

THE COUNCIL OF THE CITY OF NEW YORK

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Hon. Paul Vallone
Chair, Senior Centers Subcommittee



Hearing on the Fiscal 2015 Preliminary Budget & the Fiscal 2014 Preliminary Mayor's Management Report

Department for the Aging

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Department for the Aging Overview

The Department for the Aging (DFTA) administers a wide range of programs to enhance independence and quality of life of the City's elderly population. The Department's services include the operation of senior centers, provision of home delivered and congregate meals, employment counseling and placement, case management, social and legal services and home care services. DFTA also serves as an advocate for the City's elderly population through legislative activity and public policy initiatives.

This report provides a review of the Department for the Aging's Preliminary Budget for Fiscal 2015. The first section presents highlights from the Fiscal 2015 expense budget for the City, the Fiscal 2014-2015 State Executive Budget, and City Council Initiatives. Following highlights, the report outlines the Department's budget by program area and provides analysis of significant program areas. Further, it provides information on actions included in the November and Preliminary Financial Plans and reviews relevant sections of the Preliminary Mayor's Management Report for Fiscal 2014. Finally, the report provides a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the Capital Plan.

One of the fastest growing populations in the City are seniors. According to the 2011 U.S. Census, the elderly population 60 years and older living in New York City totaled 1.44 million; this represents 17.4 percent of the City's total population. The increase in the senior population puts further pressure on the Department for the Aging to provide quality services and programs for the older adult population in the City.

Fiscal 2015 Preliminary Plan Highlights

	2012	2013	2014	2014	2015	*Difference
<i>Dollars in Thousands</i>	Actual	Actual	Adopted Plan	Prelim. Plan	Prelim. Plan	2014 - 2015
Personal Services	\$24,403	\$22,658	\$23,986	\$23,390	\$23,706	(\$280)
Other Than Personal Services	233,378	239,493	234,026	238,890	227,478	(6,548)
Agency Total	\$257,781	\$262,152	\$258,013	\$262,280	\$251,184	(\$6,829)

**The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.*

The Department for the Aging's Fiscal 2015 Preliminary Budget totals \$251.1 million, a decrease of \$6.8 million when compared to the Fiscal 2014 Adopted Budget. The majority of the decrease can be attributed to the absence of one-time Council funding for senior services in Fiscal 2014. In addition, in Fiscal 2015, DFTA realigned its personal services budget after a formal audit from the State Office For the Aging (SOFA). It was found that DFTA was not allocating resources equally between personal services and other personal services costs, and was using more resources towards personal services. To rectify this situation, DFTA realigned personal services headcount, and federal funds were moved from personal services to contractual services, and City funds were moved from contractual services to personal services. This shift in funding did not impact DFTA's overall budget.

The November Plan included \$20.2 million in Program to Eliminate the Gap (PEG) restorations and Council initiatives. The baselined amounts for each of the PEG restorations were based on the Council's Fiscal 2014 Adopted Budget allocations. The Council has urged DFTA to allocate these

funds with the same methodology that the Council used to designate in Fiscal 2014. The following are major financial plan actions for Fiscal 2014 and Fiscal 2015.

