

THE COUNCIL OF THE CITY OF NEW YORK



Hon. Melissa Mark-Viverito  
Speaker of the Council

Hon. Ydanis Rodriguez  
Chair, Committee on Transportation

Report on the Fiscal 2016 Preliminary Budget and the  
Fiscal 2015 Preliminary Mayor's Management Report

Department of Transportation

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**Chima Obichere, Unit Head**

**Finance Division**

Latonia McKinney, Director

Regina Poreda Ryan, Deputy Director

Nathan Toth, Deputy Director



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## Department of Transportation Overview

The Department of Transportation (DOT or Department) is responsible for the condition and operation of approximately 6,000 miles of streets, highways and public plazas, 788 bridge structures, and the nine boats of the Staten Island Ferry program. DOT ensures traffic safety through a variety of ways including: enhancing conditions for pedestrians near schools and in communities with high concentrations of seniors; continuous analysis of traffic operations in key intersections and corridors; and the daily operation of traffic signals at 12,300 signalized intersections and over 300,000 street lights. The Department maintains 69 million linear feet of markings on City streets and highways. Additionally, DOT manages mobility at many different levels including: its Joint Traffic Management Center; an extensive emergency response operation; the bus rapid transit program; the Staten Island Ferry operation; the bicycle program; the pedestrian program; sidewalk repair and Americans with Disabilities Act (ADA) compliance programs; and the on-street parking meters. The Department's infrastructure programs are comprised of an extensive bridge capital investment and life-cycle maintenance program; roadway resurfacing, repair and maintenance; and a capital program that includes street and sidewalk reconstruction and projects such as greenway construction. DOT's alternative fuel program promotes the use of cleaner vehicles in both the public and private sectors.

This report provides a review of the DOT's Preliminary Budget for Fiscal 2016. In the first section, the highlights of the \$840.2 million Fiscal 2016 expense budget are presented, including the impact of State and Federal budget actions. The report then presents the Department's budget by program area and provides an analysis of significant program areas, discusses initiatives included in the November and Preliminary Financial Plans, and reviews relevant sections of the Preliminary Mayor's Management Report (PMMR) for Fiscal 2015. This is followed by a review of the proposed capital budget for the Department with a discussion of significant changes proposed to the \$7.1 billion Capital Plan for Fiscal 2015-2019 and the \$8.9 billion 2016-2025 Preliminary Ten-Year Capital Strategy. Finally, the appendices are included to highlight the Budget Actions in the November and Preliminary Plans, the Fiscal 2015 Mayor's Management Report performance measures, and the reconciliation of DOT's program areas to units of appropriations.

## DOT Fiscal 2016 Preliminary Budget Highlights

<b>DOT Expense Budget</b>						
	<b>Actual</b>	<b>Actual</b>	<b>Adopted</b>	<b>Preliminary Plan</b>		<b>*Difference</b>
<i>Dollars in Thousands</i>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2015 Plan</b>	<b>2016 Plan</b>	<b>2016 - 2015</b>
Personal Services	\$385,132	\$391,553	\$370,512	\$408,726	\$386,381	\$15,869
Other Than Personal Services	\$450,275	\$469,053	\$461,325	\$549,432	\$453,795	(\$7,529)
<b>DOT Total</b>	<b>\$835,407</b>	<b>\$860,606</b>	<b>\$831,837</b>	<b>\$958,158</b>	<b>\$840,176</b>	<b>\$8,340</b>

*\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The City's Fiscal 2016 Preliminary Budget totals \$77.7 billion, \$2.7 billion more than the Fiscal 2015 Adopted Budget of \$75 billion. City funds (City tax and non-tax revenues, excluding Capital Budget transfers) total \$56.96 billion, up \$2.14 billion from \$54.82 billion in the Adopted Budget. For the Department of Transportation, the Fiscal 2016 Preliminary Budget includes \$840.2 million in Fiscal 2016 (including City and Non-City funds). This represents approximately 1.1 percent of the City's total Budget. The Department's Fiscal 2016 Preliminary

Budget is \$8.3 million more than the Fiscal 2015 Adopted Budget of \$831.8 million. The increase is primarily due to increased funding for bridge inspections, parking meter upgrades, street cut inspections, and labor settlements. The Department's Fiscal 2016 full-time headcount is projected to be 4,739, an increase of 86 positions when compared to the Fiscal 2015 Adopted Budget number of 4,653. The majority of the increase in headcount is associated with additional staffing for the Department's Highway Inspection and Quality Assurance (HIQA) and Permit Management unit and the Bridge Inspection Division.

Since the City's fiscal year and the State and federal fiscal years do not coincide, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments as additional grant funding becomes available. For the current fiscal year (Fiscal 2015), to date over \$80.4 million in State and federal funding has been realized post Adoption.

The Fiscal 2016 Preliminary Budget includes \$505.1 million in City-tax levy funds, an increase of \$11.2 million from the Fiscal 2015 Adopted Budget amount of \$493.9 million. The Preliminary Budget does not include any Programs to Eliminate the Gap (PEGs) for DOT. However, it includes \$6.9 million in new needs; \$10.9 million in other adjustments; and \$1.7 million in additional revenue from street cut inspections, intercity bus stop permits, and commercial biking. The Department's new needs include:

- **Equipment Purchases.** DOT has a new need of \$932,000 in Fiscal 2015 only for equipment purchase. (see p. 24)
- **Vision Zero: 25 MPH Signage.** DOT will receive additional funding of \$371,000 in Fiscal 2015 only for 25 MPH signage. (see p. 8)
- **Accessible Pedestrian Signals.** DOT will receive additional funding of \$135,000 in Fiscal 2015 and \$182,000 in Fiscal 2016 and the outyears for the installation of additional accessible pedestrian signals. (see p. 8)
- **Bridge Inspection and Load Rating.** Beginning in Fiscal 2015, DOT will receive additional funding of \$1.7 million annually for 18 positions and related OTPS costs for bridge inspection and load ratings. (see p. 22)
- **Brooklyn Bridge Cameras.** DOT has a new need of \$400,000 in Fiscal 2016 and the outyears for costs associated with its Brooklyn Bridge camera initiative. (see p. 20)
- **Commercial Biking.** DOT has a new need of \$314,000 in Fiscal 2016 for its commercial biking unit. (see p. 8)
- **Freedom of Information Law Unit Staff.** DOT has a new staffing need to help manage the agency's Freedom of Information Law requests. (see p. 24)
- **HIQA and Permit Management.** DOT has a new funding need of \$2.2 million in Fiscal 2015 and \$1.4 in Fiscal 2016 for additional HIQA staffing. (see p. 14)
- **Information Technology Needs.** The proposed budget includes a new need of \$1.1 million in Fiscal 2015 and \$783,000 in Fiscal 2016 for information technology. (see p. 24)
- **Intercity Bus Stop Permit Headcount.** The Department will receive \$55,000 in Fiscal 2016 and in the outyears for intercity bus stop permit staffing. (see p. 8)
- **NYS Industries for the Disabled – Prevailing Wages.** DOT will receive additional funding of \$549,000 in Fiscal 2016 for its cleaning contract. (see p. 24)

- **NYC Parking Surveys.** DOT will receive \$350,000 in Fiscal 2016 for costs associated with parking surveys. (see p. 8)
- **Parking Meter Credit Card Fees.** The Department will receive additional funding for credit card fees associated with parking meter. (see p. 8)
- **Parking Meter Operating Costs.** The Department will receive additional funding of \$345,000 in Fiscal 2015 only for costs associated with parking meters. (see p. 9)
- **Parking Meter Upgrades.** DOT has a new need of \$1.1 million in Fiscal 2016 for costs associated with parking meter reprogramming. (see p. 9)
- **Small Business First.** DOT has a new need of \$13,000 in Fiscal 2016 for costs associated with providing training and translated materials. (see p. 24)

In addition to the above new needs, other key actions affecting the Agency's proposed budget include:

- **Collective Bargaining.** The proposed budget includes \$9.3 million in DOT's budget for collective bargaining agreement costs in Fiscal 2016. (see p. 24)
- **Bicycle Network Development.** The Department's budget includes federal funding of \$6.7 million in Fiscal 2015 for bicycle network development. (see p. 9)
- **Hunts Point Diesel Emission.** DOT will use \$3.1 million of federal funds in Fiscal 2015 for costs associated with the Hunts Point Diesel Emission initiative. (see p. 26)
- **Select Bus Service.** DOT will use \$8 million in State and federal funding in place of City funds for costs associated with Select Bus Service in Fiscal 2015. (see p. 9)
- **Consolidated Highway Improvement Programs (CHIPs) for Resurfacing.** DOT will use State CHIPs funding of \$15.4 million in place of City funds for street resurfacing related work in Fiscal 2015. (see p. 12)
- **Port Security Grant.** DOT anticipates federal funding of \$3.2 million in Fiscal 2015 for costs associated with port security. (see p. 16)
- **Vision Zero Education Initiative.** DOT anticipates federal funding of \$393,000 in Fiscal 2015 for costs associated Vision Zero. (see p. 9)
- **Intra Funds Agreement (IFA) Adjustment.** The Preliminary Budget includes an IFA funding adjustment of \$25 million in Fiscal 2015 only for DOT. (see p. 14)
- **Resurfacing Reallocation.** To accurately reflect the IFA funding of fringe benefits associated with resurfacing in the miscellaneous expense budget, the Preliminary Budget includes a funding realignment of \$23.9 million in Fiscal 2015. (see p. 14)

## Financial Summary

### DOT Financial Summary

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
Personal Services	\$385,132	\$391,553	\$370,512	\$408,726	\$386,381	\$15,869
Other Than Personal Services	\$450,275	\$469,053	\$461,325	\$549,432	\$453,795	(\$7,529)
<b>Total</b>	<b>\$835,407</b>	<b>\$860,606</b>	<b>\$831,837</b>	<b>\$958,158</b>	<b>\$840,176</b>	<b>\$8,340</b>
<b>Budget by Program Area</b>						
Bridge Engineering and Administration	\$23,998	\$23,519	\$27,930	\$29,909	\$30,420	\$2,490
Bridge Maintenance, Repair & Operations	67,407	64,396	65,465	69,036	66,669	1,203
DOT Management & Administration	52,715	53,015	50,224	55,427	45,827	(4,396)
DOT Vehicles & Facilities Mgmt. & Maintenance	53,237	67,521	37,424	41,885	42,836	5,412
Ferry Administration & Surface Transit	4,557	4,691	4,294	5,615	4,346	52
Municipal Ferry Operation & Maintenance	92,147	93,685	98,178	101,227	97,486	(692)
Roadway Construction Coordination & Admin	8,760	9,287	13,047	16,134	15,149	2,102
Roadway Repair, Maintenance & Inspection	217,317	231,734	193,278	242,155	194,951	1,673
Traffic Operations & Maintenance	261,287	269,125	311,816	325,831	316,503	4,687
Traffic Planning Safety & Administration	53,981	43,633	30,181	70,938	25,990	(4,191)
<b>TOTAL</b>	<b>\$833,340</b>	<b>\$860,606</b>	<b>\$831,837</b>	<b>\$958,158</b>	<b>\$840,176</b>	<b>\$8,340</b>
<b>Funding</b>						
City Funds	\$413,531	\$453,685	\$493,894	\$534,436	\$505,136	\$11,242
Other Categorical	19,135	27,298	\$1,405	\$1,372	\$1,372	(\$34)
Capital- IFA	188,492	194,152	\$173,111	\$177,018	\$176,366	\$3,256
State	84,829	88,890	\$92,172	\$114,016	\$90,283	(\$1,888)
Federal - CD	2,212	699	\$0	\$0	\$0	\$0
Federal - Other	123,806	92,096	\$68,483	\$127,028	\$64,176	(\$4,308)
Intra City	1,335	3,786	\$2,772	\$4,288	\$2,843	\$72
<b>TOTAL</b>	<b>\$833,340</b>	<b>\$860,606</b>	<b>\$831,837</b>	<b>\$958,158</b>	<b>\$840,176</b>	<b>\$8,340</b>
<b>Positions</b>						
Full-Time Positions - Civilian	4,379	4,408	4,653	4,916	4,739	86
Full-Time Equivalent Positions - Civilian	359	388	206	312	300	94
<b>TOTAL</b>	<b>4,738</b>	<b>4,796</b>	<b>4,859</b>	<b>5,228</b>	<b>5,039</b>	<b>180</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

In general, agency program areas can provide insight into which programs are priorities and how the budget impacts programs' outcomes. DOT's functions can be broken down into ten program areas or functions as illustrated above. These program areas are funded with a combination of City funds and other resources. Of the Department's program areas, funding for Traffic Operations and Maintenance and Roadway Repair, Maintenance and Inspection will constitute more than 60 percent of the agency's total funding in Fiscal 2016.



