



Fiscal 2009 Preliminary Budget Hearings

❖ Committee on Land Use

March 2008

Scheduled To Testify:

- *Landmarks Preservation Commission*
- *Department of City Planning*
- *Department of Information Technology & Telecommunications (joint with the Committee on Technology in Government)*

*Hon. Christine C. Quinn
Speaker of the Council*

*Hon. David I. Weprin, Chair
Committee on Finance*

*Hon. Melinda R. Katz, Chair
Committee on Land Use*

*Hon. Gale A. Brewer, Chair
Committee on Technology &
Government*

*James Caras, Acting Director
Finance Division*

TABLE OF CONTENTS

Landmarks Preservation Commission (136)..... 2
Department of City Planning (030)..... 4
Department of Information, Technology and Telecommunications(858) 7

LANDMARKS PRESERVATION COMMISSION (136)

Agency Operations

The Landmarks Preservation Commission (LPC) protects the City’s architectural, historic, and cultural resources. The Commission identifies, designates, and regulates buildings, districts, sites, and interiors; surveys potential landmarks and historic districts; evaluates proposals for landmark designations; and regulates alterations to designated sites and structures.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2008 Adopted Budget	Fiscal 2008 Current Modified	Fiscal 2009 Preliminary Budget
City	\$4,035,283	\$4,035,283	\$3,746,000
Other Categorical	\$0	\$67,866	\$0
Capital IFA	\$0	\$0	\$0
State	\$0	\$39,869	\$0
Community Development	\$586,141	\$856,869	\$589,108
Federal-Other	\$0	\$0	\$0
Intra-City	\$0	\$0	\$0
Total	\$4,621,424	\$4,999,887	\$4,335,108

HEADCOUNT OVERVIEW

Headcount	Fiscal 2008 Adopted Budget	6/30/2008 Forecast	Fiscal 2009 Preliminary Budget
City	53	54	54
Non-City	4	4	4
Total	57	58	58

AGENCY HIGHLIGHTS

In Fiscal 2008, the LPC has received an additional \$270,728 in Community Development funds, \$39,869, in State funds, and \$67,866 in Other Categorical funds. The Community Development funding increase consists of Community Development block grants to be used for facade improvements, the State funding represents State Archives and Records Administration (SARA) grant funding.

UNITS OF APPROPRIATION

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency’s spending plans. The unit of appropriation (“U/A”) is the most basic level of detail within an agency’s operating budget. U/As are essentially the building blocks of the City’s Expense Budget. It is at this level that the Council adopts the City’s Expense

Fiscal 2009 Preliminary Budget Report

Budget. The City Charter requires that U/As represent the amount appropriated for Personal Services (i.e., salaries, overtime, etc.) or Other Than Personal Services (i.e., supplies, contracts, etc.) for a particular program, purpose, activity or institution. What follows is the U/A structure and Preliminary 2009 Financial Plan actions for the Landmarks Preservation Commission.

U/A#	U/A Name	Fiscal 2008 Adopted Budget	Fiscal 2008 Modified as of 1/24/2008	Fiscal 2009 Preliminary Budget
001	Personal Services	\$4,079,470	\$4,082,437	\$3,793,154
002	Other Than Personal Services	\$541,954	\$917,450	\$541,954
	Total	\$4,621,424	\$4,999,887	\$4,335,108

PRELIMINARY BUDGET ACTIONS (\$000)

Description	Fiscal 2008			Fiscal 2009		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per the October Plan	\$4,035	\$629	\$4,664	\$3,735	\$589	\$4,324
January Plan New Needs						
None			\$0			\$0
Total New Needs	\$0	\$0	\$0	\$0	\$0	\$0
January Plan Other Adjustments						
CD Rollover		\$268	\$268			\$0
CWA 1180 Collective Bargaining	\$11		\$11	\$11		\$11
Heat, Light, and Power	(\$8)		(\$8)			\$0
Roll Settlement Funds		\$68	\$68			\$0
Total Other Adjustments	\$3	\$336	\$339	\$11	\$0	\$11
Total January Plan Budget Changes	\$3	\$336	\$339	\$11	\$0	\$11
Agency Budget as per the January Plan	\$4,038	\$965	\$5,003	\$3,746	\$589	\$4,335

Preliminary Budget Action Analysis

Other Adjustments

- **Collective Bargaining Adjustment.** Beginning in Fiscal 2008, annual funds totaling \$10,717 are being transferred from the Labor Reserve in the Miscellaneous Budget to the budget of the Landmarks Preservation Commission to cover the costs associated with recent collective bargaining settlements.
- **Heat, Light, and Power.** The January Plan removes \$7,842 from the agency's budget in Fiscal 2008 to properly reflect the costs associated with heat, light, and power.