- **Case Management Baselined Funding.** The Administration baselined \$5.5 million for case management for Fiscal 2015 and in the outyears. DFTA is in the process of calculating the case management caseload in order to determine what the case-to-case manager ratio will be going forward. Case management agencies provide homebound seniors with linkages to services and benefits, as well as monitoring and support. In Fiscal 2014, \$5.5 million was restored for case management programs. This partial restoration reduced the case-to-case manager ratio from 110:1 to 80:1. DFTA considers 80 cases per case manager to be the maximum permissible to provide basic services and ensure the safety of senior clients.
- **Elder Abuse Prevention Baselined Funding.** The Administration baselined \$800,000 for elder abuse in Fiscal 2015 and in the outyears. DFTA contracts with community-based service providers to provide direct services to victims of elder abuse, as well as develop prevention activities. Service providers provide long-term case management services, including: securing orders of protection; providing long-term counseling; accompanying victims to court; examining powers of attorney and other legal documents; working with the police to place victims on high propensity lists; and working closely with district attorneys to aid in prosecution of cases. In Fiscal 2014, the Council restored \$800,000 for elder abuse prevention slated for elimination in the Fiscal 2010 PEG program.
- **Information and Referral Contracts Baselined Funding.** The Administration baselined \$1 million for information and referral contracts in Fiscal 2015 and in the outyears. Information and Referral contracted programs primarily serve elderly immigrant populations, and provide the names and contact phone numbers and/or addresses of providers of services and other resources in their community. In Fiscal 2014, the Council restored \$1 million for information and referral contracts, originally slated for elimination in the Fiscal 2009 PEG program.
- **Naturally Occurring Retirement Communities (NORCs) Baselined Funding.** The Administration baselined \$900,000 in funding for NORCs in Fiscal 2015 and in the outyears. DFTA recently announced the award winners of its recent NORC RFP, and included this additional baseline money to its total RFP NORC budget, which now totals \$6.5 million in Fiscal 2015. In Fiscal 2014, the Council provided \$900,000 in additional funding to support DFTA's NORC program. This allocation provided funding to 10 additional NORCs.
- **Nutrition Services Baselined Funding.** The Administration baselined \$1 million for nutrition services for Fiscal 2015 and in the outyears. The funding will be used to provide home-delivered weekend and holiday meals to seniors. In Fiscal 2014, the Council restored \$1 million to City Meals on Wheels, an organization that provides home-delivered meals to seniors on the weekends and holidays. This funding was used to reduce the waiting list for home-delivered meals.
- **Borough Presidents' Discretionary, Senior Center Space, and Transportation Baselined Funding.** The Administration baselined \$7.6 million for Fiscal 2015 and in the outyears, specifically \$4.1 million for Borough Presidents' discretionary funding, \$1.5 million to senior center space costs, and \$2 million for senior center transportation costs. In Fiscal 2014, the Council restored \$4.1 million for the Borough Presidents' discretionary spending, \$1.5 million

to support space and facility costs at senior centers, and \$1 million to support transportation costs at senior centers.

- **Senior Centers Baselined Funding.** The Administration baselined \$3 million in funding for senior centers in Fiscal 2015 and in the outyears. This funding will go towards averting senior center closures and restore services to senior centers that previously lost funding. In Fiscal 2014, the Council restored \$2.9 for senior centers and programs.
- **Social Adult Day Cares (SADs) Baselined Funding.** The Administration baselined \$400,000 for social adult day cares (SADs) in Fiscal 2015 and in the outyears. Funding for social adult day care programs provided non-medical adult day care services to individuals with cognitive or physical limitations. In Fiscal 2014, the Council restored \$400,000 in funding for SADs.
- **NYCHA Community Services New Need.** The Administration added \$4.8 million for New York City Housing Authority (NYCHA) community services in Fiscal 2015. This new need is to support the four senior centers that transitioned from NYCHA to DFTA at the beginning of Fiscal 2014.

DFTA's budget consists of six program areas which are identified by units of appropriation. They are: Administration & Contract Agency Support (U/A 001, 002, 003 and 004); Case Management (U/A 003); Homecare (U/A 002 and 003); Senior Centers and Meals (U/A 003); Senior Employment & Benefits (U/A 002, 003 and 004); and Senior Services (U/A 003). The following table, "DFTA Financial Summary," provides an overview of the Department's total budget from Fiscal 2012 to the Preliminary Plan for Fiscal 2015.

DFTA Financial Summary

<i>Dollars in Thousands</i>	2012	2013	2014	2014	2015	*Difference
	Actual	Actual	Adopted Plan	Prelim. Plan	Prelim. Plan	2014 - 2015
Budget by Program Area						
Administration & Contract Agency Support	\$53,860	\$30,751	\$33,491	\$33,323	\$25,173	(\$8,318)
Case Management	18,286	19,979	22,332	22,402	23,248	916
Homecare	12,329	15,418	16,887	16,887	16,887	-
Senior Centers and Meals	125,891	148,790	145,407	148,754	155,901	10,494
Senior Employment & Benefits	11,023	9,010	6,681	8,253	6,726	45
Senior Services	36,392	38,205	33,214	32,661	23,248	(9,966)
TOTAL	\$257,781	\$262,152	\$258,013	\$262,280	\$251,184	(\$6,829)
Funding						
City Funds	\$144,643	\$138,276	\$149,060	\$148,992	\$141,350	(\$7,710)
State	35,717	37,576	37,018	36,908	37,164	146
Federal - Community Development	1,755	2,298	2,235	2,235	2,234	(1)
Federal - Other	72,491	80,884	69,106	72,564	70,116	1,010
Intra City	3,169	2,988	594	1,588	320	(274)
Other Categorical	6	130	-	-	-	-
TOTAL	\$257,781	\$262,152	\$258,013	\$262,280	\$251,184	(\$6,829)
Positions						
Full-Time Positions - Civilian	286	285	297	295	296	(1)
Full-Time Equivalent Positions	547	487	407	383	246	(161)
TOTAL	833	772	704	678	542	(162)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