## Contract Budget

DOT Fiscal 2016 Preliminary Contract Budget				
Category	Fiscal 2015 Adopted	Number of Contracts	Fiscal 2016 Preliminary	Number of Contracts
Contractual Services General	\$28,000,235	89	\$27,398,165	89
Telecommunications Maintenance	1,435,420	22	1,435,420	22
Maintenance & Repair of Motor Vehicle Equipment	1,725,588	26	1,678,259	26
Maintenance & Repair, General	19,067,098	113	19,067,098	113
Office Equipment Maintenance	311,148	95	311,148	95
Data Processing Equipment	1,182,967	29	1,182,967	29
Printing Contracts	556,400	19	256,400	19
Costs Associated with Financing	4,152,331	2	4,152,331	2
Security Services	15,378,032	7	15,378,032	7
Temporary Services	91,405	4	91,405	4
Cleaning Services	2,936,208	33	3,050,356	33
Transportation Expenditures	19,500	3	19,500	3
Training Programs for City Employees	323,318	45	323,318	45
Maintenance & Operation of Infrastructure	109,786,217	64	109,987,002	64
Professional Services: Engineer & Architect	1,075,000	6	1,075,000	6
Professional Services: Computer Services	777,438	10	777,438	10
Professional Services: Other	15,478,994	16	12,152,991	13
<b>Total</b>	<b>\$202,297,299</b>	<b>583</b>	<b>\$198,336,830</b>	<b>580</b>

The City's Contract Budget, as proposed, totals \$12.42 billion in Fiscal 2016, an increase of \$437.8 million or 3.65 percent when compared to the Fiscal 2015 Adopted Budget of \$11.98 billion. For DOT, the contract budget for Fiscal 2016 is approximately \$198.3 million, with maintenance and operation of infrastructure contracts constituting more than 50 percent of the agency's total contracts.

## Revenue

### Department of Transportation Miscellaneous Revenue Budget Overview

Revenue Sources	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
Sidewalk Interruption Permits	\$8,691	\$9,164	\$7,082	\$8,522	\$8,241	\$1,159
Street Opening/Utility Permits	16,660	21,205	16,878	17,638	17,076	198
intercity Bus Permits	0	631	0	56	56	56
Overweight/Oversize Truck Permits	1,970	2,151	1,950	1,950	1,950	0
Emergency Manhole Open. Permits	375	383	550	550	550	0
Franchises: Bus Stop & Other	49,396	51,002	52,329	52,329	53,772	1,443
Concession Rents	301	236	234	234	234	0
Revocable Consents	15,109	15,164	14,325	14,325	14,325	0
Electrical Transformers	36,725	37,754	38,806	37,750	39,893	1,087
Ferry Permits & Concessions	2,326	3,476	3,320	3,320	3,320	0
Damage to City Property	821	815	810	810	810	0
Back Charges, Jets & Raise Cast	2,874	3,233	2,971	2,971	2,971	0
Garages & Long Term Parking	8,806	9,014	9,127	9,127	9,127	0
Parking Meters	178,299	202,576	206,642	206,642	206,642	0
Record Search Fees	31	29	20	20	20	0
Gas Reimbursement, Maps, Bid Book Fees	116	219	115	115	115	0
DOT Sign Shop-Sale of Signs	444	409	250	250	250	0
<b>TOTAL</b>	<b>\$322,944</b>	<b>\$357,461</b>	<b>\$355,409</b>	<b>\$356,609</b>	<b>\$359,352</b>	<b>\$3,943</b>

Note: Bus Lane Camera, Speed Camera, Redlight Camera, and Parking Violation Fines are in the DOF Budget

The Department of Transportation plans to collect approximately \$359.4 million from various miscellaneous revenue sources in Fiscal 2016. DOT collects revenue from sidewalk interruption and street opening permits, parking meters, revocable consents, and franchise fees from bus stop shelters, among others sources.

## Program Areas

### Traffic Operations and Maintenance

The Division of Traffic Operations is responsible for the safe and efficient movement of people and goods on the City's streets and for the development, installation, and maintenance of the City's traffic signals, street lights, traffic signs, and roadway markings. The Division manages the municipal parking facilities and parking meters, as well as the Department's Traffic Management Center (TMC). The TMC monitors real-time traffic conditions, controls thousands of computerized traffic signals, and operates variable message signs on the City's major arteries. The Division also manages the Red Light, Bus Lane, and Speed Camera programs, which are designed to promote safe, responsible driving by photographing and fining vehicles that run red lights, speed in school zones, or interfere with bus lanes. Currently, the DOT has over 170 cameras installed at various locations Citywide.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$59,135	\$57,732	\$64,428	\$67,971	\$68,550	\$4,123
Other Salaried and Unsalariied	846	837	863	863	863	0
Additional Gross Pay	4,122	3,997	1,379	1,379	1,379	0
Overtime - Civilian	10,390	11,307	4,418	5,521	5,414	996
Amounts to be Scheduled	0	0	793	859	860	66
Fringe Benefits	559	773	627	627	627	0
<b>Subtotal</b>	<b>\$75,052</b>	<b>\$74,646</b>	<b>\$72,508</b>	<b>\$77,220</b>	<b>\$77,694</b>	<b>\$5,185</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$6,794	\$9,279	\$22,919	\$20,860	\$22,130	(\$789)
Fixed and Misc. Charges	30	30	138	37	138	0
Property and Equipment	2,003	4,186	4,645	8,600	4,370	(275)
Other Services and Charges	64,613	68,831	83,418	92,572	84,093	675
Contractual Services	112,796	112,152	128,188	126,541	128,079	(109)
<b>Subtotal</b>	<b>\$186,236</b>	<b>\$194,478</b>	<b>\$239,307</b>	<b>\$248,611</b>	<b>\$238,809</b>	<b>(\$498)</b>
<b>TOTAL</b>	<b>\$261,287</b>	<b>\$269,125</b>	<b>\$311,816</b>	<b>\$325,831</b>	<b>\$316,503</b>	<b>\$4,687</b>
<b>Funding</b>						
City Funds			\$233,531	\$236,934	\$236,754	\$3,223
Other Categorical			1,069	1,036	1,036	(34)
Capital- IFA			12,677	13,355	13,496	819
State			27,492	27,501	27,142	(350)
Federal - Other			37,046	46,450	38,070	1,024
Intra City			0	556	4	4
<b>TOTAL</b>	<b>\$261,287</b>	<b>\$269,125</b>	<b>\$311,816</b>	<b>\$325,831</b>	<b>\$316,503</b>	<b>\$4,687</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	1,031	1,018	1,200	1,187	1,185	(15)
<b>TOTAL</b>	<b>1,031</b>	<b>1,018</b>	<b>1,200</b>	<b>1,187</b>	<b>1,185</b>	<b>(15)</b>

\*The difference of Fiscal 2015 Adopted compared to Fiscal 2016 Preliminary Budget.

DOT's Fiscal 2016 Preliminary Budget includes \$316.5 million for traffic operations and maintenance, \$4.7 million more than the Fiscal 2015 Adopted Budget of \$311.8 million, and only \$7.3 million less than the current Fiscal 2015 budget (Fiscal 2015 budget as of the Fiscal 2016 Preliminary Budget). The proposed budget for this program area takes into account increased spending in Personal Services (PS) costs, particularly for labor settlements, resulting in a PS increase for this program area of \$4.1 million in Fiscal 2016 compared to the Fiscal 2015 adopted amount.

In the past fiscal years, a wider variance existed between the Adopted Budget and the current year budget, as of the Preliminary Plan, because the Department reports only baseline funding and State and federal grants that it anticipates at the beginning of the fiscal year. Adjustments are then made when State and federal funds are received. In the Fiscal 2016 proposed Preliminary Budget, this variance appears to have narrowed with the Department now recognizing more of the anticipated State and federal funds as baseline funding. These funds include \$1.2 million for bus stop maintenance, \$11.4 million in federal SAFETEA -LU funding, and more than \$5 million in CHIPs funds for signals maintenance.

The Division of Traffic Operations' Fiscal 2016 Preliminary Budget includes funding for 1,185 positions, a decrease of 15 positions compared to the Fiscal 2015 Adopted Budget. Since additional State and federal grants are likely to be recognized post Adoption, it is likely that additional positions may be recognized for this program area.

Since the Fiscal 2015 Budget was adopted last June, specific actions affecting the Traffic Operations and Maintenance program area include the following:

- **Vision Zero: 25 MPH Signage.** The Proposed Budget includes additional expense funding of \$371,000 in Fiscal 2015 only to help DOT comply with the recent Vision Zero legislation, passed by the City Council, which reduced the City's official speed limit to 25 MPH from 30 MPH.
- **Accessible Pedestrian Signals.** As a result of Local law 60, which mandates the installation of 50 additional Accessible Pedestrian Signal (APS) units annually, DOT will receive additional funding of \$135,000 in Fiscal 2015 and \$182,000 in Fiscal 2016 and in the outyears for the installation of additional accessible pedestrian signals.
- **Commercial Biking.** The Proposed Budget includes additional expense funding of \$284,000 in Fiscal 2015, \$314,000 in Fiscal 2016, and \$326,000 in Fiscal 2017 and in the outyears for seven additional Commercial Biking Unit staff to implement the Department's new commercial biking regulations. The Department anticipates that it would offset the expenses with revenues from the initiative, projected to be \$250,000 annually.
- **Intercity Bus Stop Permit Headcount.** DOT will receive \$14,000 in Fiscal 2015 and \$55,000 in Fiscal 2016 and in the outyears for one staff position in the Intercity Bus Stop Permit unit. This expenditure would be, partly, offset with Intercity Bus Permit revenue of \$56,000 annually.
- **New York City Parking Survey.** In order to more accurately manage metered parking, the Preliminary Budget includes \$350,000 in Fiscal 2016 only to enable the Department to establish a comprehensive Citywide survey model for parking.
- **Parking Meter Credit Card Fees.** The Fiscal 2016 Preliminary Budget includes a new need of \$3.1 million in Fiscal 2015 only for costs associated with the processing of credit card transactions for parking meters.

