

# The Council of the City of New York

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Report on the Fiscal Year 2015 Executive Budget for the

## Department of Investigation

May 22, 2014

### Executive Budget Highlights

- DOI's Fiscal 2015 Executive Budget totals \$27.6 million, which is \$5.69 million or 25.97 percent higher than the Fiscal 2014 Adopted Budget.
  - City funding totals \$21.6 million, 29.11 percent or \$4.86 million above Fiscal 2014 Adopted.
  - Personal Services totals \$21.2 million, \$4.8 million or 29.4 percent more than Fiscal 2014 Adopted.
- **Fiscal 2015 Executive Budget Changes**
  - Inspector General for the NYPD: \$3.7 million and 43 staff included in Fiscal 2015, \$675,788 and 13 positions above the Preliminary Budget proposal.
  - Investigation Division: \$1.035 million and 14 positions added to create two new squads.
  - An additional six staff and \$655,000 were added to the Administrative and Information Technology division.
- The Department's total authorized headcount of 289 increases by 63 from the Fiscal 2014 Adopted Budget. This increase is mainly due to staffing of the NYPD Inspector General Unit.

## Department of Investigation Overview

This report provides an overview of the Department of Investigation's (DOI) Fiscal 2015 Budget and a review of the significant initiatives included in the Executive Budget for Fiscal 2015. Appendices 1 and 2 report the changes made to the Fiscal 2014 and Fiscal 2015 Budget since adoption of the Fiscal 2014 Budget. For additional information on DOI's Budget and its various programs, please refer to the Fiscal 2015 Preliminary Budget hearing report available at: <http://council.nyc.gov/downloads/pdf/budget/2015/investigation.pdf>

### DOI Financial Summary

<i>Dollars in Thousands</i>	FY12 Actual	FY13 Actual	FY14 Adopted	FY14 as of FY15 Exec. Budget	FY15 Exec. Budget	*Change FY14 - FY15
Personal Services	\$14,727	\$15,566	\$16,347	\$17,746	\$21,151	\$4,804
Other Than Personal Services	6,562	20,805	5,584	25,925	6,475	891
<b>Total</b>	<b>\$21,288</b>	<b>\$36,371</b>	<b>\$21,931</b>	<b>\$43,671</b>	<b>\$27,626</b>	<b>\$5,695</b>
<b>Budget by Program Area</b>						
Agency Operations	\$15,356	\$30,207	\$17,108	\$37,075	\$22,712	\$5,604
Inspector General	5,933	6,163	4,824	6,596	4,915	91
<b>Total</b>	<b>\$21,288</b>	<b>\$36,371</b>	<b>\$21,931</b>	<b>\$43,671</b>	<b>\$27,626</b>	<b>\$5,695</b>
<b>Funding</b>						
City Funds			\$16,708	\$17,686	\$21,572	\$4,864
Other Categorical			604	1,854	604	0
State			0	75	0	0
Federal - Community Development			0	8,000	0	0
Federal - Other			0	9,619	740	740
Intra City			4,619	6,437	4,710	91
<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$21,931</b>	<b>\$43,671</b>	<b>\$27,626</b>	<b>\$5,695</b>
<b>Budgeted Headcount</b>						
Agency Operations	177	160	60	225	23	63
Inspector General	84	66	66	74	66	-
<b>Total</b>	<b>261</b>	<b>226</b>	<b>226</b>	<b>299</b>	<b>289</b>	<b>63</b>

\*Change from Fiscal 2014 Adopted to Fiscal 2015 Executive Budget.

DOI's Fiscal 2015 Executive Budget of \$27.6 million increases by \$5.7 million or 26 percent from its Fiscal 2014 Adopted Budget. The Department's Fiscal 2015 budget includes an increase of \$4.86 million in City funds, mainly due to the NYPD Inspector General Unit and expansion of the Department's investigation division. The Department's total authorized headcount increases by 63 for a total of 289 in the Fiscal 2015 budget.

## **New in the Executive Budget**

### **NYPD Inspector General Unit**

The Fiscal 2015 Executive Budget includes an additional 13 staff for the NYPD Inspector General Unit. The plan adds \$42,197 for Fiscal 2014 growing to \$675,788 in Fiscal 2015 and the out years. The Fiscal 2015 Preliminary Budget included \$3 million for 30 staff. The total Fiscal 2015 budget for the NYPD Inspector General unit is now \$3.7 million for a headcount of 43 staff. The staffing for this unit will include investigators, analysts, intake unit, and outreach staff.

### **Administrative and IT Staff**

Six additional staff increases the total authorized headcount for DOI in the Fiscal 2015 Executive Budget. The budget increase is \$92,709 in Fiscal 2014 growing to \$655,000 in Fiscal 2015 and the out years. The staff will be added to the Department's executive and management divisions. Two of the six additional staff will support the work of the NYPD Inspector General Unit. The titles of these two staff are special counsel and one IT staff. The remaining four positions will support general administrative work of DOI.

