



New York City Council

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**Hearing on the Mayor's Fiscal Year 2012 Preliminary Budget &
the Fiscal Year 2011 Preliminary Mayor's Management Report**

Department of Transportation

March 11, 2011

Committee on Transportation

Hon. James Vacca, Chair

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Fiscal 2011 Preliminary Budget Summary and Highlights

	2009 Actual	2010 Actual	2011 Adopted	2011 February	2012 February	Difference, 2011 – 2012
Personal Services	\$365,630,199	\$381,518,820	\$331,667,201	\$380,940,576	\$326,059,414	(\$5,607,787)
Other Than Personal Services	422,362,808	419,233,023	355,373,790	453,363,652	343,898,464	(11,475,326)
Table Total	\$787,993,007	\$800,751,843	\$687,040,991	\$834,304,228	\$669,957,878	(\$17,083,113)

**Difference refers to the variance between the Fiscal 2011 Adopted Budget and the Projected Fiscal 2012 Budget.*

The Department of Transportation's Fiscal 2012 Preliminary Budget totals \$669.9 million, which is \$17.1 million less than the Fiscal 2011 Adopted Budget of \$687 million. Because the City's fiscal year and the State and Federal fiscal years do not coincide, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments throughout the year as additional grant funds become available. In Fiscal 2011, over \$152 million in State and federal funding was realized post Adoption.

The proposed Fiscal 2012 Preliminary Budget includes \$417.9 million in City-tax levy funds – a 1.3-percent decrease from the Fiscal 2011 Adopted Budget of \$423.6 million. The Preliminary Budget includes \$12.7 million in Programs to Eliminate the Gap (PEGs), \$4.4 million in new needs --mostly for operational cost increases at the Department's headquarters, and a net of \$2.5 million in other adjustments including \$612,000 to facilitate planned reductions in administrative and clerical positions. The Department was able to meet most of its PEG program target with new revenue PEGs totaling over \$28 million, mostly from parking meter rate increases. The revenue PEGs are:

- **Increased Manhattan Parking Rates:** DOT proposes to increase parking rates from \$2 to \$2.50 per hour in the commercial zone in Manhattan (see p. 11).
- **Increase Highway Inspection & Quality Assurance (HIQA) Summons Fines:** Beginning in Fiscal 2011, DOT proposes to increase the fine amount on four HIQA violations (see p. 14).
- **Increase Meter Parking Rates in Municipal Fields:** The Department proposes to increase the rate in 35 municipal parking fields from \$0.75 to \$1.00 per hour (see p. 11).
- **Increased Passenger Parking Rates in all Boroughs:** DOT proposes to increase parking rates from \$0.75 to \$1.00 per hour for all meters in Manhattan above 86th Street and in the outer boroughs (see p. 11).

In addition to the above revenue PEGs, other key actions affecting the agency's proposed budget include:

- **Savings from the Installation of Energy-Efficient Lights:** Savings of \$2 million in Fiscal 2012 and the outyears from the installation of energy-efficient 150-watt cobra heads (see p. 11).
- **Reduction in Managerial, Administrative, Clerical Positions:** DOT anticipates savings of \$2.7 million in Fiscal 2012 and \$2.8 million in Fiscal 2013 and the outyears by reducing its "office title" positions (see p. 25).
- **One-Week Winter Layoff of Full-Time Employees in Street Maintenance:** Savings of \$1.1 million in Fiscal 2011, Fiscal 2012 and the outyears from the implementation of a one-week winter furlough for non-seasonal workers in street maintenance (see p. 14).

- **Agency-wide PS Reduction:** The Department anticipates savings of \$829,000 in Fiscal 2012, \$838,000, in Fiscal 2013 and \$848,000 and \$859,000 respectively in Fiscal 2014 and Fiscal 2015 from a reduction in vacancies. (see p. 25).
- **Consolidate Ferry Offices:** Savings of approximately \$700,000 in Fiscal 2012 and the outyears from the consolidation of DOT's Office of Private Ferries (see p. 19).
- **55 Water Street – Miscellaneous Services:** DOT has a new need of \$3 million for operational costs associated with its headquarters (see p. 27).

Department of Transportation

The Department of Transportation (DOT) is responsible for the safe and efficient movement of people and goods in New York City. To fulfill this mission, DOT builds and maintains streets, sidewalks, highways, bridges, and municipal parking facilities; maintains and operates municipal ferry systems and monitors private ferry systems; monitors private bus companies and the MTA Bus Company; participates in traffic regulation efforts; and serves as an advocate for better transportation. DOT is responsible for approximately 6,000 miles of streets and highways and 786 bridge structures, including six tunnels. The Department ensures traffic safety and mobility by mitigating the effects of construction on traffic; implementing engineering improvements; and installing and maintaining traffic signals at more than 12,300 signalized intersections. The Department also maintains over 1.3 million signs, over 300,000 streetlights, 69 million linear feet of markings and approximately 63,000 parking meters. The Department encourages the use of mass transit by operating the Staten Island Ferry and promoting new private ferry routes. The Department also encourages the use of alternative modes of transportation, and administers a citywide program advancing the use of alternative fuels.

According to reports, by 2030, the City's population is anticipated to grow by 12.5%. In that time, employment in the City is expected to rise approximately 20% while the daily traffic volume is expected to increase by approximately 6%. Increasing congestion on the City's highways will further exacerbate the difficulties of goods movement, as over 95% of all goods are shipped into the City via trucks. DOT's challenge, in the face of these trends, will be to channel as much of this rising demand into mass transit as possible, while still maintaining a viable and safe local street system. At the same time, the City must maintain its aging bridge and arterial network so that vital transportation links do not become either structurally deficient or congested, or pollution-causing bottlenecks, impeding the City's economic growth.

Key Public Services Areas

- Ensure the safety of motorists, pedestrians, and bicyclists traveling in New York City.
- Improve traffic mobility and reduce congestion throughout the City.
- Rehabilitate and maintain the City's bridges.
- Rehabilitate and maintain the City's streets, sidewalks, and highways.
- Encourage the use of mass transit and alternative modes of transportation.

Critical Objectives

- Ensure pedestrian, bicyclist, and motorist safety.
- Install and maintain traffic controls and safety devices.
- Manage traffic flow.
- Manage parking and curbside use.
- Complete bridge maintenance and capital projects promptly.
- Ensure accessibility of streets and sidewalks citywide.
- Ensure cleanliness of the arterial highway system.
- Ensure the quality of contractor work.
- Expand and improve private ferry service.
- Maintain and improve the Staten Island Ferry operation.
- Expand and improve private ferry service, walking, and cycling facilities.

SOURCE: Mayor's Management Report

Department of Transportation Financial Summary						
<i>Dollars in Thousands</i>						
	2009 Actual	2010 Actual	2011 Adopted	2011 Feb. Plan	2012 Feb. Plan	*Difference 2012–2011
Budget by Program Area						
Bridge Engineering and Administration	\$27,017	\$26,228	\$25,176	\$27,079	\$26,599	\$1,423
Bridge Maintenance, Repair & Operations	59,991	59,440	49,887	63,704	45,543	(4,344)
DOT Management & Administration	56,498	48,065	43,924	55,031	44,037	113
DOT Vehicles & Facilities Mgmt. & Maintenance	35,532	39,105	29,661	36,513	30,654	993
Ferry Administration & Surface Transit	6,800	5,834	3,991	8,662	3,991	0
Municipal Ferry Operation & Maintenance	80,973	89,542	94,736	100,029	86,346	(8,390)
Roadway Construction Coordination & Admin.	9,751	9,826	11,781	12,754	11,781	0
Roadway Repair, Maintenance & Inspection	192,321	198,184	174,127	204,208	169,631	(4,495)
Traffic Operations & Maintenance	294,788	289,861	241,828	283,518	239,445	(2,383)
Traffic Planning Safety & Administration	24,325	34,667	11,931	42,806	11,931	0
TOTAL	\$787,993	\$800,752	\$687,041	\$834,304	\$669,958	(\$17,083)
Funding						
City Funds			\$423,659	\$416,823	\$417,976	(\$5,683)
<i>Memo: City Funds</i>				1,183		
Other Categorical			34	1,441	34	0
State			40,504	106,022	40,254	(250)
Capital - IFA			172,751	172,751	171,051	(1,700)
Federal – Other			48,710	135,883	39,260	(9,450)
Intra-City			1,383	1,384	1,383	0
TOTAL			\$687,041	\$834,304	\$669,958	(\$17,083)
Positions						
Full-Time Positions	4,423	4,563	4,180	4,778	4,086	(94)
TOTAL	4,423	4,563	4,180	4,778	4,086	(94)

Preliminary Performance Highlights

The following performance measures were reported in the Mayor's Management Report for DOT.