Department of City Planning (030)

Agency Operations

The Department of City Planning (DCP) conducts planning related to the growth, improvement and future development of the City. It is responsible for initiating changes in the zoning maps and resolutions, providing technical and professional assistance to community boards, and preparing an annual capital needs and priorities report.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2008 Adopted Budget	Fiscal 2008 Current Modified	Fiscal 2009 Preliminary Budget
City	\$16,374,883	\$16,374,883	\$10,063,265
Other Categorical	\$0	\$0	\$0
Capital IFA	\$0	\$0	\$0
State	\$0	\$627,908	\$0
Community Development	\$12,282,506	\$12,359,790	\$12,298,210
Federal-Other	\$1,042,474	\$3,708,860	\$1,042,474
Intra-City	\$0	\$0	\$0
Total	\$29,699,863	\$33,071,441	\$23,403,949

HEADCOUNT OVERVIEW

Headcount	Fiscal 2008 Adopted Budget	6/30/2008 Forecast	Fiscal 2009 Preliminary Budget
City	158	154	149
Non-City	136	161	136
Total	294	315	285

AGENCY HIGHLIGHTS

During Fiscal 2008, the Department has received additional Federal funding of \$2,743,670, which consists primarily of funds used to conduct transportation studies. DCP has received additional State funding in the amount of \$627,908, which consists entirely of grants for waterfront revitalization.

UNITS OF APPROPRIATION

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency's spending plans. The unit of appropriation ("U/A") is the most basic level of detail within an agency's operating budget. U/As are essentially the building blocks of the City's Expense Budget. It is at this level that the Council adopts the City's Expense Budget. The City Charter requires that U/As represent the amount appropriated for Personal

Fiscal 2009 Preliminary Budget Report

Services (i.e., salaries, overtime, etc.) or Other Than Personal Services (i.e., supplies, contracts, etc.) for a particular program, purpose, activity or institution. What follows is the U/A structure and Preliminary 2009 Financial Plan actions for the Department of City Planning.

U/A#	U/A Name	Fiscal 2008 Adopted Budget	Fiscal 2008 Modified as of 1/24/2008	Fiscal 2009 Preliminary Budget
001	Personal Services	\$19,442,822	\$21,177,565	\$18,914,256
003	Geographic Systems	\$2,091,590	\$2,091,590	\$2,095,742
	Total PS	\$21,534,412	\$23,269,155	\$21,009,998
002	OTPS	\$7,867,763	\$9,462,314	\$2,096,263
004	Geographic Systems	\$297,688	\$339,972	\$297,688
	Total OTPS	\$8,165,451	\$9,802,286	\$2,393,951
	Total Agency	\$29,699,863	\$33,071,441	\$23,403,949

PRELIMINARY BUDGET ACTIONS (\$000)

Description	Fiscal 2008			Fiscal 2009		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per the October Plan	\$16,375	\$13,962	\$30,337	\$10,404	\$13,325	\$23,729
January Plan PEG's						
Hiring Freeze and Vacancy Reduction Program	(\$240)	\$0	(\$240)	(\$360)		(\$360)
Total PEG's	(\$240)	\$0	(\$240)	(\$360)	\$0	(\$360)
January Plan Other Adjustments						
CWA 1180 Collective Bargaining	\$20	\$16	\$35	\$20	\$16	\$35
Heat, Light, and Power	(\$24)		(\$24)			\$0
State Grant Funding		\$522	\$522			\$0
Federal Grant Funding		\$2,213	\$2,213			\$0
Total Other Adjustments	(\$4)	\$2,750	\$2,747	\$20	\$16	\$35
Total January Plan Budget Changes	(\$244)	\$2,750	\$2,507	(\$340)	\$16	(\$325)
Agency Budget as per the January Plan	\$16,131	\$16,712	\$32,843	\$10,064	\$13,340	\$23,404

Preliminary Budget Action Analysis

Programs to Eliminate the Gap (PEG)

- **Hiring Freeze and Vacancy Reduction Program.** This initiative reflects savings from the partial elimination of vacancies and replacement of future attrition. This action would reduce the agency's budget by \$240,000 and four full-time positions in Fiscal 2008 and by \$360,000 and six full-time positions in Fiscal 2009 and the outyears.