Fiscal 2014-2015 State Executive Budget Highlights

- **Community Services for the Elderly (CSE).** Funding for Community Services for the Elderly (CSE) in the 2014-2015 State Executive Budget totals \$20.9 million, a \$4.98 million increase when compared to last year's allocation. CSE is a flexible funding source used by local municipalities to operate senior centers, transportation, and other supportive services for the elderly.
- **Expanded In-Home Services for the Elderly Program (EISEP).** Funding for Expanded In-Home Services for the Elderly Program (EISEP) in the 2014-2015 State Executive Budget totals \$50 million, a \$3.97 million increase when compared to last year's allocation. This program allows low-income (non-Medicaid eligible) seniors to remain in their homes, and helps alleviate the burden of costly care. Local municipalities use this funding towards case management and subsidized homecare, as well as other supportive services for elderly adults.
- **Elder Abuse Education and Outreach.** The 2014-2015 Executive State Budget calls for a \$200,000 decrease in funding for elder abuse education and outreach. Total funding for Fiscal 2014-2015 is \$745,000.

Council Initiatives and Funding

In Fiscal 2014, the City Council provided over 13 percent of DFTA's City funds budget supporting core operations, including its senior center network, case management services, meals programs, Naturally Occurring Retirement Community (NORC) programs, and other senior services. Below is a breakdown of those funds.

FY 2014 Council Changes at Adoption	
<i>Dollars in Thousands</i>	
Senior Centers & Meals	
Senior Centers and Programs Restoration	\$2,989
Space Costs for Senior Centers	1,500
Transportation Operating Costs	2,000
City Meals on Wheels	1,000
Subtotal	\$7,489
Senior Services	
Case Management Restoration	\$5,500
Elder Abuse Programs Restoration	800
Information and Referral Contracts	1,000
NORC Supportive Service Programs	900
Senior Services – Borough Presidents' Discretionary Funding Restoration	4,100
Social Adult Day Care Programs	400
Subtotal	\$12,700
Member Items	
Local and Aging Member Items	\$9,712
Subtotal	\$9,742
TOTAL	\$29,931

Program Areas

Senior Centers and Meals

The core of DFTA’s service portfolio is the Department’s citywide network of 247 contracted senior centers. In addition to supporting 1.5 million meals annually, senior centers offer older New Yorkers opportunities for socialization, recreation, and participation in a wide array of activities designed to improve their health and quality of life. Senior centers provide educational programs, nutrition and health services, exercise programs, and recreational programming in community-based settings. Most senior centers provide both congregate and home delivered meals to seniors.

The proposed budget for senior centers and meals for Fiscal 2015 totals \$155.9 million, a \$10.4 million increase when compared to the Fiscal 2014 Adopted Budget. This increase can be attributed to an increase in City funding and an increase in federal funding from various grants explained below.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted Plan	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services	-	-	-	-	-	-
Full-Time Salaried	-	-	-	-	\$2,911	\$2,911
Subtotal, PS	-	-	-	-	\$2,911	\$2,911
Other Than Personal Services						
Contractual Services	\$125,891	\$148,789	\$140,337	\$148,754	\$147,919	\$7,582
Fixed and Misc Charges	-	-	-	-	-	-
Other Services and Charges	-	-	5,071	-	5,071	-
Subtotal, OTPS	\$125,891	\$148,790	\$145,407	\$148,754	\$152,990	\$7,583
TOTAL	\$125,891	\$148,790	\$145,407	\$148,754	\$155,901	\$10,494
Funding						
City Funds			\$89,842	\$90,723	\$91,946	\$2,104
Federal - Other			41,855	44,454	49,854	7,999
Federal - Community Development			1,737	1,737	1,735	(2)
State			11,973	11,841	12,366	393
TOTAL	\$125,891	\$148,790	\$145,407	\$148,754	\$155,901	\$10,494
Positions						
Full-time Positions	-	-	-	-	45	45
TOTAL	-	-	-	-	45	45