	FY 08	FY 09	FY 10	4-Month Actual FY11	4-Month Actual FY 10	Target FY 12
Citywide Traffic Fatalities	300	276	259	96	93	*
Motorist/Passengers	123	98	97	40	38	*
Bicyclists/Pedestrians	177	178	162	56	55	*
Overall Traffic Crashes	182,805	177,996	183,278	N/A	N/A	*
Average Time to Respond to Traffic Signal Defect & Make Safe (hours)	4.0	4.3	3.7	3.5	3.2	*
Average Time to Repair Priority Regulatory Signs After Notification (days)	1.9	1.6	1.8	1.9	1.7	*
Average Time to Repair Street Lights – by DOT (days)	2.5	2.4	2.2	1.9	2.4	*
Average Time to Repair Street Lights – by ConEd (days)	12.5	14.0	13.5	13.5	13.5	*
Speed Humps Installed Near Schools	32	27	98	62	44	*
Tort Cases Commenced	2,146	2,138	2,175	713	729	*
Tort Dispositions	2,837	2,406	2,487	611	738	*
Tort Payout (\$000)	\$95,314	\$85,745	\$72,594	\$16,774	\$17,130	*

Fiscal 2012 Preliminary Contracts Budget

Category	Number	Budgeted	Pct of DOT Total	Pct of City Total	Pct. Change from 2011 ADP
Contractual Services General	89	\$19,714,973	15.5%	3.9%	17.1%
Telecommunications Maintenance	21	1,438,020	1.1%	2.9%	-3.0%
Maint & Repair of Motor Vehicle Equipment	26	1,848,259	1.5%	13.1%	0.9%
Maint & Repair, General	112	7,171,198	5.6%	7.3%	-16.3%
Office Equipment Maintenance	95	301,148	0.2%	2.1%	0.0%
Data Processing Equipment	29	1,182,967	0.9%	0.9%	0.0%
Printing Contracts	19	251,100	0.2%	0.8%	0.0%
Costs Assoc with Financing	1	486,000	0.4%	0.7%	100.0%
Security Services	7	11,353,908	8.9%	13.1%	-31.9%
Temporary Services	4	91,405	0.1%	0.3%	0.0%
Cleaning Services	31	2,811,266	2.2%	13.7%	0.0%
Transportation Expenditures	2	12,500	0.0%	0.1%	0.0%
Training Programs for City Employees	44	151,255	0.1%	1.1%	0.0%
Maint & Oper of Infrastructure	53	78,402,766	61.6%	64.7%	-1.3%
Professional Services: Engineer & Architect	2	80,000	0.1%	5.6%	0.0%
Professional Services: Computer Services	10	777,438	0.6%	0.6%	0.0%
Professional Services: Other	8	1,210,850	1.0%	0.9%	0.0%
Preliminary Budget	553	\$127,285,053	100.0%	8.7%	-3.3%

Program Areas

Capital Budget Summary

The February 2011 Capital Commitment Plan includes \$4.2 billion in Fiscal 2011-2014 for the Department of Transportation (including City and Non-City funds). This represents 12.6 percent of the City's total \$33.2 billion January Plan for Fiscal 2011-2014. The agency's February Commitment Plan for Fiscal 2011-2014 is 5.3 percent less than the \$4.4 billion scheduled in the September Commitment Plan, a decrease of \$234.6 million.

Over the past five years (2006-2010) the Department of Transportation has only committed an average of 52.8 percent of its annual Capital Plan. Therefore, it is assumed that a portion of the agency's Fiscal 2011 Capital Plan will be rolled into Fiscal 2012, thus increasing the size of the Fiscal 2012-2015 Capital Plan. Since adoption last June, the Capital Commitment Plan for Fiscal 2011 has decreased from \$1.8 billion to \$1.3 billion, a reduction of \$450 million or 25 percent.

Currently, the Department of Transportation's appropriations total \$3.8 billion in City-funds for Fiscal 2011. These appropriations are to be used to finance the agency's remaining \$766.1 million City-funded Fiscal 2011 Capital Commitment Program. The agency has \$3.1 billion, or 400 percent more funding than it needs, to meet its entire Capital Commitment Program for the current fiscal year.

2011-2014 Commitment Plan: Adopted and Preliminary Budget

Thousands of Dollars

	FY11	FY12	FY13	FY14	Total
Adopted					
Total Capital Plan	\$1,781,495	\$1,170,535	\$652,902	\$783,507	\$4,388,439
Prelim					
Total Capital Plan	\$1,331,442	\$1,355,622	\$854,155	\$612,414	\$4,153,633
Change					
Level	(\$450,053)	\$185,087	\$201,253	(\$171,093)	(\$234,806)
Percentage	-25.26%	15.81%	30.82%	-21.84%	-5.35%

FY 2012 January Capital Commitment Plan

Dollars in thousands

	2011	2012	2013	2014	2011-2014
Highway Bridges (HB)	\$213,019	\$651,204	\$251,394	\$289,377	\$1,404,994
Waterway Bridges (BR)	34,118	58,570	1,631	6,714	\$101,033
Equipment (TD)	38,495	10,369	2,774	1,817	\$53,455
Ferries & Aviation (FA)	59,615	46,812	267,143	11,484	\$385,054
Highways (HW)	710,097	473,431	277,451	231,973	\$1,692,952
Traffic (TF)	276,098	115,236	53,762	71,229	\$516,325
TOTAL	\$1,333,452	\$1,357,633	\$856,167	\$614,607	\$4,153,813

Preliminary Budget Highlights

In the Preliminary Capital Plan, DOT's planned commitments for Fiscal 2011-2014 decrease by \$234.6 million, or 5.3 percent, to a total of \$4.2 billion when compared to the Department's 2010 September Plan. Of the reductions, \$277 million is city-funded commitments while \$172.7 million is non-city commitments.

Major changes in the Preliminary Capital Plan for Fiscal 2011-2014 that resulted in the 5.3 percent decrease include:

- **Reconstruction Willis Avenue Harlem:** The sum of \$9.2 million in funding for this project has been rolled from Fiscal 2011 to Fiscal 2012 and increased by \$2.1 million for a total of \$11.3 million to reflect the current construction schedule and needs.
- **Borden Avenue Bridge Emergency Work:** Due to a re-estimate of costs for construction support services, funding for the Borden Avenue Bridge emergency work was increased by \$7.5 million, up from \$4.3 million to \$11.7 million, in Fiscal 2011.
- **Construction of New Ferry Boats:** The January Plan includes an additional \$15 million in Fiscal 2012 and \$4 million in Fiscal 2013 for new ferry boats. The additional funding brings total planned commitments for new boats to \$29 million.
- **Harlem River Drive Viaduct 127th St – 2nd Avenue:** The sum of \$3.7 million in funding for this project has been rolled from Fiscal 2011 to Fiscal 2012 and increased by \$20.1 million in Fiscal 2013 to reflect the current construction schedule and needs.

Preliminary Ten Year Capital Strategy

The Department's Ten-Year Capital Strategy includes a total of \$6.5 billion in funding for bridges, highways and ferries in Fiscal 2012-2021. The Department's Capital funding is divided into five categories of needs as illustrated by the chart below:

Ten-Year Capital Strategy by Category

(Dollars in thousands)

	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	TOTAL
Bridges	\$709,774	\$253,025	\$295,911	\$250,974	\$227,726	\$450,082	\$121,481	\$217,222	\$357,788	\$492,719	\$3,376,702
Highways	\$473,431	\$277,451	\$231,973	\$146,574	\$186,231	\$184,784	\$151,710	\$161,996	\$161,581	\$169,561	\$2,145,292
Traffic	\$115,236	\$53,762	\$71,229	\$49,160	\$48,227	\$53,368	\$48,403	\$53,760	\$39,280	\$62,155	\$594,580
Ferries	\$46,812	\$267,143	\$11,484	\$10,550	\$10,250	\$11,207	\$17,735	\$3,250	\$7,851	\$8,321	\$394,603
Equipment	\$10,369	\$2,774	\$1,817	\$1,997	\$2,115	\$1,717	\$1,759	\$2,215	\$2,354	\$2,402	\$29,519
Grand Total	\$1,355,622	\$854,155	\$612,414	\$459,255	\$474,549	\$701,158	\$341,088	\$438,443	\$568,854	\$735,158	\$6,540,696

Bridges. The Ten-Year Capital Strategy provides \$3.4 billion in Bridge Program funding. These funds are to be used for the reconstruction and upgrade of the City's numerous bridges including the four East River Bridges and 108 other bridge structures, including four structures currently rated "poor" that will be committed for reconstruction by 2016 at a cost of \$370 million. In addition, under the Bridge Program \$1.7 billion is provided to reconstruct 56 bridge structures currently rated "fair", including the Roosevelt Avenue Bridge over the Van Wyck Expressway, City Island Bridge in the Bronx and the Belt Parkway Bridge

over Nostrand Avenue in Brooklyn. Of the \$3.4 billion in bridge funding, \$2.1 billion will be supported by City-funds while \$1.3 billion and \$4 million will be supported by federal and state funds respectively.

