



# **Fiscal 2009 Preliminary Budget Hearings**

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## **Department of Transportation (Capital)**

*March 2008*

*Hon. Christine C. Quinn  
Speaker of the Council*

*Hon. David I. Weprin, Chair  
Committee on Finance*

*Hon. John Liu, Chair  
Committee on Transportation*

*James Caras, Acting Director  
Finance Division*

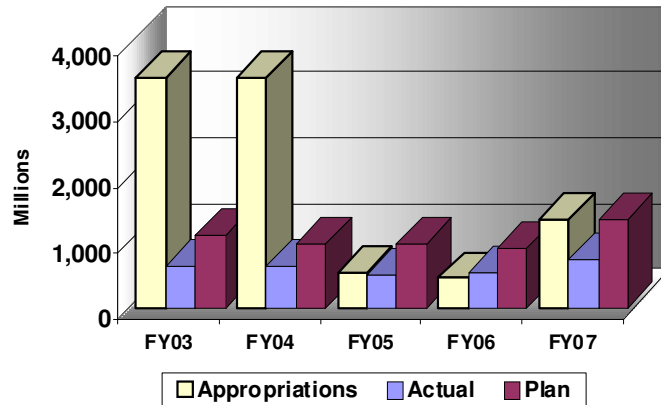
# Fiscal 2009 Preliminary Capital Budget Report

## Agency Overview

The Department of Transportation (DOT) is responsible for the movement of pedestrian and vehicular traffic throughout the City. DOT is comprised of five operating bureaus: The Bureau of Highway Operations, the Parking Violations Bureau, the Bureau of Traffic Operations, the Bureau of Bridges and the Bureau of Transit Operations.

The goals of DOT's Capital Program include, but are not limited to, providing roads in good condition for safe travel, providing safe and efficient travel throughout the City by maintaining bridge and tunnel structures, improving the flow of traffic and minimize congestion, and maintaining the safe and efficient operation of ferries and ferry terminals. To meet these and other objectives, the Bureau of Highway Operations builds and maintains approximately 5,700 linear miles of streets and highways. It also operates an asphalt plant to provide materials for resurfacing and repair work.

**DEPARTMENT OF TRANSPORTATION**  
Appropriations vs. Actual & Planned Commitments  
(City funds)



The Bureau of Bridges inspects, maintains, repairs and operates 842 bridge and tunnel structures, oversees major bridge and tunnel construction work. The Bureau of Bridges also manages the “Flag” Repair Program to correct deficient bridge conditions. The Staten Island and Harts Island Ferries, various aviation facilities, and the repair of docks and terminal facilities are the responsibility of the Bureau of Transit Operations.

Under the previous Administration, the Department of Design and Construction (DDC) was created to manage the majority of the City’s infrastructure projects, including many projects funded through DOT’s capital program. For example, DDC manages DOT’s highway reconstruction and resurfacing contracts, sidewalk and curb contracts and parking facility reconstruction projects. While most capital personnel were transferred to DDC, some stayed at DOT for those projects assigned to the agency. DOT personnel manage all Highway Bridge and Waterway Bridge contracts.

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### ***Current Budget Summary***

The January 2008 Capital Commitment Plan includes \$6.58 billion in Fiscals 2008-2011 for the Department of Transportation (including City and Non-City funds). This represents 12.5 percent of the City's total \$52.5 billion January Plan for Fiscals 2008-2011. The agency's January Commitment Plan for Fiscals 2008 - 2011 is 3.1 percent more than the \$6.39 billion September Commitment Plan, an increase of \$196.6 million.

Over the past five years the Department of Transportation has only committed an average of 57.3 percent of its annual capital plan. Therefore, it is assumed that a large portion of the agency's Fiscal 2008 capital plan will be rolled into Fiscal 2009 thus greatly increasing the size of the Fiscal 2009-2012 capital plan. Since adoption last June, the Capital Commitment Plan for Fiscal 2008 has decreased from \$2.68 billion to \$2.20 billion, a reduction of \$482.2 million or 18 percent.

Currently DOT's appropriations total \$3.48 billion in city-funds for Fiscal 2008. These appropriations are to be used to finance the DOT's \$1.08 billion city-funded Fiscal 2008 capital commitment program. The agency has 3.2 times more funding than it needs to meet its entire capital commitment program for the current fiscal year.