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

Financial Plan Actions

- **Increased Funding for Contractual Services.** The Fiscal 2015 Budget includes a \$7.5 million increase for contractual services when compared to the Fiscal 2014 Adopted Budget. This increase can be attributed to an additional \$2.4 million in DFTA's budget for four New York City Housing Authority (NYCHA) senior centers that the agency took over in Fiscal 2014, a \$2.9 million increase in federal funding from the Title III Older Americans Act (OAA) used for supportive and nutrition services, which was reduced in Fiscal 2014 due to sequestration, and a funding shift.
- **Increase in Federal Funding.** The senior centers and meals program budget area reflects a \$7.9 million increase in federal funding when compared to the Fiscal 2014 Adopted Budget. This increase can be attributed to the agency's budget not being impacted by federal sequestration in Fiscal 2015 as it was in Fiscal 2014. Additionally, in order to comply with the State Office for the Aging (SOFA) requirements for federal time studies, DFTA realigned personal services headcount starting in Fiscal 2015, specifically were moved from personal services to contractual services and City funding was moved from contractual services to personal services. This realignment does not impact the agency's overall personal services total or full-time positions headcount for Fiscal 2015.
- **Increase in City Funding.** City funding for senior centers and meals increased by \$2.1 million in Fiscal 2015 when compared to the Fiscal 2014 Adopted Budget. This increase is due to the transition of four NYCHA senior centers to DFTA with a total annual budget of \$4.8 million in Fiscal 2015 compared to \$2.4 million in Fiscal 2014.

Transition of Four NYCHA Senior Centers to DFTA.

Due to budgetary constraints, the New York City Housing Authority (NYCHA) proposed to close 38 NYCHA-run senior centers. Four of the senior centers that were proposed to be closed, Pelham Parkway, Farragut, Saratoga Square, and Polo Grounds Towers, were picked up by DFTA because they had the appropriate square footage necessary for conducting programming according to the agency's standards. The plan that DFTA set forth for the transition was to make these four senior centers satellites of existing DFTA senior centers for a year until a Request for Proposals (RFP) could be issued.

The staff at the four senior centers, some union member, some non-union members were at risk of being laid off, and being replaced. NYCHA assured the Council that the agency was in contact with existing staff at these centers to discuss the transition. Also, employees at risk of being laid off were provided the opportunity to interview for available positions at NYCHA, if any existed.

For the remaining NYCHA senior centers that were slated to close, the Administration added funding for one year so that these centers could remain open until the end of Fiscal 2014. If the Administration does not restore funding in Fiscal 2015 for the remaining NYCHA senior centers, these centers once again will be at risk of closing.

Performance Measures

	FY 11 Actual	FY 12 Actual	FY 13 Actual	Target FY 14	Target FY 15	4 Month Actual FY 13	4 Month Actual FY 14
Citywide senior center utilization rate (%)	88%	93%	86%	95%	95%	N/A	N/A
Total Meals Served (000)	N/A	11,276	11,521	*	*	4,077	4,024

Source: Preliminary Mayor's Management Report

During the first four months of Fiscal 2014, approximately 24,900 older New Yorkers were served a meal at a DFTA senior center each day. The number of congregate meals, which includes breakfast, lunch, and dinner, served at senior centers remained stable at 2.6 million in the first four months of Fiscal 2014. In addition, seniors also received 1.45 million home delivered meals, a 4.1 percent decrease when comparing the first four months of Fiscal 2013 to the first four months of Fiscal 2014.

Senior Services

This program area includes a variety of services to seniors, such as caregiver programs, social services and transportation, elder abuse services, the congregate services initiative, extended services, intergenerational funding, legal services, social adult day services, and funding for Naturally Occurring Retirement Communities (NORC).