Highways: The Ten-Year Capital Strategy provides \$2.1 billion for the rehabilitation of 7,700 lane miles of City streets. To fund the highways, the City will provide \$1.9 billion, the federal government will provide \$137.5 million, the State will provide \$35.5 million, and private funds will contribute \$59.8 million.

Traffic: The Ten-Year Capital Strategy for Fiscal Years 2012-2021 contains funding of \$594.6 million for various traffic programs including \$181 million for signal installation and computerization, \$182 million for the installation of 6,000 and replacement of 16,000 lampposts and luminaries, among others.

Ferries: The Ten-Year Capital Strategy contains funding of \$394 million for the reconstruction and improvement of various ferry vessels and facilities. Of this amount, \$190 million is provided for the construction of two next-generation Barberi Class boats and \$69.6 million is for the rehabilitation of the Staten Island Ferry vessel fleet. To fund this category, the City will provide \$96.7 million, the federal government will provide \$265.1 million, and the State will provide \$32.7 million.

Equipment: The Ten-Year Capital Strategy provides \$29.5 million for vehicles and equipment purchases for the DOT.

Traffic Operations and Maintenance

The Division of Traffic Operations is responsible for the safe and efficient movement of people and goods on the City's streets and for the development, installation, and maintenance of the City's traffic signals, street lights, traffic signs and roadway markings. The division manages the municipal parking facilities and parking meters as well as the Department's Traffic Management Center (TMC). The TMC monitors real-time traffic conditions, controls thousands of computerized traffic signals, and operates variable message signs on the City's major arteries. The division also manages the Red Light Camera program, which is designed to promote safe, responsible driving by photographing and fining vehicles that run red lights. Currently, the DOT has 170 cameras installed at 150 locations citywide.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$59,100	\$62,332	\$51,937	\$59,684	\$50,908	(\$1,029)
Other Salaried and Unsalariated	1,041	1,003	781	781	781	0
Additional Gross Pay	3,254	3,598	1,239	1,239	1,239	0
Overtime - Civilian	11,369	11,315	5,454	5,926	6,242	788
Fringe Benefits	1,075	609	627	3,119	627	0
Amounts to be Scheduled	0	0	793	793	793	0
Subtotal, PS	\$75,839	\$78,857	\$60,831	\$71,542	\$60,590	(\$241)
Other Than Personal Services						
Supplies and Materials	\$10,521	\$8,039	\$20,123	\$10,692	\$19,673	(\$450)
Property and Equipment	1,638	2,604	4,158	2,227	4,304	146
Other Services and Charges	82,895	77,779	79,294	80,201	76,209	(3,085)
Contractual Services	123,773	122,430	77,284	118,820	78,531	1,247
Fixed and Misc Charges	122	153	138	35	138	0
Subtotal, OTPS	\$218,949	\$211,005	\$180,997	\$211,975	\$178,855	(\$2,142)
TOTAL	\$294,788	\$289,862	\$241,828	\$283,517	\$239,445	(\$2,383)
Funding						
Capital IFA City			\$12,673	\$12,673	\$12,673	\$0
City			198,689	191,416	201,249	2,560
Federal – Other			29,049	45,310	24,105	(4,943)
Other Categorical			34	34	34	0
Intra-City			0	1	0	0
State			1,384	32,996	1384	0
TOTAL			\$241,829	\$282,430	\$239,445	(\$2,383)

DOT's Fiscal 2012 Preliminary Budget includes \$239.4 million for traffic operations and maintenance, \$2.4 million less than the Fiscal 2011 Adopted Budget of \$241.8 million and \$43 million less than the current Modified Budget. These variances are primarily the result of changes in the budget for Other Than Personal Services (OTPS) costs. Additional variances exist because the Department reports only baseline funding and State and federal grants that it anticipates at the beginning of the fiscal year; adjustments are made throughout the year as additional State and federal funds are received. The Fiscal 2011 Budget includes an additional \$47.6 in State and federal sources not assumed at Adoption last year. These funds include \$5.9

million for bicycle network development, \$6.5 million for the Traffic Management Center and \$16 million in State Consolidated Highway Improvement funds.

The Division of Traffic Operations Fiscal 2012 Preliminary Budget includes funding for 1,006 positions for traffic operations and maintenance, a decrease of 18 positions compared to the Fiscal 2011 Adopted Budget. This decrease is in part the result of an agency-wide reduction in headcount begun in Fiscal 2011. However, since most State and federal grants are generally recognized post Adoption, it is likely that additional positions will be recognized post Adoption.

Since the Fiscal 2011 Budget was adopted last June, specific actions affecting the Traffic Operations and Maintenance program area include the following:

- **Increase Manhattan Parking Rates:** DOT proposes to increase the rate of passenger and commercial parking from \$2.50 to \$3.00 an hour in Manhattan's commercial zone. The Department anticipates additional revenue of \$1.2 million in Fiscal 2011 and \$10.4 million in Fiscal 2012 and the outyears that is offset by \$2.2 in Fiscal 2011 and \$1.8 million in Fiscal 2012 and the outyears for related OTPS costs. This action is estimated to bring total parking meter revenue to \$184.3 million in Fiscal 2012, a 25.5-percent increase over the actual revenue from parking meters in Fiscal 2010 of \$146.8 million. For Fiscal 2011, parking meter revenue is currently projected to be \$153.6 million.
- **Increase Meter Parking Rates in Municipal Fields:** To conform to existing rates, the Department proposes to increase the rate for 1,857 of the 4,069 spaces in 35 municipal parking fields citywide from \$0.75 to \$1.00. This action is anticipated to increase parking revenue by \$344,000 in Fiscal 2011 and \$759,000 in Fiscal 2012 and the outyears.
- **Increase Passenger Parking Rates in all Boroughs:** The Department will generate additional revenue of \$13.8 million in Fiscal 2012 and the outyears from increased parking rate changes from \$0.75 to \$1.00 per hour for all meters in Manhattan above 86th Street and the outer boroughs. This proposal was originally slated to occur by January 2011 but funding to delay this action until June 30, 2011 was negotiated by the City Council.
- **Federal Funding Switch for Traffic Management Center:** DOT will use Federal Highway Administration funds in place of City tax-levy funds for the maintenance of automated traffic signals. This action will result in a savings of \$6.5 million in Fiscal 2011 only.
- **CHIPS Funding Switch for In-House Signs:** DOT will use \$500,000 in State Consolidated Highway Improvement Program (CHIPS) funding in place of City funds for the manufacture of traffic signs in Fiscal 2011 only.
- **Savings Resulting from the Installation of Energy-Efficient Cobra Heads on Highways:** DOT will replace 23,511 additional 250-watt cobrahead fixtures with more energy efficient 150-watt fixtures. This action is anticipated to generate savings of \$974,000 in Fiscal 2011 and \$1.9 million in Fiscal 2012 and the outyears.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY11	4-Month Actual FY 10	Target FY 12
Percent of metered spaces that have muni-meters (multi-space meters)	29.9	36.6	40.9	41.7	38.3	*
Average vehicular travel speeds (miles per hour) – Manhattan Central Business District	N/A	9.1	9.3	N/A	N/A	*

In the first four months of Fiscal 2011, the proportion of metered spaces with multi-space parking increased to over 41 percent citywide compared to the Fiscal 2010 actual of 38.3 percent. Nearly 75 percent of all muni-metered parking accepts credit cards.

The Mayor’s Management Report for the DOT now includes data reporting on vehicular travel speeds in Manhattan Central Business District, a key yardstick for measuring improved mobility and congestion. The new metric measure provides data on the average weekday travel speed of yellow taxi cabs within Manhattan’s Central Business District south of 60th Street. As indicated, travel time increased from 9.1 miles per hour in Fiscal 2009 to 9.3 miles per hour in Fiscal 2010.