- **Parking Meter Operating Cost.** DOT will receive funding increase of \$345,000 in Fiscal 2015 only for costs associated with additional wireless air time for multi-space parking meters.
- **Parking Meter Upgrades.** As a result of a local law enacted by the Council, which mandates that parking meters be turned off when parking regulations are not in effect, DOT will receive additional funding of \$370,000 in Fiscal 2015 and \$1.1 million in Fiscal 2016 for costs associated with reprogramming its multi-space parking meters.
- **Bicycle Network Development.** As laid out in PlaNYC 2030, the City is committed to having 1,800 bike-lane miles (on street, in parks, and along paths) by the year 2030, with the goal to install 50 lane miles each year until the Citywide Bicycle Network is complete. The Preliminary Budget includes \$6.7 million, all federal funding, for bicycle network expansion.
- **Select Bus Service.** The Preliminary Budget includes \$8 million in State and federal funding for Select Bus Service related costs in Fiscal 2015. Of that amount, \$7 million will be federally funded.
- **Vision Zero Education Initiative.** The Department will use \$393,000 in federal funding in place of City-tax levy funding for Vision Zero education related expenses in Fiscal 2015.

## Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
*Average time to respond to high priority traffic signal defect and make safe (hours: minutes)	N/A	N/A	2:05	2:00	2:00	1:26	1:29
*Average time to repair priority regulatory signs after notification (days)	1.9	2.2	1.8	3.0	3.0	2.0	1.9
Average time to repair street lights - by DOT (days)	2.5	2.7	2.5	*	*	2.5	2.3
Average time to repair street lights - by Con-Ed (days)	12.5	14.1	14.9	*	*	14.2	15.0
*Overall traffic crashes	176,482	179,076	183,728	↓	↓	N/A	N/A
*Citywide traffic fatalities	291	261	284	↓	↓	108	101
- Bicyclists/pedestrians	176	168	172	*	*	56	64
- Motorists/passengers	115	93	112	*	*	52	37
*Speed humps installed	184	300	274	250	250	N/A	195
*Roadway safety markings installed (000,000) (linear feet)	30.9	27.1	28.4	40.0	50.0	N/A	14.5

Source: Fiscal 2015 Preliminary Mayor's Management Report \*Critical Indicator

According to the Preliminary Mayor's Management Report (PMMR), in the first four months of Fiscal 2015, traffic fatalities among motorists and passengers decreased to 37 from 52 but increased among bicyclists and pedestrians to 64 from 56. At the same time, DOT continued to implement safety improvements by installing 195 speed humps and 14.5 million linear feet of street markings, completing 27 street improvement projects, improving lighting at 187 intersections, and preparing for the November 2014 change in the citywide speed limit through extensive outreach and coordination with stakeholders and community groups. The agency installed ten cameras at authorized school zone locations during the reporting period.

As part of the Department's overall traffic safety action plan, the Department obtained the State's approval and began installing speed enforcement cameras in September 2013. The cameras were initially set to issue warnings, but began issuing tickets in January 2014. In addition, the City's Vision Zero Action Plan requires DOT to play a critical role to dramatically reduce traffic deaths and injuries Citywide.

## Roadway Repair, Maintenance and Inspection

The Department's Roadway Repair, Maintenance and Inspection program area is responsible for the maintenance and inspection of approximately 5,700 linear miles of streets and arterial highways within the five boroughs. In an effort to maintain the arterial highways within the City and increase community participation, the Department manages the Adopt-a-Highway Program. This program enables sponsors to adopt up to 362 miles of highway and contribute funding for the cleaning and maintenance of the roadside. Additionally, this program area utilizes available State aid to perform both road maintenance and repair activities. This program area cleans and maintains 1,175 lane miles of arterial highway and 2,525 acres of landscaped areas and shoulders annually. The program area also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

	Actual	Actual	Adopted	Preliminary Plan		*Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$83,643	\$87,383	\$85,038	\$96,071	\$86,797	\$1,758
Other Salaried and Unsalariad	13,863	9,122	9,181	9,340	9,112	(69)
Additional Gross Pay	9,658	9,508	3,352	3,352	3,352	0
Overtime - Civilian	17,537	23,679	10,307	14,135	10,307	0
Amounts to be Scheduled	0	0	0	439	452	452
Fringe Benefits	358	486	564	564	564	0
Subtotal	\$125,058	\$130,177	\$108,442	\$123,902	\$110,584	\$2,141
Other Than Personal Services						
Supplies and Materials	\$62,074	\$72,922	\$58,665	\$82,217	\$58,765	\$100
Fixed and Misc. Charges	9	1	20	20	20	0
Property and Equipment	2,578	2,018	1,499	2,677	1,266	(233)
Other Services and Charges	15,092	15,567	9,519	21,017	9,231	(288)
Contractual Services	12,505	11,049	15,133	12,323	15,086	(47)
Subtotal	\$92,259	\$101,557	\$84,836	\$118,254	\$84,368	(\$468)
<b>TOTAL</b>	<b>\$217,317</b>	<b>\$231,734</b>	<b>\$193,278</b>	<b>\$242,155</b>	<b>\$194,951</b>	<b>\$1,673</b>
<b>Funding</b>						
City Funds			\$39,561	\$64,913	\$40,130	\$569
Capital- IFA			132,090	134,189	133,162	1,073
State			21,567	38,783	21,659	92
Federal - Other			61	4,219	0	(61)
Intra City			0	51	0	0
<b>TOTAL</b>	<b>\$217,317</b>	<b>\$231,734</b>	<b>\$193,278</b>	<b>\$242,155</b>	<b>\$194,951</b>	<b>\$1,673</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	1,235	1,227	1,168	1,188	1,175	7
<b>TOTAL</b>	<b>1,235</b>	<b>1,227</b>	<b>1,168</b>	<b>1,188</b>	<b>1,175</b>	<b>7</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

DOT's Fiscal 2016 Preliminary Budget includes \$194.9 million in Fiscal 2016 for roadway repair, maintenance and inspections, \$1.6 million more than the amount allocated in the Fiscal 2015 Adopted Budget, but \$47.2 million less than the current Fiscal 2015 budget (Preliminary Plan 2015). This is due to increased State and federal funds received by the Department post Budget Adoption. The funding for this program area in Fiscal 2016 may increase, post Adoption, if additional State and federal funds are recognized.

The Fiscal 2016 Preliminary Budget provides funding for 1,175 positions for roadway repair, maintenance and inspection, an increase of seven positions compared to the Fiscal 2015 Adopted Budget number of 1,168 positions.

Since the Fiscal 2015 Budget was adopted last June, the key actions affecting this program area in Fiscal 2016 are:

- **CHIPs Resurfacing.** The Fiscal 2016 Preliminary Budget contains additional State funding of \$15.4 million to be used in place of City funds for street and roadway resurfacing works in Fiscal 2015.

### Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
*Streets maintained with a pavement rating of - Good (%)	73.4%	69.6%	69.3%	71.0%	71.0%	N/A	N/A
*Average time to close a pothole work order where repair was done (days)	2.3	1.4	5.6	5.0	5.0	2.4	6.7
Pothole work orders	36,401	43,972	54,667	*	*	8,145	12,335
Potholes repaired - Arterial highway system	37,962	45,070	126,144	*	*	3,471	4,831
- Local streets	200,666	213,475	323,384	*	*	42,864	69,214
Lane miles resurfaced citywide	1,006.6	810.6	1,005.9	*	*	N/A	N/A
Average cost per lane mile resurfaced citywide (\$)	\$150,830	\$192,536	\$169,201	*	*	N/A	N/A

Source: Fiscal 2015 Preliminary Mayor's Management Report \*Critical Indicator

According to the PMMR, in the first four months of Fiscal 2015 the average time to close a pothole work order where repair was done increased to 6.7 days from 2.4 days compared to the same period of Fiscal 2014. According to the Department, the increase is due, in part, to an exceptionally harsh 2013-2014 winter season that resulted in an above average number of potholes and a backlog of open work orders at the beginning of the July 2014 reporting period. Compared to the same four-month period last year, the number of pothole work orders increased by 51 percent to 12,335, and DOT repaired nearly 60 percent more potholes, arterials and local streets, combined.



## Roadway Construction Coordination and Administration

The Department supervises street resurfacing and repair work; permits management; and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

<i>Dollars in Thousands</i>	Actual 2013	Actual 2014	Adopted 2015	Preliminary Plan		*Difference 2016 - 2015
				2015	2016	
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$7,234	\$7,463	\$10,221	\$12,073	\$12,200	\$1,979
Other Salaried and Unsalariated	411	394	841	841	841	0
Additional Gross Pay	311	302	345	345	345	0
Overtime - Civilian	397	610	788	882	890	103
Amounts to be Scheduled	0	0	0	22	21	21
Fringe Benefits	0	0	0	0	0	0
<b>Subtotal</b>	<b>\$8,353</b>	<b>\$8,768</b>	<b>\$12,194</b>	<b>\$14,162</b>	<b>\$14,298</b>	<b>\$2,103</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$112	\$150	\$118	\$570	\$118	\$0
Fixed and Misc. Charges	0	0	0	0	0	0
Property and Equipment	25	4	26	40	15	(11)
Other Services and Charges	18	15	28	1,132	37	10
Contractual Services	251	349	681	229	681	0
<b>Subtotal</b>	<b>\$407</b>	<b>\$518</b>	<b>\$852</b>	<b>\$1,972</b>	<b>\$851</b>	<b>(\$1)</b>
<b>TOTAL</b>	<b>\$8,760</b>	<b>\$9,287</b>	<b>\$13,047</b>	<b>\$16,134</b>	<b>\$15,149</b>	<b>\$2,102</b>
<b>Funding</b>						
City Funds			\$11,114	\$13,988	\$13,144	\$2,029
Other Categorical			0	0	0	0
Capital- IFA			1,554	1,615	1,626	73
State			287	287	287	0
Federal - Other			92	244	92	0
Intra City			0	0	0	0
<b>TOTAL</b>	<b>\$8,760</b>	<b>\$9,287</b>	<b>\$13,047</b>	<b>\$16,134</b>	<b>\$15,149</b>	<b>\$2,102</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	111	113	113	162	158	45
<b>TOTAL</b>	<b>111</b>	<b>113</b>	<b>113</b>	<b>162</b>	<b>158</b>	<b>45</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The DOT's Fiscal 2016 Preliminary Budget includes \$15.1 million in Fiscal 2016 for roadway construction coordination and administration, an increase of \$2.1 million when compared to the Fiscal 2015 Adopted Budget. The increase is primarily due to additional staffing for street opening permits and inspections. The Fiscal 2016 headcount for this program area is 158, an increase of 45 positions compared to the Fiscal 2015 Adopted Budget of 113 positions.