The proposed budget for senior services for Fiscal 2015 totals \$23.2 million, a \$9.9 million decrease when compared to the Fiscal 2014 Adopted Budget. The majority of this decrease in funding can be attributed to a decrease in funding for contractual services explained below.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted Plan	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried	\$84	\$638	\$150	\$236	\$1,468	\$1,318
Additional Gross Pay	24	14	-	-	-	-
Subtotal, PS	\$108	\$652	\$150	\$236	\$1,468	\$1,318
Other Than Personal Services						
Contractual Services	\$36,262	\$37,328	32,941	\$31,891	\$21,780	(\$11,161)
Supplies and Materials	-	1	1	12	-	(1)
Property and Equipment	6	-	-	-	-	-
Other Services and Charges	17	224	122	521	-	(122)
Subtotal, OTPS	\$36,285	\$37,553	\$33,064	\$32,424	\$21,780	(\$11,284)
TOTAL	\$36,392	\$38,205	\$33,214	\$32,661	\$23,248	(\$9,966)
Funding						
City Funds			\$24,160	\$22,993	\$13,735	(\$10,425)
Federal - Other			8,107	8,365	\$8,270	163
Federal - Community Development			362	362	\$362	-
Intra City			-	322	-	-
State			586	619	882	296
TOTAL	\$36,392	\$38,205	\$33,214	\$32,661	\$23,249	(\$9,966)
Positions						
Full-time Positions			3	3	20	17
TOTAL			3	3	20	17

Financial Plan Actions

- Decrease in City and Contractual Services Funding.** The Fiscal 2015 Preliminary Budget reflects an \$11.1 million decrease in contractual services when compared to the Fiscal 2014 Adopted Budget. This decrease can be attributed to the absence of one-time Council discretionary and local initiatives funding that was included in the Fiscal 2014 budget. In addition, some discretionary funding was allocated to the senior centers and meals budget code, and will be redistributed to the senior services budget code later in Fiscal 2015.

NORC Request for Proposals (RFP) Awards.

In June of 2013, DFTA released its NORC RFP, and the agency announced the award winners in January 2014. Total funding for the NORC RFP was \$6.5 million, which includes the \$900,000 in baselined funding included in the November Plan.

DFTA awarded 28 NORC contracts through the RFP, some of which were previously funded by either the agency or the Council, and new NORC awardees. Of the NORCs that applied for funding, but lost in the RFP, four are Council funded - Grand Street, JASA 1199 Plaza, Neighborhood Shopp, and Bronxworks, and three are DFTA-funded NORCS – Selfhelp Northridge, Rochdale Village, and Phipps Community Development Corp. In addition, four Council funded NORCs did not submit or qualify for the proposal – Jamaica Service Program for Older Adults, Samuel Field Y, and SAGE Harlem, and two DFTA-funded NORCS did not submit or qualify for the proposal – Dorot Lincoln House Outreach and CCNS Sheepshead Nostrand. The Council will work with the Administration to ensure that its priorities are reflected in Fiscal 2015 and services are maintained.

Performance Measures

	Actual FY 11	Actual FY 12	Actual FY 13	Target FY 14	Target FY 15	4 Month Actual FY 13	4 Month Actual FY 14
Caregivers who received casework services or training through DFTA's In-house Alzheimer's and Long-Term Care Unit and Grandparent Resource Center	N/A	N/A	3,692	3,700	3,700	3,333	N/A
Caregivers who received supportive services through DFTA's contracted providers	N/A	N/A	7,737	8,783	8,783	4,484	N/A

Source: Preliminary Mayor's Management Report

Case Management

Case management agencies provide assessment and link homebound seniors with services, including home delivered meals, homecare, information and referral, and other supportive programs. They monitor and coordinate programs, provide supportive counseling, as well as, assist with light housekeeping, shopping and laundry.

The proposed budget for case management in Fiscal 2015 is \$23.2 million, an increase of \$916,000 when compared to the Fiscal 2014 Adopted Budget. The increase can be attributed to an increase in full-time salaried positions due to the agency's personal services realignment in Fiscal 2015 to better reflect actual personal service costs in line with the State Department for the Aging (SOFA) standards.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted Plan	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried	-	-	-	-	\$1,067	\$1,067
Subtotal, PS	-	-	-	-	\$1,067	\$1,067
Other Than Personal Services						
Contractual Services	\$18,286	\$19,979	\$22,332	\$22,402	\$22,181	(151)
Subtotal, OTPS	\$18,286	\$19,979	\$22,332	\$22,402	\$22,181	(\$151)
TOTAL	\$18,286	\$19,979	\$22,332	\$22,402	\$23,248	\$916
Funding						
City Funds			\$10,970	\$10,970	\$10,969	(\$1)
State			10,477	10,477	11,298	821
Federal-Other			885	885	981	96
Intra City			-	70	-	-
TOTAL	\$18,286	\$19,979	\$22,332	\$22,402	\$23,248	\$916
Positions						
Full-time Positions			-	-	17	17
TOTAL			-	-	17	17