Roadway Repair, Maintenance and Inspection

The Department's Roadway Repair, Maintenance and Inspection program area is responsible for the maintenance and inspection of approximately 5,700 linear miles of streets and arterial highways within the five boroughs. In an effort to maintain the arterial highways within the City, and increase community participation, the Department manages the Adopt-a-Highway Program. This program enables sponsors to adopt up to 362 miles of highway and contribute funding for the cleaning and maintenance of the roadside. Additionally, the Division utilizes available State aid to perform both road maintenance and repair activities. The Division annually cleans and maintains 1,175 lane miles of arterial highway and 2,525 acres of landscaped areas and shoulders. The Division also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$71,535	\$77,091	\$72,443	\$78,160	\$70,048	(\$2,395)
Other Salaried and Unsalaries	12,558	12,237	7,504	8,711	7,504	0
Additional Gross Pay	6,596	6,293	3,349	3,349	3,349	0
New Positions	0	0	14	0	0	(14)
Overtime - Civilian	14,952	17,017	9,877	13,104	9,892	15
Fringe Benefits	698	476	486	2,933	487	0
Subtotal, PS	\$106,339	\$113,114	\$93,673	\$106,257	\$91,278	(\$2,394)
Other than Personal Services						
Supplies and Materials	\$65,036	\$59,773	\$55,691	\$54,974	\$55,291	(\$400)
Property and Equipment	532	631	1,265	2,773	1,265	0
Other Services and Charges	12,918	12,360	9,516	27,742	7,826	(1,690)
Contractual Services	7,496	12,306	13,962	12,445	13,951	(10)
Fixed and Misc Charges	2	0	20	16	20	0
Subtotal, OTPS	\$85,984	\$85,070	\$80,454	\$97,950	\$78,353	(\$2,100)
TOTAL	\$192,323	\$198,184	\$174,127	\$204,207	\$169,631	(\$4,496)
Funding						
Capital IFA City			\$131,119	\$131,119	\$129,419	(\$1,700)
City			35,141	37,878	32,345	(2,796)
Federal – Other			0	11,971	0	0
Intra City			0	0	0	0
State			7,867	23,239	7,867	0
TOTAL			\$174,127	\$204,207	\$169,631	(\$4,496)

DOT's Fiscal 2012 Preliminary Budget includes \$169.6 million in Fiscal 2012 for roadway repair, maintenance and inspections, \$4.5 million less than the amount allocated in the Fiscal 2011 Adopted Budget. This decrease in funding is primarily attributable to a one-week winter furlough of non-seasonal workers in street maintenance and reduced intra-funding agreements (IFAs), which are funding shifts from the Capital Budget to the Expense Budget for Capital work performed by the Department's staff. The Fiscal 2012 Preliminary Budget includes only \$129 million in IFA-funds for this program area compared to \$131 million in Fiscal 2011. The funding may increase post Adoption if additional inter-fund agreements are recognized.

The Fiscal 2012 Preliminary Budget provides funding for 968 positions for roadway repair, maintenance and inspection, a decrease of 23 positions compared to the Fiscal 2011 Adopted Budget number of 991 positions.

Since the Fiscal 2011 Budget was adopted last June, two key PEG actions affecting this program area will result in City tax-levy savings of \$2 million in Fiscal 2012.

- One-Week Winter Layoff of Full-Time Employees in Street Maintenance & Arterial Resurfacing:** The Department will furlough 641 full-time workers in Street Maintenance and Arterial Resurfacing for a savings of \$1 million in Fiscal 2011 and Fiscal 2011 and the outyears. Because of this action, it is estimated that 9,000 fewer potholes will be repaired annually.
- Increase Highway Inspection & Quality Assurance Fines:** DOT anticipates additional revenue of \$1 million annually from increased fine amounts on four Highway Inspection Quality Assurance (HIQA) violations. The violations are: opening of street without permit that would increase from \$800 to \$1,500; opening of protected street without permit that would increase from \$1,400 to \$1,800; street closing without permit that would increase from \$1,200 to \$1,800; and no notice to DOT before starting work on a protected street that would increase from \$250 to \$750.
- Eliminate Vacancies in Arterial Maintenance:** The Department will eliminate four vacant positions in arterial maintenance including one associate Parking Control Specialist, one Associate Staff Analyst, and two City Debris Removers for savings of \$127,000 in Fiscal 2011, \$257,000 in Fiscal 2012 and growing to \$269,000 by Fiscal 2015.
- Roadway Repair and Maintenance – Overtime:** The Fiscal 2011 Preliminary Budget for DOT includes a new need of \$2 million for overtime costs associated with the performance of critical repairs to street infrastructure, including pothole repairs in Fiscal 2011.
- Lower Manhattan Construction Command Center (LMCCC):** The Fiscal 2011 Preliminary Budget for DOT includes a new need of \$270,000 in Fiscal 2011, \$637,000 in Fiscal 2012 and \$666,000 in Fiscal 2013 for 12 highway inspector positions related to ongoing LMCCC construction projects.
- FEMA Grant:** The Fiscal 2011 Preliminary Budget for DOT includes \$1.8 million in other adjustments. This action represents the City’s match required to receive Federal Emergency Management Agency (FEMA) funding of \$14.4 million for emergency sidewalk repairs following the September 2010 storm.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY11	4-Month Actual FY 10	Target FY 12
Streets Maintained with a Pavement Rating of – Good (%)	66.4	70.9	70.8	N/A	N/A	*
Percentage of City Highways with a Cleanliness Rating of Good	98.3	98.3	98.1	N/A	N/A	*
Average time to Close a Pothole Work Order where Repair was done (days)	2.7	4.1	5.6	6.8	5.9	*
Average Cost per Lane Mile Resurfaced Citywide (\$)	\$137,113	\$136,191	\$166,203	N/A	N/A	*

According to the Preliminary Mayor’s Management Report (PMMR), in the first four months of Fiscal 2011 the average time to close a pothole work order where repair was done was 6.8 days compared to 5.9 days during the same period of Fiscal 2010. The overall average for Fiscal 2010 to close pothole orders was 5.6 days. However, it was 2.7 days and 4.1 days respectively in Fiscal 2008 and Fiscal 2009. The increase in the

average number of days it takes to repair a pothole is due in part to the harsher weather of the last year. However, given the planned one-week furlough of street maintenance workers it is likely that future pothole repair work will require additional days to complete.

Roadway Construction Coordination and Administration

The Department supervises street resurfacing and repair work; permits management; and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$7,731	\$8,025	\$9,157	\$10,169	\$9,157	\$0
Other Salaried and Unsalared	706	696	841	841	841	0
Additional Gross Pay	320	331	343	343	343	0
Overtime - Civilian	726	495	788	788	788	0
Fringe Benefits	0	0	0	303	\$0	0
New Positions	0	0	0	0	0	0
Subtotal, PS	\$9,483	\$9,547	\$11,129	\$12,444	\$11,129	\$0
Other than Personal Services						
Supplies and Materials	\$74	\$93	\$121	\$115	\$121	\$0
Property and Equipment	15	44	26	23	26	0
Other Services and Charges	19	7	30	31	30	0
Contractual Services	156	135	475	141	475	0
Fixed and Misc. Charges	3	0	0	0	0	0
Subtotal, OTPS	\$267	\$279	\$654	\$310	\$652	\$0
TOTAL	\$9,750	\$9,826	\$11,781	\$12,754	\$11,781	\$0
Funding						
Capital IFA City			\$2,185	\$2,185	\$2,185	\$0
City			9,596	9,254	9,596	0
Federal – Other			0	830	0	0
Other Categorical			0	254	0	0
State			0	231	0	0
TOTAL			\$11,781	\$12,754	\$11,781	\$0

DOT’s Fiscal 2012 Preliminary Budget includes \$11.8 million in Fiscal 2012 for roadway construction coordination and administration – the same amount compared to the Fiscal 2011 Adopted Budget amount. The Fiscal 2012 headcount for this program area remains at 114 positions, the same as at Fiscal 2011 Adoption.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY11	4-Month Actual FY 10	Target FY12
Construction Permits Issued (000)	253.9	268.9	244.1	88.9	85.0	*
Inspections of Permitted Street Work (000)	532.7	558.0	550.9	186.4	193.2	*
Inspected Street Work Rated Satisfactory	77%	78%	75%	73%	76%	75%
Summonses Issued (000)	33.4	26.6	25.6	9.5	6.7	*