Since the Fiscal 2015 Budget was adopted last June, the key actions affecting this program area in Fiscal 2016 are:

- **HIQA and Permit Management.** DOT anticipates additional revenue of \$2.2 million in Fiscal 2015, \$1.4 million in Fiscal 2016, and increasing to \$1.9 million in Fiscal 2019 due to increased building and street opening permit volumes. The revenues will be offset by expenses in the same amount for costs associated with additional 37 HIQA positions.
- **Intra Funds Agreement (IFA) Adjustment.** The Preliminary Budget includes an IFA funding adjustment of \$25 million in Fiscal 2015 only for DOT. IFAs are funding shifts from the capital budget to the expense budget for capital work performed by the Department's staff.
- **Resurfacing Reallocation.** To accurately reflect the IFA funding of fringe benefits associated with resurfacing in the miscellaneous expense budget, the Preliminary Budget includes a funding realignment of \$23.9 million between the miscellaneous budget and DOT's resurfacing budget in Fiscal 2015. Since the increase in DOT's budget is offset by a corresponding decrease in the miscellaneous budget, this action will result in a net zero City- tax levy expense impact.

## Municipal Ferry Operation and Maintenance

This program area is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities. The Staten Island Ferry currently carries over 20 million passengers annually on a 5.2-mile run between the St. George Terminal in Staten Island and the Whitehall Terminal in lower Manhattan. Service is provided 24 hours a day, 365 days a year. A typical weekday schedule involves the use of five boats to transport approximately 70,000 passengers daily (109 trips). During the day, between rush hours, boats are regularly fueled and maintenance work is performed. Terminals are cleaned around the clock and routine terminal maintenance is performed on the day shift. On weekends, three boats are used (77 trips on Saturdays and 68 trips on Sundays). Over 35,000 trips are made annually.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$31,822	\$32,714	\$39,835	\$40,076	\$40,113	\$278
Other Salaried and Unsalari ed	397	377	109	109	109	0
Additional Gross Pay	3,633	3,395	1,846	1,846	1,846	0
Overtime - Civilian	12,532	13,910	9,487	9,487	9,487	0
Amounts to be Scheduled	0	0	0	31	30	30
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	442	428	385	385	385	0
<b>Subtotal</b>	<b>\$48,826</b>	<b>\$50,824</b>	<b>\$51,661</b>	<b>\$51,932</b>	<b>\$51,969</b>	<b>\$308</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$17,597	\$16,240	\$18,364	\$18,613	\$18,364	\$0
Fixed and Misc. Charges	16	18	12	26	12	0
Property and Equipment	950	286	338	840	338	0
Other Services and Charges	482	167	7,500	6,756	6,500	(1,000)
Contractual Services	24,277	26,151	20,303	23,061	20,303	0
<b>Subtotal</b>	<b>\$43,322</b>	<b>\$42,861</b>	<b>\$46,517</b>	<b>\$49,294</b>	<b>\$45,517</b>	<b>(\$1,000)</b>
<b>TOTAL</b>	<b>\$92,147</b>	<b>\$93,685</b>	<b>\$98,178</b>	<b>\$101,227</b>	<b>\$97,486</b>	<b>(\$692)</b>
<b>Funding</b>						
City Funds			\$63,479	\$62,618	\$63,753	\$274
Other Categorical			0	0	0	0
Capital- IFA			1,891	1,937	1,947	56
State			28,411	28,726	28,411	0
Federal - Other			3,322	6,871	2,300	(1,022)
Intra City			1,075	1,075	1,075	0
<b>TOTAL</b>	<b>\$92,147</b>	<b>\$93,685</b>	<b>\$98,178</b>	<b>\$101,227</b>	<b>\$97,486</b>	<b>(\$692)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	539	576	631	631	631	0
<b>TOTAL</b>	<b>539</b>	<b>576</b>	<b>631</b>	<b>631</b>	<b>631</b>	<b>0</b>

*\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.*

The Department's Fiscal 2016 Preliminary Budget includes \$97.4 million in Fiscal 2016 for municipal ferry operation and maintenance, \$692,000 less than the Fiscal 2015 Adopted Budget of \$98.7 million.

The Fiscal 2016 Preliminary Budget headcount for this program area is 631, unchanged compared to the Fiscal 2015 Adopted Budget number of positions.

Actions taken which affect this program area since Fiscal 2015 budget adoption last June include:

- **Port Security Grant.** DOT will use federal funding in place of City-tax levy funds for costs associated with port security operations. This action will result in a City-tax levy savings of \$3.2 million in Fiscal 2015 only.

### Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Staten Island Ferry - Trips that are on-time (%)	88.9%	88.6%	91.1%	90.0%	90.0%	89.7%	91.0%
Ridership (000)	22,178	21,399	21,068	*	*	7,607	8,212
Average cost per passenger (\$)	\$5.48	\$5.38	\$5.75	*	*	N/A	N/A

Source: Fiscal 2015 Preliminary Mayor's Management Report

In the first four months of Fiscal 2015, the percent of Staten Island Ferry trips that were on-time improved slightly to 91 percent compared to the same period a year ago. Overall ridership on the Ferry also increased to 8.2 million from 7.6 million passengers when compared to the same four-month period last year.

## Ferry Administration and Surface Management

This program area is responsible for regulating private ferries, in addition to overseeing subsidies to the MTA Bus Company (MTABC) and the Atlantic Bus Express paid from the City's Miscellaneous Budget. In 2006, the City finalized the transfer of subsidized local and express bus service formerly provided by private franchise bus companies to the MTABC. MTABC is primarily funded through farebox revenues and City subsidies. In addition to five facilities leased from private owners, the City currently owns three bus depots dedicated to MTABC operations, located in Yonkers, Southeast Brooklyn, and College Point, in Queens.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$2,599	\$2,307	\$3,566	\$3,604	\$3,609	\$44
Other Salaried and Unsalariated	112	115	17	17	17	0
Additional Gross Pay	116	115	312	312	312	0
Overtime - Civilian	246	255	137	137	137	0
Amounts to be Scheduled	0	0	0	8	9	9
Fringe Benefits	0	0	1	1	1	0
<b>Subtotal</b>	<b>\$3,072</b>	<b>\$2,793</b>	<b>\$4,032</b>	<b>\$4,078</b>	<b>\$4,084</b>	<b>\$52</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$18	\$20	\$35	\$39	\$35	\$0
Property and Equipment	518	149	13	125	13	0
Other Services and Charges	226	183	211	231	211	0
Contractual Services	723	1,546	3	1,142	3	0
<b>Subtotal</b>	<b>\$1,485</b>	<b>\$1,898</b>	<b>\$262</b>	<b>\$1,537</b>	<b>\$262</b>	<b>\$0</b>
<b>TOTAL</b>	<b>\$4,557</b>	<b>\$4,691</b>	<b>\$4,294</b>	<b>\$5,615</b>	<b>\$4,346</b>	<b>\$52</b>
<b>Funding</b>						
City Funds			\$3,717	\$4,300	\$3,769	\$52
Capital- IFA			120	120	120	0
Federal - Other			457	1,195	457	0
<b>TOTAL</b>	<b>\$4,557</b>	<b>\$4,691</b>	<b>\$4,294</b>	<b>\$5,615</b>	<b>\$4,346</b>	<b>\$52</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	32	29	38	38	38	0
<b>TOTAL</b>	<b>32</b>	<b>29</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>0</b>

\*The difference of Fiscal 2015 adopted Budget compared to Fiscal 2016 Preliminary Budget.

The Department's Fiscal 2016 Preliminary Budget includes \$4.3 million and 38 positions in Fiscal 2016 for ferry administration and surface management, the same number of positions compared to the Fiscal 2015 Adopted Budget. While funding for this program area remains almost unchanged when compared to the Fiscal 2015 Adopted Budget, it should be noted that federal funds have increased post Adoption to nearly \$1.2 million. As such, it is likely that funding for this program area could increase in Fiscal 2016 as additional federal funds are recognized.

**Performance Measures**

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Private ferry service - Total ridership (000)	9,020	9,976	9,656	*	*	3,763	3,831
- Number of routes	21	21	21	*	*	21	21

Source: Fiscal 2015 Preliminary Mayor's Management Report

In the first four months of Fiscal 2015, ridership on the private ferries continued to increase. Private ferries ridership increased by more than 68,000 riders to 3.8 million compared to 3.7 million in the same period last year. Currently, major providers of private ferry service under DOT's supervision include New York Water Way, Seastreak, Liberty Water Taxi, and New York Water Taxi. Private ferry service is currently provided on 21 routes and unlike the Staten Island Ferry, users of private ferry services must pay market rate fares, except in instances where City subsidies are used to reduce market rate fares such as the East River Ferry Initiative.

## Bridge Maintenance, Repair and Operations

The Department's Division of Bridges is responsible for the inspection, maintenance, repair and operation of 788 bridge structures, including 758 non-movable bridges including the four East River bridges, 25 movable bridges, and five tunnels. While the Division is responsible for the capital rehabilitation of the 61 culverts in Staten Island, maintenance and inspection responsibilities remain with the New York City Department of Environmental Protection. In addition, the Bridge Division designs and supervises consultant designs of bridge projects and oversees major bridge reconstruction and construction work. The Division is comprised of six bureaus: Roadway Bridges; East River Bridges/Movable Bridges/Tunnels; Engineering Review; Bridge Maintenance/Inspections/Operations; Specialty Engineering and Construction; and Management Support Services.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$29,337	\$29,374	\$33,422	\$34,791	\$34,614	\$1,192
Other Salaried and Unsalariated	230	276	2	2	2	0
Additional Gross Pay	1,921	1,745	839	839	839	0
Overtime - Civilian	9,170	9,712	5,419	5,933	5,419	0
Amounts to be Scheduled	0	0	0	31	31	31
P.S. Other	0	0	0	0	0	0
New Positions	0	0	0	0	0	0
Fringe Benefits	2,440	2,262	2,435	2,435	2,435	0
<b>Subtotal</b>	<b>\$43,097</b>	<b>\$43,370</b>	<b>\$42,117</b>	<b>\$44,031</b>	<b>\$43,340</b>	<b>\$1,223</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$2,940	\$5,456	\$3,704	\$4,782	\$3,704	\$0
Fixed and Misc. Charges	102	26	15	15	15	0
Property and Equipment	636	648	455	944	436	(19)
Other Services and Charges	514	790	777	1,196	777	0
Contractual Services	20,120	14,107	18,396	18,067	18,396	0
<b>Subtotal</b>	<b>\$24,310</b>	<b>\$21,027</b>	<b>\$23,348</b>	<b>\$25,005</b>	<b>\$23,329</b>	<b>(\$19)</b>
<b>TOTAL</b>	<b>\$67,407</b>	<b>\$64,396</b>	<b>\$65,465</b>	<b>\$69,036</b>	<b>\$66,669</b>	<b>\$1,203</b>
<b>Funding</b>						
City Funds			\$41,654	\$42,106	\$42,485	\$831
Other Categorical			125	125	125	0
Capital- IFA			1,642	1,881	1,946	305
State			5,250	5,250	5,250	0
Federal - Other			15,110	17,931	15,110	0
Intra City			1,685	1,742	1,752	67
<b>TOTAL</b>	<b>\$67,407</b>	<b>\$64,396</b>	<b>\$65,465</b>	<b>\$69,036</b>	<b>\$66,669</b>	<b>\$1,203</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	425	410	453	479	479	26
<b>TOTAL</b>	<b>425</b>	<b>410</b>	<b>453</b>	<b>479</b>	<b>479</b>	<b>26</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The DOT's Fiscal 2016 Preliminary Budget includes \$66.7 million for bridge maintenance, repair, and operations in Fiscal 2016, an increase of \$1.2 million or 1.8 percent when compared to the Fiscal 2015 Adopted Budget of \$65.5 million. These funds will help the Department continue to provide adequate maintenance of the City's bridges. Based on prior fiscal years, actual funding for bridge operations increased post Adoption as federal funds became available. As such, it is likely that funding for this program area would increase in Fiscal 2016 as additional federal and State funds are recognized.

