

THE COUNCIL OF THE CITY OF NEW YORK

Christine C. Quinn
Speaker of the Council

Hon. Gale A. Brewer
Chair, Committee on Governmental Operations



Hearing on the Fiscal 2014 Preliminary Budget

Board of Elections

March 14, 2013

Latonia McKinney, Deputy Director
John Russell, Principal Legislative Financial Analyst

Finance Division
Preston Niblack, Director
Jeffrey Rodus, First Deputy Director

Table of Contents

Board of Elections Overview 1

BOE Financial Summary 1

Fiscal 2014 Preliminary Plan Highlights..... 2

 Capital Program 2

Appendix A: Budget Actions in the November and Preliminary Plans 3

Appendix B: Contract Budget 4

Board of Elections Overview

The Board of Elections (The Board or BOE) conducts, as specified by State Law, all elections within the City of New York. The Board has a central office and five borough offices. The Board receives and examines candidates' petitions, registers voters either by mail or on specified registration days, and keeps current the City's voter registration lists. The Board staff holds and keeps minutes of all of the Commissioners' meetings.

BOE Financial Summary

<i>Dollars in Thousands</i>	2012 Actual	2013 Adopted	2013 Prelim. Plan	2014 Prelim. Plan	*Difference 2013 - 2014
Spending					
Personal Services	\$57,648	\$28,458	\$52,258	\$28,458	\$0
Full-Time Salaried – Civilian	15,810	9,517	29,517	9,517	0
Other Salaried & Unsalaries	35,487	16,390	19,890	16,390	0
Additional Gross Pay	223	89	89	89	0
Overtime - Civilian	5,825	1,292	1,292	1,292	0
Amounts to be Scheduled	0	1,146	1,146	1,146	0
Fringe Benefits	304	24	324	24	0
PS Other	(2)	0	0	0	0
Other Than Personal Services	\$52,187	\$56,282	\$62,465	\$44,132	(\$12,150)
Supplies and Materials	3,133	3,783	5,768	3,783	0
Property and Equipment	3,896	800	2,827	800	0
Other Services and Charges	21,431	29,279	20,171	17,129	(12,150)
Contractual Services	23,727	22,420	33,698	22,420	0
Fixed and Misc. Charges					0
TOTAL	\$109,835	\$84,740	\$114,723	\$72,590	(\$12,150)
Funding					
City Funds		\$84,740	\$110,490	\$72,590	(\$12,150)
State		0	3,266	0	0
Federal - Other		0	967	0	0
TOTAL	\$109,835	\$84,740	\$114,723	\$72,590	(\$12,150)
Positions					
TOTAL	340	323	323	323	0

*The difference of Fiscal 2013 Adopted compared to Fiscal 2014 Preliminary Plan funding.

Since Adoption, the BOE's Fiscal 2013 budget increases significantly due in large part to additional personal services expenses related to the 2012 presidential election. Because of the nature of elections, the BOE's budget varies significantly from year to year based on several variables, including the type of election (local, statewide, congressional or presidential), implementation of new voter laws, and special elections and other changes in election scheduling, many of which occur mid-year. While BOE routinely identifies budget shortfalls, funding for any deficits are added to the Board's budget by the fiscal year's end. The Board's Fiscal 2014 Preliminary Budget of \$72.6 million, is likely to be modified to meet the Board's changing needs.

Spending for other salaried and unsalaried personnel includes expenditures for the Board's more than 30,000 poll workers.

Fiscal 2014 Preliminary Plan Highlights

- **Additional Funding for the Presidential Election.** The Preliminary Plan includes additional funding of \$23.5 million in Fiscal 2013 to cover a shortfall in personnel needs associated with the presidential election held in November 2012.
- **Special Election Funding.** The Preliminary Plan includes \$400,000 in Fiscal 2013 for the 31th Council District – Queens County Special Election held in March 2013.
- **General Election Mailing.** The November Plan includes \$1.6 million in Fiscal 2013 to cover the costs of an additional mailing to inform voters of polling sites.

Capital Program

Included in the Capital Plan for Fiscal 2013 and 2014 are planned commitments totaling \$12.3 million for BOE equipment and infrastructure. BOE capital projects identified in the Capital Plan include purchasing voter machines, call center upgrades, relocation of offices and additional warehouse space.

Appendix A: Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY 2013			FY 2014		
	City	Non-City	Total	City	Non-City	Total
BOE Budget as of Adopted FY 2013 Plan	\$84,740	\$0	\$84,740	\$72,590	\$0	\$72,590
Program to Eliminate the Gap (PEGs)						
TOTAL, PEGs	\$0	\$0	\$0	\$0	\$0	\$0
New Needs						
Add. Presidential Election PS Funding	\$23,500	-	\$23,500	-	-	\$0
General Election Mailing	1,600	-	1,600	-	-	0
Special Election	400	-	400	-	-	0
Add Postage	250	-	250	-	-	0
TOTAL, New Needs	\$25,750	\$0	\$25,750	\$0	\$0	\$0
Other Adjustments						
Fringe Offset	-	-	\$0	-	-	\$0
Federal Funding	-	968	968	-	-	0
State Grants	-	3,265	3,265	-	-	0
TOTAL, Other Adjustments	\$0	\$4,233	\$4,233	\$0	\$0	\$0
TOTAL, All Changes	\$25,750	\$4,233	\$29,983	\$0	\$0	\$0
BOE Budget as of Preliminary FY 2014 Plan	\$110,490	\$4,233	\$114,723	\$72,590	\$0	\$72,590

Appendix B: Contract Budget

Category	Number	Budgeted	Pct of BOE's Total	Pct of City Total by Cat.
Contractual Services General	2	\$1,500,000	6.69%	0.33%
Telecommunications Maintenance	8	1,000	0.00%	0.00%
Maint & Repair, General	1	1,132	0.01%	0.00%
Office Equipment Maintenance	2	220,000	0.98%	1.74%
Data Processing Equipment	1	200,000	0.89%	0.10%
Printing Contracts	9	17,007,500	75.86%	53.44%
Security Services	1	200,000	0.89%	0.22%
Cleaning Services	1	100,000	0.45%	0.46%
Transportation Expenditures	9	2,750,000	12.27%	20.06%
Training Programs for City Employees	1	190,000	0.85%	1.23%
Professional Services Legal Services	1	150,000	0.67%	0.16%
Professional Services: Other	1	100,000	0.45%	0.06%
Fiscal 2014 Preliminary Budget	37	\$22,419,632	100%	0.20%

In the Preliminary Plan, the BOE's contract budget for Fiscal 2014 totals \$22.4 million to support 37 contracts. Because the BOE is responsible for printing all ballots for elections held in the City, printing contracts represent 75.9 percent of its contract budget and 55.3 percent of all printing contracts citywide.