Municipal Ferry Operation and Maintenance

This program area is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities. The Staten Island Ferry currently carries over 20 million passengers annually on a 5.2-mile run between the St. George Terminal in Staten Island and the Whitehall Terminal in lower Manhattan. Service is provided 24 hours a day, 365 days a year. A typical weekday schedule involves the use of five boats to transport approximately 65,000 passengers daily (110 daily trips). During the day, between rush hours, boats are regularly fueled and maintenance work is performed. Terminals are cleaned around the clock and routine terminal maintenance is performed on the day shift. On weekends, three boats are used (64 trips each weekend day). Over 33,000 trips are made annually. The Fiscal 2010 Adopted Budget contains federal stimulus funding of \$10.1 million in Fiscal 2010, Fiscal 2011 and Fiscal 2012 for ferry transit maintenance in addition to the \$277 million which was allocated in Fiscal 2009 for various Capital projects. The funded projects include the reconstruction of ramps at the St. George Ferry Terminal and the dry-docking of six Molinari and Barberi class ferryboats.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$31,982	\$32,907	\$43,028	\$40,120	\$39,325	(\$3,703)
Other Salaried and Unsalaries	438	427	109	109	109	0
Additional Gross Pay	3,316	3,257	1,748	1,748	1,748	0
Overtime - Civilian	12,073	12,333	6,601	9,086	9,071	2,470
Fringe Benefits	716	387	380	380	380	0
Subtotal, PS	\$48,525	\$49,311	\$51,866	\$51,443	\$50,633	(\$1,233)
Other than Personal Services						
Supplies and Materials	\$11,243	\$12,870	\$11,936	\$12,757	\$11,536	(\$400)
Property and Equipment	335	264	247	267	247	0
Other Services and Charges	70	154	2,911	2,937	2,911	0
Contractual Services	20,784	26,925	27,758	32,623	21,001	(6,757)
Fixed and Misc. Charges	15	18	18	4	18	0
Subtotal, OTPS	\$32,447	\$40,231	\$42,870	\$48,588	\$35,713	(\$7,157)
TOTAL	\$80,972	\$89,542	\$94,736	\$100,031	\$86,346	(\$8,390)
Funding						
Capital IFA City			\$1,891	\$1,891	\$1,891	\$0
City			48,908	46,949	45,308	(3,600)
Federal – Other			17,557	24,286	12,767	(4,791)
Intra City			1,075	1,075	1,075	0
State			25,305	25,830	25,305	0
TOTAL			\$94,736	\$100,031	\$86,346	(\$8,390)

DOT's Fiscal 2012 Preliminary Budget includes \$86.3 million in Fiscal 2012 for municipal ferry operation and maintenance –\$8.4 million less than the Fiscal 2011 Adopted Budget amount of \$94.7 million. The decrease is partly attributable to two main variables that include State and federal funding which is not recognized in DOT's budget until the funds are made available during the fiscal year, and a decrease in Personal Services costs in line with the agency-wide reduction in headcount begun in Fiscal 2011. The Fiscal 2012 Preliminary Budget headcount for this program area is 595 – a decrease of nine positions compared to the Fiscal 2011 Adopted Budget amount of 614 positions.

Actions taken which affect this program area since Fiscal 2011 Budget Adoption last June are as follows:

- **Federal Funding Switch for Ferry Dock-Building and Terminal Maintenance:** DOT will use Federal Transit Administration (FTA) funds in place of City tax-levy funds for dock-building and terminal maintenance operations for the Staten Island Ferry. This action will result in a savings of \$1.47 million annually.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY 11	4-Month Actual FY 10	Target FY12
Staten Island Ferry – Trips that are on-time	91.0%	91.1%	90.6%	87.6%	89.6%	90.0%
Ridership (millions)	19.8	20.1	21.5	7.8	7.7	*
Average cost per passenger (\$)	5.69	5.38	5.32	N/A	N/A	*

Ridership on the Staten Island Ferry continues to increase. In the first four months of Fiscal 2011, ridership grew to 7.8 million compared to the same period a year ago. Ridership on the Ferry grew to more than 21 million in Fiscal 2010 compared to 20 million in Fiscal 2009. As a result, the average cost per passenger decreased by six cents to \$5.32, compared to \$5.38 in Fiscal 2009.

Ferry Administration and Surface Management

This program area is responsible for regulating private ferries, in addition to overseeing subsidies to the MTA Bus Company (MTABC) and the Atlantic Bus Express paid from the City's Miscellaneous Budget. In 2006, the City finalized the transfer of subsidized local and express bus service formerly provided by private franchise bus companies to the MTABC. MTABC is primarily funded through farebox revenues and City subsidies. In addition to five facilities leased from private owners, the City currently owns three bus depots dedicated to MTABC operations, located in Yonkers, Southeast Brooklyn, and College Point, Queens.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$3,934	\$3,971	\$3,463	\$4,097	\$3,463	\$0
Other Salaried and Unsalaries	100	131	17	17	17	0
Additional Gross Pay	127	132	309	309	309	0
Overtime - Civilian	336	331	137	137	137	0
Fringe Benefits	0	0	0	190	0	0
Subtotal, PS	\$4,497	\$4,565	\$3,926	\$4,750	\$3,926	\$0
Other than Personal Services						
Supplies and Materials	\$527	\$182	\$19	\$77	\$19	\$0
Property and Equipment	11	29	6	420	6	0
Other Services and Charges	1,048	839	27	2,788	27	0
Fixed and Misc. Charges	0	0	0	0	0	0
Contractual Services	717	219	12	626	12	0
Subtotal, OTPS	\$2,303	\$1,269	\$64	\$3,911	\$64	\$0
TOTAL	\$6,800	\$5,834	\$3,990	\$8,661	\$3,990	\$0
Funding						
Capital IFA City			\$120	\$120	\$120	\$0
City			3,870	4,225	3,870	0
Federal – Other			0	4,272	0	0
State			0	44	0	0
TOTAL			\$3,990	\$8,661	\$3,990	\$0

DOT's Fiscal 2012 Preliminary Budget includes \$4 million and 42 positions in Fiscal 2012 for ferry administration and surface management. There are no changes in funding and headcount between the Fiscal 2012 Preliminary Budget and the Fiscal 2011 Adopted Budget.

While funding for this program area remains unchanged compared to the Fiscal 2011 Adopted amount, it should be noted that federal and State funds have increased post Adoption by a combined total of more than \$4.3 million. The current plan for Fiscal 2012 includes no federal funding for this program area.

Actions taken which affect this program area since Fiscal 2011 Budget Adoption last June are as follows:

- **Consolidate Ferry Offices:** DOT will consolidate the Office of Private Ferries with the Staten Island Ferry (SIF) and shift the unit's tasks to the SIF and the Economic Development Corporation (EDC) staff. This action will result in a savings of \$331,000 in Fiscal 2011, \$688,000 in Fiscal 2012, growing to \$712,000 by Fiscal 2015.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY 11	4-Month Actual FY 10	Target FY12
Private Ferry – Average Weekday Ridership	33,627	30,694	27,943	30,237	29,640	*
Private Ferry – Number of Routes	19	20	21	19	21	*

Unlike the Staten Island Ferry, users of private ferry services must pay market rate fares. In Fiscal 2010, ridership on private ferries declined by 8.9 percent due to the downturn in the economy. In the first four months of Fiscal 2011, ridership on private ferries has shown a slight increase to 30,237, compared to 29,640 in the same period last year. Currently, major providers of private ferry service under DOT's supervision include, New York Water Way, Seastreat, Liberty Water Taxi, and New York Water Taxi.

Bridge Maintenance, Repair and Operations

The Department's Division of Bridges is responsible for the inspection, maintenance, repair and operation of 789 structures, including 758 non-movable bridges, 25 movable bridges, and six tunnels including the four East River bridges. While the Division is responsible for the capital rehabilitation of the 61 culverts in Staten Island, maintenance and inspection responsibilities remain with the New York City Department of Environmental Protection. In addition, the Bridge Division designs and supervises consultant designs of bridge projects and oversees major bridge reconstruction and construction work. The Division is comprised of six bureaus: Roadway Bridges; East River Bridges/Movable Bridges/Tunnels; Engineering Review; Bridge Maintenance/Inspections/Operations; Specialty Engineering and Construction; and Management Support Services.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$28,749	\$29,412	\$32,264	\$34,931	\$30,132	(\$2,132)
Other Salaried and Unsalariated	950	773	2	2	2	0
Additional Gross Pay	1,120	972	563	563	563	0
Overtime - Civilian	8,241	7,746	4,321	5,343	4,318	(3)
Fringe Benefits	2,723	2,225	2,435	3,618	2,435	0
Subtotal, PS	\$41,783	\$41,128	\$39,585	\$44,457	\$37,450	(\$2,135)
Other than Personal Services						
Supplies and Materials	\$2,469	\$3,061	\$2,529	\$4,210	\$2,029	(\$500)
Property and Equipment	181	89	359	492	359	0
Other Services and Charges	742	729	829	1029	733	(96)
Contractual Services	14,806	14,422	6,569	13,497	4,955	(1,614)
Fixed and Misc. Charges	10	10	15	18	15	0
Subtotal, OTPS	\$18,208	\$18,311	\$10,301	\$19,246	\$8,091	(\$2,210)
TOTAL	\$59,991	\$59,439	\$49,886	\$63,703	\$45,541	(\$4,345)
Funding						
Capital IFA City			\$1,641	\$1,641	\$1,641	\$0
City			41,684	38,164	39,514	(2,168)
Federal – Other			1925	14,300	0	(1,926)
Intra City			285	285	285	0
State			4,351	9,313	4,101	(250)
TOTAL			\$49,886	\$63,703	\$45,541	(\$4,345)

DOT's Fiscal 2012 Preliminary Budget includes \$45.5 million for bridge maintenance, repair and operations in Fiscal 2012 - a decrease of \$4.3 million or 8.7 percent compared to the Fiscal 2011 Adopted Budget of \$49.9 million. These funds will help the Department to continue to provide adequate maintenance of the City's bridges. In Fiscal 2011, total federal funding for this program area is \$14.3 million and includes \$6.6 million for the maintenance of the Manhattan, Queensboro and Williamsburg Bridges and \$2.8 million for bridge inspection. The Fiscal 2012 Plan includes no federal funding for bridge maintenance, repair and operations. It is worth noting that in prior fiscal years actual funding for bridge operations increased post Adoption as federal funds became available. Thus, it is likely that funding for bridge operations will increase in Fiscal 2012 as additional federal funds are recognized.