Performance Measures

	Actual FY 11	Actual FY 12	Actual FY 13	Target FY 14	Target FY 15	4 Month Actual FY 13	4 Moth Actual FY 14
Hours of case management services provided	499,867	398,013	443,404	444,000	444,000	N/A	139,613
Percent meeting time to (first) action – Elder Abuse (5 days)	88	82	74	*	*	79	67
Percent meeting time to (first) action – Home Repair (14 days)	100	99	N/A	*	*	100	N/A
Percent meeting time to (first) action – Housing Options (14 days)	96	96	95	*	*	93	99
Percent meeting time to (first) action – Weatherization (14 days)	100	100	N/A	*	*	100	N/A

Source: Preliminary Mayor's Management Report

As indicated by the table above, seniors received 139,613 hours of case management in the first four months of Fiscal 2014, a 2.3 percent increase when compared to the same time period in Fiscal 2013.

Homecare

The Homecare Program provides assistance to low-income frail seniors with daily chores and personal care to allow them to safely remain in their homes. This may include housekeeping and personal care, meal preparation, companionship, supervision of medications and doctor’s visits, referrals to legal and financial experts, as well as other necessary services.

The agency provides home care services to approximately 4,500 elderly residents throughout the City. These services are for low-income frail elderly, who are above the Medicaid eligibility threshold, allowing them to safely remain in their homes by providing assistance with daily chores and personal care. DFTA also provides emergency homecare to enable elderly persons to be discharged from hospitals or other facilities before other home care arrangements, including Medicaid or Medicare services, have been put into place.

The proposed budget for homecare services for Fiscal 2015 totals \$16.8 million and remains unchanged when compared to the Fiscal 2014 Adopted Budget.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted Plan	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried – Civilian	\$15	-	-	-	-	-
Additional Gross Pay	1	-	-	-	-	-
Subtotal, PS	\$16	-	-	-	-	-
Other Than Personal Services						
Contractual Services	\$12,312	\$15,418	\$16,887	\$16,887	\$16,887	-
Subtotal, OTPS	\$12,312	\$15,418	\$16,887	\$16,887	\$16,887	-
TOTAL	\$12,329	\$15,418	\$16,887	\$16,887	\$16,887	-
Funding						
City Funds			\$4,857	\$4,857	\$4,857	-
Intra City			300	300	300	-
State			11,730	11,730	11,730	-
TOTAL	\$12,329	\$15,418	\$16,887	\$16,887	\$16,887	-

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

Performance Measures

	Actual FY 11	Actual FY 12	Actual FY13	Target FY14	Target FY15	4 Month Actual FY 13	4 Month Actual FY 14
Total recipients of home care services	3,012	2,861	2,835	2,861	2,900	N/A	N/A
Hours of home care services (annual)	1,033,407	823,831	890,232	1,039,003	958,000	286,946	346,692

Source: Preliminary Mayor's Management Report

As indicated by the table above, home care services increased by 20.8 percent from 286,946 hours in the first four months of Fiscal 2013 to 346,692 hours in the first four months of Fiscal 2014.

Senior Employment and Benefits

This program area mainly funds employment programs that subsidize jobs for seniors. It also supports benefit programs which assist seniors with home energy costs through the Home Energy Assistance Program (HEAP), and the Weatherization Referral and Packaging Program (WRAP). Additionally, it provides funding for the Health Insurance Counseling and Advocacy Program (HICAP) which assist seniors with obtaining health insurance that is best suited to their individual needs.