In Fiscal 2016, funded headcount for this program area would be 479 positions, an increase of 26 positions compared to the Fiscal 2015 Adopted Budget of 453 positions.

Actions taken which affected this program area since Fiscal 2015 budget adoption last June include:

- **Brooklyn Bridge Cameras.** DOT will receive funding increase of \$400,000 in Fiscal 2016 and in the outyears for maintenance costs associated with Brooklyn Bridge surveillance cameras.

### Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
★ Bridges rated - Good or very good (%) (calendar year)	41.2%	41.4%	42.0%	40.7%	40.7%	NA	NA
- Fair (%)	58.4%	58.4%	57.9%	*	*	NA	NA
- Poor (%)	0.4%	0.1%	0.1%	*	*	NA	NA
Bridge projects (structural work) substantially completed on schedule - East River (%)	91%	71%	100%	100%	100%	NA	NA

Source: Fiscal 2015 Preliminary Mayor's Management Report \*Critical Indicator

The Preliminary Mayor's Management Report does not provide performance data on bridge rating for the first four months of Fiscal 2015. However, the report does show that the percentage of bridges rated "good" or "very good" improved slightly to 42 percent in Fiscal 2014 compared to 41.4 percent in Fiscal 2013.



## Bridge Engineering and Administration

This program area is responsible for the design of bridge projects, supervision of consultant designs of bridge projects, and oversight of capital work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's Capital Budget through IFAs. Since 2000, this Division has managed over \$4 billion in bridge Capital reconstruction projects including a number of projects to rehabilitate the East River Bridges, namely the Brooklyn, Manhattan, Williamsburg, and Ed Koch/Queensboro Bridges. In the last few years, other major bridges that have been completely replaced include the Third Avenue, Macombs Dam, and the 145<sup>th</sup> Street spans over the Harlem River. Over the next ten years, DOT will spend more than \$4.8 billion, as contained in the Fiscal 2016 Ten-Year Capital Strategy, on bridge reconstruction projects.

	Actual	Actual	Adopted	Preliminary Plan		*Difference
<i>Dollars in Thousands</i>	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$20,796	\$20,137	\$23,305	\$24,684	\$25,549	\$2,243
Other Salaried and Unsalariied	198	181	4	4	4	0
Additional Gross Pay	920	1,143	857	857	857	0
Overtime - Civilian	822	858	895	895	895	0
Amounts to be Scheduled	0	0	0	9	9	9
Fringe Benefits	0	0	1	1	1	0
<b>Subtotal</b>	<b>\$22,736</b>	<b>\$22,320</b>	<b>\$25,062</b>	<b>\$26,450</b>	<b>\$27,314</b>	<b>\$2,252</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$198	\$177	\$279	\$306	\$279	\$0
Fixed and Misc. Charges	0	0	26	26	26	0
Property and Equipment	81	152	398	385	398	0
Other Services and Charges	107	86	451	551	688	238
Contractual Services	876	784	1,715	2,192	1,715	0
<b>Subtotal</b>	<b>\$1,262</b>	<b>\$1,199</b>	<b>\$2,868</b>	<b>\$3,459</b>	<b>\$3,106</b>	<b>\$238</b>
<b>TOTAL</b>	<b>\$23,998</b>	<b>\$23,519</b>	<b>\$27,930</b>	<b>\$29,909</b>	<b>\$30,420</b>	<b>\$2,490</b>
<b>Funding</b>						
City Funds			\$7,126	\$8,517	\$8,925	\$1,799
Capital- IFA			18,770	19,357	19,461	691
State			83	83	83	0
Federal - Other			1,952	1,952	1,952	0
<b>TOTAL</b>	<b>\$23,998</b>	<b>\$23,519</b>	<b>\$27,930</b>	<b>\$29,909</b>	<b>\$30,420</b>	<b>\$2,490</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	274	265	352	370	370	18
<b>TOTAL</b>	<b>274</b>	<b>265</b>	<b>352</b>	<b>370</b>	<b>370</b>	<b>18</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The DOT's Fiscal 2016 Preliminary Budget includes \$30.4 million in Fiscal 2016 for engineering and administration which is \$2.5 million more than the Fiscal 2015 Adopted Budget. The increase is primarily the result of additional funding for bridge inspections.

The Preliminary Budget includes a headcount of 370 in Fiscal 2016 for this program area, an increase of 18 positions when compared to the Fiscal 2015 Adopted Budget.

Actions taken which affected this program area since Fiscal 2015 budget adoption last June include:

- **Bridge Inspection and Load rating.** The Preliminary Budget includes \$866,000 in Fiscal 2015 and \$1.7 million in Fiscal 2016 and in the outyears for costs associated with 18 new positions and related Other than Personal Services (OTPS) costs for bridge inspections and load rating.

## DOT Management and Administration

This program area consists of the Commissioner's office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general procurement services, data processing, general counsel, public information and information systems. The agency's six Borough Commissioners, including the Borough Commissioner for Lower Manhattan, are also included in this program area. The Borough Commissioners are charged with dealing directly with borough-wide issues.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$30,875	\$31,683	\$30,292	\$33,837	\$31,135	\$842
Other Salaried and Unsalariated	3,207	1,889	1,103	1,103	1,103	0
Additional Gross Pay	1,326	1,180	596	596	596	0
Overtime - Civilian	1,177	1,475	899	899	899	0
Amounts to be Scheduled	0	0	0	74	74	74
P.S. Other	(44)	(53)	0	0	0	0
Fringe Benefits	0	(0)	10	10	10	0
<b>Subtotal</b>	<b>\$36,540</b>	<b>\$36,173</b>	<b>\$32,900</b>	<b>\$36,519</b>	<b>\$33,817</b>	<b>\$917</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$873	\$939	\$569	\$534	\$569	\$0
Fixed and Misc. Charges	2	434	4	80	4	0
Property and Equipment	2,022	537	385	560	510	125
Other Services and Charges	12,005	12,068	14,587	15,476	9,058	(5,530)
Contractual Services	1,273	2,865	1,778	2,258	1,870	92
<b>Subtotal</b>	<b>\$16,175</b>	<b>\$16,843</b>	<b>\$17,323</b>	<b>\$18,907</b>	<b>\$12,010</b>	<b>(\$5,313)</b>
<b>TOTAL</b>	<b>\$52,715</b>	<b>\$53,015</b>	<b>\$50,224</b>	<b>\$55,427</b>	<b>\$45,827</b>	<b>(\$4,396)</b>
<b>Funding</b>						
City Funds			\$38,341	\$40,519	\$33,770	(\$4,571)
Other Categorical			211	211	211	0
Capital- IFA			3,868	3,984	4,005	137
State			5,434	5,998	5,443	10
Federal - Other			2,359	4,704	2,387	28
Intra City			12	12	12	0
<b>TOTAL</b>	<b>\$52,715</b>	<b>\$53,015</b>	<b>\$50,224</b>	<b>\$55,427</b>	<b>\$45,827</b>	<b>(\$4,396)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	450	476	439	492	442	3
<b>TOTAL</b>	<b>450</b>	<b>476</b>	<b>439</b>	<b>492</b>	<b>442</b>	<b>3</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The DOT's Fiscal 2016 Preliminary Budget includes \$45.8 million for this program area, \$4.4 million less than the Fiscal 2015 Adopted Budget of \$50.2 million. However, compared to the current Fiscal 2015 budget (2015 Budge as of the Fiscal 2016 Preliminary Budget), the Fiscal

2016 Preliminary Budget shows a decrease of \$9.6 million as a result of additional State and federal funds received post-Adoption and used for 53 additional positions and other administrative overhead costs associated with the implementation of federal- and State-funded projects agency-wide.

The Preliminary Budget includes a headcount of 442 in Fiscal 2016 for this program area, an increase of three positions when compared to the Fiscal 2015 Adopted Budget.

Actions taken which affected this program area since Fiscal 2015 budget adoption last June include:

- **Freedom of Information Law Unit Staff.** The Preliminary Budget includes \$21,000 in Fiscal 2015 and \$85,000 in Fiscal 2016 and in the outyears for costs associated with two new positions to manage DOT's Freedom of Information Law (FOIL) requests.
- **New York State Industries for the Disabled (NYSID) Contract.** Presently, NYSID is contracted by the DOT to provide cleaning services at 41 DOT facilities at an annual cost of \$2.2 million. The Preliminary Budget includes a prevailing wage increase of \$270,000 in Fiscal 2015 and \$549,000 in Fiscal 2016 and in the outyears for the NYSID cleaning contract.
- **Information Technology Needs.** The Preliminary Budget includes a new need of \$1.1 million in Fiscal 2015 and \$783,000 in Fiscal 2016 for information technology expenditures for hardware and software and for ongoing maintenance costs.
- **Small Business First.** As part of the City's effort to improve the regulatory environment for small businesses, the Preliminary Budget includes \$3,000 in Fiscal 2015, \$13,000 in Fiscal 2016 and \$3,000 in Fiscal 2017 and in the outyears for costs associated with providing staff training and increased use of translated materials by DOT. Small Business First is a program to reduce the burden of regulation on small businesses and cut government red tape to make it easier for the City's small businesses to start, operate, and thrive.
- **Collective Bargaining.** DOT will receive additional funding of \$8.1 million in Fiscal 2015, \$9.3 million in Fiscal 2016, \$13.6 million in Fiscal 2017, and \$14.6 million in Fiscal 2018 and in the outyears for costs associated with collective bargaining increases for all program areas.
- **Equipment Purchase.** The Preliminary Budget includes a new need of \$932,000 for equipment purchased by the Department in Fiscal 2015 only.