Additionally, in line with DOT’s agency-wide headcount reduction, the Fiscal 2012 Preliminary Budget includes funding for 440 positions in this program area –a decrease of 38 positions compared to the Fiscal 2011 Adopted Budget amount of 478 positions.

Since the adoption of the Fiscal 2011 Budget, actions affecting the Bridge, Repair and Operations program area include the following:

- **CHIPS Funding Switch for Bridge Program:** DOT will use State funding from the Consolidated Highway Improvement Program (CHIPS) in place of City tax-levy funds under the bridge maintenance program for 27 positions and associated OTPS costs for savings of \$2.3 million in Fiscal 2011, Fiscal 2012 and Fiscal 2013.
- **Federal Funding Switch for Bridge Inspections:** DOT will use Federal Highway Administration (FHWA) funds in place of City tax-levy funds under the bridge inspection program for 34 positions and associated OTPS costs for a savings of \$2.8 million in Fiscal 2012 and Fiscal 2013.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY11	4-Month Actual FY 10	Target FY11
Bridges Rated Fair (%)	58.2%	57.8%	58.1%	N/A	N/A	*
Bridges Rated Good or Very Good (%)	41.4%	41.8%	41.4%	N/A	N/A	*
Bridges Rated Poor (%)	0.4%	0.4%	0.5%	N/A	N/A	*
Bridge Projects (Structural) Completed on Schedule –East River	100%	100%	N/A	N/A	N/A	100%
Bridge Projects (Structural) Completed on Schedule –Non East River	100%	67%	100%	N/A	N/A	100%

Bridge Engineering and Administration

This program area is responsible for the design of bridge projects and supervision of consultant designs of bridge projects, and for oversight of Capital Budget work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's Capital Budget through inter-fund agreements (IFA). Since 2000, this Division has managed over \$3 billion in bridge Capital reconstruction projects including a number of projects to rehabilitate the East River Bridges, namely the Brooklyn, Manhattan, Williamsburg and Queensboro Bridges. Over the last few years other major bridges have been completely replaced, including the Third Avenue, Macombs Dam and 145th Street spans over the Harlem River. Over the next ten years, DOT has \$3.4 billion in the Ten Year Capital Strategy plan to spend on bridge reconstruction projects.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$21,368	\$22,928	\$22,009	\$22,966	\$23,247	\$1,238
Other Salaried and Unsalariad	304	318	4	4	4	0
Additional Gross Pay	885	1068	842	842	842	0
Overtime - Civilian	1,046	858	815	871	815	0
Fringe Benefits	0	0	1	304	1	0
Subtotal, PS	\$23,603	\$25,172	\$23,671	\$24,987	\$24,909	\$1,238
Other than Personal Services						
Supplies and Materials	\$100	\$126	\$283	\$270	\$283	\$0
Property and Equipment	9	61	283	298	283	0
Other Services and Charges	2,332	245	304	265	400	96
Contractual Services	972	623	609	1,233	698	89
Fixed and Misc. Charges	0	0	26	26	26	0
Subtotal, OTPS	\$3,413	\$1,055	\$1,505	\$2,092	\$1,690	\$185
TOTAL	\$27,016	\$26,227	\$25,176	\$27,079	\$26,599	\$1,423
Funding						
Capital IFA City			\$18,757	\$18,757	\$18,757	\$0
City			6,419	7,004	5,632	(787)
Federal – Other			0	1,318	2,210	2,210
State			0	0	0	0
TOTAL			\$25,176	\$27,079	\$26,599	\$1,423

DOT's Fiscal 2012 Preliminary Budget includes \$26.6 million in Fiscal 2012 for engineering and administration– an increase of \$1.4 million over the Fiscal 2011 Adopted Budget amount of \$25.2 million. This increase is primarily for Personal Services costs. DOT has been able to secure \$1.3 million in federal funds for this program area post-Adoption. The agency now anticipates that it will receive \$2.2 million in federal funds in Fiscal 2012.

DOT Management and Administration

This program area consists of the commissioner's office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general procurement services, data processing, general counsel, public information and information systems. The agency's six Borough Commissioners, including the Borough Commissioner for Lower Manhattan, are also included in this program area. The Borough Commissioners are charged with dealing directly with borough-wide issues.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$27,390	\$30,375	\$25,944	\$32,714	\$26,237	\$293
Other Salaried and Unsalariated	2,020	1,930	1,103	1,103	1,103	0
Additional Gross Pay	919	1166	627	627	627	0
Overtime - Civilian	1,190	880	1,021	1,021	1,021	0
Fringe Benefits	0	0	10	1,960	10	0
P.S. Other	-69	-101	0	0	0	0
Subtotal, PS	\$31,450	\$34,250	\$28,705	\$37,425	\$28,998	\$293
Other than Personal Services						
Supplies and Materials	\$1,244	\$439	\$1,383	\$1,326	\$1,385	\$2
Property and Equipment	458	400	605	484	423	(182)
Other Services and Charges	21,569	11,006	11,799	12,005	11,799	0
Contractual Services	1,774	1,967	1,428	3,786	1,428	0
Fixed and Misc. Charges	2	3	4	5	4	0
Subtotal, OTPS	\$25,047	\$13,815	\$15,219	\$17,606	\$15,039	(\$180)
TOTAL	\$56,497	\$48,065	\$43,924	\$55,031	\$44,037	\$113
Funding						
Capital IFA City			\$3,866	\$3,866	\$3,866	\$0
City			38,260	40,128	38,373	113
Federal – Other			178	5361	178	0
Intra City			23	23	23	0
Other Categorical			0	65	0	0
State			1597	5,588	1597	0
TOTAL			\$43,924	\$55,031	\$44,037	\$113

DOT's Fiscal 2012 Preliminary Budget includes \$44 million for this program area, \$113,000 more than the Fiscal 2011 Adopted Budget amount of \$43.9 million. However, compared to the current Modified Budget, the Fiscal 2012 Preliminary Budget shows a decrease of \$11 million as a result of additional State and federal funds received post-Adoption and used for 96 positions and other administrative overhead costs associated with the implementation of federal- and State-funded projects agency-wide. Because State and federal grants are generally recognized post-Adoption, the 96 positions are not currently recognized in the Fiscal 2012 Preliminary Budget. The increase between the Fiscal 2012 Budget and the Fiscal 2011 Adopted Budget is reflective of the additional funding needed for a Personal Services costs adjustment and offset by decreases in OTPS funding.

Since adoption of the Fiscal 2010 Budget last June, funding for this program area has increased as a result of the following actions:

- **Eliminate Security Guard Services at 55 Water Street:** DOT will eliminate contracted security guard services at the 55 Water Street location for a savings of \$66,000 in Fiscal 2011 and \$108,000 in Fiscal 2012 and the outyears.
- **Reduction in Managerial, Administrative, Clerical and Planning Positions:** Excluding field personnel and engineers, DOT will reduce by layoff 35 (4%) of its 877 office title positions. These positions will include managerial, administrative, clerical and planning positions and will result in savings of 994,000 in Fiscal 2011, \$2.7 million in Fiscal 2012 and \$2.8 million in Fiscal 2013 and the outyears. The impact of this reduction will likely affect all of the Department's program areas.
- **Eliminate Pitkin Avenue Cleaning Contract:** The Department anticipates savings of \$41,000 in Fiscal 2011 and \$61,000 in Fiscal 2012 and the outyears from the elimination of a cleaning contract for DOT's Pitkin Avenue facility. Cleaning tasks will be performed in-house by Work Experience Program (WEP) participants.
- **Reduce Security Guard Services at DOT Locations in Brooklyn and Queens:** Because DOT will eliminate guard services during business hours at four of its Brooklyn and one of its Queens yard facilities, the agency anticipates savings of \$100,000 in Fiscal 2011 and \$175,000 in Fiscal 2012 and the outyears.
- **Agency-wide PS Reduction:** The Fiscal 2012 Preliminary Budget for the Department of Transportation includes an agency-wide reduction of ten positions resulting in Personal Services savings of \$829,000 in Fiscal 2012, \$838,000 in Fiscal 2013 growing to \$859,000 by 2015.

