



Fiscal 2009 Preliminary Budget Hearings

❖ Committee on Standards & Ethics

March 2008

Scheduled To Testify:

- *Conflicts of Interest Board*

*Hon. Christine C. Quinn
Speaker of the Council*

*Hon. David I. Weprin, Chair
Committee on Finance*

*Hon. Inez Dickens, Chair
Committee on Standards & Ethics*

*James Caras, Acting Director
Finance Division*

Conflicts of Interest Board (312)

Agency Operations

The Conflicts of Interest Board implements and interprets the conflicts of interest provisions of Chapter 68 of the New York City Charter. This is accomplished through the training and education of City employees regarding ethical standards and through the issuance of advisory opinions to prospective, current, and former City employees. The Board processes complaints concerning alleged violations of the provisions of Chapter 68, and receives and reviews financial disclosure statements.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2008 Adopted Budget	Fiscal 2008 Current Modified	Fiscal 2009 Preliminary Budget
City	\$1,916,476	\$1,916,476	\$1,988,118
Other Categorical	\$0	\$0	\$0
Capital IFA	\$0	\$0	\$0
State	\$0	\$0	\$0
Community Development	\$0	\$0	\$0
Federal-Other	\$0	\$0	\$0
Intra-City	\$0	\$0	\$0
Total	\$1,916,476	\$1,916,476	\$1,988,118

HEADCOUNT OVERVIEW

Headcount	Fiscal 2008 Adopted Budget	6/30/2008 Forecast	Fiscal 2009 Preliminary Budget
City	22	22	22
Non-City	0	0	0
Total	22	22	22

UNIT OF APPROPRIATIONS

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency's spending plans. The unit of appropriation ("U/A") is the most basic level of detail within an agency's operating budget. U/As are essentially the building blocks of the City's Expense Budget. It is at this level that the Council adopts the City's Expense Budget. The City Charter requires that U/As represent the amount appropriated for Personal Services (i.e., salaries, overtime, etc.) or Other Than Personal Services (i.e., supplies, contracts, etc.) for a particular program, purpose, activity or institution. What follows is the U/A structure and Preliminary 2009 Financial Plan actions for the Conflicts of Interest Board.

Fiscal 2009 Preliminary Budget Report

U/A#	U/A Name	Fiscal 2008	Fiscal 2008	Fiscal 2009
		Adopted Budget	Modified as of 1/24/2008	Preliminary Budget
001	Personal Services	\$1,714,782	\$1,714,782	\$1,767,424
002	Other Than Personal Services	\$201,694	\$201,694	\$220,694
	Total	\$1,916,476	\$1,916,476	\$1,988,118

PRELIMINARY BUDGET ACTIONS (\$000)

Description	Fiscal 2008			Fiscal 2009		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per October Plan	\$1,917	\$0	\$1,917	\$1,912	\$0	\$1,912
January Plan New Needs						
Salary Adjustment			\$0	\$42		\$42
OTPS Need			\$0	\$24		\$24
Total New Needs	\$0	\$0	\$0	\$66	\$0	\$66
January Plan Other Adjustments						
Collective Bargaining	\$11		\$11	\$11		\$11
Heat, Light, and Power	(\$1)		(\$1)			\$0
Total Other Adjustments	\$10	\$0	\$10	\$11	\$0	\$11
Total January Plan Budget Changes	\$10	\$0	\$10	\$77	\$0	\$77
Preliminary Budget	\$1,926	\$0	\$1,926	\$1,988	\$0	\$1,988

Preliminary Budget Action Analysis

New Needs

- **Salary Adjustment.** The Conflicts of Interest Board plan to increase its Personal Services budget by \$42,000 in Fiscal 2009 and the outyears to fund salary adjustments throughout the agency.
- **OTPS Adjustment.** The Conflicts of Interest Board plan to increase its Other Than Personal Services budget by \$24,000 in Fiscal 2009 and the outyears to fund OTPS increases throughout the agency.

Other Adjustments

- **Collective Bargaining Adjustment.** Beginning in Fiscal 2008, annual funds totaling \$10,642 are being transferred from the Labor Reserve in the Miscellaneous Budget to the budget of the Conflicts of Interest Board to cover the costs associated with recent collective bargaining settlements.
- **Heat, Light, and Power.** The January Plan removes \$1,090 from the agency's budget in Fiscal 2008 to properly reflect the costs associated with heat, light, and power.