## DOT Vehicles, Facilities Management and Maintenance

Funding in this program area provides for the maintenance of the Department's approximately 3,000 vehicles and facilities Citywide.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$7,194	\$7,509	\$8,428	\$9,007	\$8,983	\$556
Other Salaried and Unsalari ed	159	130	25	25	25	0
Additional Gross Pay	487	290	134	134	134	0
Overtime - Civilian	844	441	1,274	1,274	1,274	0
Amounts to be Scheduled	0	0	0	66	100	100
Fringe Benefits	218	225	249	249	249	0
<b>Subtotal</b>	<b>\$8,902</b>	<b>\$8,595</b>	<b>\$10,110</b>	<b>\$10,755</b>	<b>\$10,765</b>	<b>\$656</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$1,479	\$1,599	\$1,400	\$1,977	\$1,400	\$0
Fixed and Misc. Charges	17,786	25,305	2	2	2	0
Property and Equipment	847	1,811	665	334	665	0
Other Services and Charges	15,034	19,343	22,817	23,245	27,675	4,858
Contractual Services	7,121	10,868	2,431	5,572	2,329	(102)
<b>Subtotal</b>	<b>\$42,267</b>	<b>\$58,926</b>	<b>\$27,314</b>	<b>\$31,130</b>	<b>\$32,070</b>	<b>\$4,756</b>
<b>TOTAL</b>	<b>\$51,170</b>	<b>\$67,521</b>	<b>\$37,424</b>	<b>\$41,885</b>	<b>\$42,836</b>	<b>\$5,412</b>
<b>Funding</b>						
City Funds			\$35,841	\$36,013	\$41,174	\$5,334
Capital- IFA			250	314	333	83
State			371	371	366	(4)
Federal - Other			962	5,187	962	0
Intra City			0	0	0	0
<b>TOTAL</b>	<b>\$51,170</b>	<b>\$67,521</b>	<b>\$37,424</b>	<b>\$41,885</b>	<b>\$42,836</b>	<b>\$5,412</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	104	111	123	117	115	(8)
<b>TOTAL</b>	<b>104</b>	<b>111</b>	<b>123</b>	<b>117</b>	<b>115</b>	<b>(8)</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The DOT's Fiscal 2016 Preliminary Budget includes \$42.8 million in Fiscal 2016 for vehicles, facilities management, and maintenance, \$5.4 million more than the Fiscal 2015 Adopted Budget of \$37.4 million. This increase reflects the impact of additional City spending for other services relating to fleet-wide emission reduction initiatives. The funding for this program area also includes \$3.1 million in federal funding for the Hunts Point Diesel Emission Reduction program in Fiscal 2015.

The Fiscal 2016 Preliminary Budget includes funding for 115 positions in Fiscal 2016 for this program area, a decrease of eight positions compared to the Fiscal 2015 Adopted Budget of 123 positions.

Actions taken which affected this program area since Fiscal 2015 budget adoption last June include:

- **Hunts Point Diesel Emission.** The proposed budget includes federal funding of \$3.1 million in Fiscal 2015 only for the DOT's Hunts Point Diesel Emission program. The Hunts Point Diesel Emission program promotes the use of alternative fuel vehicles. It also provides rebates and subsidies for equipment installations to help improve air quality in the Hunts Point area of the Bronx.

## Traffic Planning Safety and Administration

This program area is responsible for the supervision, planning and research, and general support of the Department's traffic and parking programs. The Division also develops programs to enhance mobility for bicyclists and pedestrians and studies traffic patterns and impacts of major projects and development.

<i>Dollars in Thousands</i>	Actual	Actual	Adopted	Preliminary Plan		*Difference
	2013	2014	2015	2015	2016	2016 - 2015
<b>Spending</b>						
<b>Personal Services</b>						
Full-Time Salaried - Civilian	\$12,456	\$12,509	\$9,991	\$17,627	\$11,070	\$1,079
Other Salaried and Unsalari ed	224	301	97	201	97	0
Additional Gross Pay	435	425	1,078	1,078	1,078	0
Overtime - Civilian	380	652	219	719	219	0
Amounts to be Scheduled	0	0	66	17	18	(47)
Fringe Benefits	0	0	34	34	34	0
<b>Subtotal</b>	<b>\$13,495</b>	<b>\$13,887</b>	<b>\$11,485</b>	<b>\$19,676</b>	<b>\$12,517</b>	<b>\$1,032</b>
<b>Other Than Personal Services</b>						
Supplies and Materials	\$1,272	\$2,266	\$1,265	\$2,646	\$1,568	\$303
Fixed and Misc. Charges	0	5	4	17	4	0
Property and Equipment	1,462	4,946	2,671	8,106	971	(1,700)
Other Services and Charges	3,185	2,542	1,086	3,687	1,054	(32)
Contractual Services	34,567	19,987	13,671	36,807	9,877	(3,794)
<b>Subtotal</b>	<b>\$40,486</b>	<b>\$29,745</b>	<b>\$18,696</b>	<b>\$51,262</b>	<b>\$13,473</b>	<b>(\$5,223)</b>
<b>TOTAL</b>	<b>\$53,981</b>	<b>\$43,633</b>	<b>\$30,181</b>	<b>\$70,938</b>	<b>\$25,990</b>	<b>(\$4,191)</b>
<b>Funding</b>						
City Funds			\$19,531	\$24,527	\$21,233	\$1,702
Capital- IFA			250	267	270	20
State			3,279	7,017	1,643	(1,636)
Federal - Other			7,122	38,275	2,844	(4,277)
Intra City			0	852	0	0
<b>TOTAL</b>	<b>\$53,981</b>	<b>\$43,633</b>	<b>\$30,181</b>	<b>\$70,938</b>	<b>\$25,990</b>	<b>(\$4,191)</b>
<b>Budgeted Headcount</b>						
Full-Time Positions - Civilian	178	183	136	252	146	10
<b>TOTAL</b>	<b>178</b>	<b>183</b>	<b>136</b>	<b>252</b>	<b>146</b>	<b>10</b>

\*The difference of Fiscal 2015 Adopted Budget compared to Fiscal 2016 Preliminary Budget.

The DOT's Fiscal 2016 Preliminary Budget includes \$26 million for traffic planning safety and administration, \$4.2 million less than the Fiscal 2015 Adopted Budget. However, it is \$44.9 million less when compared to the current budget for Fiscal 2015 (2015 Budget as of the Fiscal 2016 Preliminary Budget). This variance is due to the fact that DOT does not typically recognize the majority of State and federal funds it receives each year for this program area until post budget adoption. The post-adoption increases in State and federal funding for this program area include \$1.7 million for intermodal improvement initiatives, \$2.1 million in federal grants for transit signal priority, \$11.9 million in federal Superstorm Sandy funding allocations and

more than \$16 million in CHIPS funding for various projects. As a result of the additional State and federal funds, the traffic planning safety and administration's headcount increased from 136 to 252, an increase of 116 positions. The majority of the additional positions, however, are not recognized in the Fiscal 2016 Preliminary Budget but would be recognized, post-adoption, when and if State and federal grants become available. The current planned headcount for Fiscal 2016 is 146 positions for this program area.

### Performance Measures

Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
Bicycle lane miles installed	25.8	51.9	65.9	50.0	50.0	N/A	N/A
Bicycle racks installed	1,286	3,541	3,656	1,500	1,500	N/A	N/A
Select Bus Service Ridership (000)	24,850	28,535	36,961	*	*	N/A	N/A
Bicycle lane miles installed	25.8	51.9	65.9	50.0	50.0	N/A	N/A
Construction permits issued	325,839	348,051	418,245	*	*	142,327	160,443
Inspections of permitted street work	564,852	543,921	641,061	*	*	242,079	213,672

Source: Fiscal 2015 Preliminary Mayor's Management Report

In the first four months of Fiscal 2015, construct permits issued by the Department of Transportation increased to 160,443, an increase of more than 18,000 permits when compared to the same period of last year. However, despite the increase in the number of construction permits issued, the inspection of permitted street work decreased to 213,672 from 242,079, a drop of more than 28,000 when compared to the same four-month period last year.



# Capital Program

## Capital Budget Summary

The Preliminary 2016 Capital Commitment Plan includes \$6.3 billion in Fiscal 2015-2018 for DOT (including City and Non-City funds). This represents 14.1 percent of the City's total \$44.7 billion Preliminary Plan for Fiscal 2015-2018. The agency's Preliminary Commitment Plan for Fiscal 2015-2018 reflects a growth of 9.6 percent from the \$5.8 billion scheduled in the Adopted Commitment Plan, an increase of \$551.8 million.

The majority of the capital projects span multiple fiscal years and it is therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal 2014, the Department committed \$837 million or 62 percent of its annual planned capital commitment of \$1.4 billion. Therefore, it is assumed that a significant portion of the agency's Fiscal 2015 Capital Plan will be rolled into Fiscal 2016, thus increasing the size of the Fiscal 2016-2019 Capital Plan. Since adoption last June, the Citywide total Capital Commitment Plan for Fiscal 2015 has decreased from \$17.8 billion in the Adopted Capital Commitment Plan to \$17.3 billion in the Preliminary Capital Commitment Plan, a decrease of \$500 million or 2.8 percent.

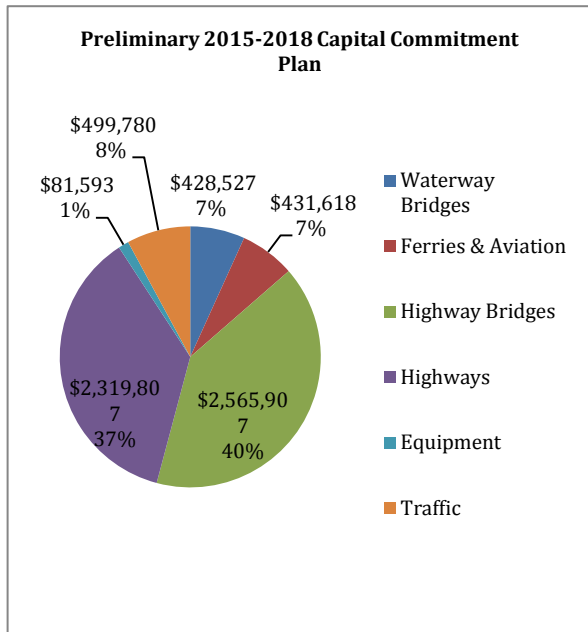
### DOT 2015-2018 Commitment Plan: Adopted and Preliminary Budget

*Dollars in Thousands*

	FY15	FY16	FY17	FY18	Total
<b>Adopted</b>					
Total Capital Plan	\$1,612,594	\$1,954,893	\$1,421,629	\$786,338	<b>\$5,775,454</b>
<b>Preliminary</b>					
Total Capital Plan	\$1,688,736	\$2,090,017	\$1,555,412	\$993,067	<b>\$6,327,232</b>
<b>Change</b>					
Level	\$76,142	\$135,124	\$133,783	\$206,729	<b>\$551,778</b>
Percentage	4.72%	6.91%	9.41%	26.29%	<b>9.55%</b>

### FY 2016 Preliminary Capital Commitment Plan by Categories

	2015	2016	2017	2018	2015-2018
Waterway Bridges (BR)	\$72,575	\$111,244	\$148,600	\$96,108	\$428,527
Ferries & Aviation (FA)	74,331	34,260	247,477	75,550	\$431,618
Highway Bridges (HB)	685,007	871,187	608,096	401,617	\$2,565,907
Highways (HW)	581,578	922,319	466,934	348,976	\$2,319,807
Equipment (TD)	49,608	20,708	8,309	2,968	\$81,593
Traffic (TF)	225,637	130,299	75,996	67,848	\$499,780
<b>TOTAL</b>	<b>\$1,688,736</b>	<b>\$2,090,017</b>	<b>\$1,555,412</b>	<b>\$993,067</b>	<b>\$6,327,232</b>



The Department’s capital budget can be grouped into six categories as follows:

**Waterway Bridges (BR)** - Activities in this category is primarily focused on maintaining and replacing City bridges over water.

**Ferries and Aviation (FA)** - This category involves capital spending for waterborne transportation including ferry boats and landings.

**Highway Bridges (HB)** - This category involves spending for roadway bridges such as overpass and culverts.

**Highways (HW)** - Spending in this category is directed at improving the City’s roadways including highways, streets, and curb cuts.