DOT Vehicles, Facilities Management and Maintenance

Funding in this program area provides for the maintenance of the Department's approximately 3,000 vehicles and facilities citywide.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$9,517	\$9,796	\$8,251	\$8,653	\$7,115	(\$1,136)
Full-Time Salaried - Uniformed	0	0	0	0	0	0
Other Salaried and Unsalariated	241	211	25	25	25	0
Additional Gross Pay	234	236	160	160	160	0
Overtime - Civilian	1,203	1,217	1,266	1,266	1,266	0
Fringe Benefits	240	203	249	482	249	0
Subtotal, PS	\$11,435	\$11,663	\$9,951	\$10,586	\$8,815	(\$1,136)
Other than Personal Services						
Supplies and Materials	\$2,166	\$5,296	\$1,773	\$4,601	\$1,673	(\$100)
Property and Equipment	1,636	1,542	1,213	1,261	783	(430)
Other Services and Charges	12,388	15,742	14,252	14,273	14,252	0
Contractual Services	1,441	2,432	2,469	5,789	5,128	2,659
Fixed and Misc. Charges	6,466	2,430	2	2	2	0
Subtotal, OTPS	\$24,097	\$27,442	\$19,709	\$25,926	\$21,838	\$2,129
TOTAL	\$35,532	\$39,105	\$29,660	\$36,512	\$30,653	\$993
Funding						
Capital IFA City			\$250	\$250	\$250	\$0
City			29,410	30,100	30,403	993
Federal – Other			0	4,813	0	0
State			0	1,349	0	0
TOTAL			\$29,660	\$36,512	\$30,653	\$993

DOT's Fiscal 2012 Preliminary Budget includes \$30.7 million in Fiscal 2012 for vehicles, facilities management and maintenance – \$993,000 more than the Fiscal 2011 Adopted Budget amount of \$29.7 million. This increase is primarily due to new needs for operational costs increases at DOT facilities offset by decreases in PS and other OTPS costs in this program area. The Fiscal 2012 Preliminary Budget is \$5.8 million less than the current Modified Budget. This is due in part to increased State and federal funding, post-Adoption, for fleet-wide emission reduction initiatives totaling more than \$4.6 million, including \$2 million for the Hunts Point Diesel Emission program, in Fiscal 2011. The Fiscal 2012 Preliminary Budget includes funding for 109 positions in Fiscal 2012 for this program area – a decrease of 18 positions compared to the Fiscal 2011 Adopted Budget amount of 127 positions.

Since adoption of the Fiscal 2011 Budget last June, the following actions have altered the DOT vehicle, facilities management and maintenance program area budget:

- **Eliminate Auto Mechanic Positions from Fleet Services:** DOT will eliminate three auto mechanic vacancies in Fiscal 2011 and five positions in Fiscal 2012 and the outyears. This action will result in a savings of \$124,000 in Fiscal 2011, \$417,000 in Fiscal 2012 and increasing to \$432,000 in Fiscal 2015.

- **55 Water Street – Miscellaneous Services:** The Fiscal 2012 Preliminary Budget includes a new need of \$3 million in Fiscal 2011 and Fiscal 2012 and the outyears for operational cost increases at the DOT's headquarters at 55 Water Street and other DOT facilities.

Traffic Planning Safety and Administration

This program area is responsible for the supervision; planning and research; and general support of the Department's traffic and parking programs. The division also develops programs to enhance mobility for bicyclists and pedestrians, and studies traffic patterns and impacts of major projects and development.

<i>Dollars in thousands</i>	2009 Actual	2010 Actual	2011 Adopted	2011 Feb Plan	2012 Feb Plan	Difference 2012 vs. 2011
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$11,083	\$12,645	\$7,007	\$13,644	\$7,007	\$0
Other Salaried and Unsalaries	578	436	63	63	63	0
Additional Gross Pay	482	364	1,084	1,084	1,084	0
Overtime - Civilian	534	468	147	215	147	0
Fringe Benefits	0	0	30	2,041	30	0
Subtotal, PS	\$12,677	\$13,913	\$8,331	\$17,047	\$8,331	\$0
Other than Personal Services						
Supplies and Materials	\$931	\$1,253	\$440	\$1,078	\$440	\$0
Property and Equipment	823	628	988	1238	988	0
Other Services and Charges	2,650	2,730	1,064	3,383	1,064	0
Contractual Services	7,243	16,144	1,105	20,046	1,105	0
Fixed and Misc. Charges	0	0	2	13	2	0
Subtotal, OTPS	\$11,647	\$20,755	\$3,599	\$25,758	\$3,599	\$0
TOTAL	\$24,324	\$34,668	\$11,930	\$42,805	\$11,930	\$0
Funding						
Capital IFA City			\$250	\$250	\$250	\$0
City			11,680	11,700	11,680	0
Federal – Other			0	23,423	0	0
State			0	7,432	0	0
TOTAL			\$11,930	\$42,805	\$11,930	\$0

DOT'S Fiscal 2012 Preliminary Budget includes \$11.9 million for traffic planning safety and administration – the same amount as the Fiscal 2011 Adopted Budget. However, it is \$30.8 less when compared to the current Modified Budget for Fiscal 2011. This variance is due to the fact that DOT does not typically recognize State and federal funds until post Budget Adoption. The post-Adoption increases in State and federal funding for this program area include \$3.5 million for stop DWI initiatives, \$3.4 million for sub-regional planning, \$1.4 million for bus stop management, \$5.4 million for bus rapid transit and more than \$10 million in federal SAFETEA-LU funds for various projects. As a result of the additional State and federal funds, the traffic planning safety and administration's headcount increased from 76 to 200, an increase of 124 positions. The additional positions, however, are not recognized in the Fiscal 2012 Preliminary Budget but would be recognized, post-Adoption, when and if State and federal grants become available.

Performance Measures

	FY 08	FY 09	FY 10	4-Month Actual FY 11	4-Month Actual FY 10	Target FY12
Bicycle Lane Miles Installed	70.6	88.7	52.3	N/A	N/A	50.0
Bicycle Racks Installed	1,377	1,529	775	N/A	N/A	1,500
Bicycle Network Connectivity Index*	582	919	1,018	N/A	N/A	*
Construction Permits Issued (000)	253.9	268.9	244.1	88.9	85.1	*
Inspections of Permitted Street Work (000)	532.7	558.0	550.9	186.4	193.2	*
Speed Humps Installed Near Schools	32	27	98	62	44	*

**The total connectivity figure is derived by adding the number of intersections covered by all the City's bicycle routes, plus the scores from zero to eight for each of these intersections.*

As of June 2009, the City's on-street bicycle network totaled 424.5 lane miles and includes 6,830 bike racks. As laid out in the PlaNYC 2030, the City is committed to having 1,800 bike-lane miles (on street, in parks and along paths) by the year 2030. Currently, DOT plans to install 50 lane miles each year until the citywide bicycle network is completed.