The proposed budget for senior employment and benefits for Fiscal 2015 totals \$5.4 million, an increase of \$45,000 when compared to the Fiscal 2014 Adopted Budget.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted Plan	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried – Civilian	\$1,370	\$1,403	\$1,411	\$1,253	\$2,754	\$1,343
Additional Gross Pay	79	76	71	70	71	-
Other Salaried and Unsalaries	4,743	3,558	3,280	3,198	2,579	(\$701)
Fringe Benefits	-	-	-	-	-	-
Subtotal, PS	\$6,192	\$5,037	\$4,762	\$4,521	\$5,404	\$642
Other Than Personal Services						
Contractual Services	\$4,326	\$3,641	\$1,490	\$3,341	\$892	(\$598)
Fixed and Misc Charges	1	1	1	1	1	-
Other Services and Charges	395	290	364	264	364	-
Property and Equipment	5	1	4	40	4	-
Supplies and Materials	103	40	61	85	61	-
Subtotal, OTPS	\$4,831	\$3,973	\$1,920	\$3,732	\$1,322	(\$598)
TOTAL	\$11,023	\$9,010	\$6,681	\$8,253	\$6,726	\$45
Funding						
City Funds			\$595	\$762	\$796	\$201
Federal - Other			6,046	6,576	5,890	(156)
Intra City			20	896	20	-
State			20	18	20	-
TOTAL	\$11,023	\$9,010	\$6,681	\$8,253	\$6,726	\$45
Positions						
Full-Time Positions - Civilian			26	26	29	3
TOTAL			26	26	29	3

Administration and Contract Agency Support

This program area includes funding for personnel, supplies, and support contracts to provide for administration and program oversight. Certain contract agency expenses, including insurance, facility leases, and utilities, are paid directly by the City on behalf of providers.

The proposed budget for administration and central agency support for Fiscal 2015 totals \$12.8 million, an \$8.3 million decrease when compared to the Fiscal 2014 Adopted Budget, which is explained below.

<i>Dollars in Thousands</i>	2012 Actual	2013 Actual	2014 Adopted Plan	2014 Prelim. Plan	2015 Prelim. Plan	*Difference 2014 - 2015
Spending						
Personal Services						
Full-Time Salaried – Civilian	\$16,809	\$15,682	\$18,158	\$17,840	\$12,554	(\$5,604)
Additional Gross Pay	501	548	105	126	42	(63)
Other Salaried and Unsalariated	777	740	811	667	259	(552)
Fringe Benefits	-	-	-	-	-	-
Subtotal, PS	\$18,087	\$16,970	\$19,074	\$18,633	\$12,855	(\$6,219)
Other Than Personal Services						
Contractual Services	\$828	\$2,223	\$1,944	\$1,952	\$261	(\$1,683)
Fixed and Misc Charges	24,145	168	31	\$31	21	(10)
Other Services and Charges	10,321	10,890	11,576	11,846	11,536	(40)
Supplies and Materials	177	208	533	552	329	(204)
Property and Equipment	302	292	333	310	171	(162)
Subtotal, OTPS	\$35,773	\$13,781	\$14,417	\$14,690	\$12,318	(\$2,099)
TOTAL	\$53,860	\$30,751	\$33,491	\$33,323	\$25,173	(\$8,318)
Funding						
City Funds			\$18,635	\$18,686	\$19,047	\$412
Federal - Other			12,212	12,284	\$5,120	(7,092)
Federal - Community Development			136	136	\$137	1
Intra City			275	-	-	(275)
State			2,232	2,216	\$869	(1,363)
TOTAL	\$53,860	\$30,751	\$33,491	\$33,323	\$25,173	(\$8,318)
Positions						
Full-Time Positions - Civilian			269	266	185	(84)
TOTAL			269	266	185	(84)

*The difference of Fiscal 2014 Adopted compared to Fiscal 2015 Preliminary Plan funding.

Financial Plan Actions

- **Decrease in Contractual Services.** The Fiscal 2015 Preliminary Budget reflects a \$1.6 million decrease in funding for contractual services when compared to the Fiscal 2014 Adopted Budget. This decrease can be attributed to DFTA grants that expired in Fiscal 2014 and some grants that will carry over to Fiscal 2015 including Time Bank, Aging in Place, and Taxi Voucher.
- **Decrease in Federal Funding.** The Fiscal 2015 Preliminary Budget reflects a \$7 million decrease when compared to the Fiscal 2014 Adopted Budget. In order to comply with the State Office for the Aging (SOFA) requirements for federal time studies, DFTA realigned personal services headcount starting in Fiscal 2015, specifically were moved from personal services to contractual services and City funding was moved from contractual services to personal services. This realignment does not impact the agency's overall personal services total or full-time positions headcount for Fiscal 2015.