**Equipment (TD)** - This category include spending for technology such as automatic traffic monitoring systems, Heavy Equipment vehicles, and computers.

**Traffic (TF)** - This category includes spending for traffic signal installation and computerization, lampposts and luminaries, streetlights, electrical distribution systems and lane markings.

**Capital Program Goals**

- ✓ Provide safe and efficient travel throughout the City by rehabilitating deficient bridges and performing work necessary to keep bridges in a state of good repair.
- ✓ Maintain streets in good repair.
- ✓ Improve the flow of traffic and minimize congestion.
- ✓ Maintain and improve safety on City streets by providing adequate lighting, adding and upgrading intersection signalization, installing lane markings, and traffic calming measures.
- ✓ Protect the public from excessive amounts of motor vehicle pollution.
- ✓ Provide public transportation that is accessible, reliable, and clean.
- ✓ Ensure the safe and efficient operation of ferries and ferry terminals.

**Preliminary Budget Highlights**

In the Preliminary Capital Plan, DOT’s planned commitments for Fiscal 2015-2018 totals \$6.3 billion, an increase of \$551.2 million or 9.6 percent, when compared to the Department’s 2015 Adopted Plan amount of \$5.8 billion.

Major capital projects contained in the Preliminary Capital Plan for Fiscal 2015-2018 include:

- Planned commitments totaling more than \$94.8 million for bridge component replacement.

- Planned commitments totaling more than \$1.3 billion for the reconstruction and rehabilitation of bridges currently projected to be rated “fair” or “good” including the Shore Road over the Hutchinson River, Belt Parkway Bridge over Mill Basin, the Bruckner Expressway over Westchester Creek, the Roosevelt Avenue Bridge over Van Wyck Expressway, and the Harlem River Drive over the viaduct.
- Planned commitments totaling \$708.1 million for street repaving Citywide.
- Planned commitments of \$226.8 million for ferry boat replacement for the Staten Island ferry, an increase of \$43 million when compared to the Fiscal 2015 Adopted Budget.
- Planned commitments of \$118.1 million for the reconstruction of sidewalks and \$59.1 million for the installation of pedestrian ramps Citywide.
- Planned commitments totaling \$415 million for Superstorm Sandy related projects.
- Planned commitments of \$1.3 billion for the reconstruction of roadways including arterial and local highway streets.
- Planned commitments totaling \$32.7 million for the dry-docking of the Staten Island ferryboats.

Other additions and accelerations that impact the Fiscal 2015-2018 Plan include:

- Planned commitments totaling \$56.1 million for bridge protective coatings Citywide.
- Planned commitments of \$17.2 million in Fiscal 2015 to purchase and install speed cameras in school zones.
- Planned commitments of \$15.8 million for the reconstruction of the Queens Borough Hall Municipal Parking Garage in Fiscal 2015.
- Planned commitments of \$19.2 million for the reconstruction of Broad Channel Bulkheads in Queens.
- Planned commitments of \$296.2 million including design costs for Mill Basin Bridge Belt Parkway reconstruction.
- Planned commitments totaling \$33.9 million for the reconstruction of City Island road over Eastchester Bay in the Bronx.
- Planned commitments of \$6.8 million for pedestrian safety improvements projects.

**Preliminary Ten-Year Strategy**

The City’s Ten-Year Capital Strategy 2016-2025 totals \$67.7 billion in all funds. For DOT, the Preliminary Ten-Year Capital Strategy provides approximately \$8.9 billion in Fiscal 2016–2025 for capital construction and reconstruction projects with Bridges and Highways receiving the majority of the funding. The Department’s capital funding is divided into five categories of need as illustrated by the chart below:

**DOT Ten-Year Capital Strategy by Category**

<b>DOT FY2016-2025 Preliminary Ten-Year Capital Strategy</b>											
<i>Dollars in Thousands</i>											
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY2023	FY2024	FY2025	Total
Bridges	\$982,431	\$756,696	\$497,725	\$586,388	\$491,379	\$430,707	\$146,072	\$233,741	\$365,629	\$372,745	\$4,863,513
Ferries & Aviation	34,260	247,477	75,550	2,500	7,500	8,001	67	1,166	1,204	1,244	378,969
Highways	922,319	466,934	348,976	185,873	198,915	167,302	143,087	197,353	203,802	210,463	3,045,024
Equipment	20,708	8,309	2,968	2,215	2,354	1,837	898	916	946	977	42,128
Traffic	130,299	75,996	67,848	39,075	41,931	39,849	28,562	45,625	34,979	46,345	550,509
<b>Total</b>	<b>\$2,090,017</b>	<b>\$1,555,412</b>	<b>\$993,067</b>	<b>\$816,051</b>	<b>\$742,079</b>	<b>\$647,696</b>	<b>\$318,686</b>	<b>\$478,801</b>	<b>\$606,560</b>	<b>\$631,774</b>	<b>\$8,880,143</b>

**Bridges.** The Ten-Year Capital Strategy provides \$4.9 billion in Bridge Program funding. These funds are to be used for the reconstruction and upgrade of the City’s numerous bridges, including three of the East River Bridges and 70 other bridge structures, including 44 bridge structures currently rated “fair” or “good.” Funds for these projects include \$119.8 million for the reconstruction of the Roosevelt Avenue Bridge over the Van Wyck Expressway and \$180.9 million for the Bruckner Expressway over Westchester Creek. In addition, under the Bridge Program, \$2.1 billion is for bridge life extension work including rehabilitative work on 26 bridge structures currently rated “fair” or “good” that require an update to their current conditions; \$334.6 million is for protective coating on five bridges, including \$18.1 million for the Hutchinson Parkway Bridge; \$297.1 million is for rehabilitative work on East River Bridges, including \$147.8 million for the Ed Koch/Queensboro Bridge; and \$23.4 million is for bridge facilities and equipment and vehicle purchase. Of the \$4.9 billion in bridge funding, \$3.6 billion is City funds while \$1.3 billion and \$18.7 million are federal and State funds respectively.

**Highways.** The Ten-Year Capital Strategy provides \$3 billion for the rehabilitation of approximately 7,296 lane miles of City streets. The funding includes \$1.4 billion for street resurfacing; \$1.2 billion for street reconstruction covering 356 lane miles including \$276.4 million for Vision Zero related projects; \$327.2 for sidewalk and ramp reconstruction covering approximately 28.6 million square feet of sidewalks Citywide; \$102.7 million for facility reconstruction; and \$28.1 million is for retaining walls reconstruction. To fund the highways, the City will provide \$2.6 billion, the federal government will provide \$316.2 million, the State will provide \$40.9 million, and private funds will contribute \$77.1 million.

**Traffic.** The Ten-Year Capital Strategy for Fiscal Years 2016-2025 contains funding of \$550.5 million for various traffic programs including \$182.8 million for signal installation and computerization; \$161.8 million for the installation of lampposts and luminaries; \$115.7 million for installation of signals, streetlights, and lane markings associated with the Department’s Highway Reconstruction and Bridge Programs; \$48.2 million for the installation

of nearly 81 million linear feet of thermoplastic reflectorized pavement markings; \$33.1 million to replace traffic-related electrical distribution systems to help reduce lighting outages citywide; and \$8.9 million for parking lot and garage reconstruction.

**Ferries.** The Ten-Year Capital Strategy contains funding of \$379 million for the reconstruction and improvement of various ferry vessels and facilities. Of this amount, \$284.3 million is for various agency projects associated with the reconstruction and construction of ferry boats including \$199.1 million provided for the construction of three next-generation Molinari Class ferries; \$91.7 million is for the rehabilitation and renovation of ferry terminal buildings, slips and racks; and \$3 million is provided for general construction work at ferry maintenance facilities. To fund this category, the City will provide \$119 million, the federal government will provide \$253.5 million, and the State will provide \$6.4 million.

**Equipment.** The Ten-Year Capital Strategy provides \$42.1 million, of which \$37 million is City funds, for vehicles and equipment purchases for DOT.

## Appendix A: Budget Actions in the November and the Preliminary Plans

<i>Dollars in Thousands</i>	FY 2015			FY 2016		
	City	Non-City	Total	City	Non-City	Total
<b>DOT Budget as of the Adopted 2015 Budget</b>	<b>\$493,895</b>	<b>\$337,943</b>	<b>\$831,838</b>	<b>\$490,391</b>	<b>\$331,941</b>	<b>\$822,332</b>
<b>New Needs</b>						
Equipment Purchases	\$932	\$0	\$932	\$0	\$0	\$0
Vision Zero: 25MPH Signage	371	0	371	0	0	0
Accessible Pedestrian Signals	135	0	135	182	0	182
Bridge Inspection and Load Rating	866	0	866	1,743	0	1,743
Brooklyn Bridge Cameras	0	0	0	400	0	400
Commercial Biking	284	0	284	314		314
Freedom of Information Law (FOIL) Unit Staffing	21	0	21	85	0	85
HIQA and Permit Management	2,198	0	2,198	1,356	0	1,356
Information Technology Needs	1,064	0	1,064	783	0	783
Intercity Bus Stop Permit Headcount	14	0	14	55	0	55
NYS Industries for the Disabled - Prevailing Wages	270	0	270	549	0	549
NYC Parking Surveys	0	0	0	350	0	350
Parking Meter Credit Card Fees	3,051	0	3,051	0	0	0
Parking Meter Operating Costs	345	0	345	0	0	0
Parking Meter Upgrades	370	0	370	1,110	0	1,110
Small Business First	3	0	3	13	0	13
<b>Subtotal New Needs</b>	<b>\$9,926</b>	<b>\$0</b>	<b>\$9,926</b>	<b>\$6,940</b>	<b>\$0</b>	<b>\$6,940</b>
<b>Other Adjustments</b>						
Bicycle Network Development	\$0	\$6,721	\$6,721	\$0	\$0	\$0
Collective Bargaining	5,821	2,310	8,131	6,589	2,679	9,268
34 Street Bus Livability	0	2,514	2,514	0	0	0
Way-finding	0	3,812	3,812	0	487	487
Sandy Funds Allocation	0	12,706	12,706	0	0	0
Funds to Install Gantry Signs	0	1,200	1,200	0	0	0
Hunts Point Diesel Emission	0	3,104	3,104	0	0	0
Large Vessel Dry Docking	0	2,835	2,835	0	0	0
New Freedom Intermodal Improvements	0	1,744	1,744	0	0	0
Neighborhood Walkability Program	0	952	952	0	0	0
Lower Manhattan Borough Comm. Office	0	934	934	0	0	0
PS Adjustments	362	500	862	470	648	1,118
Safe Streets for Seniors	0	701	701	0	0	0
School Safety CHIPS	0	1,395	1,395	0	0	0
Select Bus Service	0	8,049	8,049	0	0	0
Take Down of Stop DWI	0	(1,355)	(1,355)	0	(1,455)	(1,455)
Traffic Mgmt. Center	0	1,024	1,024	0	1,024	1,024
Transit Signal Priority	0	2,030	2,030	0	0	0
Truck Impact Study	0	521	521	0	0	0
CHIPS Mod for Resurfacing	0	15,368	15,368	0	0	0