Appendix A: Budget Actions in the November and February Plans

<i>Dollars in thousands</i>	FY 2011			FY 2012		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as of June 2010 Plan	\$423,660	\$263,382	\$687,042	\$423,791	\$247,982	\$671,773
Program to Eliminate the Gap (PEGs)						
Agency-wide OTPS Reduction	(\$1,387)	\$0	(\$1,387)	\$0	\$0	\$0
Agency-wide PS Reduction	(411)		(411)	(829)		(829)
CHIPS Funding Switch for Bridge Painting	(400)	525	125	0		0
CHIPS Funding Switch In-House Flag Repr	(2,317)	2,317	0	(2,338)	2,338	0
CHIPS Funding Switch for In-House Signs	(500)	500	0	0	0	0
CHIPS When and Where Funding Switch	(500)	500	0	0	0	0
Consolidated Ferry Offices	(331)	0	(331)	(688)	0	(688)
Eliminate Auto Mechanic Positions	(124)	0	(124)	(417)	0	(417)
Eliminate Pitkin Ave Cleaning Contract	(41)	0	(41)	(61)	0	(61)
Eliminate Security Guard Serv 55 Water St.	(66)	0	(66)	(108)	0	(108)
Eliminate Vacancies in Arterial Maintenance	(127)	0	(127)	(257)	0	(257)
Extend Footware Replacement Cycle	(133)	0	(133)	0	0	0
Federal Funding Switch - Bridge Inspection	0	0	0	(2,791)	2,791	0
Fed Fund Switch - Dockbuildg & Terminal	(1,416)	1,416	0	(1,425)	1,425	(0)
Federal Funding Switch - Traffic Mgmt Ctr	(6,462)	6,462	(0)	0	0	0
Increase Parking Rates - Muni Fields	35	0	35	0	0	0
Increase Passger & Comercc Parking Rates	2,243	0	2,243	1,767	0	1,767
Increase Passger Parking Rates - All Boro	0	0	0	350	0	350
One Week Winter Layoff - St Mte & Arterial	(1,056)	0	(1,056)	(1,056)	0	(1,056)
Reduce Security Serv at DOT Locations	(100)	0	(100)	(175)	0	(175)
Reduce Admin, Clerical & Planing Position	(994)	0	(994)	(2,732)	0	(2,732)
Savings - Energy-Efficient Cobra Heads	(974)	0	(974)	(1,948)	0	(1,948)
PEG 2						0
Total, PEGs	(\$15,061)	\$11,720	(\$3,341)	(\$12,709)	\$6,554	(\$6,155)
New Needs						
55 Water Street Miscellaneous Services	\$3,003		\$3,003	\$3,003		\$3,003
City Match -FEMA Funds - Sept. 2010 Storm	1,800		1,800			0
Lower Manhattan Constr Command Ctr.	270		270	637		637
Parking Meter Expenses	169		169	780		780
Roadway Repair & Maintenance - Overtime	2,025		2,025			0
NN 1						
Total, New Needs	\$7,267	\$0	\$7,267	\$4,420	\$0	\$4,420
Other Adjustments						
Agency-wide PS Reduction - Vacancies	\$85	\$0	\$85	\$178	\$0	\$178
Anti-Icing Program	0	2,485	2,485	0	0	0
ARRA - Ferry Drydock & Protective Equip	0	1,350	1,350	0	(1,330)	(1,330)
Bicycle Network Development	0	5,966	5,966	0	0	0
Bridges Inspection Program	0	2,633	2,633	0	0	0
Broadway Junction Project	0	190	190	0	0	0
Brooklyn Bridge	0	1,276	1,276	0	0	0

<i>Dollars in thousands</i>	FY 2011			FY 2012		
	City	Non-City	Total	City	Non-City	Total
Bus Stop Management	0	1,408	1,408	0	0	0
Chinatown Parking	0	745	745	0	0	0
Citywide Congested Corridors	0	1,271	1,271	0	0	0
Cobblestone Reconstruction	0	1,648	1,648	0	0	0
Collective Bargaining - Radio Repair Mech	0	89	89	0	89	89
Consolidated Ferry Offices	58	0	58	144	0	144
Curb Reconstruction	0	8,786	8,786	0	0	0
Eliminate Auto Mech Positions Fleet Servs	26	0	26	89	0	89
Eliminate Vacancies in Arterial Maintenance	31	0	31	66	0	66
FEMA Grant	0	12,600	12,600	0	0	0
Fleetwide Emissions Reduction	0	550	550	0	0	0
Freight Route signs & Truck	0	1,023	1,023	0	0	0
Hunts Point diesel Emission	0	2,015	2,015	0	0	0
Increase Meter Parking Rates - Muni Fields	(1)	0	(1)	0	0	0
Increase Passger & Comercc Parking Rates	(13)	0	(13)	0	0	0
Lower Manhattan Mosaics	0	400	400	0	0	0
Manhattan Bridge	0	996	996	0	0	0
Movable Bridge PM Program	0	4,416	4,416	0	0	0
Municipal Electric Vehicle	0	97	97	0	0	0
Municipal Off-Road Diesel Emis	0	464	464	0	0	0
Municipal On Road Diesel Emis	0	2,696	2,696	0	0	0
NYC Alt Fuels Demo Program	0	398	398	0	0	0
One Week Winter Layoff - St Mte & Arterial	75	0	75	75	0	75
OT Adj. School Safety CHIPS - (PS)	0	270	270	0	0	0
Pedestrian Network Development	0	1,676	1,676	0	0	0
Queensboro Bridge FA/PM	0	1,694	1,694	0	0	0
Rail Study -NY	0	295	295	0	0	0
Reduce Admin, Clerical & Planning Position	(77)	0	(77)	612	0	612
Retail Corridors	0	417	417	0	0	0
SAFETEA-LU	0	10,128	10,128	0	0	0
SAMP	0	6,245	6,245	0	0	0
School Safety CHIPS	0	1,290	1,290	0	0	0
Select Bus Service	0	5,400	5,400	0	0	0
Sign Shop Capital CHIPS	0	12,725	12,725	0	0	0
Sign Design & Construction CHIPS - (PS)	0	2,963	2,963	0	0	0
Sign Design & Construction	0	7,712	7,712	0	0	0
Stop DWI	0	3,536	3,536	0	0	0
Sub-Regional Planning	0	3,410	3,410	0	0	0
SUNY Downstate	0	395	395	0	0	0
Transit Signal Priority CMAQ	0	1,246	1,246	0	0	0
Variable Pricing Program	0	670	670	0	0	0
Williamsburg Bridge FA/PM	0	1,155	1,155	0	0	0
2011 Budget Modification - July Adjustment	0	2,320	2,320	0	0	0
Bridge Painting SIRR	0	2,158	2,158	0	0	0
Brooklyn Waterfront Greenway	0	812	812	0	0	0

<i>Dollars in thousands</i>	FY 2011			FY 2012		
	City	Non-City	Total	City	Non-City	Total
CHIPS Funding Switch - Bridge Painting	\$73	(\$73)	\$0	\$0	\$0	\$0
CHIPS Funding Switch - In-House Repair	466	(466)	(0)	487	(487)	0
Construction Coor NYSDOT (OCMC)	0	771	771	0	0	0
Federal Funding Switch - Bridge Inspection	0	0	0	581	(581)	(0)
Federal Fund Switch - Ferry Dockbuilding	234	(234)	0	244	(244)	(0)
Go Safe Go Green	0	497	497	0	0	0
IC W/ DOT - Signage	0	1	1	0	0	0
Jackson Heights Congestion	0	511	511	0	0	0
LMBCO WTC FHWA Emer Relief	0	1,073	1,073	0	0	0
Maspeth Bypass & Inspection	0	362	362	0	0	0
Neighborhood Walkability	0	752	752	0		0
NYC Safety Streets	0	1,249	1,249	0		0
Safe streets for Seniors	0	185	185	0		0
SBS - Grant Signs (State)	0	1,250	1,250	0		0
SBS FTA Enforcement	0	1,875	1,875	0		0
SIF Small Boat Maintenance	0	4,721	4,721	0		0
Signal Maintenance CHIPS	0	9,554	9,554	0		0
Stop DWI	0		0	0		0
Street & Roadway Improvement - FTA	0	323	323	0		0
Subway Sidewalk Interface II	0	12	12	0		0
OA 2			0			0
Total, Other Adjustments	\$957	\$142,379	\$143,337	\$2,475	(\$2,553)	(\$78)
Agency Budget as of January 2011 Plan	\$416,823	\$417,481	\$834,305	\$417,977	\$251,983	\$669,960

*Continuation from previous pages

Appendix B: Reconciliation of Program Areas to Units of Appropriation

Dollars in thousands

U/A	Personal Services					OTPS					TOTAL
	001	002	003	004	006	007	011	012	013	014	
Bridge Engineering and Administration					\$24,909	\$1,690					\$26,599
Bridge Maintenance, Repair & Operations					\$37,450	\$8,092					\$45,543
DOT Management & Administration	\$28,998						\$15,039				\$44,037
DOT Vehicles & Facilities Mgmt & Maintenance	\$7,893	\$922					\$21,839		\$0		\$30,654
Ferry Administration & Surface Transit			\$3,926						\$64		\$3,991
Municipal Ferry Operation & Maintenance			\$50,633						\$35,713		\$86,346
Roadway Construction Coordination & Admin		\$11,128						\$653			\$11,781
Roadway Repair, Maintenance & Inspection		\$91,278						\$78,353			\$169,631
Traffic Operations & Maintenance				\$60,590						\$178,854	\$239,445
Traffic Planning Safety & Administration				\$8,331						\$3,599	\$11,931
Grand Total	\$36,891	\$103,328	\$54,559	\$68,922	\$62,359	\$9,783	\$36,878	\$79,007	\$35,778	\$182,454	\$669,958