Capital Program

Capital Budget Summary

The total City Capital Commitment Plan for Fiscal 2014 has increased from \$21.2 billion in the November Capital Commitment Plan to \$21.3 billion in the Preliminary Capital Commitment Plan, an increase of \$163 million, or less than one percent. For the Department for the Aging, the Capital Commitment Plan has remained unchanged at \$43.3 million from the November Plan to the Preliminary Plan.

The majority of capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2013, the Department for the Aging committed \$4.4 million or about 17 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency’s Fiscal 2014 Capital Plan will be rolled into Fiscal 2015, thus increasing the size of the Fiscal 2015-2018 Capital Plan.

2014-2017 Commitment Plan: November and Preliminary Budget

Dollars in Thousands

	FY14	FY15	FY16	FY17	Total
November					
Total Capital Plan	\$38,169	\$1,703	\$1,741	\$1,780	\$43,393
Prelim					
Total Capital Plan	\$38,169	\$1,703	\$1,741	\$1,780	\$43,393
Change					
Level	\$0	\$0	\$0	\$0	\$0
Percentage	0%	0%	0%	0%	0%

Preliminary Capital Budget Highlights

The Capital Variance Report indicates minimal variance between the November Commitment Plan and the Preliminary Commitment Plan. The DFTA capital budget includes general funding for the rehabilitation and upkeep of senior centers. This funding may be used for large repairs and maintenance of centers on an ongoing basis. In addition, capital funds may be used towards senior center technology maintenance and upgrades, as well as purchasing new vehicles for senior centers.

Appendix A

Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of Adopted 2014 Plan	\$149,654	\$108,358	\$258,012	\$117,110	\$109,515	\$226,625
New Needs	-	-	-	-	-	-
NYCHA Community Services	-	-	-	\$4,800	-	-
TOTAL, New Needs	-	-	-	\$4,800	-	-
Other Adjustments						
November Plan Technical Adjustments	\$645	\$3,317	\$3,962	(\$441)	\$0	(\$441)
Case Management	-	-	-	5,500	-	5,500
Elder Abuse	-	-	-	800	-	800
NORCs	-	-	-	900	-	900
Information and Referral Services	-	-	-	1,000	-	1,000
Nutrition Services	-	-	-	1,000	-	1,000
Senior Centers	-	-	-	3,000	-	3,000
BP, Transportation, and Space	-	-	-	7,600	-	7,600
Social Adult Day	-	-	-	400	-	400
Preliminary Plan Technical Adjustments	274	(18)	256	-	-	-
TOTAL, Other Adjustments	\$919	\$3,299	\$4,218	\$19,759	-	\$19,759
TOTAL, All Changes	\$919	\$3,299	\$4,218	\$24,559	-	\$19,759
Agency Budget as of Preliminary 2015 Plan	\$150,579	\$111,701	\$262,280	\$141,671	\$109,515	\$251,186

Appendix B Contract Budget

Category	Number	Budgeted	Pct of DFTA Total	Pct of City Total by Category
Contractual Services General	8	\$8,500	0.00%	0%
Telecommunications Maintenance	4	15,700	0.01%	0%
Maintenance & Repair of Motor Vehicle Equipment	1	4,000	0.00%	0%
Maintenance & Repair, General	4	107,222	0.05%	0.08%
Office Equipment Maintenance	2	12,640	0.01%	0.09%
Data Processing Equipment	3	40,000	0.02%	0%
Printing Contracts	6	102,519	0.05%	0.32%
Temporary Services	3	341,036	0.16%	0.86%
Training Programs for City Employees	1	4,000	0.00%	0%
Maintenance & Operation of Infrastructure	1	300,000	0.14%	0.14%
Payments to Delegate Agencies	1,334	207,841,233	99.01%	59.60%
Professional Services: Accounting & Auditing	17	100,000	0.05%	0.37%
Professional Services: Legal Services	1	20,000	0.01%	0.02%
Professional Services: Computer Services	3	50,000	0.02%	0.05%
Professional Services: Other	6	897,249	0.43%	0.43%
Fiscal 2015 Preliminary Budget	1,395	\$209,920,599	100%	