<i>Dollars in Thousands</i>	FY 2015			FY 2016		
	City	Non-City	Total	City	Non-City	Total
Funding for Speed Humps - CHIPS	0	1,475	1,475	0	0	0
IFA Resurfacing Adjustment	0	25,020	25,020	0	0	0
Labor Transfer for Non-Union Employees	575	0	575	746	0	746
Port Security Grant Award	0	3,200	3,200	0	0	0
Resurfacing Reallocation	23,861	(23,861)	0	0	0	0
Vision Zero Education Initiative	0	393	393	0	0	0
City Council Member Items	(2)	0	(2)	0	0	0
City-funds Adjustments	0	0	0	0	0	0
Intra-funds & Other Categorical Adjustments	0	1,421	1,421	0	(34)	(34)
State Grants and Adjustments	0	1,872	1,872	0	(250)	(250)
Federal Grants and Adjustments	0	9,192	9,192	0	0	0
<b>Subtotal Other Adjustments</b>	<b>\$30,617</b>	<b>\$85,777</b>	<b>\$116,394</b>	<b>\$7,805</b>	<b>\$3,099</b>	<b>\$10,904</b>
<b>Total All Changes</b>	<b>\$40,543</b>	<b>\$85,777</b>	<b>\$126,320</b>	<b>\$14,745</b>	<b>\$3,099</b>	<b>\$17,844</b>
<b>DOT Budget as of the Preliminary 2016 Budget</b>	<b>\$534,438</b>	<b>\$423,720</b>	<b>\$958,158</b>	<b>\$505,136</b>	<b>\$335,040</b>	<b>\$840,176</b>

## Appendix B: Fiscal 2015 Mayor's Management Report Performance Measures

DOT Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
★ Bridges rated - Good or very good (%) (calendar year)	41.2%	41.4%	42.0%	40.7%	40.7%	N/A	N/A
- Fair (%)	58.4%	58.4%	57.9%	*	*	N/A	N/A
- Poor (%)	0.4%	0.1%	0.1%	*	*	N/A	N/A
*Streets maintained with a pavement rating of - Good (%)	73.4%	69.6%	69.3%	71.0%	71.0%	N/A	N/A
- Fair (%)	26.2%	29.8%	30.0%	*	*	N/A	N/A
- Poor (%)	0.4%	0.6%	0.7%	*	*	N/A	N/A
*Average time to close a pothole work order where repair was done (days)	2.3	1.4	5.6	5.0	5.0	2.4	6.7
Pothole work orders	36,401	43,972	54,667	*	*	8,145	12,335
Potholes repaired - Arterial highway system	37,962	45,070	126,144	*	*	3,471	4,831
- Local streets	200,666	213,475	323,384	*	*	42,864	69,214
Lane miles resurfaced citywide	1,006.6	810.6	1,005.9	*	*	N/A	N/A
Average cost per lane mile resurfaced citywide (\$)	\$150,830	\$192,536	\$169,201	*	*	N/A	N/A
Average in-house cost of asphalt per ton (\$)	\$61.26	\$63.04	\$61.25	*	*	N/A	N/A
Average vendor cost of asphalt per ton (\$)	\$73.29	\$76.64	\$63.24	*	*	N/A	N/A
Construction permits issued	325,839	348,051	418,245	*	*	142,327	160,443
Inspections of permitted street work	564,852	543,921	641,061	*	*	242,079	213,672
- Street work rated satisfactory (%)	76%	77%	76%	75%	75%	77%	75%
Post-audit inspections for completed street work	274,714	302,689	329,664	*	*	139,660	127,975
- Completed street work that passed inspection (%)	81%	80%	75%	*	*	81%	77%
Adopt-A-Highway adoption rate (%)	66.3%	67.4%	76.8%	70.0%	70.0%	69.5%	78.7%
Adopted highway miles that receive a service rating of good (%)	100.0%	99.4%	98.9%	*	*	99.4%	100.0%
*Muni-meters that are operable (%)	99.2%	99.2%	99.3%	98.0%	98.0%	99.1%	99.3%
Total violations issued	30,438	27,382	33,843	*	*	12,079	12,958
Violations admitted to or upheld at the Environmental Control Board (%)	89.6%	88.1%	92.0%	*	*	91.0%	90.0%
*Average time to respond to high priority traffic signal defect and make safe (hours: minutes)	NA	NA	2:05	2:00	2:00	1:26	1:29
*Average time to repair priority regulatory signs after notification (days)	1.9	2.2	1.8	3.0	3.0	2.0	1.9
Average time to repair street lights - by DOT (days)	2.5	2.7	2.5	*	*	2.5	2.3
Average time to repair street lights - by Con-Ed (days)	12.5	14.1	14.9	*	*	14.2	15.0
*Overall traffic crashes	176,482	179,076	183,728	↓	↓	N/A	N/A
*Citywide traffic fatalities	291	261	284	↓	↓	108	101
- Bicyclists/pedestrians	176	168	172	*	*	56	64
- Motorists/passengers	115	93	112	*	*	52	37
Collisions involving DOT vehicles	NA	452	461	*	*	151	142
*Speed humps installed	184	300	274	250	250	N/A	195
*Roadway safety markings installed (000,000) (linear feet)	30.9	27.1	28.4	40.0	50.0	N/A	14.5
Accessible pedestrian signals installed	23	19	30	25	25	N/A	13
*Staten Island Ferry - Customer accident injury rate (per million passengers)	2.12	1.12	1.51	1.34	1.34	N/A	1.44
*Staten Island Ferry - Trips that are on time (%)	88.9%	88.6%	91.1%	90.0%	90.0%	89.7%	91.0%
- Weekday peak hour trips that are on time (%)	90.8%	87.8%	90.6%	*	*	90.8%	90.0%



DOT Performance Indicators	Actual			Target		4-Month Actual	
	FY12	FY13	FY14	FY15	FY16	FY14	FY15
- Ridership (000)	22,178	21,399	21,068	*	*	7,607	8,212
- Average cost per passenger (\$)	\$5.48	\$5.38	\$5.75	*	*	NA	NA
Private ferry service - Total ridership (000)	9,020	9,976	9,656	*	*	3,763	3,831
- Number of permanent routes	21	21	21	*	*	21	21
Citi Bike annual membership	NA	NA	92,598	*	*	N/A	83,639
- Trips (000)	NA	NA	9,409	*	*	N/A	4,100
Bicycle lane miles installed	25.8	51.9	65.9	50.0	50.0	N/A	N/A
Bicycle racks installed	1,286	3,541	3,656	1,500	1,500	N/A	N/A
*In-season cycling index	390	388	422	ñ	ñ	N/A	N/A
Select Bus Service ridership (000) (annual)	24,850	28,535	36,961	*	*	N/A	N/A
- Route miles (cumulative)	18	38	61	*	*	N/A	N/A
Average travel speed (miles per hour) - Manhattan Central Business District	9.1	9.1	8.7	*	*	N/A	N/A
Crossing points with pedestrian ramps installed (%)	94%	95%	97%	*	*	N/A	N/A
*Pedestrian volume index	NA	112.9	103.3	*	*	N/A	N/A
Pedestrian space installed (square feet)	231,021	360,057	297,408	*	*	N/A	N/A
Existing newsstands converted to new model (%)	86.4%	91.1%	93.7%	*	*	91.8%	93.7%
Bridge projects (structural work) substantially completed on schedule (%)	91%	71%	100%	100%	100%	N/A	N/A
Cases commenced against the City in state and federal court	2,246	1,927	1,919	*	*	613	698
Payout (\$000)	\$63,347	\$71,747	\$63,272	*	*	\$19,926	\$21,102
Workplace injuries reported	541	411	359	*	*	123	144
Emails sent to agency (through NYC.gov or a publicized agency email address)	11,602	11,217	17,759	*	*	4,348	8,754
Emails responded to in 14 days (%)	93%	92%	89%	90%	90%	93%	97%
Letters sent to agency	2,285	2,104	5,474	*	*	1,246	2,401
Letters responded to in 14 days (%)	94%	84%	90%	90%	90%	91%	97%
Calls made to agency call center	169,230	150,618	243,769	*	*	95,622	48,274
Calls answered in 30 seconds (%)	31%	45%	73%	70%	70%	53%	54%
Visits made to an agency walk-in facility	14,850	NA	NA	*	*	N/A	N/A
Average customer in-person wait time (minutes)	2	NA	NA	*	*	N/A	N/A
Completed customer requests for interpretation	735	655	722	*	*	N/A	N/A
CORE customer experience rating (0-100)	90	92	97	90	90	N/A	N/A
Street Condition - Pothole - # of Service Requests	37,201	43,326	70,383	*	*	9,447	16,007
Percent meeting time to close - Street Condition - Pothole (30 days)	100%	100%	97%	98%	98%	100%	93%
Street Light Condition - Street Light Out - # of Service Requests	22,354	31,317	31,409	*	*	9,023	11,732
Percent meeting time to first action - Street Light Condition - Street Light Out (10 days)	96	99	93	98	98	93	92
Traffic Signal Condition - Controller - # of Service Requests	22,194	26,310	25,953	*	*	7,466	6,174
Percent meeting time to first action - Traffic Signal Condition - Controller (0.1 days)	78%	71%	72%	80%	80%	82%	81%
Street Condition - Failed Street Repair - # of Service Requests	3,433	2,216	2,598	*	*	701	797
Percent meeting time to first action - Street Condition - Failed Street Repair (10 days)	81%	90%	912%	85%	85%	91%	90%
Broken Muni Meter - No Receipt - # of Service Requests	10,407	16,807	12,409	*	*	4,623	3,966
Percent meeting time to close - Broken Muni Meter - No Receipt (14 days)	87%	47%	96%	90%	90%	96%	97%

### Appendix C: DOT Reconciliation of Program Areas to Units of Appropriation

<i>Dollars in Thousands</i>	Personal Services					Other Than Personal Services					Grand Total
	001	002	003	004	006	007	011	012	013	014	
Bridge Engineering and Administration	0	0	0	0	\$27,314	\$3,106	0	0	0	0	\$30,420
Bridge Maintenance, Repair & Operations	0	0	0	0	43,340	23,329	0	0	0	0	66,669
DOT Management & Administration	33,817	0	0	0	0	0	12,010	0	0	0	45,827
DOT Vehicles & Facilities Mgmt. & Maintenance	9,843	922	0	0	0	0	32,070	0	0	0	42,835
Ferry Administration & Surface Transit	0	0	4,084	0	0	0	0	0	262	0	4,346
Municipal Ferry Operation & Maintenance	0	0	51,969	0	0	0	0	0	45,517	0	97,486
Roadway Construction Coordination & Admin	0	14,298	0	0	0	0	0	851	0	0	15,149
Roadway Repair, Maintenance & Inspection	0	110,584	0	0	0	0	0	84,367	0	0	194,951
Traffic Operations & Maintenance	0	0	0	77,694	0	0	0	0	0	238,809	316,503
Traffic Planning Safety & Administration	0	0	0	12,517	0	0	0	0	0	13,473	25,990
<b>Grand Total</b>	<b>\$43,660</b>	<b>\$125,804</b>	<b>\$56,053</b>	<b>\$90,211</b>	<b>\$70,654</b>	<b>\$26,435</b>	<b>\$44,080</b>	<b>\$85,218</b>	<b>\$45,779</b>	<b>\$252,282</b>	<b>\$840,176</b>