



New York City Council

Christine C. Quinn, Speaker

Finance Division

Preston Niblack, Director

Jeffrey Rodus, First Deputy Director

Hearing on the Mayor's Fiscal Year 2012 Executive Budget

Business Integrity Commission

May 25, 2011

The Committee on Finance

Hon. Domenic M. Recchia, Jr., Chair

The Committee on Consumer Affairs

Hon. Daniel Garodnick, Chair

Nathan Toth, Deputy Director

Overview

The Business Integrity Commission (BIC) regulates and licenses the trade waste/commercial carting industry and the wholesalers and businesses operating in the City's public wholesale markets, and is responsible for processing applications and renewals. Through background investigations of license and registration applications, the establishment of standards for services and conduct of business, and criminal investigations, BIC carries out its mandate to make certain that these industries and businesses remain free from the influence of organized crime. BIC is responsible for creating an honest field for competition in the regulated industries so that customers do not face threats, violence, rackets, or anticompetitive practices. The Commission also has the authority to regulate the shipboard gambling industry.

Units of Appropriation

Personal Services (001)

<i>Dollars in Thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Executive	2012 Executive	*Difference 2011 – 2012
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$3,998	\$4,673	\$4,925	\$4,874	\$4,777	(\$147)
Full-Time Salaried - Uniformed	0	1	0	0	0	0
Other Salaried and Unsalaries	14	5	72	72	49	(23)
Additional Gross Pay	214	175	161	161	181	20
Overtime - Civilian	78	45	20	52	20	0
PS Other	(3)	(4)	0	0	0	0
TOTAL	\$4,301	\$4,896	\$5,177	\$5,158	\$5,027	(\$150)
Funding						
City Funds	\$4,301	\$4,896	\$5,177	\$5,158	\$5,027	(\$150)
TOTAL	\$4,301	\$4,896	\$5,177	\$5,158	\$5,027	(\$150)

*The difference of Fiscal 2011 Adopted compared to Fiscal 2012 Executive Plan funding.

Other than Personal Services (002)

<i>Dollars in Thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Executive	2012 Executive	*Difference 2011 – 2012
Spending						
Other than Personal Services						
Supplies and Materials	\$145	\$217	\$189	\$172	\$206	\$17
Property and Equipment	278	111	133	108	55	(78)
Other Services and Charges	1,417	1,595	1,665	1,783	1,778	114
Contractual Services	131	109	123	120	123	0
TOTAL	\$1,971	\$2,033	\$2,110	\$2,183	\$2,163	\$53
Funding						
City Funds	\$6,272	\$6,929	\$7,287	\$7,341	\$7,190	(\$97)
TOTAL	\$6,272	\$6,929	\$7,287	\$7,341	\$7,190	(\$97)

*The difference of Fiscal 2011 Adopted compared to Fiscal 2012 Executive Plan funding.

The Fiscal 2012 Executive Budget reflects a net \$97,000 decrease when compared to the Fiscal 2011 Adopted Budget for BIC. This decrease can be attributed to a permanent loss of one full-time employee and the temporary transfer of one employee to the Department of Sanitation to help manage BIC related issues with Marine Transfer Stations.

Fiscal 2012 Executive Budget Actions

- ☑ The Fiscal 2012 Executive Budget reflects an \$115,500 decrease when compared to the Fiscal 2011 Adopted Budget for BIC, which is entirely composed of a technical adjustment that accommodates the temporary transfer of one employee to the Department of Sanitation.

Budget Actions in the Executive Plan

<i>Dollars in Thousands</i>	FY 2011			FY 2012		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of the Executive 2011 Plan	\$7,360	\$0	\$7,360	\$7,305	\$0	\$7,305
Programs to Eliminate the Gap (PEGs)						
Trade Waste License and Registrant Enforcement Initiative	\$0	\$0	\$0	(\$338)	\$0	(\$338)
Total PEGs	\$0	\$0	\$0	(\$338)	\$0	(\$338)
Less PEG Program Reflected in Revenue Budget						
Trade Waste License and Registrant Enforcement Initiative	\$0	\$0	\$0	\$338	\$0	\$338
Total, PEGs	\$0	\$0	\$0	\$0	\$0	\$0
Other Adjustments						
Technical adjustment	\$19	\$0	\$19	(\$115)	0	(\$115)
Total, Other Adjustments	\$19	\$0	\$19	(\$115)	\$0	(\$115)
Total, All Changes						
Agency Budget as of the Executive 2012 Plan	\$7,341	\$0	\$7,341	\$7,190	\$0	\$7,190

Appendix A: Budget Actions in the November and February Plans

<i>Dollars in thousands</i>	FY 2011			FY 2012		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of June 2010 Plan	\$7,287	\$0	\$7,287	\$7,232	\$0	\$7,232
Program to Eliminate the Gap (PEGs)						
Private Carter License and Registration Fees	(\$328)	\$0	(\$328)	(\$283)	\$0	(\$283)
Increased Market Registration and Photo ID Fees	(125)	0	(125)	(391)	0	(391)
Total PEGs	(\$453)	\$0	(\$453)	(\$674)	\$0	(\$674)
Less PEG Program Reflected in Revenue Budget						
Private Carter License and Registration Fees	\$328	\$0	\$328	\$283	\$0	\$283
Increased Market Registration and Photo ID Fees	125	0	125	391	0	391
Total Less PEG Program Reflected in Revenue Budget	\$453	\$0	\$453	\$674	\$0	\$674
New Needs						
Telecommunications Budget Increase	\$73	\$0	\$73	\$73	\$0	\$73
Total New Needs	\$73	\$0	\$73	\$73	\$0	\$73
Agency Budget as of February 2011 Plan	\$7,360	\$0	\$7,360	\$7,305	\$0	\$7,305