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The Department of Transportation's capital commitments for the last five years are shown below:

<b>FIVE YEAR HISTORY – CAPITAL BUDGET</b> (\$ in millions)					
	FY03	FY04	FY05	FY06	FY07
CITY	627	623	410	530	736
NON-CITY	139	284	301	74	93
TOTAL	766	907	711	604	829

The Adopted Four-Year Capital Plan is shown below:

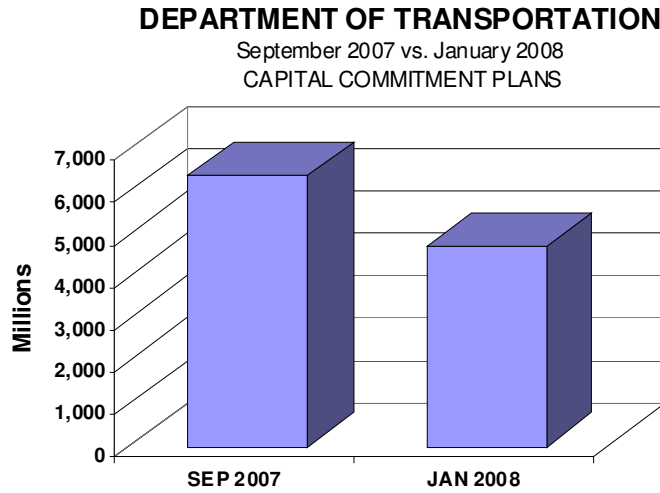
<b>ADOPTED CAPITAL BUDGET – SEPTEMBER 2007</b> (\$ in millions)					
	FY08	FY09	FY10	FY11	FY's 08-11
CITY	1,800.1	1,463.8	635.5	557.2	4,456.5
NON-CITY	881.2	767.2	179.1	102.5	1,929.9
TOTAL	2,681.3	2,231.0	814.6	659.7	6,386.5

The Preliminary Four-Year Capital Plan is shown below:

<b>PRELIMINARY CAPITAL PLAN – JANUARY 2008</b> (\$ in millions)					
	FY08	FY09	FY10	FY11	FY's 08-11
CITY	1,461.9	1,810.5	919.9	542.7	4,735.0
NON-CITY	737.2	699.3	345.8	65.5	1,847.7
TOTAL	2,199.1	2,509.8	1,265.6	608.2	6,582.7

### PRELIMINARY BUDGET ISSUES:

- In the January Plan, the four-year capital commitment plan for Fiscals 2008-2011 is \$6.58 billion of which \$4.74 billion is City funds and \$1.85 billion is Non-city funds. City-funded commitments for Fiscal 2008-2011 have increased by \$278.5 million while Non-City commitments have decreased by \$82.2 million when compared to the September Plan. However, most of the increase in the City-funded portion of the plan occurs in Fiscal 2009 and Fiscal 2010, which increase by \$346.7 million and \$284.4 million, respectively, after a decrease of \$338.2 million in Fiscal 2008.



- In the January Plan, funding (City and non-City) is deferred for all components of DOT's Capital Plan. Planned commitments for Waterway Bridges are reduced by \$10.8 million in Fiscal 2008 but \$151.4 million is added in Fiscal 2009. For Highway Bridges, planned commitments are reduced by \$29.6 million over the four year window despite an increase of \$341 million in planned commitments in Fiscal 2010. Funding for Highways decreases in Fiscal 2008, but increases in 2009-2011 for a net increase of \$27.2 million over the four years. Traffic, Ferries, and Transportation Equipment all see reductions in planned commitments in Fiscal 2008 but increase by \$48.8 million, \$3.7 million, and \$5.6 million, respectively, over the four year capital plan.

The major changes between the September Plan and the January Plan for Fiscals 2008-2011 are:

- Reconstruction of Willis Avenue Bridge: \$61.2 million is added for change orders in Fiscal 2009 bringing total commitments for this project up to \$378.5 million.
- Brooklyn Bridge: \$25 million is added in Fiscal 2009 for the rehabilitation and painting of the Brooklyn approach and the Brooklyn ramps. Total planned commitments for this project are now \$184.5 million, reflecting the most recent cost estimate. The project will be going out to bid in 2009.
- Belt Parkway Bridge: Due to a recent cost re-estimate, \$16.3 million is added for the replacement of the Belt Parkway Bridge over the Paerdegat Basin, bringing total planned commitments for this project up to \$76.4 million.

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- Reconstruction of the Roosevelt Avenue Bridge: \$20 million in planned commitments have rolled from Fiscal 2009 to Fiscal 2010. Total planned commitments for this project remain at \$34.5 million.
- Bruckner Expressway over the Westchester Creek: \$33 million was rolled from Fiscals 2008 and 2009 into Fiscal 2010 and \$46.3 million was added to the Capital Plan. Total planned commitments for this project are now \$92.1 million.
- 11th Avenue Viaduct South Section - 30 Street to 33 Street: \$21.2 million was removed from this project in FY08, leaving \$43.1 million in planned commitments.
- Town Square Initiative: DOT is creating a new (or enhancing an existing) pedestrian plaza in every community in the City as part of PlaNYC 2030. The plazas will be created from existing road space. \$130.4 million was added to the Fiscal 2008 Executive Plan for this project. Although total project costs remain roughly the same in the Fiscal 2009 Preliminary Capital Budget, \$29.2 million has been rolled from the Fiscal 2008-2012 window into 2013.