### **Investigation Division Expansion**

The Department will receive \$1.035 million in baseline funding for 14 additional staff in the investigation division. Funding of \$42,197 begins in Fiscal 2014 and grows to \$1.035 million in Fiscal 2015. The expansion of the investigation division will add two new investigation squads. The Department is reorganizing the structure of their investigation division. With the additional 14 investigators, they will be able to have a total of six investigation squads.

### **Universal Pre-K Intra-City Funding**

The Fiscal 2014 budget adds \$45,000 in state revenue to cover the oversight of new Universal Pre-Kindergarten vendors. This funding occurs only in Fiscal 2014.

## **Preliminary Budget Response**

### **New Unit of Appropriation Description**

DOI's budget includes two unit of appropriation pairs, one that includes most City funded operations, the other that includes most intra-city funded activities. The Department should rename the U/As to reflect program areas, such as Inspector General and Support, Administration, Policy and Outreach, and Specialized Units. The budget, as it stands, does not reflect the agency's functions. As it stands U/A 003 and 004 reflect intra-city payments and various budget codes represent federal asset forfeiture payments rather than reflecting the programs the funds support. The Fiscal 2015 Executive Budget does not reflect the Council's proposal for clearer unit of appropriation descriptions.

## Appendix 1: DOI Fiscal 2015 Executive Budget Actions

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
<b>DOI Preliminary Fiscal 2015 Budget</b>	<b>\$17,458</b>	<b>\$25,594</b>	<b>\$43,052</b>	<b>\$19,632</b>	<b>\$5,460</b>	<b>\$25,092</b>
<b>New Needs</b>						
Administrative and IT Staff	\$93	\$0	\$93	\$655	\$0	\$655
Investigation Division Expansion	86	0	86	1,035	0	1,035
NYPD Inspector General Unit	42	0	42	169	507	676
<b>Subtotal New Needs</b>	<b>\$221</b>	<b>\$0</b>	<b>\$221</b>	<b>\$1,859</b>	<b>\$507</b>	<b>\$2,366</b>
<b>Other Adjustments</b>						
DOI UPK Intra-City	\$0	\$45	\$45	\$0	\$0	\$0
Heat Light and Power	7	0	7	2	0	2
Lease Adjustment	0	0	0	0	85	85
Lease Adjustment.	0	0	0	80	0	80
Federal Funding Adjustments	0	346	346	0	0	0
<b>Subtotal Other Adjustments</b>	<b>\$7</b>	<b>\$391</b>	<b>\$398</b>	<b>\$81</b>	<b>\$85</b>	<b>\$167</b>
<b>Total All Changes</b>	<b>\$228</b>	<b>\$391</b>	<b>\$619</b>	<b>\$1,940</b>	<b>\$592</b>	<b>\$2,533</b>
<b>DOI Executive Fiscal 2015 Budget</b>	<b>\$17,686</b>	<b>\$25,985</b>	<b>\$43,671</b>	<b>\$21,572</b>	<b>\$6,052</b>	<b>\$27,625</b>

## Appendix 2: DOI Budget Actions since Fiscal 2014 Adoption

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
<b>DOI Adopted Fiscal 2014 Budget</b>	<b>\$16,708</b>	<b>\$5,223</b>	<b>\$21,931</b>	<b>\$16,632</b>	<b>\$5,223</b>	<b>\$21,855</b>
<b>New Needs</b>						
NYPD Inspector General Unit	\$792	\$0	\$792	\$3,169	\$507	\$3,676
Administrative and IT Staff	93	0	93	655	0	655
Investigation Division Expansion	86	0	86	1,035	0	1,035
<b>Subtotal New Needs</b>	<b>\$971</b>	<b>\$0</b>	<b>\$971</b>	<b>\$4,859</b>	<b>\$507</b>	<b>\$5,366</b>
<b>Other Adjustments</b>						
DOI UPK Intra-City	\$0	\$45	\$45	\$0	\$0	\$0
Heat Light and Power	7	0	7	2	0	2
Lease Adjustment	0	0	0	0	85	85
Lease Adjustment.	0	0	0	80	0	80
Other Categorical Adjustments	0	1,250	1,250	0	0	0
State Funding Adjustments	0	75	75	0	0	0
Federal CD Adjustments	0	8,000	8,000	0	0	0
Federal Funding Adjustments	0	9,619	9,273	0	232	232
Intra-City Funding Adjustments	0	1,773	1,773	0	6	6
<b>Subtotal Other Adjustments</b>	<b>\$7</b>	<b>\$20,762</b>	<b>\$20,423</b>	<b>\$81</b>	<b>\$323</b>	<b>\$405</b>
<b>Total All Changes</b>	<b>\$978</b>	<b>\$20,762</b>	<b>\$21,394</b>	<b>\$4,940</b>	<b>\$830</b>	<b>\$5,771</b>
<b>DOI Executive Fiscal 2015 Budget</b>	<b>\$17,686</b>	<b>\$25,985</b>	<b>\$43,325</b>	<b>\$21,572</b>	<b>\$6,053</b>	<b>\$27,626</b>