prepares a Contract Budget twice each fiscal year. In January, it is prepared with the Departmental Estimates, and in late April it is submitted to the Council with the Executive Budget.

Category	Number	Budgeted	Pct. of DCP Total
Contractual Services General	1	\$13,403	5%
Telecommunications Maintenance	1	500	0%
Maintenance & Repair Motor Vehicle Equipment	1	1,000	0%
Maintenance & Repair General	35	176,790	62%
Office Equipment Maintenance	2	4,500	2%
Data Processing Equipment	1	10,605	4%
Printing Contracts	1	4,500	2%
Temporary Services	1	3,500	1%
Training Programs for City Employees	1	1,000	0%
Professional Services: Other	1	69,484	24%
<b>Preliminary Budget</b>	<b>45</b>	<b>\$285,282</b>	<b>100%</b>

The Fiscal 2012 Preliminary Contracts Budget consists of 45 contracts that have a total value of \$285,282. Most of this funding, or 62 percent, is under the category of maintenance and general repair expenditures which has 35 contracts valued at \$176,790.

**Preliminary Mayor’s Management Report (PMMR) Highlights**

**Performance Measures**

	FY 08	FY 09	FY 10	FY 10	Target
				4-Month Actual	FY 11
Individual landmarks and historic districts designated	30	40	40	13	12
Total number of buildings designated	438	998	598	130	224
Percent of investigations resulting in enforcement action	61%	58%	64%	52%	69%
Notices of Violation upheld at the Environmental Control Board (%)	98%	98%	98%	99%	98%
Archeology applications received	392	328	298	89	102
Archeology applications reviewed within 10 days	89%	96%	96%	94%	98%

- During the 4-month reporting period of Fiscal 2011, LPC designated one historic district and 11 individual landmarks, totaling 120 buildings. The Commission approved the designation of seven buildings in Queens and Staten Island, including the designation of the Ridgewood South Historic District in Queens.
- The number of permit applications increased to 3,499 during the reporting period, which is the second highest number of applications in the agency’s history. Due to this increase, as well as a change in the agency’s system for calculating when an application may be considered complete, the percentage of permits for minor work issued within 10 days decreased by seven percent compared to the same period last year.

## Appendix A: Budget Actions in the November and February Plans

<i>Dollars in Thousands</i>	FY 2011			FY 2012		
	City	Non-City	Total	City	Non-City	Total
<b>Agency Budget as of June 2010 Plan</b>	<b>\$4,963</b>	<b>\$620</b>	<b>\$5,583</b>	<b>\$4,007</b>	<b>\$620</b>	<b>\$4,627</b>
<b>Program to Eliminate the Gap (PEGs)</b>						
Personal Services Reduction				\$191		\$191
FY11 Personal Services Surplus	(311)		(311)			
<b>Total, PEGs</b>	<b>(\$311)</b>		<b>(\$311)</b>	<b>\$191</b>		<b>\$191</b>
<b>Other Adjustments</b>						
Personal Services Reduction-Fringe				(\$18)		(\$18)
Roll CD funds to FY11		\$133	\$133			
<b>Total, Other Adjustments</b>		<b>\$133</b>	<b>\$133</b>	<b>\$(18)</b>		<b>\$(18)</b>
<b>Agency Budget as of February 2011 Plan</b>	<b>\$4,652</b>	<b>\$753</b>	<b>\$5,405</b>	<b>\$4,180</b>	<b>\$620</b>	<b>\$4,800</b>