Other Adjustments

- **Collective Bargaining Adjustment.** Beginning in Fiscal 2008, annual funds totaling \$19,637 are being transferred from the Labor Reserve in the Miscellaneous Budget to the budget of the Department of City Planning to cover the costs associated with recent collective bargaining settlements.
- **Heat, Light, and Power.** The January Plan removes \$23,501 from the agency's budget in Fiscal 2008 to properly reflect the costs associated with heat, light, and power.

Department of Information, Technology and Telecommunications(858)

Agency Operations

The Department of Information Technology and Telecommunications (DoITT) administers and supports the integration and consolidation of a single data communications network among City agencies. It provides improved access for inter-agency transmissions as well as data processing services to City agencies; plans and coordinates telecommunications policy for the City including administering all franchises and revocable consents relating to telecommunications; develops municipal uses for cable television; and provides related technical assistance to City agencies.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2008 Adopted Budget	Fiscal 2008 Current Modified	Fiscal 2009 Preliminary Budget
City	\$226,556,820	\$226,556,820	\$233,900,149
Other Categorical	\$1,356,252	\$3,184,743	\$1,356,252
Capital IFA	\$11,416,943	\$11,416,943	\$930,000
State	\$0	\$52,222	\$0
Community Development	\$1,450,693	\$1,450,693	\$1,483,356
Federal-Other	\$0	\$0	\$0
Intra-City	\$106,790,601	\$108,706,477	\$108,253,464
Total	\$347,571,309	\$351,367,898	\$345,923,221

HEADCOUNT OVERVIEW

Headcount	Fiscal 2008 Adopted Budget	6/30/2008 Forecast	Fiscal 2009 Preliminary Budget
City	1,138	1,085	1,049
Non-City	179	179	48
Total	1,317	1,264	1,097

AGENCY HIGHLIGHTS

DoITT's Expense Budget has not changed significantly since last year. Whereas the agency's Fiscal 2008 Adopted Budget was \$347.6 million, its Preliminary Budget for Fiscal 2009 is \$345.9 million, a decrease of half a percent. The agency's Current Modified Budget for Fiscal 2008 is approximately \$351.4 million, while its January Plan forecast is reduced to \$341.8 million as a result of an expected \$10-million accrual associated with maintenance costs for the City's emergency communications infrastructure.

Fiscal 2009 Preliminary Budget Report

UNITS OF APPROPRIATION

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency's spending plans. The City Charter requires that U/As represent the amount appropriated for Personal Services (i.e., salaries, overtime, etc.) or Other Than Personal Services (i.e., supplies, contracts, etc.) for a particular program, purpose, activity or institution.

U/A#	U/A Name	Fiscal 2008 Adopted Budget	Fiscal 2008 Modified as of 1/24/2008	Fiscal 2009 Preliminary Budget
001	Personal Services	\$86,142,769	\$86,242,769	\$72,015,818
002	Other Than Personal Services	\$261,428,540	\$265,125,129	\$273,907,403
	Total	\$347,571,309	\$351,367,898	\$345,923,221

PRELIMINARY BUDGET ACTIONS (\$000)

Description	Fiscal 2008			Fiscal 2009		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per October Plan	\$226,557	\$123,739	\$350,296	\$235,892	\$112,166	\$348,058
January Plan PEGs						
Hiring Freeze/Vacancy Red. Prog.	(\$538)	\$0	(\$538)	(\$4,117)		(\$4,117)
Total PEGs	(\$538)	\$0	(\$538)	(\$4,117)	\$0	(\$4,117)
January Plan New Needs						
Access NYC Leased Space Need	\$0	\$0	\$0	\$1,500	\$0	\$1,500
Customer Sat. Survey Cost Increase	\$180	\$0	\$180	\$0	\$0	\$0
Total New Needs	\$180	\$0	\$180	\$1,500	\$0	\$1,500
January Plan Other Adjustments						
Access NYC Funding Transfer	(\$669)		(\$669)			\$0
Collective Bargaining Increases	\$535	\$27	\$562	\$535	\$27	\$562
ECTP Funding Transfer	(\$10,500)		(\$10,500)			\$0
Heat, Light, and Power	\$70		\$70			\$0
PS Transfer from MOCS to DoITT	\$62		\$62	\$96		\$96
Technical Adjustment	(\$6)	\$6	\$0	(\$6)	\$6	\$0
FY 08 OTB		\$33	\$33			\$0
FY 08 NYCERS		\$11	\$11			\$0
FY 08 E211 Grant		\$1,313	\$1,313			\$0
FY 08 NYCHA		\$301	\$301			\$0
Intra-City Adjustments		\$712	\$712		(\$175)	(\$175)
Total Other Adjustments	(\$10,508)	\$2,403	(\$8,104)	\$625	(\$142)	\$483
Total Preliminary Budget Changes	(\$10,865)	\$2,403	(\$8,462)	(\$1,992)	(\$142)	(\$2,134)
Preliminary Budget	\$215,691	\$126,143	\$341,834	\$233,900	\$112,023	\$345,923

Preliminary Budget Action Analysis

Programs to Eliminate the Gap (PEG)

- **Hiring Freeze and Vacancy Reduction Program.** As per the January Plan, “This initiative reflects savings from the partial elimination of vacancies and replacement of future attrition.” This proposed action is forecast to reduce DoITT’s budget by \$537,821 in Fiscal 2008, approximately \$4.1 million in Fiscal 2009 and approximately \$5 million annually beginning in Fiscal 2010. An associated headcount decrease of 54 positions in Fiscal 2008 and 83 positions annually thereafter would also occur.

Revenue PEGs

- **Cable Television Franchise Revenue Increase.** As per the January Plan, “based on historical and current revenue collections, [DoITT] will receive additional revenue from cable television franchisees.”
- **Mobile Telecommunications Franchise Revenue Increase.** As per the January Plan, “[DoITT] will realize additional revenue from Mobile Telecom Franchises.”
- **Public Pay Telephone Advertising Revenue Increase.** As per the January Plan, [DoITT] realized additional revenue based on public pay telephone advertising activity.

New Needs

- **Access NYC Leased Space Need.** DoITT is currently working with the Department of Citywide Administrative Services to identify needed lease space to accommodate the Access NYC initiative. Beginning in Fiscal 2009, this leased space is estimated in the January Plan to cost \$1.5 million annually.
- **Customer Satisfaction Survey Cost Increase.** A customer satisfaction survey previously budgeted at \$400,000 now requires additional funding. As such, the January Plan proposes a one-time new need of \$180,000.

Other Adjustments

- **ECTP Maintenance Funding Re-Estimate:** Because of delays in the implementation of the Emergency Communication Transformation Project, \$10.75 million is being removed from DoITT’s Fiscal 2008 ECTP maintenance budget. The sum of \$37.25 million remains budgeted in Fiscal 2008; \$48 million is budgeted for Fiscal 2009 and the outyears. The January Plan also contains a second proposed adjustment for the ECTP, adding \$250,000 for this purpose.
- **Access NYC Funding Transfer.** A one-time adjustment related to Access NYC would reduce DoITT’s budget by \$669,075 in Fiscal 2008.
- **Collective Bargaining.** Beginning in Fiscal 2008, City funds totaling \$535,022 and Community Development funds totaling \$26,891 are being transferred from the Labor

Fiscal 2009 Preliminary Budget Report

Reserve in the Miscellaneous Budget to DoITT to cover costs associated with collective bargaining.

- **Transfer of a Technical Support Position.** The January Plan recognizes the transfer of one filled technical support position from the Mayor's Office of Contracts to DoITT. This position is associated with efforts to identify those doing business with the City, a key component of recent campaign finance reforms.
- **Heat, Light and Power Adjustment.** The January Plan adds fund totaling \$70,171 in Fiscal 2008 only to adjust DoITT's heat, light and power budget.